

CONFIRMATION RECOMMENDED, Ayes 5, Noes 0, Absent 0

Julie A Laundrie
Committee Clerk

VOTE SHEET

~~APPT~~ Richard Braun

SUBSTITUTE AMENDMENT _____

Authored by

Seconded by

SENATE AMENDMENT _____

Authored by

Seconded by

MOTION Planned Approval _____

	YES	NO
SENATOR ERPENBACH ¹	X	
SENATOR FITZGERALD	X	
SENATOR JAUCH	X	
SENATOR PLACHE	X	
SENATOR RUDE ²	X	

~~Roll Call~~ Executive Session

Roll Call

	<u>Present</u>	<u>Absent</u>
Sen Erpenbach	X	
Sen Fitzgerald	* X	
Sen Jauch	X	
Sen Plache	X	
Sen Rude	X	

Leave open

* Senator Fitzgerald request to be noted with the majority vote on every bill.

FEB 18 1999



STATE OF WISCONSIN
ETHICS BOARD

James R. Morgan
Chairman
Paul M. Holzem
David L. McRoberts
Robert G. Borgwardt
Joanne R. Orr
Dorothy C. Johnson

On the capitol square at:
44 EAST MIFFLIN STREET, STE 601
MADISON, WISCONSIN 53703-2800
phone: 608/266-8123
fax: 608/264-9309
e-mail: ethics@ethics.state.wi.us
web: <http://ethics.state.wi.us>

Roth Judd
Director

NOMINEE: Richard A. Braun

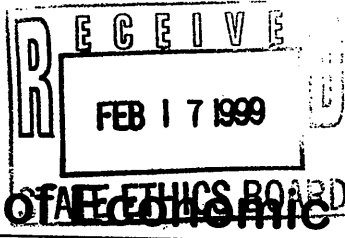
POSITION: Member, Banking Review Board
(*professional member*)

STATUTORY

REFERENCE: **15.185 (1) BANKING REVIEW BOARD.** There is created in the department of financial institutions a banking review board consisting of 5 persons, appointed for staggered 5-year terms. At least 3 members shall be experienced bankers having at least 5 years' experience in the banking business. No member is qualified to act in any matter involving a bank in which the member is an officer, director or stockholder, or to which the member is indebted.

2/17/99 cw
SENATE COMMITTEE ON PRIVACY, ELECTRONIC COMMERCE AND FINANCIAL
INSTITUTIONS: Senators Erpenbach (Chair), Jauch, Plache, Rude and
Fitzgerald.

Mail or fax to:
 Wisconsin Ethics Board
 44 E. Mifflin St., Suite 601
 Madison, WI 53703-2800
 Fax: (608) 264-9309



Filed in 1999 for calendar year 1998

Print legibly in dark ink or type

Statement of Economic Interests

Name Braun, Richard A.
State position held or sought: Member, Banking Review Board

Part 1. Employers.

Name of employer (If State of Wisconsin, identify agency or institution)	City and state	General nature of business
Baylake Bank	Sturgeon Bay, WI	Commercial Banking

Check (✓) if None or Not Applicable

Part 2. Ownership interests in businesses.

2a. Check (✓) if None or Not Applicable

Name of business	City and state	General nature of business	Form of business organization (service corporation, subchapter S or C corporation, partnership, proprietorship, etc.)

2b. For the businesses listed above, report below

Business	Name of owners or partners	City and state

Part 3. Real estate.

Check (✓) if None or Not Applicable

Location of property (street address or fire number, municipality, and county)	Type of property (e.g., farm, recreational, commercial, rental)	Nature of interest (own, lease, option, easement, land contract)
County Highway M Town of Nasewaupée Door County, WI	Vacant land	Own

Part 4. Customers, clients, and tenants (other than individuals*).

Check (✓) if None or Not Applicable

Customer, client, or tenant	City and state

Part 5. Business entertainment and other gifts.

Check (✓) if None or Not Applicable

Name of giver	City and state

*Questions about completing this form? Call (608) 266-8115
 *Other inquiries (608) 266-8123

•Attach additional pages as needed

Part 6. Honoraria and payment of expenses.

Check (✓) if None or Not Applicable

Payer	Approximate value of expenses	Amount of honorarium	Circumstances of receipt

Part 7. Other sources of income.

Check (✓) if None or Not Applicable

Source of income	City and state
Baylake Bank - directors fees & deferred compensation	Sturgeon Bay, WI

Part 8. Stocks, bonds, mutual funds, and the like.

Check (✓) if None or Not Applicable

Name of security	Type of security	Value	Value
		\$50,000 or less	More than \$50,000
Baylake Corp.	Stock		X
First Manitowoc Banc Corp.	Stock	X	
Kent Electronics	Stock	X	
Luxemburg Bancshares, Inc.	Stock		X
Presstek, Inc.	Stock	X	

*See attachment

Part 9. Offices and directorships.

Check (✓) if None or Not Applicable

Business or organization	City and state	Position
Kewaunee County Banc Shares, Inc.	Sturgeon Bay, WI	Exec. VP/Vice Chairman of the Board
Baylake Corp.	Sturgeon Bay, WI	Exec. VP/Vice Chairman of the Board
Baylake Bank	Sturgeon Bay, WI	Exec. VP/Vice Chairman of the Board

*See attachment

Part 10. Representation of organizations.

Check (✓) if None or Not Applicable

Business or organization	City and state

Part 11. Creditors.

Check (✓) if None or Not Applicable

Creditor	City and state	Amount	Amount
		\$50,000 or less	More than \$50,000

Part 12. Changes to reportable interests this year.

Check (✓) one:

- My reportable interests have not changed between December 31, 1998 and my nomination/appointment date.
- I have noted on this Statement how my reportable interests have changed between December 31, 1998 and my nomination/appointment date.

Before signing, please review each part to be sure that you have listed the required information or checked the box for "None or Not Applicable."

I have read the accompanying instructions and certify that the information contained in this Statement of Economic Interests is true, complete, and correct to the best of my knowledge, information, and belief.

Signature of person filing: Richard A. Starn Date: 2/15/99 Daytime phone #: 920/388-3994
 Fax # (optional): 920/388-3994

Statements of Economic Interests are open for public inspection. The Ethics Board will notify you of the identity of any person who examines your Statement. In accordance with §15.04(1)(m), Wisconsin Statutes, the Ethics Board states that no personally identifiable information is likely to be used for purposes other than those for which it is collected.

Failure to file a completed form may result in a forfeiture of up to \$500.

Office Review: AP 2/17/99

Attachment to Statement of Economic Interests
Richard A. Braun

Part 8. Stocks, bonds, mutual funds, and the like

		<u>Value \$50,000 or less</u>	<u>Value More than \$50,000</u>
Summa Industries	Stock	X	
Video Display	Stock	X	
Janus Worldwide Growth	Mutual Fund	X	
Fidelity Spartan Market Index	Mutual Fund		X
Equitable Alliance Common Stock	Annuity		X
Calenergy, Inc.	Stock	X	
Commscope, Inc.	Stock	X	
F & M Bancorporation, Inc.	Stock	X	
Loewen Group, Inc.	Stock	X	
Storage Technology Corp.	Stock	X	
Archstone Communities Trust	Stock	X	
Associated Banc Corp	Stock	X	
Cerprobe Corp.	Stock	X	
Comp USA	Stock	X	
Crown Pacific Partners L.P.	Stock	X	
HCC Insurance Holdings, Inc.	Stock	X	
Halter Marine Group, Inc.	Stock	X	
MCI Worldcom, Inc.	Stock		X
Pennzoil Quaker State Co.	Stock	X	
Pennzoil Co.	Stock	X	
Ralcorp Holdings, Inc.	Stock	X	
Storage Technology Corp.	Stock	X	
Mills Corp.	Stock	X	
Fidelity Cash Reserves	Mutual Fund	X	
Dreyfus Appreciation Fund	Mutual Fund	X	
Excelsior Value & Restructuring	Mutual Fund	X	
Janus Twenty	Mutual Fund		X
Safeco Growth	Mutual Fund	X	
Value Line Leveraged Growth	Mutual Fund	X	
Columbia Housing Partners 89-II	Ltd. Partnership	X	
Kensington Income Fund, L.P.	Ltd. Partnership	X	
Marcum Midstream Business Trust 1997-1	Preferred Stock	X	
Petroleum Development Corporation 1998-D	Partnership	X	

Part 9. Officers & Directorships

Bankers' Bank	Madison, WI	Director
Baylake Bank Building Corp.	Sturgeon Bay, WI	Director
Cornerstone Financial, Inc.	Sturgeon Bay, WI	Director

Kenner

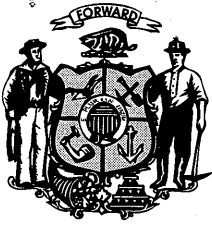
Questions for Richard Braun, Banking Review Board

Could you please explain to the committee the mission and practice of the banking review board?

The federal government has passed legislation called "Know you Customer" which would make financial institutions responsible for reporting unusual customer account activity. How will this be implemented and what will that mean for customer privacy?

What solutions do you know of for problems with financial services because of Y2K?

What is your vision for banking into the next century?



TOMMY G. THOMPSON

**Governor
State of Wisconsin**

January 29, 1999

To the Honorable Members of the Senate:

I am pleased to nominate and with the advice and consent of the Senate, do reappoint Richard A. Braun to the Banking Review Board effective May 1, 1999, pursuant to the statute governing, to serve a five year term to expire May 1, 2004.

Mr. Braun will be available to the Senate for hearings and my staff will assist in any way they can.

Respectfully submitted,

A handwritten signature in cursive script, appearing to read "Tommy G. Thompson".

TOMMY G. THOMPSON

Governor

TGT/mkw





TOMMY G. THOMPSON

**Governor
State of Wisconsin**

GOVERNOR'S APPOINTMENT

NAME/MAILING ADDRESS: Richard A. Braun
1106 - 6th Street
Kewaunee, WI 54216

E-MAIL ADDRESS: n/a

RESIDES IN: Kewaunee

TELEPHONE: 920/743-5551 (W) *920-388-~~3994~~*
920/388-3994 (H) *-2040*

OCCUPATION: Vice Chairman and Executive Vice President
Baylake Corporation/Baylake Bank

APPOINTED TO: Banking Review Board
(professional member)

TERM: a five year term to expire May 1, 2004

SUCCEEDS: himself

SENATE CONFIRMATION: required

DATE OF APPOINTMENT: May 1, 1999

DATE OF NOMINATION: January 29, 1999

COMPENSATION: \$25 per diem, plus expenses





TOMMY G. THOMPSON

**Governor
State of Wisconsin**

January 29, 1999

Richard A. Braun
1106 - 6th Street
Kewaunee WI 54216

Richard!
Dear Mr. Braun:

This letter is to confirm your nomination to the Banking Review Board effective May 1, 1999 to serve a five year term to expire May 1, 2004.

This nomination requires Senate confirmation and I have forwarded the necessary information to the Senate Chief Clerk so a hearing can be scheduled.

I am pleased you have agreed to take on this task, with your proven skills and dedication, I know you will do a superb job.

Sincerely,

Tommy
TOMMY G. THOMPSON
Governor

TGT/nkw

P.S. Thank you for serving!



Biographical Sketch

Richard A. Braun

1106 Sixth Street
Kewaunee, Wisconsin 554216

Personal

Born:

4/11/43 in Two Rivers, Wisconsin
Wife - Susan

Civic:

Kewaunee Rotary Club
Past President and Director

St. Mary's Kewaunee Area Memorial Hospital
Chairman of the Board

Industrial Development Committee
City of Kewaunee

Business:

Bankers' Bank - Madison
Director and member of Executive Committee,
Board Loan Committee, and
Compensation Committee

Affiliations:

Two Rivers Elks Lodge #1380
Knights of Columbus (Fourth Degree Knight)
Kewaunee County Republican Party

Education

1958 - 1962	Sacred Heart Seminary Oneida, Wisconsin
1962 - 1963	Sacred Heart Seminary College Department Oneida, Wisconsin
1976 - 1978	The Graduate School of Banking University of Wisconsin, Madison

Employment

9/1994 - Present	Baylake Bank - Sturgeon Bay Vice Chairman of the Board and Executive Vice President, and member of Executive Committee, Director Loan Committee and Management Committee
9/1994 - Present	Baylake Corp. (holding company) Vice Chairman of the Board and Executive Vice President, and member of Executive Committee
9/1980 - 12/1995	Baylake Bank - Kewaunee* Executive Vice President (1/1981 - 11/1981) President & CEO (11/1981 - 12/1995) Chairman of the Board (4/1994 - 12/1995)**
11/1965 - 9/1980	Manitowoc Savings Bank, N.A. n/k/a Associated Bank Manitowoc, Wisconsin
8/1963 - 11/1965	Thorp Finance Corporation Thorp, Wisconsin

*Merged with Baylake Bank - Sturgeon Bay on 1/1/96 - was f/n/a State Bank of Kewaunee

**Now serving as Chairman of Kewaunee Regional Board

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 Madison, WI 53703-2800
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Part 4. Customers, clients, and tenants (other than individuals*).

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Office Review: _____

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Equitable Alliance Common Stock	Annuity		X
Calenergy, Inc.	Stock	X	
Commscope, Inc.	Stock	X	
F & M Bancorporation, Inc.	Stock	X	
Loewen Group, Inc.	Stock	X	
Storage Technology Corp.	Stock	X	
Archstone Communities Trust	Stock	X	
Associated Banc Corp	Stock	X	
Cerprobe Corp.	Stock	X	
Comp USA	Stock	X	
Crown Pacific Partners L.P.	Stock	X	
HCC Insurance Holdings, Inc.	Stock	X	
Halter Marine Group, Inc.	Stock	X	
MCI Worldcom, Inc.	Stock		X
Pennzoil Quaker State Co.	Stock	X	
Pennzoil Co.	Stock	X	
Ralcorp Holdings, Inc.	Stock	X	
Storage Technology Corp.	Stock	X	
Mills Corp.	Stock	X	
Fidelity Cash Reserves	Mutual Fund	X	
Dreyfus Appreciation Fund	Mutual Fund	X	
Excelsior Value & Restructuring	Mutual Fund	X	
Janus Twenty	Mutual Fund		X
Safeco Growth	Mutual Fund	X	
Value Line Leveraged Growth	Mutual Fund	X	
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Marcum Midstream Business Trust 1997-1	Preferred Stock	X	
Petroleum Development Corporation 1998-D	Partnership	X	

Part 9. Officers & Directorships

Bankers' Bank	Madison, WI	Director
Baylake Bank Building Corp.	Sturgeon Bay, WI	Director
Cornerstone Financial, Inc.	Sturgeon Bay, WI	Director

APPT
Speaking Robert Holmes — ^{Savings} appearing for himself
registering for

See Dean
Nora Weber

Rural banks concerned
about 42K

APPT Doug Timmerman — ^{Savings} appearing for himself

Registering for
See Dean
Nora Weber

APPT John Milner — Credit Union
appearing for himself

Reg for
See Dean
Nora Weber

APPT Richard Braun — ~~Banking~~ Review

Reg for See Dean
Nora Weber

SENATE HEARING SLIP

(Please Print Plainly)

DATE: Tuesday, February 23, 1999

BILL NO. _____

or

SUBJECT: Gubernatorial Appointment

Richard A. Braun

(Name)

1106 - 6th Street

(Street Address or Route Number)

Kewaunee, WI 54216

(City and Zip Code)

Self

(Representing)

Speaking in Favor:

Speaking Against:

Registering in Favor:

but not speaking:

Registering Against:

but not speaking:

Speaking for information only;

Neither for nor against:

Please return this slip to a messenger **PROMPTLY**

Senate Sergeant At Arms
P. O. Box 7882
State Capitol
Madison, WI 53707-7882

SENATE HEARING SLIP
(Please Print Plainly)

DATE: Tuesday, February 23, 1999

BILL NO. _____
or

SUBJECT: Gubernatorial Appointments

Nora K. Weber
(Name)

115 East - State Capitol
(Street Address or Route Number)

Madison, WI 53702
(City and Zip Code)

Governor's Office
(Representing)

Speaking in Favor:

Speaking Against:

Registering in Favor:
but not speaking:

Registering in Favor:
but not speaking:

Speaking for information only;
Neither for nor against:

Please return this slip to a messenger **PROMPTLY**

Senate Sergeant At Arms
P. O. Box 7882
State Capitol
Madison, WI 53707-7882

SENATE HEARING SLIP
(Please Print Plainly)

DATE: 2/23/99

BILL NO. _____
or

SUBJECT: All Reven
Board Appointments

Secretary Richard Dean
(NAME)

345 West Washington
(Street Address or Route Number)

Madison, WI
(City and Zip Code)

DFI
(Representing)

Speaking in Favor:

Speaking Against:

Registering in Favor:
but not speaking:

Registering Against:
but not speaking:

Speaking for information
only; Neither for nor against:

Please return this slip to a messenger **PROMPTLY**

Senate Sergeant-At-Arms
Room 109-LL One East Main
P.O.Box 7882
Madison, WI 53707-7882