

Senate

Record of Committee Proceedings

Committee on Privacy, Electronic Commerce and Financial Institutions

Senate Bill 7

Relating to: transferring toll-free calls to pay-per-call services or international numbers, creating certain disclosure and reporting requirements and providing a penalty.

By Senators Moore, Burke, Darling, Erpenbach and Clausing; cosponsored by Representatives Colon, Young, Turner, La Fave, Plale, Bock, Riley, J. Lehman, Boyle, Ryba, Pocan, Ziegelbauer, Kreuser, Gronemus, Miller, Musser and Albers.

January 20, 1999 Referred to committee on Privacy, Electronic Commerce and Financial Institutions.

February 23, 1999 **PUBLIC HEARING HELD**

Present: (5) Senators Erpenbach, Jauch, Plache, Rude and Fitzgerald.

Absent: (0) None.

Appearances for

- Senator Gwendolynne Moore
- Merry Fran Tyron, DATCP
- Gwendolynn Bivins-Agnew

Appearances against

- None.

Appearances for Information Only

- None.

Registrations for

- Brian Martin, Edward Jones Investments
- Senator Brian Burke

Registrations against

- None.

February 23, 1999 **EXECUTIVE SESSION**

Present: (4) Senators Erpenbach, Jauch, Plache and Rude.

Absent: (1) Senator Fitzgerald.

Moved by Senator Plache, seconded by Senator Jauch, that **Senate Amendment - LRBa 0102-1** be recommended for introduction and adoption.

Ayes: (5) Senators Erpenbach, Jauch, Plache, Rude and Fitzgerald(polling).

Noes: (0) None.

Absent: (0) None.

INTRODUCTION AND ADOPTION RECOMMENDED, Ayes 5,
Noes 0, Absent 0

Moved by Senator Plache, seconded by Senator Jauch, that **Senate Bill 7** be recommended for passage as amended.

Ayes: (5) Senators Erpenbach, Jauch, Plache, Rude and Fitzgerald(polling).

Noes: (0) None.

Absent: (0) None.

PASSAGE AS AMENDED RECOMMENDED, Ayes 5, Noes 0,
Absent 0

Julie A Laundrie
Committee Clerk

Senate

Record of Committee Proceedings

Committee on Privacy, Electronic Commerce and Financial Institutions

Senate Bill 44

Relating to: access to public records containing social security account numbers of individuals.

By Senators Erpenbach, Plache, Moore, Rude, Burke, Clausing, Decker, Roessler, Farrow, A. Lasee, Darling and Drzewiecki; cosponsored by Representatives Foti, Schneider, Meyer, Musser, Schooff, Turner, Pocan, Black, La Fave, Hasenohrl, Plale, Kreuser, Wasserman, Colon, Powers, Bock, Plouff and Boyle.

February 16, 1999 Referred to committee on Privacy, Electronic Commerce and Financial Institutions.

February 23, 1999 **PUBLIC HEARING HELD**

Present: (5) Senators Erpenbach, Jauch, Plache, Rude and Fitzgerald.

Absent: (0) None.

Appearances for

- Senator Jon Erpenbach
- Chris Ahmuty, ACLU of Wisconsin
- Joe Wineke, Operating Engineers Local 139

Appearances against

- None.

Appearances for Information Only

- Jim Wesson, Wausau Insurance
- Andy Franken, Wausau Insurance Companies

Registrations for

- Senator Brian Burke
- Jim Boullion, self

Registrations against

- Sandra Dudrick - Kasdorf, Wisconsin Counties Association

February 23, 1999 **EXECUTIVE SESSION**

Present: (4) Senators Erpenbach, Jauch, Plache and Rude.
Absent: (1) Senator Fitzgerald.

Moved by Senator Jauch, seconded by Senator Plache, that **Senate Amendment (LRBa 0101/1) to Senate Substitute Amendment 1** be recommended for introduction and adoption.

Ayes: (5) Senators Erpenbach, Jauch, Plache, Rude and Fitzgerald(polling).
Noes: (0) None.
Absent: (0) None.

INTRODUCTION AND ADOPTION RECOMMENDED, Ayes 5,
Noes 0, Absent 0

Moved by Senator Jauch, seconded by Senator Plache, that **Senate Substitute Amendment 1** be recommended for adoption.

Ayes: (5) Senators Erpenbach, Jauch, Plache, Rude and Fitzgerald(polling).
Noes: (0) None.
Absent: (0) None.

ADOPTION RECOMMENDED, Ayes 5, Noes 0, Absent 0

Moved by Senator Jauch, seconded by Senator Plache, that **Senate Bill 44** be recommended for passage as amended.

Ayes: (5) Senators Erpenbach, Jauch, Plache, Rude and Fitzgerald(polling).
Noes: (0) None.
Absent: (0) None.

PASSAGE AS AMENDED RECOMMENDED, Ayes 5, Noes 0,
Absent 0

Julie A Laundry
Committee Clerk

CONFIRMATION RECOMMENDED, Ayes 5, Noes 0, Absent 0

Julie A Laudrie
Committee Clerk

Senate

Record of Committee Proceedings

Committee on Privacy, Electronic Commerce and Financial Institutions

MILNER, JOHN E., of Oconomowoc, as a member of the Credit Union Review Board, to serve for the term ending May 1, 2004.

February 23, 1999

PUBLIC HEARING HELD

Present: (5) Senators Erpenbach, Jauch, Plache, Rude and Fitzgerald.

Absent: (0) None.

Appearances for

- John E. Milner, self

Appearances against

- None.

Appearances for Information Only

- None.

Registrations for

- Nora Weber, Governor's Office
- Richard Dean, Secretary, Department of Financial Institutions

Registrations against

- None.

February 23, 1999

EXECUTIVE SESSION

Present: (4) Senators Erpenbach, Jauch, Plache and Rude.

Absent: (1) Senator Fitzgerald.

Moved by Senator Erpenbach, seconded by Senator Jauch, that **Milner, John E.** be recommended for confirmation.

Ayes: (5) Senators Erpenbach, Jauch, Plache, Rude and Fitzgerald(polling).

Noes: (0) None.

Absent: (0) None.

CONFIRMATION RECOMMENDED, Ayes 5, Noes 0, Absent 0

Julie A Laundrie
Committee Clerk

Senate

Record of Committee Proceedings

Committee on Privacy, Electronic Commerce and Financial Institutions

HOLMES, ROBERT W., of Tomah, as a member of the Savings and Loan Review Board, to serve for the term ending May 1, 2003.

February 23, 1999

PUBLIC HEARING HELD

Present: (5) Senators Erpenbach, Jauch, Plache, Rude and Fitzgerald.

Absent: (0) None.

Appearances for

- Robert W. Holmes, self

Appearances against

- None.

Appearances for Information Only

- None.

Registrations for

- Nora Weber, Governor's Office
- Richard Dean, Secretary, Department of Financial Institutions

Registrations against

- None.

February 23, 1999

EXECUTIVE SESSION

Present: (4) Senators Erpenbach, Jauch, Plache and Rude.

Absent: (1) Senator Fitzgerald.

Moved by Senator Erpenbach, seconded by Senator Rude, that **Holmes, Robert W.** be recommended for confirmation.

Ayes: (5) Senators Erpenbach, Jauch, Plache, Rude and Fitzgerald(polling).

Noes: (0) None.

Absent: (0) None.

CONFIRMATION RECOMMENDED, Ayes 5, Noes 0, Absent 0

Julie A Laundrie
Committee Clerk

Senate

Record of Committee Proceedings

Committee on Privacy, Electronic Commerce and Financial Institutions

BRAUN, RICHARD A., of Kewaunee, as a member of the Banking Review Board, to serve for the term ending May 1, 2004.

February 23, 1999

PUBLIC HEARING HELD

Present: (5) Senators Erpenbach, Jauch, Plache, Rude and Fitzgerald.

Absent: (0) None.

Appearances for

- Richard A. Braun, self

Appearances against

- None.

Appearances for Information Only

- None.

Registrations for

- Nora Weber, Governor's Office
- Richard Dean, Secretary, Department of Financial Institutions

Registrations against

- None.

February 23, 1999

EXECUTIVE SESSION

Present: (4) Senators Erpenbach, Jauch, Plache and Rude.

Absent: (1) Senator Fitzgerald.

Moved by Senator Erpenbach, seconded by Senator Rude, that **Braun, Richard A.** be recommended for confirmation.

Ayes: (5) Senators Erpenbach, Jauch, Plache, Rude and Fitzgerald(polling).

Noes: (0) None.

Absent: (0) None.

CONFIRMATION RECOMMENDED, Ayes 5, Noes 0, Absent 0

Julie A Laundrie
Committee Clerk

Senate

Record of Committee Proceedings

Committee on Privacy, Electronic Commerce and Financial Institutions

Clearinghouse Rule 98-137

Relating to codifying a policy authorizing savings banks and savings and loan associations to invest in limited liability companies.

Submitted by the Department of Financial Institutions.

January 13, 1999 Referred to committee on Privacy, Electronic Commerce and Financial Institutions.

February 23, 1999 **PUBLIC HEARING HELD**

Present: (5) Senators Erpenbach, Jauch, Plache, Rude and Fitzgerald.

Absent: (0) None.

Appearances for

- James O. Huff, Department of Financial Institutions
- Jim Tenuta, Wisconsin Credit Union League

Appearances against

- None.

Appearances for Information Only

- None.

Registrations for

- None.

Registrations against

- None.

March 11, 1999 **NO ACTION TAKEN**

Julie A Laundrie
Committee Clerk

- Bear Stearns & Company
- Michael Mach, Department of Financial Institutions, Division of Banking
- Tom Hanson, Wisconsin Financial Services Association
- Ray Allen, self
- Richard Braun, self
- Douglas Timmerman, self
- Robert Holmes, self
- Mark Schlei, self
- Paul Egide, self
- David Mancl, Department of Financial Institutions
- Christopher Green, Department of Financial Institutions
- James Huff, Department of Financial Institutions
- Nora Weber, Governor's Office
- Lisa Roys, Department of Financial Institutions
- Dave Anderson, Department of Financial Institutions
- Ginger Larson, Department of Financial Institutions, Office of Credit Unions

Registrations against

- None.

February 23, 1999

EXECUTIVE SESSION

Present: (4) Senators Erpenbach, Jauch, Plache and Rude.
 Absent: (1) Senator Fitzgerald.

Moved by Senator Erpenbach, seconded by Senator Rude, that **Dean, Richard L.** be recommended for confirmation.

Ayes: (5) Senators Erpenbach, Jauch, Plache, Rude and Fitzgerald.

Noes: (0) None.

Absent: (0) None.

CONFIRMATION RECOMMENDED, Ayes 5, Noes 0, Absent 0

Julie A Laundry
 Committee Clerk

Senate

Record of Committee Proceedings

Committee on Privacy, Electronic Commerce and Financial Institutions

Assembly Bill 60

Relating to: the appointment of a proxy by a shareholder in a business corporation.
By Representatives Jensen, Plale and Ward.

February 18, 1999 Referred to committee on Privacy, Electronic Commerce and Financial Institutions.

March 16, 1999 **PUBLIC HEARING HELD**

Present: (5) Senators Erpenbach, Jauch, Plache, Rude and Fitzgerald.
Absent: (0) None.

Appearances for

- Representative Scott Jensen
- Jim Huff, Deputy Secretary Department of Financial Institutions
- Ted Jankowski, Johnson Controls Inc
- Representative Jeff Plale

Appearances against

- None.

Appearances for Information Only

- None.

Registrations for

- Jodi Jensen, Alliant Energy
- James E Hough, Wisconsin Economic Development Association
- Joan Hansen, WMC
- Nick George, WI Utilities Association
- Jenny Boese, Business Law Section of the State Bar of Wisconsin
- Bill Reid, MMAC

Registrations against

- None.

March 16, 1999

EXECUTIVE SESSION

Present: (5) Senators Erpenbach, Jauch, Plache, Rude and
Fitzgerald.

Absent: (0) None.

Moved by Senator Rude, seconded by Senator Fitzgerald, that
Assembly Bill 60 be recommended for concurrence.

Ayes: (5) Senators Erpenbach, Jauch, Plache, Rude and
Fitzgerald.

Noes: (0) None.

Absent: (0) None.

CONCURRENCE RECOMMENDED, Ayes 5, Noes 0, Absent 0

Julie A Laundrie
Committee Clerk

Senate

Record of Committee Proceedings

Committee on Privacy, Electronic Commerce and Financial Institutions

Senate Bill 33

Relating to: unsolicited messages transmitted by electronic mail.

By Senators Risser, Huelsman, Burke, Baumgart, Erpenbach, Plache, Breske, Darling and Roessler; cosponsored by Representatives Meyer, Plouff, Krug, Handrick, Pocan, Hahn, Miller, Albers, Sinicki, Turner, Wood, Colon, Gunderson, Boyle, Bock and Powers.

February 3, 1999 Referred to committee on Privacy, Electronic Commerce and Financial Institutions.

February 23, 1999 **PUBLIC HEARING HELD**

Present: (5) Senators Erpenbach, Jauch, Plache, Rude and Fitzgerald.

Absent: (0) None.

Appearances for

- Senator Fred Risser

Appearances against

- John Metcalf, WMC

Appearances for Information Only

- None.

Registrations for

- Senator Burke

Registrations against

- None.

March 16, 1999 **EXECUTIVE SESSION**

Present: (5) Senators Erpenbach, Jauch, Plache, Rude and Fitzgerald.

Absent: (0) None.

Moved by Senator Erpenbach, seconded by Senator Plache, that
Senate Bill 33 be recommended for passage.

Ayes: (5) Senators Erpenbach, Jauch, Plache, Rude and
Fitzgerald.

Noes: (0) None.

Absent: (0) None.

PASSAGE RECOMMENDED, Ayes 5, Noes 0, Absent 0

Julie A Laundrie
Committee Clerk

Senate

Record of Committee Proceedings

Committee on Privacy, Electronic Commerce and Financial Institutions

Senate Bill 46

Relating to: disposal of documents containing personal information.

By Senators Erpenbach, Plache, Jauch, Wirsch, Rosenzweig, Darling and A. Lasee; cosponsored by Representatives Foti, Schneider, Pocan, Schooff, Hahn, Freese, Bock, Steinbrink, La Fave, Kreuser, J. Lehman, Musser, Turner, Hebl, Powers, Travis, Plouff and Boyle.

February 18, 1999 Referred to committee on Privacy, Electronic Commerce and Financial Institutions.

February 23, 1999 **PUBLIC HEARING HELD**

Present: (5) Senators Erpenbach, Jauch, Plache, Rude and Fitzgerald.

Absent: (0) None.

Appearances for

- Senator Jon Erpenbach

Appearances against

- John Metcalf, WMC
- James E. Hough, WI Mortgage Bankers Association
- Michael R. Vaughn, WI Bankers Association

Appearances for Information Only

- Jim Tenuta, Wisconsin Credit Union League

Registrations for

- None.

Registrations against

- Daryll Lund & Paul Hoffman, Community Bankers of Wisconsin
- Alex Hoffman, WI Mortgage Bankers
- Moira E. Fitzgerald, Banc One
- Karin M. Gale, Independent Business Association of Wisconsin
- Karin M. Gale, Wisconsin Institute of CPAs

March 16, 1999

EXECUTIVE SESSION

Present: (5) Senators Erpenbach, Jauch, Plache, Rude and Fitzgerald.

Absent: (0) None.

Moved by Senator Erpenbach, seconded by Senator Plache, that **Senate Substitute Amendment 1** be recommended for introduction and adoption.

Ayes: (5) Senators Erpenbach, Jauch, Plache, Rude and Fitzgerald.

Noes: (0) None.

Absent: (0) None.

INTRODUCTION AND ADOPTION RECOMMENDED, Ayes 5,
Noes 0, Absent 0

Moved by Senator Plache, seconded by Senator Jauch, that **Senate Bill 46** be recommended for passage as amended.

Ayes: (4) Senators Erpenbach, Jauch, Plache and Rude.

Noes: (1) Senator Fitzgerald.

Absent: (0) None.

PASSAGE AS AMENDED RECOMMENDED, Ayes 4, Noes 1,
Absent 0

Julie A Laundrie
Committee Clerk

Senate

Record of Committee Proceedings

Committee on Privacy, Electronic Commerce and Financial Institutions

WANZO, BARBARA J., of Brown Deer, as a member of the Savings and Loan Review Board, to serve for the term ending May 1, 2003.

March 16, 1999

PUBLIC HEARING HELD

Present: (5) Senators Erpenbach, Jauch, Plache, Rude and Fitzgerald.

Absent: (0) None.

Appearances for

- Barbara Wanzo, self

Appearances against

- None.

Appearances for Information Only

- None.

Registrations for

- Nora Weber, Governor's Office
- Jim Huff, Department of Financial Institutions

Registrations against

- None.

March 16, 1999

EXECUTIVE SESSION

Present: (5) Senators Erpenbach, Jauch, Plache, Rude and Fitzgerald.

Absent: (0) None.

Moved by Senator Erpenbach, seconded by Senator Rude, that **Wanzo, Barbara J.** be recommended for confirmation.

Ayes: (5) Senators Erpenbach, Jauch, Plache, Rude and Fitzgerald.

Noes: (0) None.

Absent: (0) None.

CONFIRMATION RECOMMENDED, Ayes 5, Noes 0, Absent 0

Julie A Laundrie
Committee Clerk

Senate

Record of Committee Proceedings

Committee on Privacy, Electronic Commerce and Financial Institutions

WANZO, BARBARA J., of Brown Deer, as a member of the Savings and Loan Review Board, to serve for the interim term ending July 1, 1999.

March 16, 1999

PUBLIC HEARING HELD

Present: (5) Senators Erpenbach, Jauch, Plache, Rude and Fitzgerald.

Absent: (0) None.

Appearances for

- Barbara Wanzo, self

Appearances against

- None.

Appearances for Information Only

- None.

Registrations for

- Nora Weber, Governor's Office
- Jim Huff, Department of Financial Institutions

Registrations against

- None.

March 16, 1999

EXECUTIVE SESSION

Present: (5) Senators Erpenbach, Jauch, Plache, Rude and Fitzgerald.

Absent: (0) None.

Moved by Senator Erpenbach, seconded by Senator Rude, that **Wanzo, Barbara J.** be recommended for confirmation.

Ayes: (5) Senators Erpenbach, Jauch, Plache, Rude and Fitzgerald.

Noes: (0) None.

Absent: (0) None.

CONFIRMATION RECOMMENDED, Ayes 5, Noes 0, Absent 0

Julie A Laundrie
Committee Clerk

Senate

Record of Committee Proceedings

Committee on Privacy, Electronic Commerce and Financial Institutions

Clearinghouse Rule 99-012

Relating to the registration and renewal of registration fees for mortgage bankers, loan originators and mortgage brokers; the transfer fee for loan originators; and the registration periods for all registrations and renewals of registrations.

Submitted by the Department of Financial Institutions.

March 10, 1999 Referred to committee on Privacy, Electronic Commerce and Financial Institutions.

April 12, 1999 **NO ACTION TAKEN**

Julie A Laundrie
Committee Clerk

Senate

Record of Committee Proceedings

Committee on Privacy, Electronic Commerce and Financial Institutions

Clearinghouse Rule 99-058

Relating to investments in time deposits and certificates of deposit.
Submitted by the Department of Financial Institutions.

May 5, 1999 Referred to committee on Privacy, Electronic Commerce and
Financial Institutions.

July 5, 1999 **NO ACTION TAKEN**

Julie A Laundrie
Committee Clerk

Senate

Record of Committee Proceedings

Committee on Privacy, Electronic Commerce and Financial Institutions

Clearinghouse Rule 99-059

Relating to aggregate investments in bonds and certain other securities.
Submitted by the Department of Financial Institutions.

May 5, 1999 Referred to committee on Privacy, Electronic Commerce and
Financial Institutions.

July 5, 1999 **NO ACTION TAKEN**

Julie A Laundrie
Committee Clerk

Senate

Record of Committee Proceedings

Committee on Privacy, Electronic Commerce and Financial Institutions

Clearinghouse Rule 99-060

Relating to real estate mortgage loans.

Submitted by the Department of Financial Institutions.

May 5, 1999 Referred to committee on Privacy, Electronic Commerce and Financial Institutions.

July 5, 1999 **NO ACTION TAKEN**

Julie A Laundrie
Committee Clerk

Senate

Record of Committee Proceedings

**Committee on Privacy, Electronic Commerce and Financial
Institutions**

Clearinghouse Rule 99-060

Relating to real estate mortgage loans.

Submitted by the Department of Financial Institutions.

May 5, 1999	Referred to committee on Privacy, Electronic Commerce and Financial Institutions.
July 5, 1999	NO ACTION TAKEN

Julie A Laundrie
Committee Clerk

Senate

Record of Committee Proceedings

Committee on Privacy, Electronic Commerce and Financial Institutions

Clearinghouse Rule 99-061

Relating to designating an alternative accounting guideline for the preparation of financial statements for Wisconsin and other state and local governmental issuers of securities utilizing the securities registration exemption in s. 551.22 (1) (a), Stats.

Submitted by the Department of Financial Institutions.

May 11, 1999

Referred to committee on Privacy, Electronic Commerce and Financial Institutions.

July 5, 1999

NO ACTION TAKEN

Julie A Laundrie
Committee Clerk

Senate

Record of Committee Proceedings

Committee on Privacy, Electronic Commerce and Financial Institutions

Senate Bill 140

Relating to: use of caller identification blocking services by telephone solicitors.

By Senators Erpenbach, Baumgart, Clausing, Darling, Drzewiecki, Grobschmidt, Plache and Roessler; cosponsored by Representatives Schneider, Berceau, Black, Brandemuehl, Cullen, Goetsch, Gronemus, Gunderson, Hasenohrl, Kreuser, Montgomery, Musser, Petrowski, Pocan, Sykora, Turner, Wood and Powers.

May 5, 1999

Referred to committee on Privacy, Electronic Commerce and Financial Institutions.

August 24, 1999

PUBLIC HEARING HELD

Present: (0) None.

Absent: (0) None.

Appearances for

- Jim Rabbitt, DATCP
- Senator Jon Erpenbach
- Representative Marlin Schneider

Appearances against

- None.

Appearances for Information Only

- None.

Registrations for

- JoAnna Richard, Attorney General's Office
- Senator Alberta Darling

Registrations against

- None.

Julie A Laundrie
Committee Clerk

Senate

Record of Committee Proceedings

Committee on Privacy, Electronic Commerce and Financial Institutions

Senate Bill 140

Relating to: use of caller identification blocking services by telephone solicitors.

By Senators Erpenbach, Baumgart, Clausing, Darling, Drzewiecki, Grobschmidt, Plache and Roessler; cosponsored by Representatives Schneider, Berceau, Black, Brandemuehl, Cullen, Goetsch, Gronemus, Gunderson, Hasenohrl, Kreuser, Montgomery, Musser, Petrowski, Pocan, Sykora, Turner, Wood and Powers.

May 5, 1999

Referred to committee on Privacy, Electronic Commerce and Financial Institutions.

August 24, 1999

PUBLIC HEARING HELD

Present: (0) None.

Absent: (0) None.

Appearances for

- Jim Rabbitt, DATCP
- Senator Jon Erpenbach
- Representative Marlin Schneider

Appearances against

- None.

Appearances for Information Only

- None.

Registrations for

- JoAnna Richard, Attorney General's Office
- Senator Alberta Darling

Registrations against

- None.

August 24, 1999

EXECUTIVE SESSION

Present: (4) Senators Erpenbach, Jauch, Plache and Rude.

Absent: (1) Senator Fitzgerald.