

**Carol Roessler**
STATE SENATOR

To: Members of the Senate Committee on Health, Children, Families, Aging and Long Term Care
From: Senator Roessler, Chair
Date: January 13, 2003
Re: Clearinghouse Rules Referred to the Committee

Four administrative rules have been referred to the Health, Children, Families, Aging and Long Term Care Committee. I have enclosed the rules for your review.

If you would like the committee to hold a hearing on any of the four rules, please contact Sara Jermstad in my office at 266-5300. The committee has jurisdiction over these rules until February 10, 2003.

CR 02-112, relating to the custody and control of sexually violent persons placed at facilities under s.980.065. Stats

The Department of Health and Family Services proposes to amend the title and several provisions in ch. HFS 95, "Use of Force: Ch. 980, Stats., Patients," and create a new section of in the chapter, entitled "Escorted Temporary Patient Leave."

CR 02-117, relating to a birth defect prevention and surveillance system

The Department of Health and Family Services is proposing rules for the Birth Defect Prevention and Surveillance System (enacted in 1999 Wisconsin Act 114 on May 8, 2000), which is replacing the Birth and Developmental Outcome Monitoring Program.

CR 02-118, relating to Medicare Supplement insurance policies

The Office of the Commissioner of Insurance is offering amendments to maintain compliance of the Wisconsin Medicare supplement insurance regulation. These amendments are necessary due to the federal Medicare, Medicaid and SCHIP Benefits Improvement and Protection Act (BIPA).

CR 02-136, relating to screening of newborns' blood for congenital and metabolic disorders

The Department of Health and Family Services is adding five aminoacidopathies (i.e., amino acid related disorders) to the eight congenital and metabolic disorders currently screened for in every infant and listed in s. HFS 115.04

**PROPOSED ADMINISTRATIVE RULES – HFS 95
ANALYSIS FOR LEGISLATIVE STANDING COMMITTEES
PURSUANT TO S. 227.19 (3), STATS.**

Need for Rules

The Department of Health and Family Services proposes to amend the title and several provisions in ch. HFS 95, "Use of Force: Ch. 980, Stats., Patients," and create a new section of in the chapter, entitled "Escorted Temporary Patient Leave." The proposed amendments to ch. HFS 95 are based on the Department's experience to date administering the chapter (the chapter became effective on February 1 2002). The proposed creation of a section of the chapter is in response to the creation of a new section 980.067 of the Wisconsin statutes through 2001 Wisconsin Act 16 (the biennial budget bill). Section 980.065 of the statutes requires the Department to place persons determined to be sexually violent at selected facilities administered by the Department. Section 980.067 authorizes the superintendent of a facility at which a sexually violent person resides to allow that person to leave the grounds of the facility under escort. Section 980.067 also directs the Department to promulgate rules that express the policies and procedures for its administration of this process.

The new section of rules being proposed by the Department, s. HFS 95.10, addresses circumstances under which a person committed to either the Wisconsin Resource Center or the Sand Ridge Secure Treatment Center could be allowed to temporarily leave the facility for selected reasons. The Department has proposed that these reasons be limited to the following:

- To privately visit a dying or deceased relative;
- To receive medical services that are not provided at the facility;
- To engage in pre-placement activities when the patient has a proposed or approved supervised release plan under s. 980.08 (5), Stats.; and
- For other purposes consistent with the therapeutic interests of the patient and the security interests of the facility and the community.

The proposed rules also identify considerations a facility director must take into account in his or her decision to allow a patient detained or committed under chapter 980 of the statutes to leave the facility.

The Department's authority to create these rules is found in ss. 227.11 (2) and 980.067, Stats. The rules interpret s. 980.067, Stats.

Response to Clearinghouse Recommendations

The Department accepted all comments of the Legislative Council's Rules Clearinghouse and modified the proposed rules accordingly.

Public Hearings Summary

The department held one public hearing on the proposed rule in Madison on October 24, 2002. No persons attended the public hearing nor did anyone submit comments on the proposed rules. The Department accepted comments until November 11, 2002.

Final Regulatory Analysis

The proposed permanent rule will not affect small businesses as "small business" is defined in s. 227.114 (1) (a), Stats.

**PROPOSED ORDER OF THE
DEPARTMENT OF HEALTH AND FAMILY SERVICES
CREATING RULES**

To amend s. HFS 95 (title), 95.01, 95.03 (11) and (13), 95.06 (1) (b) (intro) and (3) (d) and 95.08 (3) (b), to create s. HFS 95 Subchapter I (title), 95.03 (15m), Subchapter II (title), Subchapter III (title) and 95.10, relating to the custody and control of sexually violent persons placed at facilities under s. 980.065, Stats.

Analysis Prepared by the Department of Health and Family Services

The Department of Health and Family Services proposes to amend the title and several provisions in ch. HFS 95, "Use of Force: Ch. 980, Stats., Patients," and create a new section of in the chapter, entitled "Escorted Temporary Patient Leave." The proposed amendments to ch. HFS 95 are based on the Department's experience to date administering the chapter (the chapter became effective on February 1 2002). The proposed creation of a section of the chapter is in response to the creation of a new section 980.067 of the Wisconsin statutes through 2001 Wisconsin Act 16 (the biennial budget bill). Section 980.065 of the statutes requires the Department to place persons determined to be sexually violent at selected facilities administered by the Department. Section 980.067 authorizes the superintendent of a facility at which a sexually violent person resides to allow that person to leave the grounds of the facility under escort. Section 980.067 also directs the Department to promulgate rules that express the policies and procedures for its administration of this process.

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- To receive medical services that are not provided at the facility;
- To engage in pre-placement activities when the patient has a proposed or approved supervised release plan under s. 980.08 (5), Stats.; and
- For other purposes consistent with the therapeutic interests of the patient and the security interests of the facility and the community.

The proposed rules also identify considerations a facility director must take into account in his or her decision to allow a patient detained or committed under chapter 980 of the statutes to leave the facility.

The Department's original Statement of Scope for this rulemaking order, published on December 15, 2001, identified ch. HFS 94 as the order proposed to be affected by the rules addressing escorted temporary patient leaves. However, the Department subsequently decided that ch. HFS 95 would be a better location for the proposed rules. Consequently, the Department submitted a revised Statement of Scope for these rules to the Revisor of Statutes on June 28, 2002.

The Department's authority to create these rules is found in ss. 227.11 (2) and 980.067, Stats. The rules interpret s. 980.067, Stats.

SECTION 1. HFS 95 (title) is amended to read:

HFS 95 (title) ~~USE OF FORCE:~~ CUSTODY AND CONTROL OF CH. 980, STATS., PATIENTS

SECTION 2. HFS 95 Subchapter I (title) is created to read:

HFS 95 Subchapter I GENERAL PROVISIONS

SECTION 3. HFS 95.01 is amended to read:

HFS 95.01 Authority and purpose. Pursuant to the authority vested in the department by ss. 46.055, 46.058 (2m), 51.61 (9), 227.11 (2), 980.04 (1), 980.06, ~~and~~ 980.065 (2) and 980.067, Stats., the department adopts this chapter to ~~define~~ do all of the following:

(1) Define the use of "necessary and appropriate force" in relation to preventing escapes and pursuing and capturing persons detained at or committed to a facility, center or unit under ch. 980, Stats., who have escaped and to establish security standards at facilities housing such persons. Primary security objectives of the department are to protect the public, staff and patients and to afford patients the opportunity to participate in treatment and activities in a safe setting. Every employee of a facility is responsible for the safe custody of patients.

SECTION 4. HFS 95.03 (11) and (13) are amended to read:

HFS 95.03 (11) "Incapacitating device" means any commercially manufactured chemical agent, organic agent or mechanical device approved by the department for the purpose of incapacitating someone.

(13) "~~Non-Less than~~ lethal force" means force which the user reasonably believes will not create a substantial risk of causing death or great bodily injury to another.

SECTION 5. HFS 95.03 (15m) is created to read:

HFS 95.03 (15m) "Relative" means a parent, son, daughter, grandparent, greatgrandparent, grandchild, stepparent, brother, sister, first cousin, nephew, niece, uncle, or aunt. This relationship shall be by blood, marriage, or adoption.

SECTION 6. HFS 95 Subchapter II (title) is created [after HFS 95.03 (18)] to read:

HFS 95 Subchapter II USE OF FORCE

SECTION 7. HFS 95.06 (1) (b) (intro) and (3) (d) are amended to read:

HFS 95.06 (1) (b) ~~Non-Less than~~ lethal force. Staff may use ~~non-less than~~ lethal force against patients only if the user reasonably believes that the use of ~~non-less than~~ lethal force is immediately necessary to achieve any of the following:

(3) (d) As soon as possible after an incapacitating device has been used, staff shall consult with medical staff who shall provide appropriate medical care and provide the exposed person an opportunity to clean off any incapacitating ~~chemical-agent~~ that was used and to change clothing.

SECTION 8. HFS 95.08 (3) (b) is amended to read:

HFS 95.08 (3) (b) Legal use of incapacitating devices and the use of ~~non-~~less than lethal force.

SECTION 9. HFS 95 Subchapter III (title) and 95.10 are created [after HFS 95.08 (3) (d)] to read:

HFS 95 Subchapter III (title) OTHER CUSTODY AND CONTROL ISSUES

95.10 Escorted leaves for chapter 980 patients. (1) Pursuant to s. 980.067, Stats., the director of the secure mental health facility established under s. 46.055, Stats., the Wisconsin resource center established under s. 46.056, Stats., or any secure mental health unit or facility provided by the department of corrections under s. 980.065 (2), Stats., may, at his or her discretion, allow a patient detained or committed under ch. 980, Stats., to leave the grounds of a facility under staff escort for a purpose consistent with the therapeutic interests of the patient and the security interests of the facility and the community, including any of the following:

(a) To visit a dying or deceased relative under security conditions imposed by the facility director.

(b) To receive medically necessary health services that are not available at the facility.

(c) To engage in pre-placement activities when the patient has a proposed or approved supervised release plan under s. 980.08 (5), Stats.

(2) If the patient requests a visit under sub. (1) (a), the director shall base his or her decision upon consideration of all issues deemed pertinent by the director, including any of the following:

(a) The degree of kinship between the patient and the person the patient is visiting.

(b) The meaningfulness of the relationship between the patient and the person the patient is visiting.

(c) The therapeutic interests of the patient.

(d) The distance the patient would need to be transported.

(e) The risks the patient poses to the community.

(f) The recommendations of the patient's treatment team and the security director.

(3) The director shall determine the maximum duration of escorted leave and may impose other conditions appropriate to the safety of the community, security of the facility, or therapeutic interests of the patient.

The rules contained in this order shall take effect on the first day of the month following publication in the Wisconsin Administrative Register, as provided in s. 227.22 (2) (intro), Stats.

Wisconsin Department of Health
and Family Services

Date:

By: _____
Phyllis J. Dubé
Secretary

Seal:

Fiscal Estimate — 2001 Session

- Original Updated
 Corrected Supplemental

LRB Number	Amendment Number if Applicable
Bill Number	Administrative Rule Number HFS 95

Subject
 Escorted Leaves for Chapter 980 Patients

Fiscal Effect

State: No State Fiscal Effect

Check columns below only if bill makes a direct appropriation or affects a sum sufficient appropriation.

- Increase Existing Appropriation Increase Existing Revenues
 Decrease Existing Appropriation Decrease Existing Revenues
 Create New Appropriation

- Increase Costs — May be possible to absorb within agency's budget.
 Yes No
 Decrease Costs

Local: No Local Government Costs

1. Increase Costs
 Permissive Mandatory
2. Decrease Costs
 Permissive Mandatory
3. Increase Revenues
 Permissive Mandatory
4. Decrease Revenues
 Permissive Mandatory

5. Types of Local Governmental Units Affected:
 Towns Villages Cities
 Counties Others _____
 School Districts WTCS Districts

Fund Sources Affected
 GPR FED PRO PRS SEG SEG-S

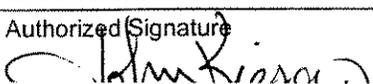
Affected Chapter 20 Appropriations

Assumptions Used in Arriving at Fiscal Estimate

Only a small number of additional escorted leaves are anticipated under this rule. These activities could be covered through the use of current resources available within the Chapter 980 program. Thus, this rule has no increased fiscal effect upon the State.

Long-Range Fiscal Implications

None.

Prepared By: James Yeaton	Telephone No. 266-5525	Agency DCTF, Program Support
Authorized Signature 	Telephone No. 266-9677	Date (mm/dd/ccyy) 07/25/02