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RULES CLEARINGHOUSE

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CLEARINGHOUSE RULE 99-121

Comments

[NOTE: All citations to “Manual” in the comments below are to the Administrative Rules Procedures Manual, prepared by the Revisor of Statutes Bureau and the Legislative Council Staff, dated September 1998.]

2. Form, Style and Placement in Administrative Code

- a. In s. DFI-Sec 2.01 (3) (b), delete the introductory clause and substitute “Pursuant to s. 551.22 (7), Stats.,” and insert “qualifies for registration exemption status” before the period.
- b. In the treatment clause of SECTION 6, insert “(intro.)” after “(9) (L)” and insert “(intro.)” after “(L)” in the body of that SECTION.
- c. In s. DFI-Sec 4.01 (4) (f) and (g), the notation “par.” should be replaced by the notation “sub.”
- d. In s. DFI-Sec 4.05 (9) (b), (d) and (f), the word “must” should be replaced by the word “shall.” Also, in par. (b), the phrase “be responsible for ensuring” should be replaced by the word “ensure.” In sub. (9) (c) (intro.), the phrase “subd. (c) 1. and 2.” should be replaced by the phrase “all of the following.” Similarly, in par. (c) 1., the phrase “the information in the following subdivision paragraphs” should be replaced by the phrase “all of the following information.” In par. (c) 2., the cross-reference should be “subd. 1.” In sub. (9) (g) (intro.), the phrase “language below” should be replaced by the phrase “following language” and the notation “par (h)” should be replaced by the notation “par. (h).” Finally, in sub. (9) (h) (intro.), the phrase “one, two and three, respectively” is unnecessary and should be deleted.

e. In s. DFI-Sec 4.05 (9) (L), the word “Division” should be replaced by the word “division.” This change should be made throughout the rule. Also, the word “section” should be replaced by the notation “s.” [See also s. DFI-Sec 5.05 (11) (f).]

f. In s. DFI-Sec 5.01 (3), the material after the phrase “passing score” should read: “On either of the following examinations:”.

g. In the first sentence of s. DFI-Sec 5.02 (1) and (2), insert “and” after “state.” In the second sentence of sub. (2), insert “and” after “state.” Also, all references to “chapter 551” should be replaced by references to “ch. 551, Stats.” [See also s. DFI-Sec 5.035 (2).]

h. In s. DFI-Sec 5.035 (2), the word “shall” should be replaced by the word “does.”

i. The subdivision renumbering contained in SECTION 34 cannot be accomplished in a single SECTION of a rule-making order. Instead, four SECTIONS (SECS. 34 to 37) should be created to renumber these provisions.

5. Clarity, Grammar, Punctuation and Use of Plain Language

a. In light of the extensive redrafting of s. DFI-Sec 4.05 (9), for purposes of clarity, it is suggested that SECTIONS 19 to 21 be combined into a single SECTION which repeals and recreates s. DFI-Sec 4.05 (9) in its entirety.

b. In s. DFI-Sec 4.05 (9) (h) (intro.), how will disclosures be “displayed in a conspicuous manner”?

c. In s. DFI-Sec 5.03 (5), after the first “office,” insert a comma.