



WISCONSIN LEGISLATIVE COUNCIL RULES CLEARINGHOUSE

Ronald Sklansky Clearinghouse Director **Terry C. Anderson** Legislative Council Director

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CLEARINGHOUSE REPORT TO AGENCY

[THIS REPORT HAS BEEN PREPARED PURSUANT TO S. 227.15, STATS. THIS IS A REPORT ON A RULE AS ORIGINALLY PROPOSED BY THE AGENCY; THE REPORT MAY NOT REFLECT THE FINAL CONTENT OF THE RULE IN FINAL DRAFT FORM AS IT WILL BE SUBMITTED TO THE LEGISLATURE. THIS REPORT CONSTITUTES A REVIEW OF, BUT NOT APPROVAL OR DISAPPROVAL OF, THE SUBSTANTIVE CONTENT AND TECHNICAL ACCURACY OF THE RULE.]

CLEARINGHOUSE RULE 01-025

AN ORDER to repeal and recreate DFI–Sec 5.01(1) and (2), 5.05(8), 5.07 and 5.08; and to create DFI–Sec 1.02(18) to (21), 5.01(8), 5.03(1)(p) and (q), 5.10, 5.11 and 5.12, relating to adopting for use in Wisconsin the Investment Adviser Registration Depository.

Submitted by **DEPARTMENT OF FINANCIAL INSTITUTIONS**

- 03–01–01 RECEIVED BY LEGISLATIVE COUNCIL.
- 03–26–01 REPORT SENT TO AGENCY.

RS:DLS:jal;tlu

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LEGISLATIVE COUNCIL RULES CLEARINGHOUSE REPORT

This rule has been reviewed by the Rules Clearinghouse. Based on that review, comments are reported as noted below:

1.	STATUTORY AUTHORITY [s. 227.15 (2) (a)]		
	Comment Attached	YES	NO 🖊
2.	FORM, STYLE AND PLACEMENT IN ADMINISTRATIVE CODE [s. 227.15 (2) (c)]		
	Comment Attached	YES 🖊	NO
3.	CONFLICT WITH OR DUPLICATION OF EXISTING RULES [s. 227.15 (2) (d)]		
	Comment Attached	YES	NO 🖊
4.	ADEQUACY OF REFERENCES TO RELATED STATUTES, RULES AND FORMS [s. 227.15 (2) (e)]		
	Comment Attached	YES 🖊	NO
5.	CLARITY, GRAMMAR, PUNCTUATION AND USE OF PLAIN LANGUAGE [s. 227.15 (2) (f)]		
	Comment Attached	YES 🖌	NO
6.	POTENTIAL CONFLICTS WITH, AND COMPARABILITY TO, RELATED FEDERAL REGULATIONS [s. 227.15 (2) (g)]		
	Comment Attached	YES	NO 🗡
7.	COMPLIANCE WITH PERMIT	ACTION DEADLINE REQUIR	EMENTS [s. 227.15 (2) (h)]
	Comment Attached	YES	NO 🖊