

# RULE REPORT

## Department of Commerce

Clearinghouse Rule No.: 09-045

Rule No.: Chapter Comm 149

Relating to: Statements and Penalties for Grant and Loan Programs, and Penalties for Tax Credit Programs

*Contact person for substantive questions:*

Name Sam Rockweiler

Title Code Development Consultant

Telephone Number 266-0797

*Contact person for internal processing:*

Name (same)

Title

Telephone Number

1. Basis and purpose of the proposed rule.

As required by 2007 Wisconsin Act 125, these proposed rules would implement the provisions of the Act that relate to statements and penalties for each of the economic-development grant or loan programs administered by the Department, and penalties for each of the tax credit programs administered by the Department.

2. How the proposed rule advances relevant statutory goals or purposes.

The proposed rules are consistent with the Department's statutory duty to foster the growth and diversification of the economy of Wisconsin.

3. Changes to the rule analysis or fiscal estimate that was prepared for public hearing.

No substantive changes were made to the rule analysis or fiscal estimate.



# FINAL REGULATORY FLEXIBILITY ANALYSIS

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Final regulatory flexibility analysis not required. (Statement of determination required.)

1. Reason for including or failing to include the following methods for reducing impact of the rule on small businesses: Less stringent compliance or reporting requirements; less stringent schedules or deadlines for compliance or reporting requirements; simplification of compliance or reporting requirements; establishment of performance standards to replace design or operational standards; exemption from any or all requirements.

Less stringent requirements are not proposed for small businesses because the directing legislation, 2007 Wisconsin Act 125, does not provide such flexibility.

2. Issues raised by small businesses during hearings, changes in proposed rules as a result of comments by small businesses and reasons for rejecting any alternatives suggested by small businesses.

No issues were raised.

*(Continued on reverse side)*

3. Nature and estimated cost of preparation of any reports by small businesses.

The reporting addressed in the rules is substantially similar to reporting that the Department currently requires in conjunction with administering economic development grants, loans and tax credits – and therefore is not expected to impose any significant new costs on small businesses.

4. Nature and estimated cost of other measures and investments required of small businesses.

The rules are not expected to impose significant costs on small businesses for other measures because the rules address submittal of documentation, and other activities, only by applicants that choose to pursue grants, loans or tax credits for economic development.

5. Additional cost to agency of administering or enforcing a rule which includes any of the methods in 1. for reducing impact on small businesses.

None of the methods listed in 1. for reducing small-business impacts are included in the proposed rules.

6. Impact on public health, safety and welfare caused by including any of the methods in 1. for reducing impact on small businesses.

None of the methods listed in 1. for reducing small-business impacts are included in the proposed rules.



# RESPONSE TO LEGISLATIVE COUNCIL CLEARINGHOUSE REPORT

# Department of Commerce

CLEARINGHOUSE RULE NO.: 09-045

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RELATING TO: Statements and Penalties for Grant and Loan Programs, and Penalties for Tax Credit Programs

Agency contact person for substantive questions.

Name: Sam Rockweiler

Title: Code Development Consultant

Telephone 266-0797  
No.

Legislative Council report recommendations accepted in whole.

☐ Yes

☒ No

1. Review of statutory authority [s. 227.15(2)(a)]

- a. ☒ Accepted
- b. ☐ Accepted in part
- c. ☐ Rejected
- d. ☐ Comments attached

2. Review of rules for form, style and placement in administrative code [s. 227.15(2)(c)]

- a. ☒ Accepted
- b. ☐ Accepted in part
- c. ☐ Rejected
- d. ☒ Comments attached



3. Review rules for conflict with or duplication of existing rules [s. 227.15(2)(d)]

- a. ☒ Accepted
- b. ☐ Accepted in part
- c. ☐ Rejected
- d. ☐ Comments attached

4. Review rules for adequate references to related statutes, rules and forms [s. 227.15(2)(e)]

- a. ☒ Accepted
- b. ☐ Accepted in part
- c. ☐ Rejected
- d. ☐ Comments attached

5. Review language of rules for clarity, grammar, punctuation and plainness [s. 227.15(2)(f)]

- a. ☐ Accepted
- b. ☒ Accepted in part
- c. ☐ Rejected
- d. ☒ Comments attached

6. Review rules for potential conflicts with, and comparability to, related federal regulations [s. 227.15(2)(g)]

- a. ☒ Accepted
- b. ☐ Accepted in part
- c. ☐ Rejected
- d. ☐ Comments attached

7. Review rules for permit action deadline [s. 227.15(2)(h)]

- a. ☒ Accepted
- b. ☐ Accepted in part
- c. ☐ Rejected
- d. ☐ Comments attached