

Chapter SEC 27
GENERAL PROVISIONS

SEC 27.01 Fees

History: Emergency rules covering general subject matter were adopted effective July 1, 1972.

SEC 27.01 Fees. The following fees, prescribed for the examination of various matters arising under ch. 552, Stats., are chargeable to the person filing or the registrant, and are payable, unless otherwise provided by the commissioner, at the time the required form or solicitation material is filed:

- (1) Ownership information matters filed pursuant to s. 552.03, Stats.:
 - (a) Form TO-1 Statement of Ownership Information \$100
 - (b) Statement prescribed in section 13 (d) of the securities exchange act of 1934 \$100
 - (c) Form TO-2 Statement of Ownership Information \$100
 - (d) Amendment to Statement of Ownership Information \$100

- (2) Examination of statement filed under s. SEC 26.01 (1) \$ 50
- (3) Examination of solicitation materials filed by an offeror, target company or other person — Per Item \$ 10
- (4) Application for issuance of an interpretive opinion \$500
- (5) Photocopying fee \$.25
per page for the first 10 pages and \$.10 per page for any additional pages.
- (6) Application for issuance of exemption order under ch. 552, Stats. \$200

History: Cr. Register, October, 1972, No. 202, eff. 11-1-72; cr. (6), Register, December, 1979, No. 288, eff. 1-1-80; am. (4) and (5), cr. (6), Register, December, 1986, No. 372, eff. 1-1-87; r. and recr. Register, December, 1988, No. 396, eff. 1-1-89.