### CR 93-230

#### CERTIFICATE

STATE OF WISCONSIN ) SS
DEPARTMENT OF HEALTH AND SOCIAL SERVICES)

I, Richard W. Lorang, Acting Secretary of the Department of Health and Social Services and custodian of the official records of the Department, do hereby certify that the annexed rules relating to information about a foster child that a child-placing agency is obliged to disclose to the foster parents were duly approved and adopted by this Department on January 6, 1995.

I further certify that this copy has been compared by me with the original on file in the Department and that this copy is a true copy of the original, and of the whole of the original.

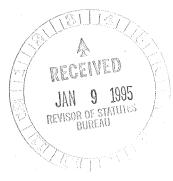
IN TESTIMONY WHEREOF, I have hereunto set my hand and affixed the official seal of the Department at the State Office Building, 1 W. Wilson Street, in the city of Madison, this 6th day of January, 1995.

SEAL:

Richard W. Lorang, Acting Secretary
Department of Health and Social Services

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## ORDER OF THE DEPARTMENT OF HEALTH AND SOCIAL SERVICES CREATING RULES



To create chapter HSS 37, relating to information about a foster child that a child-placing agency is obliged to disclose to the foster parents.

## Analysis Prepared by the Department of Health and Social Services

This rulemaking order creates ch. HSS 37, Wis. Adm. Code, which implements the requirement in s. 895.485(4)(a), Stats., that the Department promulgate rules to specify the kind of information that a public or private child-placing agency must disclose to the foster parents about a child before placing the child with the foster parents or after placement if that is when the child-placing agency obtains the information. The kind of information covered by the statutory directive is information relating to a child's medical, physical, mental and emotional condition. Section 895.485(4), Stats., establishes the immunity of a child-placing agency from civil liability for any act or omission of the agency, the foster parent or family-operated group home parent, or the child, provided that the agency shared with the foster or group home parent any information it had about the child's condition that these rules require it to disclose to the parent.

The rules include as appendices a Face Sheet for a Child in Foster Care and a Checklist for a Child in Foster Care. These, when completed for a particular foster child, include the information that the child-placing agency is required to make available to foster parents, and are referenced in the text of the rules. The rules also cover exceptions to the requirement to pass on information received about the child, specify that new information received by the agency must be provided to the foster parents within 7 working days after receipt and require the agency to advise the foster parents of all legally binding confidentiality requirements when first providing information about the foster child.

The Department's authority to adopt these rules is found in s. 895.485(4)(a), Stats. The rules interpret ss. 48.57, 48.60, 48.61, and 895.485(4)(a), Stats.

SECTION 1. HSS 37 is created to read:

#### Chapter HSS 37

#### INFORMATION TO BE PROVIDED TO FOSTER PARENTS

HSS 37.01	Authority and Purpose
HSS 37.02	Applicability
HSS 37.03	Definitions
HSS 37.04	Information to be provided to
	foster parents
HSS 37.05	Timing of providing information
HSS 37.06	Confidentiality

HSS 37.01 AUTHORITY AND PURPOSE. This chapter is promulgated under the authority of s. 895.485(4)(a), Stats., to specify the kinds of information about all foster children that the child's placing agency is to provide to the child's foster parent, treatment foster parent or family-operated group home parent, in order to maintain and promote the health, safety and welfare of the foster child and of the foster parent, treatment foster parent or family-operated group home parent and their other family members and to ensure that foster parents can be as effective as possible in providing appropriate care for the foster child because they have received full information about the child from the placing agency.

- HSS 37.02 APPLICABILITY. (1) SCOPE. Except as provided in sub. (2), all requirements contained in this chapter shall be met by all agencies authorized under s. 48.57 or 48.61, Stats., to place children in foster care.
- (2) EXCEPTION. (a) A placing agency may make an exception to the provision of any kind of information required under this chapter provided that:
- 1. The information is confidential, the agency does not have access to the information and the agency has made a reasonable effort to obtain the information through appropriate releases of information; or
- 2.a. The exception does not jeopardize the health, safety or welfare of the foster child, the foster parent, the treatment foster parent or the family-operated group home parent or the community; and
- b. The information is not critical to the success of the placement and related treatment or services or the purposes described in s. HSS 37.01.

(b) Any exception made by a placing agency to a requirement under this chapter shall be documented in detail and included in the narrative section of the child's case record. The documentation shall include the specific information that was not provided to the foster parents, the reasons for not providing the information, the name of the agency representative who made the decision to not provide that information, the date the decision was made and written approval by that person's supervisor.

#### HSS 37.03 DEFINITIONS. In this chapter:

- (1) "Allegation" means a charge or statement made by any party regarding a foster child or the child's family which is known to the agency and which has not been proven or for which there is no known substantiating evidence or support, but does not include:
- (a) An interpretation of information made by a professional individual involved in the child's treatment;
- (b) Any charge or statement which, in whole or in part, formed the basis for the child's removal from his or her home; or
- (c) In the case of a delinquent, any additional charges read into the record at the time of adjudication.
  - (2) "AODA" means alcohol or other drug abuse.
  - (3) "CAN" means child abuse or neglect.
- (4) "Child's family" means, unless otherwise indicated, the child's biological or adoptive family.
- (5) "CHIPS" means a child in need of protection or services as defined in s. 48.13, Stats.
- (6) "Department" means the Wisconsin department of health and social services.
- (7) "Family-operated group home" means a facility which is providing care and maintenance for 5 to 8 children and is operated by one or more persons required to be licensed under s. 48.625, Stats., who do not operate another group home and do not operate the home for a corporation or agency.
- (8) "Foster child" means a child placed for care and maintenance in a foster home by the department, a placing agency or a court.

- (9) "Foster home" means any facility operated by a person required to be licensed under s. 48.62(1)(a) or (b), Stats., that provides care and maintenance for no more than 4 foster children unless all of the children are siblings, or any family-operated group home licensed under s. 48.625, Stats.
- (10) "Foster parent" means a person licensed under s. 48.62(1)(a) or (b), Stats., with primary responsibility for the care and supervision of foster children placed in his or her home, or a parent in a family-operated group home licensed under s. 48.625, Stats.
- (11) "Information" means data relating to the medical, physical, mental or emotional condition of a child or the child's family that has been gathered for the purposes of assessing, adjudicating, placing, treating or providing services to the child. "Information" does not include allegations, except as provided in s. HSS 37.04(3).
- (12) "Placing agency" means the public or private agency authorized under s. 48.57 or 48.61, Stats., to place children in foster care.

#### HSS 37.04 INFORMATION TO BE PROVIDED TO FOSTER PARENTS.

- (1)(a) A placing agency shall enter on a face sheet and checklist included as Appendices A and B to this chapter all available information about a foster child that is called for by the face sheet and checklist or indicate on the face sheet or checklist that specific information was provided in some other form, such as in another document or verbally.
- (b) A placing agency shall make a reasonable attempt to gather any information required on Appendices A and B that the agency does not possess.
- (2) Unless an exception has been made and documented under s. HSS 37.02(2), a placing agency shall provide to a foster child's prospective or actual foster parents all available information included on the face sheet and checklist included as Appendices A and B to this chapter.
- (3) An allegation regarding the foster child or the child's family shall not be provided to the foster parents unless the placing agency determines that, if substantiated, the allegation would have a significant impact on the health, safety or welfare of the foster child or the foster parents or the foster child's or foster parents' family or on the success of the placement and related services.
- (4) Any allegation provided by the placing agency to the foster parents as information regarding a foster child shall be recorded in the child's case record along with justification for providing that information.

HSS 37.05 TIMING OF PROVIDING INFORMATION. When information about a child that is included in Appendices A and B to this chapter becomes known to the placing agency, the placing agency shall provide that information to the foster parents. If the information is known prior to the foster parents' agreement to the placement of the child or the actual placement of the child, the information shall be provided prior to the agreement or actual placement. Information not available to the placing agency prior to the foster parents' agreement to the placement or the actual placement shall be provided to the foster parents within 7 working days after the placing agency's receipt of the information or, if the placing agency determines that the information obtained is of critical importance to the health, safety or welfare of the foster child or the foster parents, within 3 working days after receipt of the information.

HSS 37.06 CONFIDENTIALITY. (1) At the time that any information regarding a foster child is first provided to the foster parents by the placing agency, the placing agency shall inform the foster parents of all confidentiality requirements mandated under state or federal law, including the requirements under ss. 48.396, 48.78, 48.981(7), 51.30, 118.125, 146.025, and 146.82, Stats., and any placing agency policy, and penalties which may be imposed for violating the rights to confidentiality of the foster child and the foster child's family.

(2) The placing agency providing information about a foster child may require that the information and any related documents be maintained by the foster parent in a manner which would prohibit access to the information by the foster child, any other foster children or any other party whose access to the information is prohibited.

# APPENDIX A FACE SHEET FOR CHILD IN FOSTER CARE

Date of Placement:/	
Child's Name: Sex: _ Male _ Fe  DOB:/_/ Sex: _ Male _ Fe  Cultural Identification (as indicated by child  Height: Ibs.  Religious Preference (of child or family):  Physical Characteristics (e.g., scars, tattoos	if old enough):s, birthmarks, discolorations):
Child's Social Worker With Whom Foster Paname:  Agency:  Agency:  Agency Secondary Contact (if social worker Telephone: Regular Hours: ()  After Hours: ()	r not available):
Reason(s) for P	lacement
Delinquent Act(s)  Assaultive  Non-Assaultive	Nature of Offense(s):
CHIPS, other than CAN	Type of CHIPS:
CAN  — Physical Abuse — Sexual Abuse — Emotional Abuse — Neglect	Relationship of Alleged Perpetrator(s)  Does the child exhibit any inappropriate sexual behaviors?
Developmental Disability Physical Handicap AODA Emotional Disturbance (note related behaviors, e.g., fire starter) Learning Disability	

This is a:	
Voluntary Placement	
Court-ordered Placement	
Medical Assistance #:	
Insurance Company (if any): Name	<del></del>
Telephone: ( )	
Policy #:	Group #:
Physician:	Туре:
Address:	
Telephone: ()	
Dentist:	· · ·
Address:	
Telephone: ()	
Other Health Specialists/Therapists	
Name:	Telephone: ()
Specialty:	
Name:	
Specialty:	·
Preferred Hospital:(Note: Use of hospital may be dictated	by insurance company/plan)

Is foster parent expected to participate in therapy with the child? 

Yes 
No

Name of Child's
Address: Telephone: ()
Name of Child's
Address: Telephone: ()
Child's Siblings:
Name: DOB: _/ / Phone: ()
☐ At home ☐ Out of home (where:)
Name: DOB:/ / Phone: ()
☐ At home ☐ Out of home (where:)
Name: DOB:/ / Phone: ()
☐ At home ☐ Out of home (where:)
Significant Extended Family Members (Name, Phone and Relationship):
Legal Custodian:
Relationship:
Address: Phone: ()
GAL*/Legal Counsel:
Address:
Telephone: ()
*Guardian ad litem

	<u>ne</u>	<u>Phone</u>	<u>Relationship</u>
			·
	e contact with the ovised visitation)	hild is forbidden	or restricted
<u>Name</u>	Relationship	Type of <u>Restriction</u>	Rationale (e.g., court order, parents' wishes)
(Should you have	any questions abou	t contacts, pleas	se call the child's social
worker.)			
worker.)		r prohibiting rele	ase of name of previous
worker.) Previous Placemer foster home place Type (FH, GH,	ment(s))		ase of name of previous
worker.) Previous Placemer foster home place	ment(s))	r prohibiting rele	
worker.) Previous Placemer foster home place Type (FH, GH,	ment(s))		ase of name of previous
worker.) Previous Placemer foster home place Type (FH, GH,	ment(s))		ase of name of previous
worker.) Previous Placemer foster home place Type (FH, GH,	ment(s))		ase of name of previous
worker.) Previous Placemer foster home place Type (FH, GH,	ment(s))		ase of name of previous
worker.) Previous Placemer foster home place Type (FH, GH,	ment(s))		ase of name of previous

School Attending or Will Attend:	
Telephone: ()	
Is child enrolled in a special education p	
If yes, what type:	
Contact Person:	
Day Care or Respite Provider(s)	
·	Phone: ()
	Phone: ()
boes the child have specific hobbies or abilities/talents (e.g., music, art, athleti solitary activities?	interests? Does the child have special ics)? Does the child prefer group or
•	
Does the child have preferences that the (e.g., favorite foods, clothing, toys, mu	
	ne foster parent may want to know about usic)?

ster parent:	
☐ Medical records/summary	*  Social history/summary
□ Permission to operate hazardous machines	☐ Social Security Card
☐ Placement Agreement	<ul><li>Summary of social/ psychiatric evaluations</li></ul>
* ☐ School academic records/summary	
☐ School and community activity permissions	☐ Summary of mental health treatment
☐ Signed medical release for emergency health care	
ure that materials (e.g., psycholog . Primary source documents can	
	<ul> <li>☐ Medical records/summary</li> <li>☐ Permission to operate hazardous machines</li> <li>☐ Placement Agreement</li> <li>* ☐ School academic records/summary</li> <li>☐ School and community activity permissions</li> <li>☐ Signed medical release for emergency health care</li> </ul>

## APPENDIX B CHECKLIST FOR CHILD IN FOSTER CARE

		Yes	No	NK *	If "Yes", please comment
1.	Previous hospitalizations				
	<ul><li>a. Was anesthesia used?</li><li>b. Problems with anesthesia?</li></ul>				·
2.	Previous serious illnesses or injuries				
3.	Has child had any other medical tests (e.g., CAT Scan, EEG, MRI)?				
4.	Taking any medication including birth control pills or the use of birth control devices which require a prescription or other involvement of a physician? (If "Yes", name of medication, dosage, reason, prescription or over the counter, how given, by whom, who prescribed).				
5.	Immunizations (Indicate date(s))				Dates (s)
	DPT (infants)(Diptheria, Pertussis, Tetanus)				
	Polio (type: TOPV-Oral or IPV-Injectable)				
	MMR (Measles, Mumps, Rubella)				
	Flu				
	Pneumonia				
	Hepatitis B				
6.	Significant biological family medical history: (e.g., cancer, heart problems)				
7.	Medical needs				
	Apnea monitor				,
	Gastrostomy				
	Tracheotomy				
	Ventilator				
	Heart monitor				
	Other (specify)				
8.	Degenerative disorder				
9.	Allergies, including animals, insect bites/stings, soap, wool, food, drugs, milk. (If "Yes", to what, symptoms, treatment)				
10.	Child has or ever had the following: (If yes, date child had it)				Date(s)
	7-day Measles				
	3-day German Measles				
	Chicken Pox				
	Rubella				
	Mumps				

		Yes	No	NK *	If "Yes", please comment
	Whooping Cough				
	Scarlet Fever				
	Strep Throat				
	Impetigo				
	Lice				
	Worms				
	Sexually Transmitted Disease				
	Hepatitis B				
	Polio				
	Pneumonia				
	Mononucleosis				
	Scabies				
	Other				
11.	Current dental problems				
	Braces or retainers?				
	Bridges or dentures?				
	Last dental exam date?				
12.	Appetite above or below normal				
	Balanced diet				
	Unusual eating patterns/habits (e.g., large sugar intake, no vegetables)	·			
13.	Abdominal Concerns				
	Has had an ulcer or heartburn				
	Child regularly uses Tums or other antacid				·
	Frequent nausea or vomiting				
	Child drinks caffeinated coffee or cola.  How much per day?				
	Has had "yellow jaundice" or liver disease				
	Gets abdominal pain				
	Child uses laxatives. How often?				
	Becomes constipated or gets diarrhea				
	Has had blood in stool recently				
	Special diet needs (religious, medical, philosophical, vitamin/mineral supplements, etc.)				
14.	Anorexia/bulimia/other eating disorders. Ever had treatment?				

		Yes	No	NK *	If "Yes", please comment
15.	Headaches				
	Migraine				
16.	Coordination or balance problems/dizziness				
	Has had serious head injury or loss of consciousness				
	Numbness or loss of strength in hand, arm or leg		,		•
	Any trouble with swallowing or speaking				
17.	Has had a seizure				
	Has had epilepsy				
	Type and frequency of seizures				
	How to respond				
	Controlled or uncontrolled				
	Ever hospitalized for seizures				•
	Ongoing medicines for seizures				
18.	Does child wear glasses? If yes, for how long?				
	Last eye exam (date, Dr.'s name)				, ,
	Blurred or double vision				
	Contact lenses				
19.	Has hearing problem				
	Ringing in ears				
	Discharge or infection in ears				
	Tube(s) in ears				
20.	Blocking of nose, discharge, post-nasal drip				
	Nose bleeds				
	Persistent hoarseness				
21.	Treatment for skin trouble, rashes, hives, acne, or breaking out				
22.	Has had bursitis, sprain or dislocation of bone or joint				,
	Cramps or pain in legs				
	Backaches				
	Arthritis				,
23.	Thyroid problems				
24.	Child has had test for AIDS/HIV (If yes, date:)				Results:
25.	Child has had test for Hepatitis (If yes, (date:)			1	Results:

		Yes	No	NK *	If "Yes", please comment
26.	Chest pain or discomfort/heart concerns				
	Asthma or wheezing				
	Cough, phlegm, bronchitis				
	Has coughed up blood				
	Smoke? If yes, how long? How much?				
	TB skin test. If yes, when? Results?				
	Heart trouble				
	Rheumatic Fever				
•	Has had electrocardiogram (EKG)				
	Has had chest X-ray. If yes, when was last one?				
	Heart murmur				
	High or low blood pressure. Last check up?				·
	Irregular heart beat				
	Shortage of breath				
	Swollen ankles				
	How many pillows does child sleep on?				
27.	Urinary or prostate problems/Gall bladder				
	Incontinence, urine or fecal				
	Bleeding or burning when urinating				
	Abnormally frequent urination				
	Has had kidney or gall bladder stone				
28.	Anemia				
29.	Blood problems				
30.	Cancer, leukemia, or other malignancy				
31.	History of abusing or not taking prescribed medications				
32.	Alcohol use or abuse				
33.	Other drug use or abuse				
	AODA treatment				-
34.	Is child menstruating?				
	Child understands menstruation				
	Child's periods are normal				·
	Excessive cramping or pain				
	PMS symptoms				
	Medication for cramps. If yes, what medication?				·
	(continued on next negal	<u> </u>		<u></u>	I

		Yes	No	NK *	If "Yes", please comment
	Bleeding or discharge other than when menstruating				
	Has had a "yeast" infection				
	Has had a "Pap" test. If yes, when? Why? Abnormal results?				
35.	Child has physical or developmental disabilities				
	If yes, what type of disability?				
	Autism				
	Blindness				
	Cerebral Palsy				
	Deafness				
	Dyslexia				•
	Emotional Disturbance				
	Epilepsy				
	Fetal Alcohol Effect				
	Fetal Alcohol Syndrome				
	Mental Retardation				
	Muscular Dystrophy				
	Neurological Impairment				
	Physical Impairment				
	Other (specify):				
	Restrictions on Activities (e.g., lifting, driving, riding bikes)				
	Special equipment (e.g., cane, walker, wheelchair)	<u> </u>			
36.	Considering the age of the child, his/her abilities are are not appropriate for:				
	Bathing				
	Feeding				
	Toileting				
	Dressing				
	Learning				
	Receptive Language				
•	Mobility				
	Danger Awareness				

,		Yes	No	NK *	If "Yes", please comment
	Social/Emotional Functioning				
	Capacity for Independent Living				
	Other (specify):				
37.	Limitations in verbal skills. (If yes, also check a or b below)				
	a. Child is non-verbal				
	b. Child has very limited verbal skills				
38.	History of behavioral or emotional problems				
39.	History of treatment for behavioral or emotional problems at a clinic or hospital				
40.	Someone in child's immediate family has been treated or hospitalized for emotional or mental health problems. (If yes, also check below)				
	Depression				
	Anxiety				
	Mood swings				
	Suicide attempts				
	AODA				
	Mental Health				
41.	Has the child ever:				
	Felt hopeless or depressed				·
	Had unexplained crying spells				
	Planned or attempted suicide				·
	Had peculiar or bizarre thoughts				
	Had trouble eating or sleeping (either too much or too little)				
	Had an excess of energy or activity				
	Felt like hurting him/her self				
	Displayed reckless or dangerous behavior				
	Heard things no one else around him/her heard				
	Shown inappropriate emotions (reactions that didn't make sense in the situation).				
	Assaulted anyone physically (if yes, who, how recently, and how severely).				
	Assaulted anyone sexually (if yes, who, how recently, and how severely).				
	Assaulted or abused animals				

		Yes	No	NK +	If "Yes", please comment
42.	Child has had any of the following problems at home or in the community.				
	Withdrawing socially (doesn't want to be around other people)				
	Lying or stealing				
	Arguing or fighting with peers or siblings				
	Clinging excessively to a parent, teacher or other person				
	Problems with police				
	Setting fires				
	Refusing to follow instructions from parents or obey house rules, etc.				
43.	Child ran away in past. (If yes,answer below)				
	For how long?				
	From where did child run?				
	Where did child go?				
	How was child returned? (Voluntarily, law enforcement, social worker?)				
	Why did child run?				
	Did/does child run alone or with others?			0. 222.02.02.02.02.0	
44.	Child has had any of the following problems at school				
•	Poor grades				
	Difficulty making friends				
	Suspensions from school				
	Fighting or arguing with peers or teachers				
	Frequent lying or stealing				
	Frequent truancy (including cutting classes)				
45.	Child has trouble sleeping. If yes, answer below:				
	Child takes sleeping pills. If yes, how often?				
	General sleeping pattern (sleep alone, cold or warm room, lights on or off, door open or closed, usual hours of sleep, naps, sleep with toy, pajamas, sleep walk, wake during night, etc.) (Circle appropriate description or describe:				

,		Yes	No	NK *	If "Yes", please comment
46.	Child has fears/phobias. If yes, answer below:				
	Darkness				
	Animals				
	Cars				
	Loud noises				·
	Heights				
	Water (e.g., swimming pools, baths, lakes)				
	Weather (e.g., wind, thunder, storms)				
	Other (specify)				
47.	Child has a history of making abuse allegations against care providers				

The info	rmation included herein and the form have been shared with the
foster parent.	The foster parents have been made aware of the laws regarding
confidentiality	and the limitations on sharing any of this information with
individuals or a	agencies not involved in the case of this child and/or his/her
parents.*	

Signature of Staff Person Providing Information	Date
Signature of Foster Parent	Date
Signature of Foster Parent	Date

(Two copies should be made and signed. Foster parents should keep one copy in the child's file, and the placing agency should keep one copy in the child's case record.)

<sup>\*</sup> In accordance with ss. 48.396, 48.78, 48.981(7) and other relevant sections of Wisconsin Statutes.

The rules contained in this order shall take effect on the first day of the month following publication in the Wisconsin Administrative Register as provided in s. 227.22(2), Stats.

Wisconsin Department of Health and Social Services

Bv:

Richard W. Lorang Acting Secretary

**SEAL:** 

Date: January 6, 1995

