

## Chapter El Bd 6

## PROCEDURE

El Bd 6.02 Registration statement sufficiency      El Bd 6.05 Receipt of financial report

**El Bd 6.02 Registration statement sufficiency.** (1) Any registration filed with a filing officer under section 11.05, Wis. Stats., which is insufficient as to essential form, information or attestation shall be rejected by such officer and shall be promptly returned if possible to the proposed registrant indicating the nature of the insufficiency. The proposed registrant shall be informed that the attempted registration is not effective.

(2) Any registration statement filed with a filing officer under section 11.05, Wis. Stats., which is insufficient or incomplete in some manner but substantially complies with law shall be accepted by such officer who shall then promptly notify the registrant indicating the nature of the incompleteness or insufficiency. The registrant shall then have 15 days from the date of such notice to rectify the problem. If the incompleteness or insufficiency is not rectified by the registrant within 15 days from the date of the notice, the registration lapses and is not effective.

**History:** Emerg. cr. 8-9-74; cr. Register, November, 1974, No. 227, eff. 12-1-74.

**El Bd 6.05 Receipt of financial report.** (1) Where a requirement is imposed under chapter 11, Wis. Stats., for the filing of a financial report which is to be received by a filing officer no later than a certain date, the requirement may be satisfied either by actual receipt of the report by the prescribed time for filing at the appropriate filing office, or by filing a report with the United States postal service by certified mail, addressed to the appropriate filing officer no later than the time provided by law for receipt of such report.

(2) In any case where the United States postal service is employed by a person subject to a filing requirement as the agent for transmittal of a report, the burden is upon such person to show that a report has been filed with the United States postal service.

(3) A return receipt signed by the appropriate filing officer or his agent constitutes proof of receipt by the officer.

**History:** Emerg. cr. 9-9-74; cr. Register, November, 1974, No. 227, eff. 12-1-74.