## **Chapter HAS 5**

## HEARING INSTRUMENT SPECIALISTS UNPROFESSIONAL CONDUCT

HAS 5.01 Authority. HAS 5.02 Unprofessional conduct.

Note: Chapter Had 5 was renumbered Chapter HAS 5 under s. 13.93 (2m) (b) 1, Stats., Register, April, 1992, No. 436.

**HAS 5.01 Authority.** The rules in this chapter are adopted pursuant to the authority in ss. 15.08 (5) (b), 227.11, 459.10 (1) (k), and 459.12 (1), Stats.

**History:** Cr. Register, May, 1988, No. 389, eff. 6–1–88; am. Register, July, 1998, No. 511, eff. 8–1–98.

**HAS 5.02 Unprofessional conduct. (1)** In this section, "client records" include:

- (a) The results of all tests required under ch. HAS 4.
- (b) Copies of all contracts, receipts and guarantees involving the sale of hearing instruments.
- (c) Documentation of all pertinent client contacts, except those relating to the sale of batteries or product accessories.
- (d) Copies of all written statements waiving medical evaluations, as required under 21 CFR 801.421.

**Note:** Hearing instrument specialists must comply with the recordkeeping requirements adopted by the U.S. Food and Drug Administration (FDA), as set forth in 21 CFR 801.421.

- **(2)** The following, without limitation because of enumeration, are violations of standards of professional conduct and constitute unprofessional conduct under s. 459.10 (1) (k), Stats.:
- (a) After a request by the board, failing to cooperate in a timely manner with the board's investigation of complaints filed against the applicant or licensee. There is a rebuttable presumption that a licensee or applicant who takes longer than 30 days to respond to a request of the board has not acted in a timely manner under this subsection.
  - (b) Knowingly providing false information to the board.
- (c) Knowingly placing false information in a client's records or making a client's record false.
  - (d) Failing to maintain client records for a period of 5 years.

- (dm) Failing to record all of the following information in each client record:
  - 1. The date of entry of pertinent information.
  - 2. The name of the licensee.
- 3. Information sufficiently legible to allow interpretation by other individuals for the benefit of the client.
- (e) Practicing in a manner which substantially departs from the standard of care ordinarily exercised by a hearing instrument specialist.
- (f) Failing to maintain proper calibration of audiometric equipment, as specified in s. HAS 4.03 (3).
- (fm) Failing to maintain adequate records of certification of calibrations of audiometric equipment for a period of 5 years or failing to provide access to those records when requested by the board or its representative.
- (g) Failing to clearly state the full terms of sale on a receipt, as required in s. 459.03, Stats. The full terms of sale shall include all of the following:
  - 1. The amount and method of payment.
  - 2. The date, place and method of delivery.
  - 3. The terms of any guarantee.
- 4. The nature and duration of the trial period and extension, if any.
  - 5. The refund policy and amount, if any.
  - 6. The product return and exchange policy, if any.
  - 7. The product repair policy, if any.
- (h) Soliciting from or knowingly disclosing to any person or entity the content of an examination conducted under ch. HAS 3.

History: Cr. Register, May, 1988, No. 389, eff. 6–1–88; am. (1), (2) (d) and (e), cr. (2) (f), Register, July, 1992, No. eff. 8–1–92; cr. (2) (g), Register, January, 1995, No. 469, eff. 2–1–95; am. (1) (f), cr. (1) (fm), Register, July, 1997, No. 499, eff. 8–1–97; r. and recr. (1), am. (2) (intro.), (c), (d), (g) 2., cr. (2) (dm) and (h), Register, July, 1998, No. 511, eff. 8–1–98.