1995-96 SESSION COMMITTEE HEARING RECORDS

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Committee Name:

JOINT
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- > 05hrAC-EdR_RCP_pt01b
- > 05hrAC-EdR_RCP_pt02

- > Record of Comm. Proceedings ... RCP
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SECTION S - COMPENSATION PROVISIONS FOR PROFESSIONAL PATIENT CARE (PPC)-RELATED EMPLOYES

NOTE: This section of the 1993-95 Compensation Plan contained one-time parity adjustments for PPC-related employes. This section now contains the 1995-97 wage adjustment provisions for that employe group. To save space, the deleted text is not reproduced.

- 1.00 Coverage
- 2.00 FY 1995-96 and FY 1996-97 Discretionary Grid Adjustments and Lump Sum Payments for PPC-Related Employes
- 3.00 <u>Determining Pay Adjustments for Personnel Transactions for PPC-Related Counterpart Employes</u>

1.00 Coverage

The provisions of this Section (Section S) apply to the following employes:

- (1) "PPC-Related Supervisory and Non-Counterpart Employes"
 - <u>Permanent or project employes in positions allocated to supervisory and non-counterpart classifications assigned to pay schedule 51.</u>
- (2) "PPC-Related Counterpart Employes"
 - (a) Project employes in positions allocated to classifications in the PPC Bargaining Unit.
 - (b) Permanent or project employes in positions allocated to classifications assigned to pay schedule 31.

2.00 FY 1995-96 and FY 1996-97 Discretionary Grid Adjustments and Lump Sum Payments for PPC-Related Employes

- (1) Effective Dates.
 - (a) Discretionary Grid Adjustments for PPC-related Supervisory and Non-Counterpart employes shall be effective January 7, 1996, in FY 1995-96 and July 7, 1996 in FY 1996-97.
 - (b) <u>Discretionary Grid Adjustments for PPC-related Counterpart</u> employes shall be effective as follows:
 - 1. For employes with anniversary dates from July 1, 1995, through January 7, 1996, Discretionary Grid Adjustments shall be effective January 7, 1996.
 - For employes with anniversary dates from January 8, 1996, through June 30, 1997, Discretionary Grid Adjustments shall be effective the first day of the pay period on or following the employe's anniversary date.
- (2) Eligibility. All employes identified under 1.00 of this Section (Section S) in pay status on the effective date, are eligible to receive either a Discretionary Grid Adjustment or Lump Sum Payment, in the amount specified under (3), below, except the following:
 - (a) Employes whose job performances were rated below satisfactory as a result of formal performance evaluations conducted in the 12 month period ending June 24, 1995 in FY 1995-96; and July 6, 1996 in FY 1996-97.
 - (b) Supervisors who have not completed a formal performance evaluation on all subordinate employes for whom performance evaluations are required, within the 12 month period ending June 24, 1995 in FY 1995-96; and July 6, 1996 in FY 1996-97.

NOTE: Extenuating circumstances may exist (e.g., leaves of absences) that would allow a supervisor to receive a Discretionary Grid Adjustment or Lump Sum Payment even though the required performance evaluations were not completed within mandated timeframes. Contact the Department of Employment Relations, Division of Classification and Compensation for further assistance.

- (c) Employes serving the first six months of a probationary period for an original appointment or comparable trial period for career executive or project appointments.
- (d) Trainees eligible for scheduled trainee increases.
- (e) Employes who have received or been considered for a General Discretionary Award under Section A., 2.01, or any other fiscal year adjustment or corresponding lump sum payment provided under this Compensation Plan or a collective bargaining agreement, during the same fiscal year.
- (3) Amount. Subject to (a) and (b), below, either a Discretionary Grid Adjustment or Lump Sum Payment, shall be granted to all eligible employes as follows:
 - Employes with base pay rates at or above PSICM but less than the grid endpoint shall receive a pay adjustment to the next greater grid point in the pay range for the employe's classification, as shown on the grid on page H-40 for classifications assigned to pay schedule 51 or page H-15 for classifications assigned to pay schedule 31.
 - (b) Employes with base pay rates at or above the grid endpoint shall receive a lump sum payment equal to the lesser amount as specified under 1. and 2., below. These payments shall be prorated on the basis of percentage of Full-Time Equivalency (FTE) for permanent part-time or seasonal employes:

- 1. 1.0%, in FY 1995-96; and 2.0%, in FY 1996-97; of the employe's base pay as of January 7, 1996, in FY 1995-96 and July 7, 1996, in FY 1996-97, times the number of work hours remaining in the fiscal year; or
- 2. The value of a grid step for the pay range of the employe's position, as shown on the grid on page H-40 for classifications assigned to pay schedule 51 or page H-15 for classifications assigned to pay schedule 31, times the number of work hours remaining in the fiscal year. The values on page H-15 may be used for project employes because the values are identical to those for the related represented schedule as of this date.
- 3. For restorations that occur during the 1995-97 biennium: Employes who are not in pay status on the effective date but who otherwise meet the criteria to receive a lump sum payment shall receive it upon restoration, if their restoration rights are derived from a classification allocated to schedules specified in 1.00(1) or (2)(b) of this section (Section S). For employes who receive a lump sum payment upon restoration, only those hours in active pay status during the period for which the lump sum payment is made shall be counted.
- (c) No employe may during any fiscal year, receive a total cumulative base pay adjustment (General Discretionary Award, Discretionary Grid Adjustment, Performance Recognition Award, or Equity Award) that exceeds 10% of the employe's base pay prior to the application of the earliest fiscal year adjustment.

NOTE: The base pay adjustment provided under 2.00(3)(a) of this Section may be considered when determining pay on restoration or reinstatement in accordance with the applicable provisions relating to pay upon reinstatement or restoration.

- (4) Funding. Funds generated have no bearing on the rights of individual employes to these funds.
 - (a) On the effective date of the adjustments specified under 2.00(1)(a) of this section (Section S), each active employe identified under 1.00(1) of this section shall generate the amount necessary to fund the applicable adjustment or payment provided under 2.00(3) of this section, regardless of the employe's eligibility to receive the applicable adjustment or payment.
 - (b) On the effective date of the adjustments specified under 2.00(1)(b) of this section, only those active employes identified under 1.00(2) of this section whose adjustment is effective on a specific date shall generate on that date the amount necessary to fund the applicable adjustment or payment provided under 2.00(3) of this section, regardless of the employe's eligibility to receive the applicable adjustment or payment.
 - (c) Unspent funds generated in accordance with (a) and (b), above, will be converted to Exceptional Performance Award funds based on the number of work hours remaining in the fiscal year.

NOTE: Refer to the funding provisions in Section A of this Plan relative to the use of unspent Discretionary Grid Adjustment or lump sum payment funds: 2.02(3)(d) [Equity Awards] and 2.02(1)(c) [Exceptional Performance Awards].

(5) Ineligibility due to performance. Any employe who is not eligible to receive either a Discretionary Grid Adjustment or lump sum payment because of job performance must receive a written notice that states the extent to which the employe's performance has not met management's expectations. This notice must also include recommendations for improvement.

Grievances. If an employe is dissatisfied with the evaluation methodology and results used by an agency to determine any Discretionary Grid Adjustment or lump sum payment, or the amount of such an Adjustment or payment, an employe may file a grievance under s. 230.12(5)(e), Stats. The decision of the appointing authority is final and may not be appealed to the Personnel Commission under ss. 230.44 or 230.45(1)(c), Stats. Agencies shall submit a copy of each grievance filed and the written decision of the appointing authority to the Secretary, Department of Employment Relations, within 14 days of this decision.

3.00 <u>Determining Pay Adjustments for Personnel Transactions for PPC-Related</u> <u>Counterpart Employes</u>

All personnel transaction pay adjustments for PPC-related counterpart employes [employes specified in 1.00(2) of this section (Section S)] shall be determined in accordance with the provisions set forth in Section CC.