

1995-96 SESSION
COMMITTEE HEARING
RECORDS

Committee Name:

Joint Committee on
Finance (JC-Fi)

Sample:

Record of Comm. Proceedings ... RCP

- 05hrAC-EdR_RCP_pt01a
- 05hrAC-EdR_RCP_pt01b
- 05hrAC-EdR_RCP_pt02

➤ Appointments ... Appt

➤ **

➤ Clearinghouse Rules ... CRule

➤ **

➤ Committee Hearings ... CH

➤ **

➤ Committee Reports ... CR

➤ **

➤ Executive Sessions ... ES

➤ **

➤ Hearing Records ... HR

➤ **

➤ Miscellaneous ... Misc

➤ 95hrJC-Fi_Misc_pt100

➤ Record of Comm. Proceedings ... RCP

➤ **



STATE OF WISCONSIN \ ETHICS BOARD

James R. Morgan
Chairman
Paul M. Holzem
David L. McRoberts
Robert G. Borgwardt
Joanne R. Orr
Dorothy C. Johnson

On the capitol square at:
44 EAST MIFFLIN STREET
MADISON, WISCONSIN 53703-2800
608 266-8123
FAX 608 264-9309
R. Roth Judd
Executive Director

October 24, 1994

✓ Senator Joseph Leean
Co-Chair
Joint Committee on Finance
State Capitol
Madison, WI INTER-D

Representative Barbara Linton
Co-Chair
Joint Committee on Finance
State Capitol
Madison, WI INTER-D

Re: Supplemental appropriation under
§ 13.101(3), *Wisconsin Statutes*, for
costs of enforcement

Dear Senator Leean and Representative Linton:

I like to update you periodically on costs that the Ethics Board incurs in connection with the enforcement of the laws you have directed the Board to administer.

COSTS OF NEW MATTERS MET BY AGENCY. During the current fiscal year there have arisen two new matters involving possible violations of the lobbying law. I have been able to deal with both without engaging any assistance beyond the Board's staff; and hence, I am not seeking from the Committee any supplemental appropriation for costs associated with matters to which I have not previously alerted the Committee.

CONCLUSION OF MATTERS TO WHICH COMMITTEE PREVIOUSLY ALERTED. I previously wrote to you and received your direction to keep you "informed of

Senator Leean
Representative Linton
October 24, 1994
Page 2

the costs being incurred as investigations proceed," which I have done and with this letter continue to do. I have, since July, dealt with four matters to which I have previously alerted the committee. All four pertained to lobbyists or their employers. Of these four:

- One the Board concluded by deciding not to issue a complaint. No further expenses expected. Total cost this fiscal year: \$32.40.
- One I concluded this week by accepting Merrill Lynch's admission of a violation of Wisconsin's lobbying law and forfeiture of \$5,000 as a penalty. No further expenses expected. Total cost this fiscal year: \$725.40
- One I expect the Board to conclude without issuance of a complaint. No further expenses expected. Total cost this fiscal year: \$2,258.85.
- One the Board concluded by accepting the Wisconsin Association of Life Underwriters's admission of a violation of Wisconsin's lobbying law and forfeiture of \$3,100 as a penalty. No further expenses expected. Total cost this fiscal year: \$152.90

I have noted all four of these items in my prior communications to you. When the Committee convened last June, it noted that the costs I had asked it to supplement were interim costs. The Committee authorized a supplemental appropriation to pay the costs for which bills were then in hand with the understanding that additional costs would follow for these matters in progress. (Although the Committee has regularly relied on this same procedure since 1989, this is the first occasion when a matter the Committee has acted upon has straddled fiscal years.)

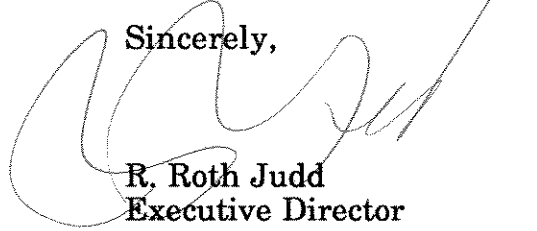
ONLY ONE MATTER REMAINS OPEN. The investigation of only one possible violation remains active. This too is a matter to which I previously alerted the Committee. This year the Board's expenses related to this matter have amounted only to \$152.90. I expect the Board will incur additional costs to conclude this matter.

PENALTIES COLLECTED EXCEED COSTS INCURRED. Please note that during fiscal year '95 the Ethics Board has collected for the State of Wisconsin, and deposited to the State School Fund, forfeitures in the amount of \$8,100 -- \$4,500 more than the Board spent on enforcement. All those forfeitures came from lobbying principals. Although the Ethics Board does not retain or in any way benefit from any of the forfeitures it collects, please note that over the last year or so the forfeitures collected and deposited to the State School Fund have approximately equaled the investigation costs that I have reported to you.

Senator Leean
Representative Linton
October 24, 1994
Page 3

I am grateful for the Committee's continuing support and thank you for your continuing interest and assistance.

Sincerely,

A handwritten signature in black ink, appearing to read "R. Roth Judd", is written over the typed name and title.

R. Roth Judd
Executive Director

RRJ:ch

cc: Bob Lang, Legislative Fiscal Bureau
Jim Auron, Legislative Fiscal Bureau
Dan Caucutt, Secretary for §13.10 actions
Pam Henning, Budget Analyst, Department of Administration

Budget 93-95

October 28, 1994

R. Roth Judd
Ethics Board
44 East Mifflin Street
Madison WI 53703-2800

Dear Roth,

Thank you for your updated expense/investigation report of
October 24, 1994.

Sincerely,

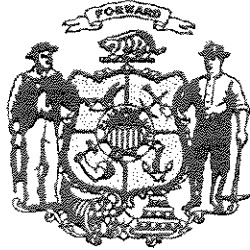
JOE LEEAN
State Senator
14th District

JL:ns

State of Wisconsin

SENATE CHAIR
JOE LEEAN

Room 119 South, State Capitol
P.O. Bo 7882
Madison, WI 53707-7882
Phone: 266-0751



ASSEMBLY CHAIR
BARBARA J. LINTON

Room 127 South, State Capitol
P.O. Bo 8952
Madison, WI 53708-8952
Phone: 266-7690

JOINT COMMITTEE ON FINANCE

October 20, 1994

Mr. R. Roth Judd, Executive Director
Ethics Board
44 East Mifflin Street
Madison, WI 53707

Dear Mr. Judd:

This letter is in response to your letter of October 7, 1994, in which you requested from us confirmation that the Joint Committee on Finance wishes the Ethics Board to request supplemental funding under s. 13.101 or 16.515 of the Statutes for the cost of investigations of possible violations of the State Ethics Code only after the actual costs of such investigations are known.

We concur that, as in previous years, you should keep us informed on a regular basis of the costs being incurred as any investigations proceed, but that any request for supplemental funding for this fiscal year not be submitted until all special investigation costs for this fiscal year have been incurred.

A handwritten signature in cursive script that reads "Joe Leean".

JOE LEEAN
Senate Chair

Sincerely,

A handwritten signature in cursive script that reads "Barbara J. Linton".

BARBARA J. LINTON
Assembly Chair

JL/BJL/ns

cc: Members, Joint Committee on Finance
Bob Lang
Dan Caucutt



OCT 10 1994

STATE OF WISCONSIN \ ETHICS BOARD

James R. Morgan
 Chairman
 Paul M. Holzem
 David L. McRoberts
 Robert G. Borgwardt
 Joanne R. Orr
 Dorothy C. Johnson

On the capitol square at:
 44 EAST MIFFLIN STREET
 MADISON, WISCONSIN 53703-2800
 608 266-8123

October 7, 1994

R. Roth Judd
 Executive Director

Senator Joseph Leean
 Co-Chair
 Joint Committee on Finance
 State Capitol
 Madison, WI INTER-D

Joe _____

John _____

Nadine _____

Representative Barbara Linton
 Co-Chair
 Joint Committee on Finance
 State Capitol
 Madison, WI INTER-D

Re: Likely request for supplemental
 appropriation under § 13.101(3),
Wisconsin Statutes



Dear Senator Leean and Representative Linton:

From time to time matters involving possible violations of the lobbying law and ethics code come to the Ethics Board's attention and merit the Board's review. The Board investigates most of these matters using existing staff resources. However, the Legislature has not made available an appropriation that permits the Board to carryout its statutory directive to investigate these matters when that requires the hiring of special investigative assistance.

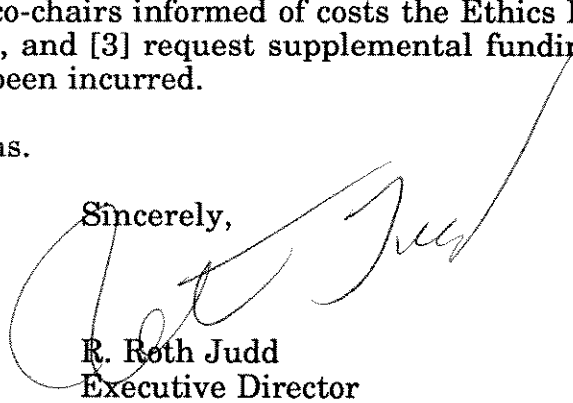
I am writing to confirm that the Joint Committee on Finance wishes me to act in accordance with the committee's instructions of past years, namely that the Board [1] proceed to incur expenses in connection with the investigation of possible violations of the lobbying law and ethics code and those

Senator Joseph Leean
Representative Barbara Linton
October 7, 1994
Page 2

laws' enforcement, [2] keep the co-chairs informed of costs the Ethics Board incurs as investigations proceed, and [3] request supplemental funding for this fiscal year after costs have been incurred.

I shall welcome your instructions.

Sincerely,



R. Roth Judd
Executive Director

Enc. Letter: Leean and Linton to Judd, November 10, 1993

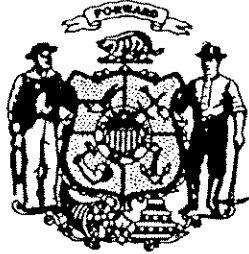
cc: Bob Lang, Legislative Fiscal Bureau
Dan Caucutt, Committee Secretary
Pam Henning, Dept. of Administration

Budget 93-94

State of Wisconsin

SENATE CHAIR
JOE LEEAN

Room 119 South, State Capitol
P.O. Box 7882
Madison, WI 53707-7882
Phone: 266-0751



ASSEMBLY CHAIR
BARBARA J. LINTON

Room 127 South, State Capitol
P.O. Box 8952
Madison, WI 53708-8952
Phone: 266-7690

JOINT COMMITTEE ON FINANCE

November 10, 1993

Mr. R. Roth Judd, Executive Director
Ethics Board
44 East Mifflin Street
Madison, WI 53707

Dear Mr. Judd:

This letter is in response to your letter of November 4, 1993, in which you advised us that you anticipate that the Ethics Board may need to submit a request to the Joint Committee on Finance for supplemental funding under s. 13.101 or 16.515 of the Statutes for the cost of investigations of possible violations of the State Ethics Code.

~~CONFIDENTIAL~~

You indicated that no costs for investigations have been incurred to date in this fiscal year, and that the amount of any supplemental funding that might be needed is not known at this time. We concur that, as in previous years, you keep us informed of the costs being incurred as investigations proceed, but that any request for supplemental funding for this fiscal year not be submitted until all special investigation costs for this fiscal year have been incurred.


JOE LEEAN
Senate Chair

Sincerely,



BARBARA J. LINTON
Assembly Chair

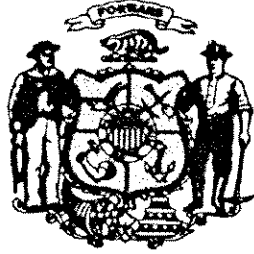
JL/BJL/ns

cc: Members, Joint Committee on Finance

State of Wisconsin

SENATE CHAIR
JOE LEEAN

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Madison, WI 53707-7882
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JOINT COMMITTEE ON FINANCE

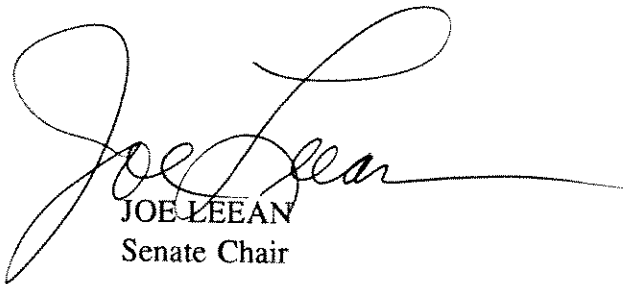
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Ethics Board
44 East Mifflin Street
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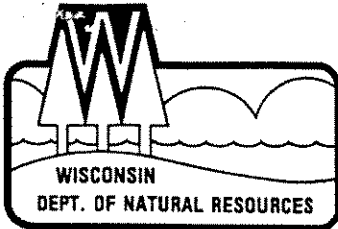

JOE LEEAN
Senate Chair

Sincerely,


BARBARA J. LINTON
Assembly Chair

JL/BJL/ns

cc: Members, Joint Committee on Finance



George E. Meyer
Secretary

State of Wisconsin \ DEPARTMENT OF NATURAL RESOURCES

101 South Webster Street
Box 7921
Madison, Wisconsin 53707
TELEPHONE 608-266-2621
TELEFAX 608-267-3579
TDD 608-267-6897

December 13, 1994

REF: L-1382

Mr. H. Nicholas Muller III
Director, State Historical Society
816 State Street
Madison, WI 53706-1488

SUBJECT: Transfer of First Capitol

Dear Mr. ^{Nick}Muller:

On December 15, 1993, the Joint Committee on Finance directed that the transfer of the First Capitol State Park to the State Historical Society should take effect on March 1, 1994, or the date by which the Department of Administration has awarded all contracts related to the repairs and renovations at First Capitol, whichever is later.

In the interim, construction specifications were prepared, bids were accepted and on November 14, 1994, the Division of State Facilities Management, DOA, sent each contractor a letter instructing them on conduct of the work and authorizing them to proceed with construction. All contracts have been awarded.

By copy of this letter, I am authorizing Carl Evert, DNR Real Estate Manager, to transfer the First Capitol State Park title to the Wisconsin State Historical Society. The transfer will be effective immediately.

John Driscoll, Division of State Facilities Management, DOA, is the construction project manager and will supervise construction to its completion. Gerald Dorscheid, DNR Engineer, will continue to assist and advise until construction is completed.

Thanks Nick, for your patience and your support in bringing this project to a successful conclusion.

Sincerely,

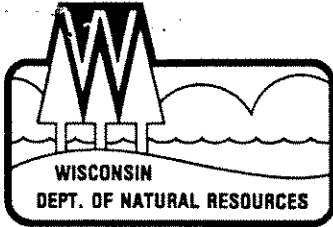
George
George E. Meyer
Secretary

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cc: Senator Joe Leean
P. Heinen - AD/5
D. Weizenicker - PR/1
S. Druckenmiller - PM/4
D. Pamperin - SHS

Representative Barbara J. Linton
J. Huntoon - SD
J. Addis - AD/5
C. Evert - PM/4
J. Driscoll - DOA

DEC 20 1994



George E. Meyer
Secretary

State of Wisconsin \ DEPARTMENT OF NATURAL RESOURCES

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December 13, 1994

REF: L-1382

The Honorable Joe Leean
Member of Senate
Room 119 South
CAPITOL

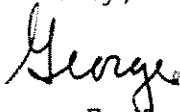
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The Committee requested notification of the transfer. Attached is a copy of my letter to H. Nicholas Muller III at the State Historical Society authorizing the transfer.

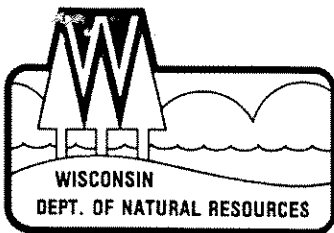
Sincerely,


George E. Meyer
Secretary

v:\9502\pr93lets.ree

Attach.

cc: Legislative Fiscal Bureau
Representative Barbara J. Linton



George E. Meyer
Secretary

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John Driscoll, Division of State Facilities Management, DOA, is the construction project manager and will supervise construction to its completion. Gerald Dorscheid, DNR Engineer, will continue to assist and advise until construction is completed.

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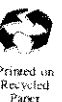
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C. Evert - PM/4
J. Driscoll - DOA



DEC 20 1994



George E. Meyer
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December 13, 1994

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The Honorable Joe Leean
Member of Senate
Room 119 South
CAPITOL

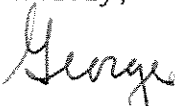
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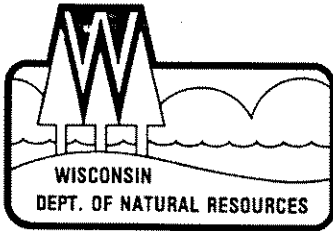
Sincerely,


George E. Meyer
Secretary

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Attach.

cc: Legislative Fiscal Bureau
Representative Barbara J. Linton



George E. Meyer
Secretary

State of Wisconsin \ DEPARTMENT OF NATURAL RESOURCES

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Box 7921
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December 13, 1994

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