


FISCAL ESTIMATE FORM		1999 Session	
<input checked="" type="checkbox"/> ORIGINAL <input type="checkbox"/> UPDATED <input type="checkbox"/> CORRECTED <input type="checkbox"/> SUPPLEMENTAL		LRB # 99-3961/2 INTRODUCTION # 1999 AB-691 Admin. Rule #	
Subject Fiscal estimate on bills containing penalty provisions and correctional fiscal estimates on bills containing criminal penalties			
Fiscal Effect			
State: <input type="checkbox"/> No State Fiscal Effect Check columns below only if bill makes a direct appropriation or affects a sum sufficient appropriation.		<input checked="" type="checkbox"/> Increase Costs - May be possible to Absorb Within Agency's Budget <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Decrease Costs	
<input type="checkbox"/> Increase Existing Appropriation <input type="checkbox"/> Increase Existing Revenues <input type="checkbox"/> Decrease Existing Appropriation <input type="checkbox"/> Decrease Existing Revenues <input type="checkbox"/> Create New Appropriation			
Local: <input type="checkbox"/> No local government costs			
1. <input type="checkbox"/> Increase Costs <input type="checkbox"/> Permissive <input type="checkbox"/> Mandatory	3. <input type="checkbox"/> Increase Revenues <input type="checkbox"/> Permissive <input type="checkbox"/> Mandatory	5. Types of Local Governmental Units Affected: <input type="checkbox"/> Towns <input type="checkbox"/> Villages <input type="checkbox"/> Cities <input type="checkbox"/> Counties <input type="checkbox"/> Others _____	
2. <input type="checkbox"/> Decrease Costs <input type="checkbox"/> Permissive <input type="checkbox"/> Mandatory	4. <input type="checkbox"/> Decrease Revenues <input type="checkbox"/> Permissive <input type="checkbox"/> Mandatory	<input type="checkbox"/> School Districts <input type="checkbox"/> WTCS Districts	
Fund Sources Affected <input checked="" type="checkbox"/> GPR <input type="checkbox"/> FED <input type="checkbox"/> PRO <input type="checkbox"/> PRS <input type="checkbox"/> SEG <input type="checkbox"/> SEG-S		Affected Ch. 20 Appropriations 20.765 (1) (d), (3) (b)	
Assumptions Used in Arriving at Fiscal Estimate:			

AB-691 would eliminate the exception from the fiscal estimate requirement for bills containing penalty provisions. The bill would also require an additional fiscal estimate (a correctional fiscal estimate) for bills that contain criminal penalty provisions. A sampling of the roughly 192 bills that contained the phrase "providing a penalty" or "providing penalties" indicates that roughly 40% required a fiscal estimate already for a reason other than the provision of a penalty; the remaining 60% did not. Also during the 1997-99 regular session it appears that roughly 40 bills provided a criminal penalty. As a result, we can expect that, over a biennium, roughly 115 introduced bills that do not currently require a fiscal estimate will require fiscal estimates if AB-691 is enacted and approximately 40 will require correctional fiscal estimates. In addition to introduced bills, in a small number of cases, fiscal estimates are requested for un-introduced bills. We estimate that an additional 60 to 70 bills annually will require fiscal estimates if AB-691 is enacted. The Legislative Reference Bureau is likely to incur two main types of additional costs for these additional bills: additional printing costs (from s. 20.765 (1) (d)) and additional costs in processing the fiscal estimates (from s. 20.765 (3) (d)).

Printing. DOA charges the LRB roughly \$.02 per side for printing. Although fiscal estimates vary in size, a two-page fiscal estimate is standard. We generally print 318 copies of each fiscal estimate (25 for the LRB, 43 for the Senate, 105 for the Assembly and 145 for the legislative documents room). For each additional fiscal estimate that is printed, we estimate our printing costs to be \$12.72 (318 x 2 x \$.02). While we are unable to predict exactly which agencies DOA will select to prepare fiscal estimates for "penalty bills", we expect that DOA may request fiscal estimates from the department of corrections, the courts, the district attorneys, the public defender board and the department of justice. We further assume that DOA may request these same agencies to prepare correctional fiscal estimates for bills containing criminal penalties. Assuming that a fiscal estimate requirement for a penalty bill will trigger five different fiscal estimates for each of an additional 65 "penalty bills" and five different correctional fiscal estimates for each of 20 criminal penalty bills, the annual printing costs for the bureau would be approximately \$5,406 (85 x 5 x \$12.72)

Staff Processing. For each additional fiscal estimate, a program assistant enters a range of tracking data about the fiscal estimate into the doctype. He or she also answers telephone calls regarding fiscal estimate status. Although the exact processing time varies for each fiscal estimate, our program assistants estimate that it generally takes about 20 minutes per fiscal estimate to complete all the required processing tasks. Assuming 425 additional fiscal estimates result from AB-691, an additional 142 hours of processing time can be expected. Assuming a salary of \$11.94 per hour for this processing time, the cost of the additional staff time would be \$2,096 (142 x \$11.94 x 1.236 fringe multiplier)

Long-Range Fiscal Implications: If the number of bills introduced in a legislative session continues to grow over time, it could be expected that the costs of AB-691 would also increase gradually over time		
Prepared By: / Phone # / Agency Name Steve Miller, Chief/ 267-2175/LRB	Authorized Signature/ Telephone No.  267-2175	Date 2-8-2000

FISCAL ESTIMATE WORKSHEET

Detailed Estimate of Annual Fiscal Effect

1999 Session

- ORIGINAL UPDATED
 CORRECTED SUPPLEMENTAL

LRB # 99-3961/2

Admin. Rule #

INTRODUCTION # 1999 AB-691

Subject

Fiscal estimate on bills containing penalty provisions and correctional fiscal estimates on bills containing criminal penalties

I. One-time Costs or Revenue Impacts for State and/or Local Government (do not include in annualized fiscal effect):

II. Annualized Costs:	Annualized Fiscal Impact on State funds from:	
	Increased Costs	Decreased Costs
A. State Costs by Category		
State Operations - Salaries and Fringes	\$ 2,096	\$ -
(FTE Position Changes)	(FTE)	(- FTE)
State Operations - Other Costs	5,406	-
Local Assistance		-
Aids to Individuals or Organizations		-
TOTAL State Costs by Category	\$	\$ -
B. State Costs by Source of Funds		
	Increased Costs	Decreased Costs
GPR	\$ 7,502	\$ -
FED		-
PRO/PRS		-
SEG/SEG-S		-
State Revenues <small>Complete this only when proposal will increase or decrease state revenues (e.g., tax increase, decrease in license fee, etc.)</small>	Increased Rev.	Decreased Rev.
GPR Taxes	\$	\$ -
GPR Earned		-
FED		-
PRO/PRS		-
SEG/SEG-S		-
TOTAL State Revenues	\$	\$ -


NET ANNUALIZED FISCAL IMPACT

STATE

LOCAL

NET CHANGE IN COSTS \$ 7,502 \$ _____

NET CHANGE IN REVENUES \$ _____ \$ _____

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