



STATE OF WISCONSIN ETHICS BOARD

James R. Morgan
Chairman
Paul M. Holzem
David L. McRoberts
Robert G. Borgwardt
Joanne R. Orr
Dorothy C. Johnson

On the capitol square at:
44 EAST MIFFLIN STREET, STE 601
MADISON, WISCONSIN 53703-2800
phone: 608/266-8123
fax: 608/264-9309
email: ethics@mail.state.wi.us

Roth Judd
Director

April 7, 1999

Senator Brian Burke, Co-Chair
Joint Committee on Finance
State Capitol, Rm316S
Madison, WI INTER-D

Representative John Gard, Co-Chair
Joint Committee on Finance
State Capitol, RM 315N
Madison, WI INTER-D

RE: Quarterly Update for supplemental
appropriation under §13.101(3),
Wisconsin Statutes

By letter of September 4, 1998, I was asked to inform you of costs the Ethics Board incurs for investigating possible violations of Wisconsin's ethics code and lobbying law. The board incurred no expenses during the period January 1, 1999 through March 31, 1999. The total expenses incurred year-to-date is \$113.17.

I will continue to report to you quarterly regarding expenses that the Ethics Board incurs for enforcement of the lobby laws and ethics code.

Sincerely,

Roth Judd
Director

/hh

cc: Members, Joint Committee on Finance
Dan Caucutt, DOA

STATE OF WISCONSIN

SENATE CHAIR
BRIAN BURKE

316 South, State Capitol
P.O. Box 7882
Madison, WI 53707-7882
Phone: 266-8535



ASSEMBLY CHAIR
JOHN GARD

315 North, State Capitol
P.O. Box 8952
Madison, WI 53708-8952
Phone: 266-2343

JOINT COMMITTEE ON FINANCE

August 9, 1999

Mr. R. Roth Judd, Executive Director
Ethics Board
44 East Mifflin Street
Madison, WI 53707

Dear Director Judd:

This letter is in response to your letter of August 5, 1999, in which you requested confirmation from us that the Joint Committee on Finance wishes the Ethics Board to, consistent with the practice in prior years, request any supplemental funding under ss. 13.101 and/or 16.515 of the Statutes for the cost of possible violations of the state ethics code and/or lobbying law only after the actual costs of all such investigations for the fiscal year are known.

We concur that the Ethics Board should: (1) incur such investigation expenses as the Board finds are required; (2) periodically report to the Co-chairs of the Joint Committee on Finance regarding the costs being incurred for any such investigations; and (3) in the event that the Board incurs a need for supplemental funding due to any such investigation costs, to submit a request for supplemental funding for this fiscal year (1999-00) in the fourth quarter of the fiscal year once all investigation costs for the year have been incurred. We specifically request that you provide us with a written report each quarter regarding any investigative costs being incurred by the Board.

Sincerely,

Handwritten signature of Brian Burke in black ink.

BRIAN BURKE
Senate Chair

Handwritten signature of John Gard in black ink.

JOHN GARD
Assembly Chair

BB:JG:js

cc: Members, Joint Committee on Finance
Dan Caucutt, Department of Administration



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http://ethics.state.wi.us

Roth Judd
Director

August 5, 1999

Senator Brian Burke, Co-Chair
Joint Committee on Finance
State Capitol, RM 316S
Madison, WI INTER-D

Representative John Gard, Co-Chair
Joint Committee on Finance
State Capitol, RM 315N
Madison, WI INTER-D

**Re: Likely request for supplemental
appropriation under § 13.101(3),
*Wisconsin Statutes***

Dear Senator Burke and Representative Gard:

From time to time matters involving possible violations of the lobbying law and ethics code come to the Ethics Board's attention and merit the Board's review. The Board investigates most of these matters using existing staff resources. However, the Legislature has not made available an appropriation that permits the Board to carryout its statutory directive to investigate these matters when that requires the hiring of special investigative assistance.

In FY 1999 the Ethics Board spent only \$7,494.97 for assistance with investigations and during the same period collected and deposited to the state school fund penalties amounting to \$1,060.00.

I will continue to exercise prudence with respect to expenditures related to enforcement of the statutes the Ethics Board administers, but I alert you that the Ethics Board may turn to your committee for a supplement to meet

Senator Brian Burke
Representative John Gard
August 5, 1999
Page 2

investigations' costs as the Joint Committee has annually prescribed since 1989.

In keeping with your committee's instructions in prior years I shall expect:

1. To proceed to incur expenses in connection with the investigation of possible violations of the lobbying law and ethics code and those laws' enforcement,
2. To keep you, the co-chairs, informed of costs the Ethics Board incurs as investigations proceed, and
3. That, in order to meet the financial obligations the Ethics Board has incurred for investigations, to request supplemental funding from the Joint Committee under §13.10 *Wisconsin Statutes* for established financial obligations for work already completed.

I will very much appreciate your confirmation that I should proceed in the manner just indicated or other instruction if you think a different course is more appropriate.

Sincerely,



Roth Judd
Director

cc: Bob Lang, Legislative Fiscal Bureau
David Worzala, Legislative Fiscal Bureau
Dan Caucutt, Committee Secretary
Deborah Uecker, Dept. of Administration



STATE OF WISCONSIN ETHICS BOARD

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Roth Judd
Director

October 14, 1999

✓ Senator Brian Burke, Co-Chair
Joint Committee on Finance
1 East Main, RM 316S
Madison, WI INTER-D

Representative John Gard, Co-Chair
Joint Committee on Finance
State Capitol, RM 315N
Madison, WI INTER-D

RE: Quarterly Update for supplemental
appropriation under §13.101(3),
Wisconsin Statutes

By letter of August 9, 1999, I was asked to inform you of costs the Ethics Board incurs for investigating possible violations of Wisconsin's ethics code and lobbying law. The board incurred no expenses during the period July 1, 1999 through September 30, 1999.

I will continue to report to you quarterly regarding expenses that the Ethics Board incurs for enforcement of the lobby laws and ethics code.

Sincerely,


Roth Judd
Director

/hh

cc: Members, Joint Committee on Finance
Dan Caucutt, DOA



STATE OF WISCONSIN ETHICS BOARD

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Roth Judd
Director

January 31, 2000

Senator Brian Burke, Co-Chair ✓
Joint Committee on Finance
1 East Main, RM 316S
Madison, WI INTER-D

Representative John Gard, Co-Chair
Joint Committee on Finance
State Capitol, RM 315N
Madison, WI INTER-D

RE: Quarterly Update for supplemental
appropriation under §13.101(3),
Wisconsin Statutes

By letter of August 9, 1999, I was asked to inform you of costs the Ethics Board incurs for investigating possible violations of Wisconsin's ethics code and lobbying law. The board incurred expenses totaling \$3,362.22 during the period October 1, 1999 through December 31, 1999, which are the total expenses incurred to date.

I will continue to report to you quarterly regarding expenses that the Ethics Board incurs for enforcement of the lobby laws and ethics code.

Sincerely,


Roth Judd
Director

/hh

cc: Members, Joint Committee on Finance
Dan Caucutt, DOA



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Roth Judd
Director

April 4, 2000

Senator Brian Burke, Co-Chair
Joint Committee on Finance
1 East Main, RM 316S
Madison, WI INTER-D

Representative John Gard, Co-Chair
Joint Committee on Finance
State Capitol, RM 315N
Madison, WI INTER-D

RE: Quarterly Update for supplemental
appropriation under §13.101(3),
Wisconsin Statutes

By letter of August 9, 1999, I was asked to inform you of costs the Ethics Board incurs for investigating possible violations of Wisconsin's ethics code and lobbying law. The board incurred expenses totaling \$5,599.67 during the period January 1, 2000 through March 31, 2000, this brings the total expenses incurred to date to \$8,961.89.

I will continue to report to you quarterly regarding expenses that the Ethics Board incurs for enforcement of the lobby laws and ethics code.

Sincerely,



Roth Judd
Director

/hh

cc: Members, Joint Committee on Finance
Dan Caucutt, DOA



STATE OF WISCONSIN ETHICS BOARD

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Roth Judd
Director

July 5, 2000

Senator Brian Burke, Co-Chair
Joint Committee on Finance
State Capitol, RM 316S
Madison, WI INTER-D

Representative John Gard, Co-Chair
Joint Committee on Finance
State Capitol, RM 315N
Madison, WI INTER-D

RE: FY00 Final Report of Investigative Costs

This letter is my final report to you for fiscal year 1999-2000 concerning costs the Ethics Board has incurred for investigating possible violations of Wisconsin's ethics code and lobbying law. The Board incurred expenses totaling \$817.10 during the period April 1, 2000 through June 30, 2000. This brings the total cost of investigations incurred for fiscal year 1999-2000 to \$9,778.99. These expenses were absorbed by the agency due to available funds relative to an open position until December, 1999.

During the same period, the Board collected and deposited to the state school fund \$6,842.50 in penalties.

Sincerely,


Roth Judd
Director

/hh

cc: Members, Joint Committee on Finance
Dan Caucutt, DOA



STATE OF WISCONSIN
ETHICS BOARD

James R. Morgan
Chairman
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ethics@ethics.state.wi.us
<http://ethics.state.wi.us>

Roth Judd
Director

August 1, 2000

Senator Brian Burke, Co-Chair
Joint Committee on Finance
State Capitol, RM 316S
Madison, WI INTER-D

Representative John Gard, Co-Chair
Joint Committee on Finance
State Capitol, RM 315N
Madison, WI INTER-D

**Re: Likely request for supplemental
appropriation under § 13.101(3),
*Wisconsin Statutes***

Dear Senator Burke and Representative Gard:

From time to time matters involving possible violations of the lobbying law and ethics code come to the Ethics Board's attention and merit the Board's review. The Board investigates most of these matters using existing staff resources. However, the Legislature has not made available an appropriation that permits the Board to carryout its statutory directive to investigate these matters when that requires the hiring of special investigative assistance.

In FY 2000 the Ethics Board spent \$9,778.99 for assistance with investigations and during the same period collected and deposited to the state school fund penalties amounting to \$6,842.50.

I will continue to exercise prudence with respect to expenditures related to enforcement of the statutes the Ethics Board administers, but I alert you that the Ethics Board may turn to your committee for a supplement to meet

Senator Brian Burke
Representative John Gard
August 1, 2000
Page 2

investigations' costs as the Joint Committee has annually prescribed since 1989.

In keeping with your committee's instructions in prior years I shall expect:

1. To proceed to incur expenses in connection with the investigation of possible violations of the lobbying law and ethics code and those laws' enforcement,
2. To keep you, the co-chairs, informed quarterly of costs the Ethics Board incurs as investigations proceed, and
3. That, in order to meet the financial obligations the Ethics Board has incurred for investigations, to request supplemental funding from the Joint Committee under §13.10 *Wisconsin Statutes* for established financial obligations for work already completed.

I will very much appreciate your confirmation that I should proceed in the manner just indicated or other instruction if you think a different course is more appropriate.

Sincerely,



Roth Judd
Director

cc: Bob Lang, Legislative Fiscal Bureau
David Worzala, Legislative Fiscal Bureau
Dan Caucutt, Committee Secretary
Deborah Uecker, Dept. of Administration

Date

Mr. Roth Judd, Director
Ethics Board
44 E. Mifflin Street, Suite 601
Madison, WI 53703

Dear Mr. Judd:

This letter is in response to your letter of July 5, 2000, in which you requested confirmation from us relative to incurring expenditures in the enforcement of the statutes the Ethics Board administers. The Joint Committee on Finance wishes the Ethics Board to proceed in the following manner:

1. Proceed to incur such expenses as the Board finds appropriate in connection with the investigation of possible violations of the lobbying law and ethics code and the enforcement of those laws.
2. Provide the co-chairs of the Joint Committee on Finance with a quarterly written report about the amount of the costs the Ethics Board has incurred for such matters.
3. In the event the Board incurs a need for supplemental funding due to such costs, submit a request for supplemental funding under §13.10 *Wisconsin Statutes*, for established financial obligations for work already completed.

Sincerely,

BRIAN BURKE
Joint Committee on Finance
Co-Chair

JOHN GARD
Joint Committee on Finance
Co-Chair

STATE OF WISCONSIN

SENATE CHAIR
BRIAN BURKE

316 South, State Capitol
P.O. Box 7882
Madison, WI 53707-7882
Phone: 266-8535



ASSEMBLY CHAIR
JOHN GARD

315 North, State Capitol
P.O. Box 8952
Madison, WI 53708-8952
Phone: 266-2343

JOINT COMMITTEE ON FINANCE

August 10, 2000

Mr. R. Roth Judd, Executive Director
Ethics Board
44 East Mifflin Street, Suite 601
Madison, WI 53703-2800

Dear Director Judd:

This letter is in response to your letter of August 1, 2000, requesting confirmation as to how to proceed with supplemental funding requests for the retention of special investigative assistance (outside counsel) to investigate possible violations of the state ethics code and/or lobbying laws. The Joint Committee on Finance wishes the Ethics Board to continue the prior practice of requesting such supplemental assistance under s. 13.101 or s. 16.515 of the Statutes only after the actual costs for such outside assistance for the fiscal year are known.

The Ethics Board should only incur such expenses in regards to the retention of special investigative assistance as the Board finds are required. Further, the Board should periodically report to the Co-chairs of the Joint Committee on Finance regarding the costs for such assistance. Finally, in the event that the Board does require supplemental funding due to such special investigative assistance costs, the Board should submit a request for the supplemental funding for the 2000-01 fiscal year in the fourth quarter, once all investigative assistance costs for the year have been incurred. We specifically request that you provide us with a written report each quarter regarding any special investigative assistance costs being incurred by the Board.

Handwritten signature of Brian Burke in black ink.

BRIAN BURKE
Senate Chair

Sincerely,

Handwritten signature of John Gard in black ink.

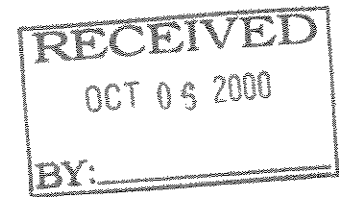
JOHN GARD
Assembly Chair

BB:JG:js

cc: Members, Joint Committee on Finance
Dan Caucutt, DOA



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Roth Judd
Director

October 5, 2000

Senator Brian Burke, Co-Chair
Joint Committee on Finance
1 East Main, RM 316S
Madison, WI INTER-D

Representative John Gard, Co-Chair
Joint Committee on Finance
State Capitol, RM 315N
Madison, WI INTER-D

RE: Quarterly Update for supplemental
appropriation under §13.101(3),
Wisconsin Statutes

By letter of August 10, 2000, I was asked to inform you of costs the Ethics Board incurs for investigating possible violations of Wisconsin's ethics code and lobbying law. The board incurred expenses totaling \$2,096.09 during the period July 1, 2000 through September 30, 2000.

I will continue to report to you quarterly regarding expenses that the Ethics Board incurs for enforcement of the lobby laws and ethics code.

Sincerely,


Roth Judd
Director

/hh

cc: Members, Joint Committee on Finance
Dan Caucutt, DOA