

**SENATE HEARING SLIP**  
(Please Print Plainly)

DATE: Wednesday, March 17, 1999

BILL NO. \_\_\_\_\_  
or \_\_\_\_\_

SUBJECT: Gubernatorial Appointment

Spyro Condos  
(Name)

1760 Hillcrest Drive  
(Street Address or Route Number)

Lake Geneva, WI 53147  
(City and Zip Code)

Self  
(Representing)

Speaking in Favor:  XXXXXX

Speaking Against:

Registering in Favor:

but not speaking:

Registering Against:

but not speaking:

Speaking for information only;

Neither for nor against:

Please return this slip to a messenger PROMPTLY  
Senate Sergeant At Arms  
P. O. Box 7882  
State Capitol  
Madison, WI 53707-7882

**SENATE HEARING SLIP**  
(Please Print Plainly)

DATE: Wednesday, March 17, 1999

BILL NO. \_\_\_\_\_  
or \_\_\_\_\_

SUBJECT: Gubernatorial Appointment

Maura McMahon  
(Name)

1302A Martha Washington Drive  
(Street Address or Route Number)

Wauwatosa, WI 53213  
(City and Zip Code)

Self  
(Representing)

Speaking in Favor:  XXXXXX

Speaking Against:

Registering in Favor:

but not speaking:

Registering Against:

but not speaking:

Speaking for information only;

Neither for nor against:

Please return this slip to a messenger PROMPTLY  
Senate Sergeant At Arms  
P. O. Box 7882  
State Capitol  
Madison, WI 53707-7882

**SENATE HEARING SLIP**  
(Please Print Plainly)

DATE: Wednesday, March 17, 1999

BILL NO. \_\_\_\_\_  
or \_\_\_\_\_

SUBJECT: Gubernatorial Appointments

Nora K. Weber  
(Name)

115 East - State Capitol  
(Street Address or Route Number)

Madison, WI 53702  
(City and Zip Code)

Governor's Office  
(Representing)

Speaking in Favor:

Speaking Against:

Registering in Favor:  XXXXXX

but not speaking:

Registering in Favor:

but not speaking:

Speaking for information only;

Neither for nor against:

Please return this slip to a messenger PROMPTLY  
Senate Sergeant At Arms  
P. O. Box 7882  
State Capitol  
Madison, WI 53707-7882

**SENATE HEARING SLIP**  
(Please Print Plainly)

DATE: Monday, March 27, 2000

BILL NO. \_\_\_\_\_

or

SUBJECT: Gubernatorial Appointment

**SENATE HEARING SLIP**  
(Please Print Plainly)

DATE: Monday, March 27, 2000

BILL NO. \_\_\_\_\_

or

SUBJECT: Gubernatorial Appointment

Roberta A. Harris

(Name) \_\_\_\_\_

3444 N. 51st Boulevard

(Street Address or Route Number) \_\_\_\_\_

Milwaukee, WI 53216

(City and Zip Code) \_\_\_\_\_

Self

(Representing) \_\_\_\_\_

Speaking in Favor:  XXXXXX

Speaking Against:

Registering in Favor:  
but not speaking:   
Registering Against:  
but not speaking:

Speaking for information only;  
Neither for nor against:

Please return this slip to a messenger PROMPTLY

Senate Sergeant At Arms  
P. O. Box 7882  
State Capitol  
Madison, WI 53707-7882

Nora K. Weber

(Name) \_\_\_\_\_

125 South - State Capitol

(Street Address or Route Number) \_\_\_\_\_

Madison, WI 53702

(City and Zip Code) \_\_\_\_\_

Governor's Office

(Representing) \_\_\_\_\_

Speaking in Favor:

Speaking Against:

Registering in Favor:  
but not speaking:  XXXXX  
Registering Against:  
but not speaking:

Speaking for information only;  
Neither for nor against:

Please return this slip to a messenger PROMPTLY

Senate Sergeant At Arms  
P. O. Box 7882  
State Capitol  
Madison, WI 53707-7882

AUG 11 1998



STATE OF WISCONSIN  
**ETHICS BOARD**



James R. Morgan  
Chairman  
Paul M. Holzem  
David L. McRoberts  
Robert G. Borgwardt  
Joanne R. Orr  
Dorothy C. Johnson

On the capitol square at:  
44 EAST MIFFLIN STREET, STE 601  
MADISON, WISCONSIN 53703-2800  
phone: 608/266-8123  
fax: 608/264-9309  
ethics@mail.state.wi.us

Roth Judd  
Director

NOMINEE: Spyro Condos

POSITION: Member, Judicial Commission  
(*public member*)

STATUTORY

REFERENCE: **757.83 Judicial commission. (1) MEMBERSHIP; APPOINTMENT; TERMS.**

(a) There is created a judicial commission of 9 members: 5 nonlawyers nominated by the governor and appointed with the advice and consent of the senate; one trial judge of a court of record and one court of appeals judge appointed by the supreme court; and 2 members of the state bar of Wisconsin, who are not judges or court commissioners, appointed by the supreme court. The commission shall elect one of its members as chairperson.

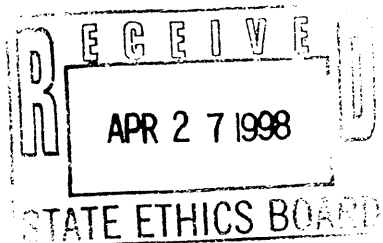
(b) The term of a member is 3 years, but a member shall not serve more than 2 consecutive full terms.

8/10/98 cw

SENATE COMMITTEE ON JUDICIARY: Senators Huelsman (Chair),  
Welch, Darling, Risser and Clausing.

Mail or Fax to:

Wisconsin Ethics Board  
44 E. Mifflin St., Suite 601  
Madison, WI 53703-2800  
Fax: (608) 264-9309



Filed in 1998 for calendar year 1997

Print legibly in black ink or type

# Statement of Economic Interests

NAME: **Condos, Spyro G.**  
Member  
Judicial Commission

STATE POSITION

HELD OR SOUGHT:

Please return this completed form to the Wisconsin Ethics Board by April 30, 1998.

**ABOUT THIS FORM:** This Statement of Economic Interests has been prescribed by the Wisconsin Ethics Board pursuant to §19.44, *Wisconsin Statutes*. The Statement is divided into several parts. Most parts ask for information about you as well as immediate family members. The information requested concerns the previous calendar year and, for some items, requires a snapshot of economic interests held on a specified date.

Part 1 of this Statement asks you to identify your family's employers. Part 2 asks for information about your family's ownership interests in businesses and enterprises. Part 3 asks you to identify your family's real estate holdings. Part 4 asks you to identify certain of the clients, customers, and tenants of the businesses and real estate you listed in Parts 2 and 3. Part 5 asks you to identify sources from which you received business entertainment and other gifts. Part 6 asks you to identify sources from which you received honoraria or payment of expenses. Part 7 asks you to identify all other sources from which you or your family received income. Part 8 asks you to identify securities which your family owned either directly or indirectly. Part 9 asks you to identify certain organizations in which you or an immediate family member was an officer or director. Part 10 asks you to identify certain organizations of which you or your family was an authorized representative or legal agent. Finally, Part 11 asks you to identify certain of your family's creditors.

Complete each part of this Statement. If any item does not apply, check (✓) the box marked "None or Not Applicable."  
Attach additional pages if necessary.

**Definitions:** "Income" means gross income before deductions and depreciation, from whatever source derived, as defined by the Internal Revenue Code, but excludes dividends and interest. "Immediate family member" means your spouse, and any child, step-child, parent, or parent-in-law who receives more than one-half of his or her support from you or from whom you receive more than one-half of your support. A "lobbyist" is an individual whose duties include trying to influence legislation or administrative rules in Wisconsin by communicating with an elected state official, agency official, or legislative employee on another's behalf for pay.

- Questions about completing this form? Call (608) 266-8115.
- Other inquiries (608) 266-8123.

## Part 1. Employers.

List each employer from which you or an immediate family member received income of \$1,000 or more in 1997. You do not have to identify any source of income from which you or an immediate family member received less than \$1,000. You do not have to identify any employer who is an individual unless the income you or your family received came from the individual's operation of a business or the individual was a lobbyist.

Check (✓) if None or Not Applicable

Name of employer (If State of Wisconsin, identify agency or institution)	City and state	General nature of business

## Part 2. Ownership interests in businesses.

List each partnership (general, limited or limited liability), corporation (regardless of tax status and including service corporations), proprietorship, limited liability company, firm, franchise, or other business or enterprise in which you or an immediate family member, directly or indirectly, separately or together, owned or controlled at least a 10% interest on December 31, 1997. Businesses can include farms, manufacturing companies, sales and service operations, real estate rental, and professional practices. If you or an immediate family member was self-employed, but did not operate under a business name, merely list the business's customers and clients in Part 4. If you or an immediate family member was engaged in renting real estate, but did not operate under a business name, merely list, in Part 3, the address of the real estate and, in Part 4, the commercial tenants.

Check (✓) if None or Not Applicable

Name of business	City and state	General nature of business	Form of business organization (service corporation, subchapter S or C corporation, partnership, proprietorship, etc.)
HARBOR SIDE CAFE	LAKE GENEVA	RESTAURANT	PROPRIETORSHIP

For the businesses listed above, your identification must be sufficient to enable a person to identify: (a) for a corporation, its officers and directors; (b) for a limited liability company, its managers or members; and (c) for any other business, its owners. Because limited partnerships, limited liability companies, and corporations created or registered to do business in Wisconsin file this information with the Department of Financial Institutions as a matter of public record, no further information is required about them. For other types of businesses, identify the owners and partners below, or explain where such information is available as a public record.

Check (✓) if None or Not Applicable

Business	Name of owners or partners	City and state
RESTAURANT		
SPYRO BEER COMPANY		LAKE GENEVA WI
PATRICIA LEE COMPANY		

Name \_\_\_\_\_

### Part 3. Real estate.

Identify all real estate located in Wisconsin in which you or an immediate family member held at least a 10% interest valued at \$5,000 on December 31, 1997. Include real estate you or your family owned directly or through: (a) a partnership; (b) a corporation; (c) a trust; or (d) other enterprise. Do not list your principal residence unless you used it for the conduct of a business or for rental purposes.

Check (✓) if None or Not Applicable

Location of property (street address or fire number, municipality, and county)	Type of property (e.g., farm, recreational, commercial, rental)	Nature of interest (own, lease, option, easement, land contract)
1760 Hillcrest Drive	House	own
Lake Geneva, WI	vacation	

### Part 4. Customers, clients, and tenants (other than individuals\*).

Identify every source of income of \$1,000 or more in 1997 of every business that you listed in Part 2, except a C corporation, and for every property listed in Part 3. This means identify each customer, client, tenant, and other source of income.

\*Do not identify an individual unless the individual was a lobbyist.

If your business received income from a third-party payer (such as a fee, commission, or insurance payment received by a realtor, travel agent, or medical practice), list the third-party payer as well as the customer, client, or tenant.

You do not have to list:

- a corporation's sources of income if the corporation was not a service corporation or an "S" corporation under the Internal Revenue Code
- sources of income of a business in Part 2 or property in Part 3 from which you or an immediate family member received less than \$1,000.
- an individual who was not a lobbyist
- a decedent's estate.

Check (✓) if None or Not Applicable

Customer, client, or tenant	City and state

## Part 5. Business entertainment and other gifts.

List all individuals and organizations from which you received, in 1997, entertainment or gifts having a total value of more than \$50. Include tickets to sporting or theatrical events, golfing fees, prizes, samples and promotional items, items from sales representatives or as part of business promotions, and similar items. You do not have to report gifts received by immediate family members if they were not intended for you. A "gift" includes any money, property, favor, service, entertainment, travel, or payment furnished without valuable consideration. A "gift" does not include political contributions reported to the Elections Board, or meals, beverages, or lodging that an individual offers as hospitality at his or her own expense, and not as a business expense, for reasons unrelated to your holding state public office. You do not have to report gifts from your spouse, child, parent, brother, sister, grandchild, grandparent, aunt, uncle, niece, nephew, fiancé(e), parent-in-law, grandparent-in-law, brother-in-law, or sister-in-law.

Check (✓) if None or Not Applicable

Name of giver	City and state

## Part 6. Honoraria and payment of expenses.

List each individual or organization from which you received, in 1997, lodging, transportation, meals, expenses, or honoraria having a total value of more than \$50, for attendance at a conference, presentation of a talk, participation in a meeting, or for a published work about issues initiated by or affecting state government or state agencies. Honoraria you or an immediate family member received that were unrelated to state issues should be accounted for in Part 7.

You do not have to list information about lodging, transportation, meals, money or any other thing of pecuniary value: (1) if you returned it within 30 days; (2) if you received it from the agency of which your state public office is a part; (3) if you received it from a source listed in Part 1 or Part 4; or (4) if you already reported the payment to the Ethics Board as a matter of public record.

Check (✓) if None or Not Applicable

Payer	Approximate value of expenses	Amount of honorarium	Circumstances of receipt

## Part 7. Other sources of income.

Identify any other sources, not previously identified, from which you or an immediate family member received income (other than dividends or interest) of \$1,000 or more in 1997. Include honoraria not elsewhere reported, Social Security payments, retirement benefits, directors' fees, commissions, proceeds from the sale of real estate, and the like. You do not have to list insurance benefits, inheritances, scholarships (if no teaching or services were required in return), or Wisconsin Retirement Fund benefits. You do not have to list proceeds from the sale of securities unless you know the purchaser's identity. You do not have to list individuals, unless the individual was a lobbyist.

Check (✓) if None or Not Applicable

Source of income	City and state

### Part 8. Stocks, bonds, mutual funds, and the like.

List securities, as explained below, that you and your immediate family owned on December 31, 1997 whose value was \$5,000 or more on that date.

List the following types of securities:

- stocks
- bonds
- mutual funds
- money market funds
- securities issued by the State of Wisconsin
- securities issued by governmental entities within Wisconsin
- limited partnerships
- commodity futures contracts

Do not list:

- any security in which your family's interest was less than \$5,000 on December 31, 1997
- savings accounts
- checking accounts
- certificates of deposit
- annuities
- insurance contracts
- any security issued by the federal government or a government outside Wisconsin
- any security issued by an organization that does not do business in Wisconsin

Be sure to include securities held for you or your family in:

- a deferred compensation plan, profit-sharing plan, or pension plan whose investments you or your family directs
- an individual retirement account (IRA)
- a corporation, partnership, or other entity which you or your family controls.

List the security by name. Be specific. For example, list "Fidelity Puritan Fund -- mutual fund" and "IBM Corporation -- stock." Do not list "deferred compensation plan" or "IRA" or "Merrill Lynch account," since these terms do not identify the actual underlying securities.

For each security you list, place a check in one of the columns at the right to indicate the value of your family's interest in the security on December 31, 1997.

Check (✓) if None or Not Applicable

Name of security	Type of security	Value \$50,000 or less	Value More than \$50,000

### Part 9. Offices and directorships.

List each business, labor union, association, cooperative, or other organization of which you or an immediate family member was, on December 31, 1997, an officer or director. You do not have to identify any charitable organizations (entities to which a contribution is tax deductible); political organizations (entities whose primary purpose is to influence voting); non-profit social or community service organizations; trusts; or federal, state, or local governments or governmental agencies.

Check (✓) if None or Not Applicable

Business or organization	City and state	Position



## Part 10. Representation of organizations.

List each business, labor union, association, cooperative, partnership, or other organization of which you or an immediate family member was, on December 31, 1997, an authorized representative or legal agent. List any organization which you or an immediate family member represented in the organization's dealings with others or on whose behalf you or an immediate family member was authorized to speak. Include business clients for which you or an immediate family member provided legal representation in dealings with other parties, organizations on whose behalf you or an immediate family member publicly appeared, and partnerships in which you or an immediate family member was a general partner. You do not have to identify any charitable organizations (entities to which a contribution is tax deductible); political organizations (entities whose primary purpose is to influence voting); non-profit social or community service organizations; trusts; federal, state, or local governments or governmental agencies; or organizations for which your efforts did not include representation to third parties. You do not have to list organizations already identified in Parts 1, 2, or 9.

Check (✓) if None or Not Applicable

Business or organization	City and state

## Part 11. Creditors.

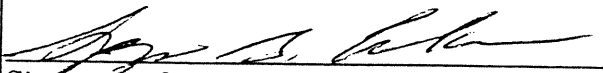
List each creditor to whom you or immediate family members, individually or together, owed \$5,000 or more on December 31, 1997. For each creditor listed, place a check in one of the columns at the right to indicate the amount owed. Include business creditors if you or an immediate family member was personally liable for the debt. Include your portion of any partnership debts.

Check (✓) if None or Not Applicable

Creditor	City and state	Amount	Amount
		\$50,000 or less	More than \$50,000
FIRSTAR BANK			

Before signing, please review your Statement to be sure that for each part, you have listed the required information or checked the box for "None or Not Applicable."

I certify that the information contained in this Statement of Economic Interests is true, complete, and correct to the best of my knowledge, information, and belief.


4/23/98
(414) 248-3831

Signature of person filing

Date

Daytime telephone number

Statements of Economic Interests are open for public inspection. The Ethics Board will notify you of the identity of any person who examines your Statement. In accordance with §15.04(1)(m), *Wisconsin Statutes*, the Ethics Board states that no personally identifiable information is likely to be used for purposes other than those for which it is collected.

Failure to file a completed form may result in a forfeiture of up to \$500.

Office Review

ip 5/8/98



**TOMMY G. THOMPSON**

**JUL 28 1998**

**Governor  
State of Wisconsin**

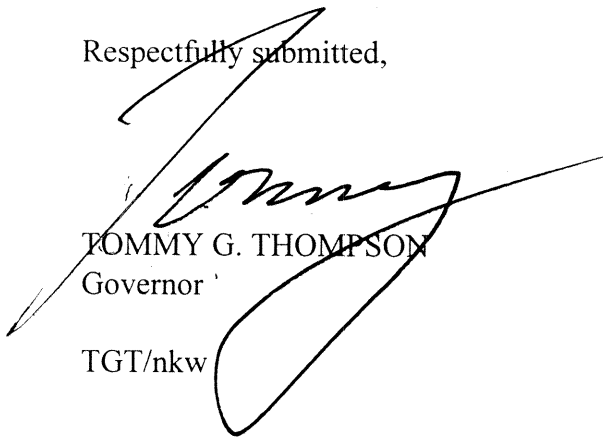
July 22, 1998

To the Honorable Members of the Senate:

I am pleased to nominate and with the advice and consent of the Senate, do reappoint Spyro Condos to the Judicial Commission effective August 1, 1998, pursuant to the statute governing, to serve a three year term to expire August 1, 2001.

Mayor Condos will be available to the Senate for hearings and my staff will assist in any way they can.

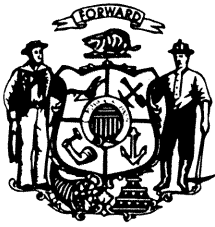
Respectfully submitted,



TOMMY G. THOMPSON  
Governor

TGT/nkw





**TOMMY G. THOMPSON**

**Governor  
State of Wisconsin**

**GOVERNOR'S APPOINTMENT**

**NAME/MAILING ADDRESS:** Spyro Condos  
1760 Hillcrest Drive  
Lake Geneva, WI 53147

**E-MAIL ADDRESS:** not available

**RESIDES IN:** Lake Geneva

**TELEPHONE:** 414/248-3673 (W)  
414/248-1700 (H)

**OCCUPATION:** Mayor  
City of Lake Geneva

**APPOINTED TO:** Judicial Commission  
(public member)

**TERM:** a three year term to expire August 1, 2001

**SUCCEEDS:** himself

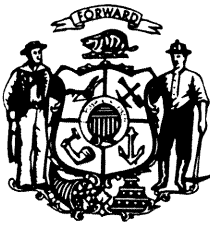
**SENATE CONFIRMATION:** required

**DATE OF APPOINTMENT:** August 1, 1998

**DATE OF NOMINATION:** July 22, 1998

**COMPENSATION:** reimbursement of expenses





**TOMMY G. THOMPSON**

**Governor  
State of Wisconsin**

July 22, 1998

Spyro Condos  
1760 Hillcrest Drive  
Lake Geneva, WI 53147

*Spyro Condos*  
Dear Mayor Condos:

This letter is to confirm your nomination to the Judicial Commission effective August 1, 1998 to serve a three year term to expire August 1, 2001.

This nomination requires Senate confirmation and I have forwarded the necessary information to the Senate Chief Clerk so a hearing can be scheduled.

I am pleased you have agreed to take on this task, with your proven skills and dedication, I know you will do a superb job.

Sincerely,

*Tommy G. Thompson*  
TOMMY G. THOMPSON  
Governor

TGT/nkw



**SPYRO G. CONDOS**  
**1760 Hillcrest Drive**  
**Lake Geneva, WI 53147**  
**414-248-1700**

Married, four children: Wife, Patti  
Owner & Operator of the  
Harbor Side Cafe  
100 Broad Street  
Lake Geneva, WI 53147  
414-248-3835

- \* Lifetime Resident Of Lake Geneva
  - \* Graduate Of Badger High School, 1972
  - \* Attended University Of Wisconsin-Milwaukee, Studies In Criminal Law And Social Welfare
  - \* Business Owner And Operate, Harbor Side Cafe, 17 Years
  - \* Former Member Lake Geneva Fire Department, 10 Years
  - \* Former Member Lake Geneva Police Department, 6 Years
  - \* Former Member Lake Geneva City Council 1st Ward, 4 Years
  - \* Former Mayor City Of Lake Geneva, 4 Years
- Accomplishments:
- \* Shorefront Protection Ordinance (Protection Of The Lakefront)
  - \* Establishment Of The Veterans Park On Townline Road
  - \* Establishment Of The Lake Geneva Business Park On Edwards Blvd.
  - \* Updated Lake Geneva Master Plan
  - \* Instituted Impact Fees On New Development
  - \* 4 Years No City Mill Rate Increase (Held The Line On Local Taxes)
  - \* City Attained The Highest Available Credit Rating
  - \* Former Member Governors Alcohol And Drug Abuse Council
  - \* Current Member Citizens Council Against Gang Violence
  - \* Current Member Lake Geneva Police And Fire Commission, Secretary
- Commission Accomplishments:
- \* Designated Patrol Zones For Police Department
  - \* Random K-9 Drug Searches In Our Schools
  - \* Acquisition Of Air-Boat For Fire Department For Ice Rescue
  - \* Purchase Of A New City Fire Truck
  - \* Regular Police Presence At Our Schools

JAN 21 1999



STATE OF WISCONSIN  
***ETHICS BOARD***

James R. Morgan  
Chairman  
Paul M. Holzem  
David L. McRoberts  
Robert G. Borgwardt  
Joanne R. Orr  
Dorothy C. Johnson

On the capitol square at:  
44 EAST MIFFLIN STREET, STE 601  
MADISON, WISCONSIN 53703-2800  
phone: 608/266-8123  
fax: 608/264-9309  
ethics@ethics.state.wi.us

Roth Judd  
Director

NOMINEE: Maura McMahon

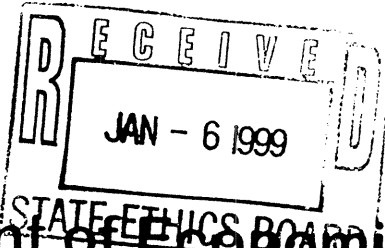
POSITION: Member, Public Defender Board  
(*WI Bar Assn. Rep*)

STATUTORY

REFERENCE: **15.78 Public defender board.** There is created a public defender board consisting of 9 members . . . . No member may be, or be employed on the staff of, a judicial or law enforcement officer, district attorney, corporation counsel or the state public defender. At least 5 members shall be members of the state bar of Wisconsin.

1/20/99 CW  
SENATE COMMITTEE ON JUDICIARY AND CONSUMER AFFAIRS: Senators  
George (Chair), Risser, Clausing, Huelsman and Darling.

Mail or fax to:  
 Wisconsin Ethics Board  
 44 E. Mifflin St., Suite 601  
 Madison, WI 53703-2800  
 Fax: (608) 264-9309



Information must be current as of this  
 Appointment/Nomination date:  
NOV 23 1998  
 Print legibly in dark ink or type

# Statement of Economic Interests

Name **McMahon, Maura**  
 State position held or sought: **Public Defender, Office of State Member, Public Defender Board**

if applicable)

## Part 1. Employers.

Check (✓) if None or Not Applicable  
 General nature of business

Name of employer (If State of Wisconsin, identify agency or institution)	City and state	General nature of business
McMahon Law Offices SC	Milwaukee, WI	Law
Marshall Fields (Dayton Hudson Corp)	Wauwatosa, WI	Sales associate

## Part 2. Ownership interests in businesses.

Check (✓) if None or Not Applicable

2a.

Name of business	City and state	General nature of business	Form of business organization (service corporation, subchapter S or C corporation, partnership, proprietorship, etc.)
<del>McMahon Law Offices SC, Milwaukee WI</del> crossed out per discussion with Jackie, filled in based on misreading of "immediate family"	<del>Milwaukee WI</del>	<del>Law service corporation</del>	<del>Law service corporation</del>

### 2b. For the businesses listed above, report below

Business	Name of owners or partners	City and state
<del>McMahon Law Offices SC</del>	<del>Patrick T. McMahon</del>	<del>Milwaukee WI</del>

## Part 3. Real estate.

Check (✓) if None or Not Applicable

Location of property (street address or fire number, municipality, and county)	Type of property (e.g., farm, recreational, commercial, rental)	Nature of interest (own, lease, option, easement, land contract)
<del>Wauwatosa, WI</del> I own no real estate.	<del>residences, my immediate family</del>	<del>members</del>

## Part 4. Customers, clients, and tenants (other than individuals\*).

Check (✓) if None or Not Applicable

Customer, client, or tenant	City and state

## Part 5. Business entertainment and other gifts.

Check (✓) if None or Not Applicable

Name of giver	City and state
None	

**Part 6. Honoraria and payment of expenses.**

Check (✓) if None or Not Applicable

Payer	Approximate value of expenses	Amount of honorarium	Circumstances of receipt
none			

**Part 7. Other sources of income.**

Check (✓) if None or Not Applicable

Source of income	City and state
none	

**Part 8. Stocks, bonds, mutual funds, and the like.**

Check (✓) if None or Not Applicable

Name of security	Type of security	Value \$50,000 or less	Value More than \$50,000
Northwestern Mutual Life (Power Company) Wisconsin Energy Corp	IRA stock	✓	

**Part 9. Offices and directorships.**

Check (✓) if None or Not Applicable

Business or organization	City and state	Position
McMahon Law Offices SC	Milwaukee, WI	secretary

**Part 10. Representation of organizations.**

Check (✓) if None or Not Applicable

Business or organization	City and state	
Spectrum Creative	Milwaukee, WI	(attorney for)
Biebrin's Cabinets, Ltd	Germany, WI	(attorney for)
Brown City Beer Seal/Milwaukee Traditions Inc	Milwaukee, WI	(attorney for)

**Part 11. Creditors.**

Check (✓) if None or Not Applicable

Creditor	City and state	Amount \$50,000 or less	Amount More than \$50,000
MBNA Mastercard		✓	
Great Lakes Higher Education Corporation		✓	
IRS		✓	

Before signing, please review each part to be sure that you have listed the required information or checked the box for "None or Not Applicable."

I have read the accompanying instructions and certify that the information contained in this Statement of Economic Interests is true, complete, and correct to the best of my knowledge, information, and belief.

Signature of person filing: Maura McMahon Date: 1/5/99 Daytime phone #: 714-274-8956 Fax # (optional): 274-9057

Statements of Economic Interests are open for public inspection. The Ethics Board will notify you of the identity of any person who examines your Statement. In accordance with §15.04(1)(m), Wisconsin Statutes, the Ethics Board states that no personally identifiable information is likely to be used for purposes other than those for which it is collected.

Failure to file a completed form may result in a forfeiture of up to \$500.

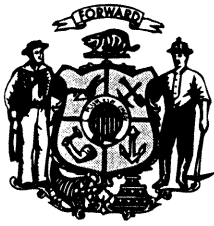
Office Review: 1/6/99



Part 10 (continued)

Universal Mortgage Corporation      Milwaukee, WI      closing attorney

Realty Executives Lake & Country      Waterford, WI      closing attorney



**TOMMY G. THOMPSON**

**Governor  
State of Wisconsin**

November 23, 1998

To the Honorable Members of the Senate:

I am pleased to nominate and with the advice and consent of the Senate, do appoint Maura McMahon to the Public Defender Board effective November 23, 1998, pursuant to the statute governing, to serve a term to expire May 1, 2000.

Ms. McMahon will be available to the Senate for hearings and my staff will assist in any way they can.

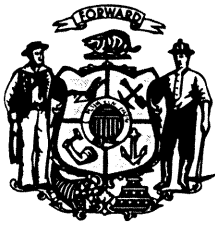
Respectfully submitted,



TOMMY G. THOMPSON  
Governor

TGT/mkw





**TOMMY G. THOMPSON**

**Governor  
State of Wisconsin**

**GOVERNOR'S APPOINTMENT**

**NAME/MAILING ADDRESS:** Maura McMahon  
1302A Martha Washington Drive  
Wauwatosa, WI 53213

**E-MAIL ADDRESS:** Mmcma38564@aol.com

**RESIDES IN:** Wauwatosa

**TELEPHONE:** 414/276-8985 (W)  
414/456-1719 (H)

**OCCUPATION:** Associate Attorney  
McMahon Law Offices, S.C.

**APPOINTED TO:** Public Defender Board  
(WI Bar Association rep)

**TERM:** a term to expire May 1, 2000

**SUCCEEDS:** vacancy (Rodgers-Butler)

**SENATE CONFIRMATION:** required

**DATE OF APPOINTMENT:** November 23, 1998

**DATE OF NOMINATION:** November 23, 1998

**COMPENSATION:** reimbursement of expenses





**TOMMY G. THOMPSON**

**Governor  
State of Wisconsin**

November 23, 1998

Maura McMahon  
1302A Martha Washington Drive  
Wauwatosa, WI 53213

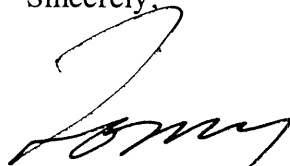
  
Dear Ms. McMahon:

This letter is to confirm your nomination to the Public Defender Board effective November 23, 1998 to serve a term to expire May 1, 2000.

This nomination requires Senate confirmation and I have forwarded the necessary information to the Senate Chief Clerk so a hearing can be scheduled.

I am pleased you have agreed to take on this task, with your proven skills and dedication, I know you will do a superb job.

Sincerely,



TOMMY G. THOMPSON  
Governor

TGT/nkw



**Maura A. McMahon**

1302A Martha Washington Drive  
Wauwatosa, WI 53213  
414-456-1719

**EMPLOYMENT**

**McMAHON LAW OFFICES, S.C.**  
Milwaukee, Wisconsin  
Associate

**1994 to PRESENT****Responsibilities:**

Depositions  
Jury & bench trials  
Real estate closings  
Administrative hearings

**OFFICE OF THE STATE PUBLIC DEFENDER****1990 to 1994**

STEVENS POINT TRIAL DIVISION OFFICE  
ASSISTANT STATE PUBLIC DEFENDER

**Responsibilities:**

Motion practice  
Jury & bench trials  
Supervision of Public Defender Program in Adams County

**NASH, PODVIN, TUCHSCHERER, HUTTENBURG,  
WEYMOUTH & KRYSHAK,**  
Wisconsin Rapids, Wisconsin  
Associate

**1989 to 1990****Responsibilities:**

Research  
Depositions & motion practice  
Litigation support for partners

**EDUCATION**

**MARQUETTE UNIVERSITY LAW SCHOOL, Milwaukee, Wisconsin**  
JURIS DOCTORATE, MAY 1989

**Honors:**

Raymond & Malinda Jaeger Scholar

**Activities:**

Project Outreach, Small Group Leader  
Women In Law, Class Representative  
Legal Clinic for the Elderly, participant

**COLLEGE OF SAINT THOMAS, St. Paul, Minnesota**  
BACHELOR OF ARTS, MAY 1986

**Major:**

Journalism, news editorial emphasis

**Activities:**

Alpha Sigma Chi, member  
Society of Professional Journalists, SDX, member  
Aquin, reporter on student newspaper

**SKILLS**

Familiar with DOS and Microsoft Windows systems,  
Familiar with software including Claris, WordPerfect, Works and Word. Comfortable performing minor upgrades on computer hardware

**REFERENCES**

Available upon request

# Vote Record

## Senate Committee on Judiciary and Consumer Affairs

Date: 3/12/99  
 Moved by: Risser Seconded by: Huelsman  
 AB: \_\_\_\_\_ Clearinghouse Rule: \_\_\_\_\_  
 AB: \_\_\_\_\_ SB: \_\_\_\_\_ Appointment: \_\_\_\_\_  
 AJR: \_\_\_\_\_ SJR: \_\_\_\_\_ Other: \_\_\_\_\_  
 A: \_\_\_\_\_ SR: \_\_\_\_\_

A/S Amdt: \_\_\_\_\_  
 A/S Amdt: \_\_\_\_\_ to A/S Amdt: \_\_\_\_\_  
 A/S Sub Amdt: \_\_\_\_\_  
 A/S Amdt: \_\_\_\_\_ to A/S Sub Amdt: \_\_\_\_\_  
 A/S Amdt: \_\_\_\_\_ to A/S Amdt: \_\_\_\_\_ to A/S Sub Amdt: \_\_\_\_\_

- Be recommended for:
- Passage
  - Introduction
  - Adoption
  - Rejection
  - Indefinite Postponement
  - Tabling
  - Concurrence
  - Nonconcurrence
  - Confirmation

### Committee Member

	<u>Aye</u>	<u>No</u>	<u>Absent</u>	<u>Not Voting</u>
Sen. Gary George, Chair	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Sen. Fred Risser	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Sen. Alice Clausing	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Sen. Joanne Huelsman	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Sen. Alberta Darling	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

Totals: 5 0 \_\_\_\_\_ \_\_\_\_\_

Motion Carried

Motion Failed



STATE OF WISCONSIN  
***ETHICS BOARD***

James R. Morgan  
Chairman  
Paul M. Holzem  
David L. McRoberts  
Joanne R. Orr  
Dorothy C. Johnson

On the capitol square at:  
44 EAST MIFFLIN STREET, STE 601  
MADISON, WISCONSIN 53703-2800  
phone: 608/266-8123  
fax: 608/264-9309  
e-mail: [ethics@ethics.state.wi.us](mailto:ethics@ethics.state.wi.us)  
web: <http://ethics.state.wi.us>

Roth Judd  
Director

NOMINEE: Roberta A. Harris

POSITION: Member, Public Defender Board  
(*WI Bar Association rep*)

STATUTORY  
REFERENCE: **15.78 Public defender board.** There is created a public defender board consisting of 9 members . . . . No member may be, or be employed on the staff of, a judicial or law enforcement officer, district attorney, corporation counsel or the state public defender. At least 5 members shall be members of the state bar of Wisconsin.

3/9/00 cw

SENATE COMMITTEE ON JUDICIARY AND CONSUMER AFFAIRS: Senators George (Chair), Risser, Clausing, Huelsman and Darling.

# Statement of Economic Interests

Filed in 2000 for calendar year 1999

**DEPT. OF REVENUE**  
**MAR 8 2000**  
**STATE ETHICS BOARD**  
 2/3/8/20

Name: **Harris, Roberta**

State position: **Member, Public Defender Board**  
 (held or sought)

→→→ SEE INSTRUCTIONS FOR EXPLANATION AND EXCEPTIONS. ←←←

## Part A. Investments, creditors, and private positions on February 22, 2000.

1. List STOCKS, BONDS, mutual funds, and other investments you or your family held (minimum \$5,000).

Name of security	Type of security (stocks, bonds, mutual fund, money market, etc.)	"✓" one	
		\$50,000 or less	More than \$50,000

2. List BUSINESSES and INCOME-PRODUCING REAL ESTATE in which you or your family had a 10% or greater ownership interest.

Name of business (if any) or business activity	City and state	General nature of business	Form of business organization (service corporation, subchapter S or C corporation, partnership, proprietorship, etc.)

3. List the OFFICERS, DIRECTORS, and GENERAL PARTNERS (other than you and your family) of a partnership or non-Wisconsin entity listed in item 2.

Business	Name of officers, directors, or partners	City and state

4. List specific location of Wisconsin REAL ESTATE you or your family owned (unless listed in item 2).

Location of property (street address or fire number, municipality, and county)	Type of property (e.g., farm, recreational, commercial, rental)	Nature of interest (own, lease, option, easement, land contract)

5. List ORGANIZATIONS of which you or a family member was an OFFICER or DIRECTOR.

Business or organization	City and state	Position

6. List ORGANIZATIONS THAT AUTHORIZED YOU OR A FAMILY MEMBER TO REPRESENT THEM in their dealings with others as an attorney-at-law, real estate agent, spokesperson, or representative (unless listed in item 2, 5 or 8).

Business or organization	City and state







STATE OF WISCONSIN  
***ETHICS BOARD***

James R. Morgan  
Chairman  
Paul M. Holzem  
David L. McRoberts  
Joanne R. Orr  
Dorothy C. Johnson

On the capitol square at:  
44 EAST MIFFLIN STREET, STE 601  
MADISON, WISCONSIN 53703-2800  
phone: 608/266-8123  
fax: 608/264-9309  
e-mail: [ethics@ethics.state.wi.us](mailto:ethics@ethics.state.wi.us)  
web: <http://ethics.state.wi.us>

Roth Judd  
Director

NOMINEE: James T. Rogers

POSITION: Member, Public Defender Board  
(*WI Bar Association rep*)

STATUTORY  
REFERENCE: **15.78 Public defender board.** There is created a public defender board consisting of 9 members . . . . No member may be, or be employed on the staff of, a judicial or law enforcement officer, district attorney, corporation counsel or the state public defender. At least 5 members shall be members of the state bar of Wisconsin.

3/16/00 CW

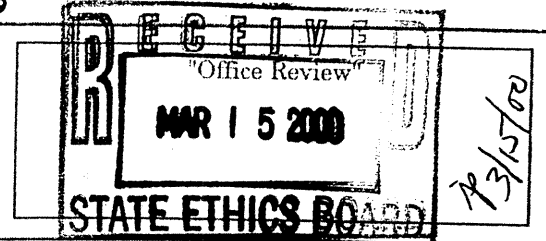
SENATE COMMITTEE ON JUDICIARY AND CONSUMER AFFAIRS: Senators George (Chair), Risser, Clausung, Huelsman and Darling.

# Statement of Economic Interests

Filed in 2000 for calendar year 1999

Name: Rogers, James T.

State position: Member, Public Defender Board  
(held or sought)



→→→ SEE INSTRUCTIONS FOR EXPLANATION AND EXCEPTIONS. ←←←

## Part A. Investments, creditors, and private positions on December 31, 1999.

1. List STOCKS, BONDS, mutual funds, and other investments you or your family held (minimum \$5,000).

Name of security	Type of security (stocks, bonds, mutual fund, money market, etc.)	"✓" one	
		\$50,000 or less	More than \$50,000
SEE ATTACHED SCHEDULES			
			X
	stock		
1 <sup>st</sup> COMMUNITY BANK, ORANGE CITY, FL	15,000 shares		X

2. List BUSINESSES and INCOME-PRODUCING REAL ESTATE in which you or your family had a 10% or greater ownership interest.

Name of business (if any) or business activity	City and state	General nature of business	Form of business organization (service corporation, subchapter S or C corporation, partnership, proprietorship, etc.)
NONE			

3. List the OFFICERS, DIRECTORS, and GENERAL PARTNERS (other than you and your family) of a partnership or non-Wisconsin entity listed in item 2.

Business	Name of officers, directors, or partners	City and state
NONE		

4. List specific location of Wisconsin REAL ESTATE you or your family owned (unless listed in item 2).

Location of property (street address or fire number, municipality, and county)	Type of property (e.g., farm, recreational, commercial, rental)	Nature of interest (own, lease, option, easement, land contract)
1408 E. 8 <sup>th</sup> St Merrill, Lincoln	home	own
Merrill, Lincoln	vacant lot	own
Schofield, Marathon	rental home	1/2 interest

5. List ORGANIZATIONS of which you or a family member was an OFFICER or DIRECTOR.

Business or organization	City and state	Position
HOME SUPPLY CO-OP	WAUSAU, WI	DIRECTOR
MERRILL FEDERAL SAVINGS+LOAN	MERRILL, WI	DIRECTOR

6. List ORGANIZATIONS THAT AUTHORIZED YOU OR A FAMILY MEMBER TO REPRESENT THEM in their dealings with others as an attorney-at-law, real estate agent, spokesperson, or representative (unless listed in item 2, 5 or 8).

Business or organization	City and state
NONE	

7. List CREDITORS to which you or your family owed \$5,000 or more.

Creditor	City and state	"✓" one	
		\$50,000 or less	More than \$50,000
NONE			

8. Changes to reportable interests this year. See Instructions.

**Part B. Your and your family's sources of income, gifts, and expense reimbursement in 1999.**

9. List your and your family's EMPLOYERS (\$1,000 or more).

Name of employer (If State of Wisconsin, identify agency or institution)	City and state	Nature of employer's business
NONE		

10. List OTHER SOURCES from which you or your family received INCOME of \$1,000 or more.

Source of income	City and state
MERRILL FEDERAL SAVINGS + LOAN - DIRECTOR'S FEES	MERRILL, WI

11. List COMMERCIAL CUSTOMERS, CLIENTS, and TENANTS and lobbyists that paid \$1,000 or more to an enterprise (other than a C Corporation) listed in item 2.

Customer, client, tenant, or lobbyist	City and state
NONE	

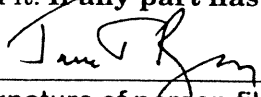
12. List individuals and organizations that provided you with ENTERTAINMENT or GIFTS (more than \$50).

Name of provider	City and state
NONE	

13. List sources of HONORARIA and payment of EXPENSES related to your state government duties (more than \$50) not previously reported to Ethics Board.

Payer	Approximate value of expenses	Amount of honorarium	Circumstances of receipt
NONE			

I have read the accompanying instructions and certify that the information contained in this Statement of Economic Interests is true, complete, and correct to the best of my knowledge, information, and belief. If I acquired a reportable interest between December 31, 1999 and my nomination/appointment date, I have reported it. If any part has been left blank, I have done so intentionally because there is nothing to report.


March 10 2000
(715) 536-9777

Signature of person filing Date Daytime phone #

The information sought in this form is required by §§19.43 and 19.44, Wisconsin Statutes. Failure to file a completed form may result in a forfeiture of up to \$500. Statements of Economic Interests are open for public inspection. The Ethics Board will notify you of the identity of any person who examines your Statement. In accordance with §15.04(1)(m), Wisconsin Statutes, the Ethics Board states that no personally identifiable information is likely to be used for purposes other than those for which it is collected.

## Select Client Statement December 1 - December 31, 1999

Ref: 00001106 00025449

JAMES T ROGERS

Account number 592-06025-12 123

### Cash and money funds balance

	This period	This year
Opening balance	\$ 4,006.15	
Securities bought and other subtractions	-3,063.42	
Securities sold and other additions	0.00	
Deposits	0.00	500,000.00
Withdrawals	0.00	-9,834.97
Dividends credited	700.70	
Money funds earnings reinvested	13.27	
<b>Closing balance</b>	<b>\$ 1,656.70</b>	

### Portfolio summary

	This period	Year-to-date as of 02/99
Opening value of securities	898,086.73	526,100.68
+ Opening cash balance	0.00	0.00
= Opening portfolio balance	898,086.73	526,100.68
Securities deposited/withdrawn net	0.00	202,527.10
Capital deposited/withdrawn net	0.00	-7,966.09
Adjusted opening value	898,086.73	720,661.69
Closing value of securities	1,058,954.03	1,058,954.03
+ Closing cash balance	0.00	0.00
= Closing portfolio value	1,058,954.03	1,058,954.03

Note: Securities deposited/withdrawn net = The value of securities on the day they were debited from or credited to the account as reflected in the "Other security activity" section.

Capital deposited/withdrawn net = Cash withdrawals and deposits, credit card payments, FMA Card activity, checks written, and Money funds/Insured Deposit Account<sup>SM</sup> transfers.

### Portfolio details

The values of your holdings are as of 12/31/99. Please note: Unrealized gain/(loss) is being prepared for informational purposes only and should not be used for tax preparation without the assistance of your tax advisor.

### Money funds

Number of shares	Description	Current value	Accrued dividends	Annualized % dividend Yield/Anticipated Income (annualized)
1,656.70	SB MONEY FUNDS CASH PORT CL A	\$ 1,656.70	\$ .93	5.17%
<b>Total money funds</b>		<b>\$ 1,656.70</b>	<b>\$ .93</b>	<b>\$ 85.65</b>
				<b>5.18</b>
				<b>\$ 85.65</b>

### Common stocks & options

Quantity	Description	Date acquired	Cost	Share cost	Current share price	Current value	Unrealized gain/(loss)	Average % Yield/Anticipated Income (annualized)
445	ALKERMES INC	01/07/99	\$ 12,015.00	\$ 27.00	\$ 49.125	\$ 21,860.63	\$ 9,845.63	ST
100	SYMBOL: ALKS	01/11/99	2,781.25	27.812	49.125	4,912.50	2,131.25	ST
300		03/31/99	8,100.00	27.00	49.125	14,737.50	6,637.50	ST
<b>845</b>			<b>22,896.25</b>	<b>27.096</b>		<b>41,510.63</b>	<b>18,614.38</b>	
836	AMERICA ONLINE INC DEL	01/13/99	26,505.00	31.704	75.875	63,431.50	36,926.50	ST
	SYMBOL: AOL/RATING: B-							
11,632	WTS ARCH COMMUNICATIONS GROUP				.468	5,452.50		Not available
	INC EXP 9/1/2001							

## Select Client Statement December 1 - December 31, 1999

Ref: 00001106 00025450

**JAMES T ROGERS** Account number 592-06025-12 123

**Common  
stocks &  
options**  
*continued*

Quantity	Description	Date acquired	Cost	Share cost	Current share price	Current value	Unrealized gain/(loss)	Average % Yield/Anticipated Income (annualized)
1,984.7051	ARCH COMMUNICATIONS GROUP NEW	01/07/99	\$ 8,188.13	\$ 4.125	\$ 6.594	\$ 13,087.15	\$ 4,899.02	ST
258.2949	SYMBOL: APGR/RATING: C	01/11/99	1,162.50	4.50	6.594	1,703.20	540.70	ST
<b>2,243</b>			<b>9,350.63</b>	<b>4.169</b>		<b>14,790.35</b>	<b>5,438.72</b>	
870	ASTORIA FINANCIAL CORP	06/01/99	39,380.99	45.265	30.438	26,481.06	(12,899.93)	ST
385	SYMBOL: ASFC	08/27/99	13,567.36	35.239	30.438	11,718.63	(1,848.73)	ST
145		09/22/99	4,350.00	30.00	30.438	4,413.51	63.51	ST
<b>1,400</b>			<b>57,298.35</b>	<b>40.927</b>		<b>42,613.20</b>	<b>(14,685.15)</b>	
								<b>3.153</b>
								<b>1,344.00</b>
1,135	CHIRON CORP DELAWARE	01/07/99	28,020.31	24.687	42.375	48,095.63	20,075.32	ST
300	SYMBOL: CHIR/RATING: B-	01/11/99	7,406.25	24.687	42.375	12,712.50	5,306.25	ST
985		03/31/99	21,608.44	21.937	42.375	41,739.38	20,130.94	ST
<b>2,420</b>			<b>57,035.00</b>	<b>23.568</b>		<b>102,547.51</b>	<b>45,512.51</b>	
1,045	CIRRUS LOGIC INC	01/07/99	12,082.81	11.562	13.313	13,912.09	1,829.28	ST
250	SYMBOL: CRUS/RATING: C	01/11/99	2,890.63	11.562	13.313	3,328.25	437.62	ST
<b>1,295</b>			<b>14,973.44</b>	<b>11.563</b>		<b>17,240.34</b>	<b>2,266.90</b>	
640	COMCAST CORP CL A-SPL	01/07/99	19,872.00	31.05	50.563	32,350.32	12,488.32	ST
560	SYMBOL: CMCSK/RATING: B-	03/31/99	17,762.50	31.718	50.563	28,315.28	10,552.78	ST
<b>1,200</b>			<b>37,634.50</b>	<b>31.362</b>		<b>60,675.60</b>	<b>23,041.10</b>	
								<b>.183</b>
								<b>111.60</b>
1,420	EXCEL TECHNOLOGY INC	01/07/99	15,975.00	11.25	17.938	25,471.96	9,496.96	ST
230	SYMBOL: XLTC/RATING: B-	01/11/99	2,817.50	12.25	17.938	4,125.74	1,308.24	ST
<b>1,650</b>			<b>18,792.50</b>	<b>11.389</b>		<b>29,597.70</b>	<b>10,805.20</b>	
400	FOREST LABORATORIES INC	01/07/99	20,025.00	50.062	61.438	24,575.20	4,550.20	ST
150	SYMBOL: FRX/RATING: B+	01/11/99	6,918.75	46.125	61.438	9,215.70	2,296.95	ST
115		03/31/99	6,555.00	57.00	61.438	7,065.37	510.37	ST
270		09/15/99	12,568.23	46.549	61.438	16,588.26	4,020.03	ST
<b>935</b>			<b>46,066.98</b>	<b>49.269</b>		<b>57,444.53</b>	<b>11,377.55</b>	
590	GENZYME CORP	01/07/99	27,364.27	46.38	45.00	26,550.00	(814.27)	ST
100	GENERAL DIVISION	01/11/99	5,023.49	50.234	45.00	4,500.00	(523.49)	ST
350	SYMBOL: GENZ/RATING: B-	03/31/99	17,475.15	49.929	45.00	15,750.00	(1,725.15)	ST
40		08/25/99	2,355.00	58.875	45.00	1,800.00	(555.00)	ST
<b>1,080</b>			<b>52,217.91</b>	<b>48.35</b>		<b>48,600.00</b>	<b>(3,617.91)</b>	
105.5182	GENZYME CORP SURGICAL PRODS	01/07/99	583.10	5.489	5.813	613.38	30.28	ST
17.8846	DIVISION	01/11/99	107.05	5.945	5.813	103.96	(3.09)	ST
62.5862	SYMBOL: GZSP	03/31/99	372.37	5.909	5.813	363.87	(8.50)	ST
<b>186</b>			<b>1,062.52</b>	<b>5.712</b>		<b>1,081.21</b>	<b>18.69</b>	



## Select Client Statement December 1 - December 31, 1999

Ref: 00001106 00025452

**JAMES T ROGERS**

**Account number 592-06025-12 123**

### Common stocks & options *continued*

Quantity	Description	Date acquired	Cost	Share cost	Current share price	Current value	Unrealized gain/(loss)	Average % Yield/Anticipated Income (annualized)
750	TYCO INTERNATIONAL LTD	01/07/99	\$ 27,914.06	\$ 37.218	\$ 39.00	\$ 29,250.00	\$ 1,335.94	ST
200	-NEW-	01/11/99	7,425.00	37.125	39.00	7,800.00	375.00	ST
510	SYMBOL: TYC/RATING: B+	03/31/99	18,551.25	36.375	39.00	19,890.00	1,338.75	ST
<b>1,460</b>			<b>53,890.31</b>	<b>36.911</b>		<b>56,940.00</b>	<b>3,049.69</b>	<b>.128</b>
585	UNITED HEALTHCARE CORP	01/07/99	27,933.75	47.75	53.125	31,078.13	3,144.38	ST
180	SYMBOL: UNH/RATING: B+	01/11/99	8,313.75	46.187	53.125	9,562.50	1,248.75	ST
240		03/31/99	12,720.00	53.00	53.125	12,750.00	30.00	ST
<b>1,005</b>			<b>48,967.50</b>	<b>48.724</b>		<b>53,390.63</b>	<b>4,423.13</b>	<b>.056</b>
940	WEATHERFORD INTL INC	01/07/99	20,033.75	21.312	39.938	37,541.72	17,507.97	ST
140	SYMBOL: WFT/RATING: B-	01/11/99	3,281.25	23.437	39.938	5,591.32	2,310.07	ST
275		03/31/99	7,682.81	27.937	39.938	10,982.95	3,300.14	ST
<b>1,355</b>			<b>30,997.81</b>	<b>22.877</b>		<b>54,115.99</b>	<b>23,118.18</b>	<b>30.15</b>
<b>Total common stocks and options</b>			<b>\$ 711,810.52</b>			<b>\$ 1,057,286.40</b>	<b>\$ 340,033.38**</b>	<b>ST</b>
<b>Total portfolio value</b>			<b>\$ 713,467.22</b>			<b>\$ 1,058,953.10</b>	<b>\$ 0.00**</b>	<b>LT</b>
						<b>\$ 340,033.38**</b>	<b>ST</b>	<b>.28</b>
						<b>\$ 0.00**</b>	<b>LT</b>	<b>\$ 3,103.20</b>

\*\*Unrealized Gain/Loss is only calculated when an original cost basis is available.

### Transaction details

Date	Activity	Description	Quantity	Price	Amount
12/03/99	Bought	QUANTUM CORP HARD DISK DRIVE	460	\$ 6.659	\$ -3,063.42
12/27/99	Stk split	IDEC PHARMACEUTICALS CORP STK SPLIT ON 765 SHS	765		0.00
<b>Total securities bought and other subtractions</b>					
<b>Total securities sold and other additions</b>					
					<b>\$ -3,063.42</b>
					<b>\$ 0.00</b>

### Investment activity

### Money funds activity

Date	Activity	Description	Amount
<b>Opening money funds balance</b>			
			<b>\$ 4,006.15</b>
12/02/99	AutoInvest	SB MONEY FUNDS CASH PORT CL A	336.00
12/03/99	Redemption	SB MONEY FUNDS CASH PORT CL A	-3,063.42
<b>Closing balance</b>			<b>\$ 1,656.70</b>

All transactions are traded at \$1.00 per share.

MONEY FUNDS EARNINGS REINVESTED  
(SEE DETAILS UNDER EARNINGS DETAILS)



## Select Client FMA PLUS December 1 - December 31, 1999

JAMES T. ROGERS

Account number 592-33022-19 123

### Cash and money funds balance

	This period	This year
Opening balance	\$ 187,991.55	
Securities bought and other subtractions	-604,936.98	
Securities sold and other additions	600,000.00	
Deposits	0.00	1,190,000.00
Withdrawals	-30,000.00	-30,000.00
FMA Card activity	0.00	-36,679.19
Checks written	0.00	-26,580.00
Dividends credited	3,336.98	
Money funds earnings reinvested	742.12	
<b>Closing balance</b>	<b>\$ 157,133.67</b>	

### Portfolio summary

	This period	Year-to-date
Opening value of securities	1,324,508.57	167,134.62
+ Opening cash balance	0.00	0.00
= Opening portfolio balance	1,324,508.57	167,134.62
Securities deposited/withdrawn net	0.00	33,081.00
Capital deposited/withdrawn net	-30,000.00	1,096,740.81
Adjusted opening value	1,294,508.57	1,296,956.43
Closing value of securities	1,383,987.91	1,383,987.91
+ Closing cash balance	0.00	0.00
= Closing portfolio value	1,383,987.91	1,383,987.91

Note: Securities deposited/withdrawn net = The value of securities on the day they were debited from or credited to the account as reflected in the "Other security activity" section.

Capital deposited/withdrawn net = Cash withdrawals and deposits, credit card payments, FMA Card activity, checks written, and Money funds/Insured Deposit Account<sup>SM</sup> transfers.

### Portfolio details

The values of your holdings are as of 12/31/99. Please note, unrealized gain/(loss) is being prepared for informational purposes only and should not be used for tax preparation without the assistance of your tax advisor.

Number of shares	Description	Current value	Accrued dividends	Annualized % dividend Yield/Anticipated Income (annualized)
157,133.67	SB MONEY FUNDS CASH PORT CL A	\$ 157,133.67	\$ 89.03	5.17%
<b>Total money funds</b>		<b>\$ 157,133.67</b>	<b>\$ 89.03</b>	<b>\$ 8,123.81</b>
				<b>5.16</b>
				<b>\$ 8,123.81</b>

### Money funds

Please refer to the back of page 1 for definitions of research ratings.

### Common stocks & options

Quantity	Description	Date acquired	Cost	Share cost	Current share price	Current value	Unrealized gain/(loss)	Average % Yield/Anticipated Income (annualized)
100	EL SITIO INC-USD SYMBOL: LCTO In cash account	12/09/99	\$ 1,600.00	\$ 16.00	\$ 36.75	\$ 3,675.00	\$ 2,075.00	ST
300	GARGOYLES INC	09/26/96	4,800.00	16.00	.24	72.00	(4,728.00)	LT
400	SYMBOL: GOYL	09/27/96	9,312.50	23.281	.24	96.00	(9,216.50)	LT
4,000		12/02/96	38,412.00	9.602	.24	960.00	(37,452.00)	LT
<b>4,700</b>			<b>52,524.50</b>	<b>11.175</b>		<b>1,128.00</b>	<b>(51,396.50)</b>	
100	GETTHERE.COM INC SYMBOL: GTHR In cash account	11/23/99	1,600.00	16.00	40.25	4,025.00	2,425.00	ST

## Select Client FMA PLUS December 1 - December 31, 1999

Ref: 00001106 00025456

JAMES T. ROGERS

Account number 592-33022-19 123

### Common stocks & options continued

Quantity	Description	Date acquired	Cost	Share cost	Current share price	Current value	Unrealized gain/(loss)	Average % Yield/Anticipated Income (annualized)
10,000	MOBILEMEDIA CORP CLASS A	11/26/96	\$ 15,374.00	\$ 1.537	\$ .016	\$ 160.00	(\$ 15,214.00)	LT
300	SYMBOL: MBLM Q	11/26/96	462.00	1.54	.016	4.80	(457.20)*	LT
<b>10,300</b>			<b>15,836.00</b>	<b>1.537</b>		<b>164.80</b>	<b>(15,671.20)</b>	
22.5	PALATIN TECHNOLOGIES INC (NEW)	10/28/93	8,459.01	375.956	2.50	56.25	(8,402.76)	LT
2.5	SYMBOL: PTN	10/28/93	900.00	360.00	2.50	6.25	(893.75)	LT
<b>25</b>			<b>9,359.01</b>	<b>374.36</b>		<b>62.50</b>	<b>(9,296.51)</b>	
700	STARTER CORP	04/08/93	18,139.87	25,375		Unpriced	N/A	
300	SYMBOL: STAZQ	04/08/93	6,450.00	21.50		Unpriced	N/A	
<b>1,000</b>			<b>24,589.87</b>	<b>24.59</b>		<b>0.00</b>		
100	TB WOODS CORP	02/07/96	1,200.00	12.00	8.50	850.00	(350.00)	LT
900	SYMBOL: TBW	02/08/96	11,178.39	12.25	8.50	7,650.00	(3,528.39)	LT
4,000		05/14/96	42,427.29	10.50	8.50	34,000.00	(8,427.29)	LT
<b>5,000</b>			<b>54,805.68</b>	<b>10.961</b>		<b>42,500.00</b>	<b>(12,305.68)</b>	<b>4.235</b>
<b>15,000</b>	TUFCO TECHS INC	01/21/94	<b>135,000.00</b>	<b>9.00</b>	<b>10.375</b>	<b>155,625.00</b>	<b>20,625.00*</b>	<b>LT</b>
	SYMBOL: TFCO							<b>1,800.00</b>
<b>Total common stocks and options</b>			<b>\$ 285,315.06</b>			<b>\$ 207,180.30</b>	<b>\$ 4,500.00</b>	<b>ST</b>
						<b>( \$ 68,044.89)</b>	<b>LT</b>	<b>\$ 1,800.00</b>

### Mutual funds

Yield is the current distribution annualized, divided by the fund's net asset value at the end of the statement period. Distributions may consist of income, capital gains or the return of capital. Distributions for funds not sponsored by Salomon Smith Barney are based upon information provided by an outside vendor and are not verified by Salomon Smith Barney. "Total Value (Tax Based)" is being provided for information purposes only. "Fund Performance", when shown, is provided to assist you in comparing your total investment, excluding reinvested distributions, with the current value of the fund's shares in your account. "Fund Performance" does not take into account cash distributions.

Number of shares	Description	Date acquired	Cost	Share cost	Current share price	Current value	Unrealized gain/(loss)	Yield/Anticipated Income (annualized)
<b>3,656.753</b>	SMITH BARNEY AGGRESSIVE GROWTH FUND CL A	12/29/99	<b>\$ 300,000.00</b>	<b>\$ 82.04</b>	<b>\$ 82.78</b>	<b>\$ 302,706.01</b>	<b>\$ 2,706.01</b>	<b>ST</b>
In cash account								
21,929.825	SMITH BARNEY SMALL CAP GROWTH FUND CLASS A	11/29/99	250,000.00	11.40	14.64	321,052.64	71,052.64	ST
20,790.021	In cash account	12/29/99	300,000.00	14.43	14.64	304,365.91	4,365.91	ST
<b>42,719.846</b>	<b>Total Purchases</b>		<b>550,000.00</b>	<b>12.87</b>	<b>14.64</b>	<b>625,418.55</b>	<b>75,418.55</b>	
26.856	Reinvestments to date		370.61	13.799	14.64	393.17	22.56	ST
<b>42,746.702</b>	<b>Total Value (Tax based)</b>		<b>550,370.61</b>	<b>12.875</b>		<b>625,811.72</b>	<b>75,441.11</b>	
<b>Fund Performance</b>			<b>550,000.00</b>			<b>625,811.72</b>	<b>75,811.72</b>	

## Select Client FMA PLUS December 1 - December 31, 1999

Ref: 00001106 00025457

JAMES T. ROGERS

Account number 592-33022-19 123

### Mutual funds continued

Number of shares	Description	Date acquired	Cost	Share cost	Current share price	Current value	Unrealized gain/(loss)	Yield/Anticipated Income (annualized)
4,611.248	SMITH BARNEY MANAGED MUNICIPALS FUND CL A	06/15/99	\$ 70,413.76	\$ 15.27	\$ 14.15	\$ 65,249.16	(\$ 5,164.60)	ST
	In cash account							
<b>4,611.248</b>	<b>Total Purchases</b>		<b>70,413.76</b>	<b>15.27</b>	<b>14.15</b>	<b>65,249.16</b>	<b>(5,164.60)</b>	
1,824.595	Reinvestments to date		26,769.39	14.671	14.15	25,818.02	(951.37)	ST
<b>6,435.843</b>	<b>Total Value (Tax based)</b>		<b>97,183.15</b>	<b>15.10</b>		<b>91,067.18</b>	<b>(6,115.97)</b>	<b>5.17</b>
	<b>Fund Performance</b>							<b>4,708.17</b>
	Total mutual funds (Tax based)		\$ 947,553.76			\$ 1,019,504.91	\$ 72,031.15	ST
	Total portfolio value		\$ 1,400,002.49			\$ 1,383,898.88	\$ 76,531.15	ST
						(\$ 68,044.99)	LT	1.05
								\$ 14,631.98
								20,653.42 <sup>1</sup>

(SEE DETAILS UNDER EARNINGS DETAILS) 742.12  
Closing balance \$ 157,133.67

### Earnings details

The tax status of earnings is reliable to the best of our knowledge. Taxable and non-taxable designations refer to the federal income tax status of your securities, not of your account.

Date	Description	Comment	Taxable	Non-taxable	Amount
12/23/99	SMITH BARNEY SMALL CAP GROWTH FUND CLASS A	CASH DIV	\$ 370.61		\$ 370.61
12/30/99	SMITH BARNEY MANAGED MUNICIPALS FUND CL A	RECORD 12/21/99 PAY 12/23/99		2,966.37	2,966.37
		CASH DIV			
		RECORD 12/27/99 PAY 12/30/99			
	<b>Total dividends earned</b>		<b>\$ 370.61</b>	<b>\$ 2,966.37</b>	<b>\$ 3,336.98</b>

### Dividends

Date	Description	Comment	Taxable	Non-taxable	Amount
12/10/99	SB MONEY FUNDS CASH PORT CL A	REINVESTED	\$ 350.21		\$ 350.21
		FOR PERIOD 11/15/99-12/12/99			
		28 DAYS AVERAGE YIELD 5.10 %			
12/29/99	SB MONEY FUNDS CASH PORT CL A	REINVESTED	391.91		391.91
		FOR PERIOD 12/13/99-12/29/99			
		17 DAYS AVERAGE YIELD 5.16 %			
	<b>Total earnings from money funds</b>		<b>\$ 742.12</b>	<b>\$ 0.00</b>	<b>\$ 742.12</b>

### Money funds earnings





## Select Client Statement December 1 - December 31, 1999

**JAMES T. ROGERS**

Account number 592-33023-18 123

### Common stocks & options *continued*

Quantity	Description	Date acquired	Cost	Share cost	Current share price	Current value	Unrealized gain/(loss)	Average % Yield/Anticipated Income (annualized)
470	TIME WARNER HOLDINGS CO SYMBOL: TWX/RATING: B-	02/03/97	\$ 9,155.06	\$ 19.478	\$ 72.313	\$ 33,987.11	\$ 24,832.05	LT .248% \$ 84.60
450	TYCO INTERNATIONAL LTD -NEW- SYMBOL: TYC/RATING: B+	12/13/99	14,273.96	31.719	39.00	17,550.00	3,276.04	ST .128 22.50
410	WELLS FARGO & CO NEW SYMBOL: WFC/RATING: A	04/15/97	10,543.27	25.715	40.438	16,579.58	6,036.31	LT
700		01/12/98	22,076.22	31,537	40.438	28,306.60	6,230.38	LT
440		06/07/99	17,933.26	40,757	40.438	17,792.72	(140.54)	ST
1,550			50,552.75	32,615		62,678.90	12,126.15	1.978 1,240.00
300	WM WRIGLEY JR CO SYMBOL: WWY/RATING: A+	05/29/96	16,295.10	54.317	82.938	24,881.40	8,586.30	LT
440		06/16/99	37,403.56	85,008	82.938	36,492.72	(910.84)	ST
150		12/07/99	12,294.59	81,963	82.938	12,440.70	146.11	ST
890			65,993.25	74,15		73,814.82	7,821.57	1.688 1,246.00
<b>Total common stocks and options</b>			<b>\$ 842,969.29</b>			<b>\$ 1,488,638.06</b>	<b>\$ 66,081.80</b>	<b>ST</b> 75
<b>Total portfolio value</b>			<b>\$ 848,048.26</b>			<b>\$ 1,503,717.03</b>	<b>\$ 66,081.80</b>	<b>ST</b> 77
						<b>\$ 589,576.97</b>	<b>\$ 589,576.97</b>	<b>LT</b> \$ 11,331.03

\*Based on information supplied by client, not verified by Salomon Smith Barney.

### Transaction details

Date	Activity	Description	Quantity	Price	Amount
12/01/99	Merger	EXXON MOBIL CORP			\$ 22.36
12/01/99	Merger	EXXON MOBIL CORP	673		0.00
12/01/99	Merger	MOBIL CORP	-510		0.00
12/10/99	Bought	WM WRIGLEY JR CO	150	81.963	-12,294.59
12/14/99	Bought	COCA-COLA CO	120	61.47	-7,376.47
12/16/99	Bought	TYCO INTERNATIONAL LTD -NEW-	450	31.719	-14,273.96

### Investment activity

## Select Client Statement December 1 - December 31, 1999

JAMES T. ROGERS

Account number 592-33023-18 123

**Common  
stocks &  
options**  
*continued*

Quantity	Description	Date acquired	Cost	Share cost	Current share price	Current value	Unrealized gain/(loss)	Average % Yield/Anticipated Income (annualized)
700	HOME DEPOT INC SYMBOL: HD/RATING: A + Stock split pending	04/11/96	\$ 10,385.20	\$ 14.836	\$ 103.125	\$ 72,187.50	\$ 61,802.30	LT .155% \$ 112.00
900	HOUSEHOLD INTERNATIONAL INC SYMBOL: HI/RATING: B +	04/11/96	19,366.50	21.518	37.25	33,525.00	14,158.50	LT 1.825 612.00
900	INTEL CORP SYMBOL: INTC/RATING: A -	04/08/96	13,443.75	14.937	82.313	74,081.70	60,637.95	LT
600		06/03/99	30,424.56	50.707	82.313	49,587.80	18,963.24	ST
1,500			43,868.31	29.246		123,469.50	79,601.19	.145
200	JOHNSON & JOHNSON	05/07/97	12,119.20	60.596	93.25	18,650.00	6,530.80	LT 180.00
300	SYMBOL: JNJ/RATING: A +	01/02/98	23,283.00	77.61	93.25	27,975.00	4,692.00*	LT
500			35,402.20	70.804		46,625.00	11,222.80	1.201 560.00
480	LUCENT TECHNOLOGIES INC SYMBOL: LU	09/30/98	16,571.57	34.524	75.00	36,000.00	19,428.43	LT .106 38.40
740	MCDONALDS CORP SYMBOL: MCD/RATING: A +	12/04/96	17,114.35	23.127	40.313	29,831.62	12,717.27	LT
240		07/08/99	9,755.04	40.646	40.313	9,675.12	(79.92)	ST
60		12/16/99	2,491.00	41.516	40.313	2,418.78	(72.22)	ST
1,040			29,360.39	28.231		41,925.52	12,565.13	.483 202.80
320	MERCK & CO INC SYMBOL: MRK/RATING: A +	04/23/96	9,820.00	30.687	67.188	21,500.16	11,680.16	LT
20		04/15/99	1,564.87	78.243	67.188	1,343.76	(221.11)	ST
340			11,384.87	33.485		22,843.92	11,459.05	1.728 394.40
540	MICROSOFT CORP SYMBOL: MSFT/RATING: A -	07/24/96	7,644.22	14.155	116.75	63,045.00	55,400.78	LT
350	PEPSICO INC SYMBOL: PEP/RATING: A	09/13/96	9,662.29	27.606	35.25	12,337.50	2,675.21	LT
670		04/22/99	25,034.01	37.364	35.25	23,617.50	(1,416.51)	ST
1,020			34,696.30	34.016		35,955.00	1,258.70	1.531 550.80
600	TEXAS INSTRUMENTS INC SYMBOL: TXN/RATING: B	11/07/97	16,735.59	27.892	96.625	57,975.00	41,239.41	LT
240		11/07/97	6,694.24	27.892	96.625	23,190.00	16,495.76	LT
500		03/23/99	23,427.33	46.854	96.625	48,312.50	24,885.17	ST
1,340			46,857.16	34.968		129,477.50	82,620.34	.175 227.80

# Primary activity summary

## Primary Beneficiaries

Contact your Financial Consultant for the appropriate document to change or update your beneficiary information.

1999

1998

### Contributions

Employer contributions \$ 30,000.00

\$ 0.00

### Portfolio details

The values of your holdings are as of 12/31/99. Please note, unrealized gain/(loss) is being prepared for informational purposes only and should not be used for tax preparation without the assistance of your tax advisor.

### Money funds

Number of shares	Description	Current value	Accrued dividends	Annualized % dividend Yield/Anticipated Income (annualized)
25.61	SB MONEY FUNDS CASH PORT CL A	\$ 25.61	\$ .01	6.17% \$ 1.32
<b>Total money funds</b>		<b>\$ 25.61</b>	<b>\$ .01</b>	<b>6.15</b> <b>\$ 1.32</b>

# SALOMON SMITH BARNEY

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## Select Client SERP Statement December 31, 1999

JAMES T ROGERS

Account number 592-65896-14 123

### Mutual funds

Yield is the current distribution annualized, divided by the fund's net asset value at the end of the statement period. Distributions may consist of income, capital gains or the return of capital. Distributions for funds not sponsored by Salomon Smith Barney are based upon information provided by an outside vendor and are not verified by Salomon Smith Barney. "Total Value (Tax Based)" is being provided for information purposes only. "Fund Performance", when shown, is provided to assist you in comparing your total investment, excluding reinvested distributions, with the current value of the fund's shares in your account. "Fund Performance" does not take into account cash distributions.

Number of shares	Description	Date acquired	Cost	Share cost	Current share price	Current value	Unrealized gain/(loss)	Yield/Anticipated Income (annualized)
2,290.076	SMITH BARNEY SMALL CAP GROWTH FUND CLASS A	12/17/99	\$ 30,000.00	\$ 13.10	\$ 14.64	\$ 33,526.71	\$ 3,526.71	ST
<b>2,290.076</b>	<b>Total Purchases</b>		<b>30,000.00</b>	<b>13.10</b>	<b>14.64</b>	<b>33,526.71</b>	<b>3,526.71</b>	
2.804	Reinvestments to date		36.70	13.801	14.64	41.05	2.35	ST
<b>2,292.88</b>	<b>Total Value (Tax based)</b>		<b>30,036.70</b>	<b>13.101</b>		<b>33,567.76</b>	<b>3,528.06</b>	
<b>Fund Performance</b>			<b>30,000.00</b>			<b>33,567.76</b>		
<b>Total mutual funds (Tax based)</b>			<b>\$ 30,036.70</b>			<b>\$ 33,567.76</b>	<b>\$ 3,528.06</b>	<b>ST</b>
<b>Total portfolio value</b>			<b>\$ 30,064.31</b>			<b>\$ 33,593.37</b>	<b>\$ 3,528.06</b>	<b>ST</b>
						<b>\$ 0.00</b>	<b>\$ 0.00</b>	<b>LT</b>
						<b>\$ 0.00</b>	<b>\$ 1.32</b>	<b>LT</b>

Ref: 00005965 00033395



**Dividends**

Date	Description	Comment	Taxable	Non-taxable	Amount
12/16/99	AIM DEVELOPING MARKETS CLASS A	PERIODIC DIST ON 10630.548 SHS	\$ 419.91		\$ 419.91
		REINVEST DIVIDEND			
		RECORD 12/14/99 PAY 12/15/99			
12/23/99	SMITH BARNEY SMALL CAP GROWTH FUND CLASS A	CASH DIV	411.74		411.74
		RECORD 12/21/99 PAY 12/23/99			
<b>Total dividends earned</b>			<b>\$ 831.65</b>	<b>\$ 0.00</b>	<b>\$ 831.65</b>

**Money funds earnings**

Date	Description	Comment	Taxable	Non-taxable	Amount
12/08/99	SB MONEY FUNDS CASH PORT CL A	ACCRUED DIVIDEND	\$ 574.05		\$ 574.05
12/10/99	SB MONEY FUNDS CASH PORT CL A	REINVESTED FOR PERIOD 11/15/99-12/12/99	.01		.01
		28 DAYS AVERAGE YIELD 5.10 %.			
12/29/99	SB MONEY FUNDS CASH PORT CL A	REINVESTED FOR PERIOD 12/13/99-12/29/99	.02		.02
		17 DAYS AVERAGE YIELD 5.16 %.			
<b>Total money funds earnings credited to account</b>					
<b>Total money funds earnings reinvested</b>					<b>\$ 574.05</b>
<b>Total earnings from money funds</b>			<b>\$ 574.08</b>	<b>\$ 0.00</b>	<b>\$ 574.08</b>

**SALOMON SMITH BARNEY**

A member of citigroup

Ref: 00001106 00025471

**Select Client IRA Statement**  
December 1 - December 31, 1999

JAMES T. ROGERS

Account number 592-61256-17 123

**Gain/loss details**

Please note, this material is being prepared for informational purposes only and should not be used for tax preparation without the assistance of your tax advisor. Trades are allocated using the FIFO (first in-first out) method. Day traders should therefore not rely on this section for day trading results. The Gain/Loss details section does not reflect fractional shares or cash paid in lieu of fractional shares but rather reduces the cost basis of the security involved. This reduction is not reflected as a Realized transaction.

**Realized gain or loss**

Description	Original trade date	Closing trade date	Quantity	Purchase price	Sale price	Cost basis	Proceeds	Realized gain or (loss)
TUFCO TECHS INC LEAVES 1,500	01/21/94	11/26/99 Sold	500	\$ 9.00	\$ 8.625	\$ 4,500.00	\$ 4,262.35	(\$ 237.65) LT
TUFCO TECHS INC LEAVES 1,100	01/21/94	11/26/99 Sold	400	9.00	8.625	3,600.00	3,409.88	(190.12) LT
TUFCO TECHS INC	01/21/94	11/26/99 Sold	1,100	9.00	8.625	9,900.00	9,372.18	(527.82) LT
<b>Total</b>			<b>2,000</b>			<b>\$ 18,000.00</b>	<b>\$ 17,044.41</b>	<b>(\$ 955.59)</b>

# SALOMON SMITH BARNEY

A member of citigroup

Ref: 00001106 00025469

## Select Client IRA Statement December 1 - December 31, 1999

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JAMES T. ROGERS

Account number 592-61256-17 123

Yield is the current distribution annualized, divided by the fund's net asset value at the end of the statement period. Distributions may consist of income, capital gains or the return of capital. Distributions for funds not sponsored by Salomon Smith Barney are based upon information provided by an outside vendor and are not verified by Salomon Smith Barney. "Total Value (Tax Based)" is being provided for information purposes only. "Fund Performance", when shown, is provided to assist you in comparing your total investment, excluding reinvested distributions, with the current value of the fund's shares in your account. "Fund Performance" does not take into account cash distributions.

Number of shares	Description	Date acquired	Cost	Share cost	Current share price	Current value	Unrealized gain/(loss)	Yield/Anticipated income (annualized)
4,299.9992	AIM DEVELOPING MARKETS CLASS A	01/11/94	\$ 64,500.00	\$ 15.00	\$ 12.36	\$ 53,147.99	(\$ 11,352.01)	LT
4.300		06/30/94	46,676.56	10.75	12.36	53,148.00	6,471.44	LT
<b>8,599.9992</b>	<b>Total Purchases</b>		<b>111,176.56</b>	<b>12.93</b>	<b>12.36</b>	<b>106,295.99</b>	<b>(4,880.57)</b>	
2,030.5488	Reinvestments to date			10.911	12.36	25,097.58	2,941.45	LT
37.425	Reinvestments to date			419.91	11.22	462.57	42.66	ST
<b>10,667.973</b>	<b>Total Value (Tax based)</b>		<b>133,752.60</b>	<b>12.538</b>		<b>131,856.14</b>	<b>(1,896.46)</b>	
	<b>Fund Performance</b>					<b>111,176.56</b>		<b>.315</b>
21,929.825	SMITH BARNEY SMALL CAP GROWTH	11/26/99	250,000.00	11.40	14.64	131,856.14	71,052.64	ST
2,433.305	FUND CLASS A	12/03/99	28,859.00	11.86	14.64	321,052.64	6,764.59	ST
<b>24,363.13</b>	<b>Total Purchases</b>		<b>278,859.00</b>	<b>11.45</b>	<b>14.64</b>	<b>356,676.23</b>	<b>77,817.23</b>	
29.836	Reinvestments to date			411.74	13.80	436.80	25.06	ST
<b>24,392.966</b>	<b>Total Value (Tax based)</b>		<b>279,270.74</b>	<b>11.449</b>		<b>357,113.03</b>	<b>77,842.29</b>	
	<b>Fund Performance</b>					<b>278,859.00</b>		<b>78,254.03<sup>1</sup></b>

payments, RMA Card activity, checks written, and Money funds/Insured deposits Account<sup>SM</sup> transfers.

### Portfolio details

The values of your holdings are as of 12/31/99. Please note, unrealized gain/(loss) is being prepared for informational purposes only and should not be used for tax preparation without the assistance of your tax advisor.

### Money funds

Number of shares	Description	Current value	Accrued dividends	Annualized % dividend Yield/Anticipated Income (annualized)
24.78	SB MONEY FUNDS CASH PORT CL A	\$ 24.78	\$ .01	5.17% \$ 1.28
<b>Total money funds</b>		<b>\$ 24.78</b>	<b>\$ .01</b>	<b>5.16%</b> <b>\$ 1.28</b>

### Common stocks & options

Quantity	Description	Date acquired	Cost	Share cost	Current share price	Current value	Unrealized gain/(loss)	Average % Yield/Anticipated Income (annualized)
5	APPONLINE.COM INC SYMBOL: AOP		Please provide	\$ 1.438	\$ 7.19		Not available	
5	FIRST EQUITIES CORP		Please provide		Unpriced		Not available	
5	IMN EQUITY CORP RESTRICTED DIVIDEND SHS		Please provide		Unpriced		Not available	
<b>Total common stocks and options</b>			<b>\$ 0.00</b>		<b>\$ 7.19</b>		<b>\$ 0.00<sup>ST</sup></b> <b>\$ 0.00<sup>LT</sup></b>	<b>0.00</b> <b>\$ 0.00</b>