### SENATE HEARING SLIP

(Please Print Plainly)

Senate Sergeant At Arms P. O. Box 7882 State Capitol Madison, WI 53707-7882	Please return this slip to a messenger PROMPTLY	Speaking for information only;  Neither for nor against:	Registering Against: but not speaking:	Registering in Favor:	Speaking Against:	Speaking in Favor:	(Representing)	Self	(City and Zip Code)	Lake Geneva, WI 53147	(Street Address or Route Number)	1760 Hillcrest Drive	(Name)	Spyro Condos		SUBJECT: Gubernatorial Appointment	BILL NO.	DATE: Wednesday, March 17, 1999
	TY					XXX										111		

### SENATE HEARING SLIP (Please Print Plainly)

DATE: Wednesday, March 17, 1999

SUBJECT:	01	BILL NO.
SUBJECT: Gubernatorial Appointment		

(Name) Maura McMahon

1302A Martha Washington Drive

(Street Address or Route Number)

Wauwatosa, WI 53213

(City and Zip Code)

(Representing)

Self

**Speaking Against:** 

Speaking in Favor:

Registering in Favor: Registering Against: but not speaking:

Speaking for information only; Neither for nor against:

but not speaking:

Please return this slip to a messenger PROMPTLY

Senate Sergeant At Arms P. O. Box 7882

Madison, WI 53707-7882

State Capitol

SENATE HEARING SLIP (Please Print Plainly)

DATE: Wednesday, March 17, 1999

BILL NO.

**SUBJECT:** Gubernatorial Appointments

(Name) Nora K. Weber

(Street Address or Route Number) 115 East - State Capitol

Madison, WI 53702

(City and Zip Code)

(Representing)

Governor's Office

Speaking in Favor:

XXXXX

Speaking Against:

Registering in Favor: but not speaking:

XXXXX

Registering in Favor: but not speaking:

Speaking for information only; Neither for nor against:

Senate Sergeant At Arms P. O. Box 7882

Please return this slip to a messenger PROMPTLY

State Capitol Madison, WI 53707-7882

### SENATE HEARING SLIP

SENATE HEARING SLIP (Please Print Plainly)

(Please Print Plainly)

DATE: Monday, March 27, 2000	DATE: Monday, March 27, 2000
BILL NO.	BILL NO.
or SUBJECT: Gubernatorial Appointment	
Roberta A. Harris	Nora K. Weber
(Name)	(Name)
3444 N. 51st Boulevard	125 South - State Capitol
(Street Address or Route Number)	(Street Address or Route Number)
Milwaukee, WI 53216	Madison, WI 53702
(City and Zip Code)	(City and Zip Code)
Self	Governor's Office
(Representing)	(Representing)
Speaking in Favor:	XXXXX Speaking in Favor:
Speaking Against:	Speaking Against:
Registering in Favor: but not speaking: Registering Against:	Registering in Favor:  but not speaking:  Registering Against:
Speaking for information only;  Neither for nor against:	Speaking for information only;
Please return this slip to a messenger PROMPTLY	Y Please return this slip to a messenger PROMPTLY
Senate Sergeant At Arms P. O. Box 7882	Senate Sergeant At Arms P. O. Box 7882
State Capitol Madison, WI 53707-7882	State Capitol Madison, WI 53707-7882

XXXX



### STATE OF WISCONSIN **ETHICS BOARD**



James R. Morgan Chairman Paul M. Holzem David L. McRoberts Robert G. Borgwardt Joanne R. Orr Dorothy C. Johnson On the capitol square at: 44 EAST MIFFLIN STREET, STE 601 MADISON, WISCONSIN 53703-2800 phone: 608/266-8123 fax: 608/264-9309 ethics@mail.state.wi.us

> Roth Judd Director

NOMINEE:

Spyro Condos

POSITION:

Member, Judicial Commission

(public member)

STATUTORY

REFERENCE:

**757.83 Judicial commission.** (1) MEMBERSHIP; APPOINTMENT; TERMS.

(a) There is created a judicial commission of 9 members: 5 nonlawyers nominated by the governor and appointed with the advice and consent of the senate; one trial judge of a court of record and one court of appeals judge appointed by the supreme court; and 2 members of the state bar of Wisconsin, who are not judges or court commissioners, appointed by the supreme court. The commission shall elect one of its members as chairperson.

(b) The term of a member is 3 years, but a member shall not serve more than 2 consecutive full terms.

Mail or Fax to:

Wisconsin Ethics Board 44 E. Mifflin St., Suite 601 Madison, WI 53703-2800 Fax: (608) 264-9309



Filed in 1998 for calendar year 1997

Print legibly in black ink or type

### Statement of Economic Interests

NAME:

Condos, Spyro G.

Member

Judicial Commission

STATE POSITION HELD OR SOUGHT:

Please return this completed form to the Wisconsin Ethics Board by April 30, 1998.

ABOUT THIS FORM: This Statement of Economic Interests has been prescribed by the Wisconsin Ethics Board pursuant to §19.44, Wisconsin Statutes. The Statement is divided into several parts. Most parts ask for information about you as well as immediate family members. The information requested concerns the previous calendar year and, for some items, requires a snapshot of economic interests held on a specified date.

Part 1 of this Statement asks you to identify your family's employers. Part 2 asks for information about your family's ownership interests in businesses and enterprises. Part 3 asks you to identify your family's real estate holdings. Part 4 asks you to identify certain of the clients, customers, and tenants of the businesses and real estate you listed in Parts 2 and 3. Part 5 asks you to identify sources from which you received business entertainment and other gifts. Part 6 asks you to identify sources from which you received honoraria or payment of expenses. Part 7 asks you to identify all other sources from which you or your family received income. Part 8 asks you to identify securities which your family owned either directly or indirectly. Part 9 asks you to identify certain organizations in which you or an immediate family member was an officer or director. Part 10 asks you to identify certain organizations of which you or your family was an authorized representative or legal agent. Finally, Part 11 asks you to identify certain of your family's creditors.

Complete each part of this Statement. If any item does not apply, check (</) the box marked "None or Not Applicable."

Attach additional pages if necessary.

Definitions: "Income" means gross income before deductions and depreciation, from whatever source derived, as defined by the Internal Revenue Code, but excludes dividends and interest. "Immediate family member" means your spouse, and any child, step-child, parent, or parent-in-law who receives more than one-half of his or her support from you or from whom you receive more than one-half of your support. A "lobbyist" is an individual whose duties include trying to influence legislation or administrative rules in Wisconsin by communicating with an elected state official, agency official, or legislative employee on another's behalf for pay.

- Questions about completing this form? Call (608) 266-8115.
- Other inquiries (608) 266-8123.

Pa	ırt	1.	Empl	oyers
10	II.	ı.	runbi	oyers

List each employer from which you or an immediate family member received income of \$1,000 or more in 1997. You do not have to identify any source of income from which you or an immediate family member received less than \$1,000. You do not have to identify any employer who is an individual unless the income you or your family received came from the individual's operation of a business or the individual was a lobbyist.

a dusing	ess or the individual wa	as a lobbyist.
Check (✓) if None or Not Applicable  Name of employer	~:	
(If State of Wisconsin, identify agency or institution)	City and state	General nature of business
Part 2. Ownership interests in b		
List each partnership (general, limited or limited including service corporations), proprietorship, business or enterprise in which you or an immediate together, owned or controlled at least a 10% intermanufacturing companies, sales and service operations, immediate family member was self-employed, but did not tomers and clients in Part 4. If you or an immediate fa operate under a business name, merely list, in Part 3, t tenants.	ate family member, cest on December 31, real estate rental, an operate under a busine	ompany, firm, franchise, or other directly or indirectly, separately of , 1997. Businesses can include farm and professional practices. If you or a less name, merely list the business's cu
Check (1) if None or Not Applicable		e de la companya de l
	General nature of business	Form of business organization (service corporation, subchapter S or C corporation, partnership, proprietorship, etc.)
		14 WS
Rest	Symt,	Justiper sig
for the businesses listed above, your identification must orporation, its officers and directors; (b) for a limited liabi- usiness, its owners. Because limited partnerships, limited to do business in Wisconsin file this information with the ecord, no further information is required about them. For elow, or explain where such information is available as a pre-	uity company, its mana ed liability companies, e Department of Finan r other types of busines	agers or members; and (c) for any other and corporations created or registered
Check (1) if None or Not Applicable		
Business Name of owner	rs or partners	City and state
1/0510 Anto		
1-11-11-11-11-11-11-11-11-11-11-11-11-1		

Comas

Identify all real estate located in Wis 10% interest valued at \$5,000 on Dec through: (a) a partnership; (b) a corporate unless you used it for the conduct of a business.	ion; (c) a trust or (d) other	real estate you or	
Check (✓) if None or Not Applicab			
Location of property (street address or fire number, municipality, and county)	Type of property (e.g., farm, recreations commercial, rental)	al, (own,	nre of interest lease, option, easement, contract)
1760 Hillorest	Peroe Hor	use	oun
11 ka beent	water	<b>/</b>	
	`		
Part 4. Customers, client Identify every source of income of \$1,00 a C corporation, and for every property and other source of income.  *Do not identify an individual unless the If your business received income from a third a realtor, travel agent, or medical practice)	00 or more in 1997 of every listed in Part 3. This me e individual was a lobby	ry business that yneans identify each	you listed in Part 2, excep ch customer, client, tenan
You do not have to list:  • a corporation's sources of income if the Internal Revenue Code	e corporation was not a ser	vice corporation or	er, client, or tenant.  an "S" corporation under the
<ul> <li>sources of income of a business in Par received less than \$1,000.</li> <li>an individual who was not a lobbyist</li> <li>a decedent's estate.</li> </ul>	t 2 or property in Part 3 fr	com which you or a	n immediate family member
Check (✓) if None or Not Applicable			
Customer, client, or tenar		City and stat	e

Part 3. Real estate.

Name \_\_\_\_\_

### Part 5. Business entertainment and other gifts.

List all individuals and organizations from which you received, in 1997, entertainment or gifts having a total value of more than \$50. Include tickets to sporting or theatrical events, golfing fees, prizes, samples and promotional items, items from sales representatives or as part of business promotions, and similar items. You do not have to report gifts received by immediate family members if they were not intended for you. A "gift" includes any money, property, favor, service, entertainment, travel, or payment furnished without valuable consideration. A "gift" does not include political contributions reported to the Elections Board, or meals, beverages, or lodging that an individual offers as hospitality at his or her own expense, and not as a business expense, for reasons unrelated to your holding state public office. You do not have to report gifts from your spouse, child, parent, brother, sister, grandchild, grandparent, aunt, uncle, niece, nephew, fiancé(e), parent-in-law, grandparent-in-law, brother-in-law, or sister-in-law.

holding state public office grandparent, aunt, uncle, : Check () if None o	You do not have to report gifts niece, nephew, fiancé(e), parent-in	from your spouse, child	, parent, brother, sister, grandch w, brother-in-law, or sister-in-law	.:1.3
Name of			City and state	
				_
				- -
Part 6. Honora	ria and payment of	expenses.		
expenses, or nonoraria i of a talk, participation :	organization from which <u>yo</u> naving a total value of more th in a meeting, or for a publish ncies. Honoraria you or an immo for in Part 7.	nan \$50, for attendand ned work about issues	ce at a conference, presentation	on
f you received it from a sound a matter of public record		m the agency of which w	our state public office is a next.	/o\
<b>△</b> Check (✓) if None or	Not Applicable			
Payer	Approximate value of expenses	Amount of honorarium	Circumstances of receipt	
				-

### Part 7. Other sources of income.

Identify any other sources, not previously identified, from which you or an immediate family member received income (other than dividends or interest) of \$1,000 or more in 1997. Include honoraria not elsewhere reported, Social Security payments, retirement benefits, directors' fees, commissions, proceeds from the sale of real estate, and the like. You do not have to list insurance benefits, inheritances, scholarships (if no teaching or services were required in return), or Wisconsin Retirement Fund benefits. You do not have to list proceeds from the sale of securities unless you know the purchaser's identity. You do not have to list individuals, unless the individual was a lobbyist.

Source of income	City and state

Name	

### Part 8. Stocks, bonds, mutual funds, and the like.

List securities, as explained below, that you and your immediate family owned on December 31, 1997 whose value was \$5,000 or more on that date.

List the following types of securities:

- stocks
- bonds
- mutual funds
- money market funds
- securities issued by the State of Wisconsin
- securities issued by governmental entities within Wisconsin
- limited partnerships
- commodity futures contracts

### Do not list:

- any security in which your family's interest was less than \$5,000 on December 31, 1997
- savings accounts
- checking accounts
- certificates of deposit
- annuities
- insurance contracts
- any security issued by the federal government or a government outside Wisconsin
- any security issued by an organization that does not do business in Wisconsin

Be sure to include securities held for you or your family in:

- a deferred compensation plan, profit-sharing plan, or pension plan whose investments you or your family directs
- an individual retirement account (IRA)
- a corporation, partnership, or other entity which you or your family controls.

List the security by name. Be specific. For example, list "Fidelity Puritan Fund -- mutual fund" and "IBM Corporation -- stock." Do not list "deferred compensation plan" or "IRA" or "Merrill Lynch account," since these terms do not identify the actual underlying securities.

For each security you list, place a check in one of the columns at the right to indicate the value of your family's interest in the security on December 31, 1997.

Check (✓) if None or Not Applicable

Name of security	Type of security	Value \$50,000 or less	Value More than \$50,000
1			

### Part 9. Offices and directorships.

List each business, labor union, association, cooperative, or other organization of which you or an immediate family member was, on December 31, 1997, an officer or director. You do not have to identify any charitable organizations (entities to which a contribution is tax deductible); political organizations (entities whose primary purpose is to influence voting); non-profit social or community service organizations; trusts; or federal, state, or local governments or governmental agencies.

	Check	(]`	) if No	ne or	Not A	nnlicah	ما
--	-------	-----	---------	-------	-------	---------	----

Business or organization	City and state	Position	
		•	

### Part 10. Representation of organizations.

List each business, labor union, association, cooperative, partnership, or other organization of which you or an immediate family member was, on December 31, 1997, an authorized representative or legal agent. List any organization which you or an immediate family member represented in the organization's dealings with others or on whose behalf you or an immediate family member was authorized to speak. Include business clients for which you or an immediate family member provided legal representation in dealings with other parties, organizations on whose behalf you or an immediate family member publicly appeared, and partnerships in which you or an immediate family member was a general partner. You do not have to identify any charitable organizations (entities to which a contribution is tax deductible); political organizations (entities whose primary purpose is to influence voting); non-profit social or community service organizations; trusts; federal, state, or local governments or governmental agencies; or organizations for which your efforts did not include representation to third parties. You do not have to list organizations already identified in Parts 1, 2, or 9.

Business or organization	City and state		
	and odde		
art 11. Creditors.			
	-		
st each creditor to whom you or im	mediate family members, individually or	togothon	
and the time and owed. Include bus	mess memiors if voli or an immediate femile	mbor mas a	t the right
the debt. Include your portion of any par	rtnership debts.	ember was pe	ersonally 1
Check (✓) if None or Not Applicable	e		
Creditor	City and state	Amount	A
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ertify that the information cont	tement to be sure that for each part, you less or Not Applicable." tained in this Statement of Economic of my knowledge, information, and be	Interests lief.	is true,
ertify that the information cont	tement to be sure that for each part, you less or Not Applicable." tained in this Statement of Economic of my knowledge, information, and be	Interests lief.	is true,
ertify that the information control of the best of the	tement to be sure that for each part, you has or Not Applicable." sained in this Statement of Economic of my knowledge, information, and be	Interests lief.	is true,
ertify that the information continuous and correct to the best of fature of person filing	tement to be sure that for each part, you lee or Not Applicable." tained in this Statement of Economic of my knowledge, information, and be to be a sure of the part of the pa	Interests lief.	is true,
ertify that the information continuous and correct to the best of	tement to be sure that for each part, you less or Not Applicable." cained in this Statement of Economic of my knowledge, information, and be	Interests lief.  248  ime telepho	is true,

6

Office Review



### **Governor State of Wisconsin**

July 22, 1998

To the Honorable Members of the Senate:

I am pleased to nominate and with the advice and consent of the Senate, do reappoint Spyro Condos to the Judicial Commission effective August 1, 1998, pursuant to the statute governing, to serve a three year term to expire August 1, 2001.

Mayor Condos will be available to the Senate for hearings and my staff will assist in any way they can.

Respectfully submitted,

TOMMY G. THOMPSON

Governor '

TGT/nkw





### Governor State of Wisconsin

### **GOVERNOR'S APPOINTMENT**

NAME/MAILING ADDRESS: Spyro Condos

1760 Hillcrest Drive

Lake Geneva, WI 53147

E-MAIL ADDRESS: not available

**RESIDES IN:** Lake Geneva

**TELEPHONE:** 414/248-3673 (W)

414/248-1700 (H)

OCCUPATION: Mayor

City of Lake Geneva

**APPOINTED TO:** Judicial Commission

(public member)

**TERM:** a three year term to expire August 1, 2001

SUCCEEDS: himself

SENATE CONFIRMATION: required

**DATE OF APPOINTMENT:** August 1, 1998

**DATE OF NOMINATION:** July 22, 1998

**COMPENSATION:** reimbursement of expenses





### Governor State of Wisconsin

July 22, 1998

Spyro Condos

1760 Hillcrest Drive

Lake Geneva, WI 53147

Dear Mayor Condos:

This letter is to confirm your nomination to the Judicial Commission effective August 1, 1998 to serve a three year term to expire August 1, 2001.

This nomination requires Senate confirmation and I have forwarded the necessary information to the Senate Chief Clerk so a hearing can be scheduled.

I am pleased you have agreed to take on this task, with your proven skills and dedication, I know you will do a superb job.

Sincerely.

TOMMY G. THOMPSON

Governor

TGT/nkw



### SPYRO G. CONDOS 1760 Hillcrest Drive Lake Geneva, WI 53147 414-248-1700

Married, four children: Wife, Patti Owner & Operator of the Harbor Side Cafe 100 Broad Street Lake Geneva, WI 53147 414-248-3835

Lifetime Resident Of Lake Geneva

\* Graduate Of Badger High School, 1972

- \* Attended University Of Wisconsin-Milwaukee, Studies In Criminal Law And Social Welfare
- \* Business Owner And Operate, Harbor Side Cafe, 17 Years
- \* Former Member Lake Geneva Fire Department, 10 Years
- \* Former Member Lake Geneva Police Department, 6 Years
- \* Former Member Lake Geneva City Council 1st Ward, 4 Years
- \* Former Mayor City Of Lake Geneva, 4 Years Accomplishments:
  - Shorefront Protection Ordinance (Protection Of The Lakefront)

\* Establishment Of The Veterans Park On Townline Road

- \* Establishment Of The Lake Geneva Business Park On Edwards Blvd.
- \* Updated Lake Geneva Master Plan

\* Instituted Impact Fees On New Development

- \* 4 Years No City Mill Rate Increase (Held The Line On Local Taxes)
- \* City Attained The Highest Available Credit Rating
- Former Member Governors Alcohol And Drug Abuse Council
- \* Current Member Citizens Council Against Gang Violence
- \* Current Member Lake Geneva Police And Fire Commission, Secretary

Commission Accomplishments:

- \* Designated Patrol Zones For Police Department
- \* Random K-9 Drug Searches In Our Schools
- \* Acquisition Of Air-Boat For Fire Department For Ice Rescue
- \* Purchase Of A New City Fire Truck
- \* Regular Police Presence At Our Schools



### STATE OF WISCONSIN **ETHICS BOARD**

James R. Morgan Chairman Paul M. Holzem David L. McRoberts Robert G. Borgwardt Joanne R. Orr Dorothy C. Johnson On the capitol square at: 44 EAST MIFFLIN STREET, STE 601 MADISON, WISCONSIN 53703-2800 phone: 608/266-8123 fax: 608/264-9309 ethics@ethics.state.wi.us

> Roth Judd Director

NOMINEE:

Maura McMahon

Position:

Member, Public Defender Board

(WI Bar Assn. Rep)

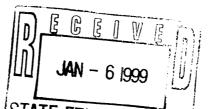
**STATUTORY** 

REFERENCE:

15.78 Public defender board. There is created a public defender board consisting of 9 members . . . . No member may be, or be employed on the staff of, a judicial or law enforcement officer, district attorney, corporation counsel or the state public defender. At least 5 members shall be members of the state bar of Wisconsin.

Mail or fax to: Wisconsin Ethics Board 44 E. Mifflin St., Suite 601 Madison, WI 53703-2800 Fax: (608) 264-9309

•Other inquiries (608) 266-8123



Information must be current as of this Appointment/Nomination date:

NOV 23 1998

Attach additional pages as needed

Print legibly in dark ink or type

### Statement 6 Momic Interests

Name State position held or sought:	McMahon, Maura Public Defender, Office of State Member, Public Defender Board	if applicable)
Part 1. Employers.		
Name of employer	City and state	Check (✓) if None or Not Applicable General nature of business
(If State of Wisconsin, identify agency or ins	titution)	General nature of business
mahon Law Offices	SC Milwayter	4th 1000
IMISHAI FIELDS (Dayto	Atudson (ap) Waywatosa	1.ti Silar consider
	D , with	
Part 2. Ownership interests in 2a.		Check (/) if None or Not Applicable
Name of business City a	and state General nature	Form of business organization
200	of business	(service corporation, subchanter S or C
Marion have Officer	SC Antonick	corporation, partnership, proprietorship, etc.)
cossed out per lique	the state	Law service aporation
of " mm odiste formilia"	my Jachie, 1:1169	in based on microading
W MINESTAL TAINING		
2b. For the businesses listed above, repo	ort helow	
Business	Name of owners or partners	
MEMahon han Office SC	Parcick Themalan	City and state
7	- THE PHANTON	MIWAYER IT
1		
Part 3. Real estate.		
Location of property (street address	There are	△Check (✓) if None or Not Applicable
or fire number, municipality, and county)	Type of property (e.g., farm, recreat commercial, rental)	onal, Nature of interest (own, lease.
deanly and orsidance	is a simple of the contract of	option, easement, land contract)
I asin no stales	THE THINK OLGTE TO	im, / members
- 110 / Set Cs	14(2)	
D- 14 0 1		
Part 4. Customers, clients, and t	enants (other than individuals*).	Check (1) if None on Not Applicable
Customer, client, or tena	int Ci	ty and state
Part 5. Business entertainment a	and other gifts	
Name of giver	and other girts.	Check (/) if None or Not Applicable
		City and state
None		
0		
•Questions about completing this form? Call	(608) 266-8115	• Attach additional pages on mandad

Part 6. Honoraria and pa	Approximate		Check (√)	if None o	Not Applical
	value of expenses	Amount of honorarium		Circumst	ances
none		nonorarium		of receip	t
					* • •
Part 7. Other sources of	income.				
Source of incor	ne		City	<u>IT None or</u> nd state	Not Applicab
none			Oity a	na state	
			/		
Part 8. Stocks, bonds, mu	itual funds, and the like		<del>,</del>		
Name of securit		pe of security	Check (/)	if None or	Not Applicab
Accept to the case of	11.6			Value /\$50,000	Value More than
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Mid Carley Mid	onsin Creating Stor	*			
	- U				
art 9. Offices and directo	rehine				
Business or organization	City and s	<u> </u>	heck (√) i	f None or 1	Not Applicabl
Mc Mahan Law Office	es SC Milway	tate , j	Position	1	
The water run stre	manage	(NOL) VIII	Sec-re	tary_	
				()	
• • • •					
art 10. Representation of	organizations.	□ci	neck (/) i	f None or N	Jot Applicable
Business or organization		City and state	e 🚚		чот тррпсавте
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art 11. Creditors.		MINING	- NICE	(·	Horney 401
Creditors.			eck (1) if	None or N	ot Applicable
	City and s	state		Amount	Amount
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1DWH Mastercas				or less	\$50,000
eat lakes Higher Ed	ucation Corpora	tin			
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fore signing, please review ea x for "None or Not Applicable	ich part to be sure that yo	ou have listed the	required i	nformation	or checked
ave read the accompanying in	estructions and contifue the	4 41 · · · · · · · · · · · · · · · · · ·			
ave read the accompanying in onomic Interests is true, comp	plete and correct to the he	it the information (	contained i	in this Stat	ement of
	and correct to the De	st of my knowledg	e, ınforma	tion, and be	elief.
au (1.4).	. / /	/ _			
WILLIAM I ) A MAN SO	- 1/5/1	(10) Danking	. m.h. =	4/11- 3/	1 - 0/15-1
nature of person filing	Date		phone #:		14 X1 X
ements of Economic Interests	ire open for public inspection	(D) x>		271 CC	757
on who examines your Stateme	nt. In accordance with §15.0	04(1)(m). Wisconsin	su Will noti	ly you of th	e identity of a
nature of person filing Community and Economic Interests as son who examines your Stateme	Date  Tre open for public inspection.  In accordance with §15.0	Fax # (o	ptional):	271 00	e identity of a

personally identifiable information is likely to be used for purposes other than those for which it is collected. Failure to file a completed form may result in a forfeiture of up to \$500.

	Office Review:		
	Office Review	•	1
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Part 10 (continued)

Universal Mortgage Corporation

Milwaukee, WI

closing attorney

Realty Executives Lake & Country

Waterford, WI

closing attorney



### Governor State of Wisconsin

November 23, 1998

To the Honorable Members of the Senate:

I am pleased to nominate and with the advice and consent of the Senate, do appoint Maura McMahon to the Public Defender Board effective November 23, 1998, pursuant to the statute governing, to serve a term to expire May 1, 2000.

Ms. McMahon will be available to the Senate for hearings and my staff will assist in any way they can.

Respectfully submitted,

Governor

TGT/nkw





### **Governor State of Wisconsin**

### **GOVERNOR'S APPOINTMENT**

NAME/MAILING ADDRESS: Maura McMahon

1302A Martha Washington Drive

Wauwatosa, WI 53213

E-MAIL ADDRESS: Mmcma38564@aol.com

RESIDES IN: Wauwatosa

**TELEPHONE:** 414/276-8985 (W)

414/456-1719 (H)

**OCCUPATION:** Associate Attorney

McMahon Law Offices, S.C.

APPOINTED TO: Public Defender Board

(WI Bar Association rep)

**TERM:** a term to expire May 1, 2000

SUCCEEDS: vacancy (Rodgers-Butler)

SENATE CONFIRMATION: required

**DATE OF APPOINTMENT:** November 23, 1998

**DATE OF NOMINATION:** November 23, 1998

**COMPENSATION:** reimbursement of expenses





### Governor State of Wisconsin

November 23, 1998

Maura McMahon 1302A Martha Washington Drive Wauwatosa, WI 53213

Dear Ms. McMahon:

This letter is to confirm your nomination to the Public Defender Board effective November 23, 1998 to serve a term to expire May 1, 2000.

This nomination requires Senate confirmation and I have forwarded the necessary information to the Senate Chief Clerk so a hearing can be scheduled.

I am pleased you have agreed to take on this task, with your proven skills and dedication, I know you will do a superb job.

Sincerely

TOMMY G. THOMPSON

Governor

TGT/nkw



1994 to PRESENT

1990 to 1994

1989 to 1990

### Maura A. McMahon

1302A Martha Washington Drive Wauwatosa, WI 53213 414-456-1719

### **EMPLOYMENT**

McMAHON LAW OFFICES, S.C.

Milwaukee, Wisconsin

Associate

Responsibilities:

Depositions

Jury & bench trials

Real estate closings

Administrative hearings

OFFICE OF THE STATE PUBLIC DEFENDER

STEVENS POINT TRIAL DIVISION OFFICE ASSISTANT STATE PUBLIC DEFENDER

AGGIGTART GIATET GOLDO DELE

Responsibilities:

Motion practice

Jury & bench trials

Supervision of Public Defender Program in Adams County

NASH, PODVIN, TUCHSCHERER, HUTTENBURG,

WEYMOUTH & KRYSHAK, Wisconsin Rapids, Wisconsin

Associate

Responsibilities:

Research

Depositions & motion practice

Litigation support for partners

### **EDUCATION**

MARQUETTE UNIVERSITY LAW SCHOOL, Milwaukee, Wisconsin

JURIS DOCTORATE, MAY 1989

Honors:

Raymond & Malinda Jaeger Scholar

Activities:

Project Outreach, Small Group Leader

Women in Law, Class Representative

Legal Clinic for the Elderly, participant

COLLEGE OF SAINT THOMAS, St. Paul, Minnesota

BACHELOR OF ARTS, MAY 1986

Major:

Journalism, news editorial emphasis

Activities:

Alpha Sigma Chi, member

Society of Professional Journalists, SDX, member

Aquin, reporter on student newspaper

SKILLS

Familiar with DOS and Microsoft Windows systems,

Familiar with software including Claris, WordPerfect, Works and Word. Comfortable performing minor

upgrades on computer hardware

REFERENCES

Available upon request

Botts Appointments

### Vote Record

### Senate Committee on Judiciary and Consumer Affairs

Date: 3/17/49  Moved by: Resin  AB: SB:		Seconded by: Clearinghouse Rule:	Huelsman	_
AJR: SJR: A: SR:		Appointment: Other:		
A/S Amdt:  A/S Amdt:  A/S Sub Amdt:  A/S Amdt:  A/S Amdt:	to A/S Amdt: to A/S Sub Amc to A/S Amdt:	  t:	 to A/S Sub Ar	ndt:
Be recommended for:  Passage Introduction Adoption Rejection		Indefinite Postp Tabling Concurrence Nonconcurrence Confirmation		
Committee Member Sen. Gary George, Chair Sen. Fred Risser Sen. Alice Clausing Sen. Joanne Huelsman Sen. Alberta Darling	Totals: _	Aye No  X  X  X  X  X  X  X  X  X  X  X  X  X	Absent	Not Voting

Motion Carried Motion Failed



### STATE OF WISCONSIN **ETHICS BOARD**

James R. Morgan Chairman Paul M. Holzem David L. McRoberts Joanne R. Orr Dorothy C. Johnson On the capitol square at:
44 EAST MIFFLIN STREET, STE 601
MADISON, WISCONSIN 53703-2800
phone: 608/266-8123
fax: 608/264-9309
e-mail: ethics@ethics.state.wi.us
web: http://ethics.state.wi.us

Roth Judd Director

NOMINEE:

Roberta A. Harris

POSITION:

Member, Public Defender Board

(WI Bar Association rep)

STATUTORY

REFERENCE:

15.78 Public defender board. There is created a public defender board consisting of 9 members . . . . No member may be, or be employed on the staff of, a judicial or law enforcement officer, district attorney, corporation counsel or the state public defender. At least 5 members shall be members of the state bar of Wisconsin.

3/9/00 cm

SENATE COMMITTEE ON JUDICIARY AND CONSUMER AFFAIRS: Senators George (Chair), Risser, Clausing, Huelsman and Darling.

Statement of Economic Interests 8 2000  Name: Harris, Roberta  State position: (held or sought)  **** SEE INSTRUCTIONS FOR EXPLANATION AND EXCEPTIONS. ***  Part A. Investments, creditors, and private positions on February 22, 200  1. List STOCKS, BONDS, mutual funds, and other investments you or your family held (minimum \$5,000). Name of security    Type of security   Type of security   Type of security   \$50,000		Wisconsin Ethics Board, 44 E. Mifflin St., S		- וניוו		V R
State position: (held or sought)  Member, Public Defender Board  ***** **** **** **** **** **** ****	S			Interes	82	200
*** SEE INSTRUCTIONS FOR EXPLANATION AND EXCEPTIONS. ***  ***PART A. Investments, creditors, and private positions on February 22, 200  1. List STOCKS, BONDS, mutual funds, and other investments you or your family held (minimum \$5,000).  Name of security    Stocks, bonds, mutual fund, money market, etc.  Stocks, bond	Name:	Harris, Roberta		STATE	ETHICS	B0 \
Part A. Investments, creditors, and private positions on February 22, 200  1. List STOCKS, BONDS, mutual funds, and other investments you or your family held (minimum \$5,000).  Name of security    Stype of security   Stope of		Member, Public Defender Board				18 3K
1. List STOCKS, BONDS, mutual funds, and other investments you or your family held (minimum \$5,000).    Name of security		→→→ SEE INSTRUCTIONS FOR EXPL	ANATION AND EX	CEPTIONS. +++		
1. List STOCKS, BONDS, mutual funds, and other investments you or your family held (minimum \$5,000).    Name of security	Part A. <i>Investn</i>	nents, creditors, and pr	ivate posi	tions on Feb	bruary 2	22, 200
(stocks, bonds, mutual fund, money market, etc.)  \$50,000  or less  More that some parket, etc.)	1. List STOCKS, BOI	NDS, mutual funds, and other inves	stments you or	your family held (	minimum \$	5,000).
Name of Disciplinary (street address reference)  List specific location of Wisconsin REAL ESTATE you or your family owned (unless listed in item 2).  List specific location of Wisconsin REAL ESTATE you or your family owned (unless listed in item 2).  List specific location of Wisconsin REAL ESTATE you or your family owned (unless listed in item 2).  List specific location of Wisconsin REAL ESTATE you or your family owned (unless listed in item 2).  List specific location of Wisconsin REAL ESTATE you or your family owned (unless listed in item 2).  List specific location of Wisconsin REAL ESTATE you or your family owned (unless listed in item 2).  List specific location of Wisconsin REAL ESTATE you or your family owned (unless listed in item 2).  List specific location of Wisconsin REAL ESTATE you or your family owned (unless listed in item 2).  List specific location of Wisconsin REAL ESTATE you or your family owned (unless listed in item 2).  List specific location of Wisconsin REAL ESTATE you or your family owned (unless listed in item 2).  List specific location of Wisconsin REAL ESTATE you or your family owned (unless listed in item 2).  List specific location of Wisconsin REAL ESTATE you or your family owned (unless listed in item 2).  List specific location of Wisconsin REAL ESTATE you or your family owned (unless listed in item 2).  List of property (e.g., farm, recreational, Nature of interest (own, lease, option, easement, land contract)  City and state Position  List ORGANIZATIONS of which you or a family member was an OFFICER or DIRECTOR.  Business or organization City and state Position  List ORGANIZATIONS THAT AUTHORIZED YOU OR A FAMILY MEMBER TO REPRESENT THEM in their dealings with others as an attorney-at-law, real estate agent, spokesperson, or representative (unless listed in item 2, 5 or 8).	Name o	f security	(stocks, bo	onds, mutual fund,	\$50,000	More than
Name of Discines (it any)  List the OFFICERS, DIRECTORS, and GENERAL PARTNERS (other than you and your family) of a partnership or non-Wisconsin entity listed in item 2.  Business  Name of officers, directors, or partners  Name of officers, directors, or partners  City and state  List specific location of Wisconsin REAL ESTATE you or your family owned (unless listed in item 2).  Location of property (street address rive number, municipality, and county)  Type of property (e.g., farm, recreational, option, easement, land contract)  List ORGANIZATIONS of which you or a family member was an OFFICER or DIRECTOR.  Business or organization  City and state  List ORGANIZATIONS THAT AUTHORIZED YOU OR A FAMILY MEMBER TO REPRESENT THEM in their dealings with others as an attorney-at-law, real estate agent, spokesperson, or representative (unless listed in item 2, 5 or 8).						
Business Name of officers, directors, or partners  City and state  List specific location of Wisconsin REAL ESTATE you or your family owned (unless listed in item 2).  Type of property (e.g., farm, recreational, commercial, rental)  Type of property (e.g., farm, recreational, option, easement, land contract)  List ORGANIZATIONS of which you or a family member was an OFFICER or DIRECTOR.  Business or organization  City and state  Position  List ORGANIZATIONS THAT AUTHORIZED YOU OR A FAMILY MEMBER TO REPRESENT THEM in their dealings with others as an attorney-at-law, real estate agent, spokesperson, or representative (unless listed in item 2, 5 or 8).	Mame of business (if	o interest. any) City and state Gener	al nature	Form of business (service corporation	organization	n S or C
Type of property (e.g., farm, recreational, rifer number, municipality, and county)  List ORGANIZATIONS of which you or a family member was an OFFICER or DIRECTOR.  Business or organization  City and state  Position  List ORGANIZATIONS THAT AUTHORIZED YOU OR A FAMILY MEMBER TO REPRESENT THEM in their dealings with others as an attorney-at-law, real estate agent, spokesperson, or representative (unless listed in item 2, 5 or 8).	parmership or nor	1-Wisconsin entity listed in item 2.		***	d your far	nily) of a
List ORGANIZATIONS THAT AUTHORIZED YOU OR A FAMILY MEMBER TO REPRESENT THEM in their dealings with others as an attorney-at-law, real estate agent, spokesperson, or representative (unless listed in item 2, 5 or 8).	ocation of property (stre	et address Type of property (e.	or your family g., farm, recreation	al, Nature of in	nterest (own,	lease,
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		ization	City and stat	e .		

•Questions about completing this form? Call (608) 266-8115 •Other inquiries (608) 266-8123 •Attach additional pages as needed

Sallie Maje Grest Lakes Higher Education Corporation Wilwanne, v	
Grest Lakes Higher Education Corporation Wilwander	\$50,000 More or less   \$50,0
Great lakes Higher Education Composation Wilwaulia, v	
	WF /
Part B. Your and your family's sources of income, g	ifts, and expen
. List your and your family's EMPLOYERS (\$1,000 or more).	
	of employer's business
State of Wisconsin, identify agency or institution)	
Michael Best ; Friedrich Lip Wilwaukee, ME Low	Fry
List OTHER SOURCES from which you or your family received INCOME of \$1,00	
Source of income City and	state
). List COMMERCIAL CUSTOMERS, CLIENTS, and TENANTS and lobbyists that ${\mathfrak p}$	paid \$1.000 or more to
enterprise (other than a C Corporation) listed in item 2.	
Customer, client, tenant, or lobbyist City and state	
. List individuals and organizations that provided <i>you</i> with ENTERTAINMENT or G	IFTS (more than \$50).
Name of provider City and s	state
•	
List sources of HONORARIA and payment of EXPENSES related to <i>your</i> state (	government duties (mo
than \$50) not previously reported to Ethics Board.  Payer Approximate Amount of C	Circumstances
	of receipt
value of expenses honorarium o	•
value of expenses honorarium o	
	n this Statement of
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value of expenses honorarium of the accompanying instructions and certify that the information contained in conomic Interests is true, complete, and correct to the best of my knowledge, information that has been left blank, I have done so intentionally because there is nothing the second	tion, and belief. If any g to report.

7. List CREDITORS to which you or your family owed \$5,000 or more.

The information sought in this form is required by §§19.43 and 19.44, Wisconsin Statutes. Failure to file a completed form may result in a forfeiture of up to \$500. Statements of Economic Interests are open for public inspection. The Ethics Board will notify you of the identity of any person who examines your Statement. In accordance with §15.04(1)(m), Wisconsin Statutes, the Ethics Board states that no personally identifiable information is likely to be used for purposes other than those for which it is collected.



### STATE OF WISCONSIN **ETHICS BOARD**

James R. Morgan Chairman Paul M. Holzem David L. McRoberts Joanne R. Orr Dorothy C. Johnson On the capitol square at:
44 EAST MIFFLIN STREET, STE 601
MADISON, WISCONSIN 53703-2800
phone: 608/266-8123
fax: 608/264-9309
e-mail: ethics@ethics.state.wi.us
web: http://ethics.state.wi.us

Roth Judd Director

NOMINEE:

James T. Rogers

POSITION:

Member, Public Defender Board

(WI Bar Association rep)

STATUTORY REFERENCE:

15.78 Public defender board. There is created a public defender board consisting of 9 members . . . . No member may be, or be employed on the staff of,

No member may be, or be employed on the staff of, a judicial or law enforcement officer, district attorney, corporation counsel or the state public defender. At least 5 members shall be members of

the state bar of Wisconsin.

### Statement of Economic Interests Filed in 2000 for calendar year 1999

Name: Rogers, James T. State position: Member, Public Defender Board (held or sought) → → → SEE INSTRUCTIONS FOR EXPLANATION AND EXCEPTIONS. ← Part A. Investments, creditors, and private positions on December 31, 1999 List STOCKS, BONDS, mutual funds, and other investments you or your family held (minimum \$5,000). Name of security Type of security (stocks, bonds, mutual fund, \$50,000 More than money market, etc.) SEE ATTACHED SCHEDULES or less \$50,000 Stock COMMUNITI BANK, ORANGECITY, FL 15,000 shares 2. List BUSINESSES and INCOME-PRODUCING REAL ESTATE in which you or your family had a 10% or greater ownership interest. Name of business (if any) City and state General nature Form of business organization or business activity of business (service corporation, subchapter S or C corporation, partnership, proprietorship, etc.) NONE 3. List the OFFICERS, DIRECTORS, and GENERAL PARTNERS (other than you and your family) of a partnership or non-Wisconsin entity listed in item 2. **Business** Name of officers, directors, or partners City and state NONE 4. List specific location of Wisconsin REAL ESTATE you or your family owned (unless listed in item 2). Location of property (street address Type of property (e.g., farm, recreational, Nature of interest (own, lease, or fire number, municipality, and county) commercial, rental) option, easement, land contract) 1408 E. 8+15+ Merrill, Lincoln OWN Merrill, Lincoln 1/2 interess Schotiell. 5. List ORGANIZATIONS of which you or a family member was an OFFICER or DIRECTOR. Business or organization City and state Position

HOME SUPPLY CO-OP WAUSAV, WI DIRECTOR

MERRILL FEDERAL SAUNGS + LOAN MERRILL, WI DIRECTOR 6. List ORGANIZATIONS THAT AUTHORIZED YOU OR A FAMILY MEMBER TO REPRESENT THEM in their dealings with others as an attorney-at-law, real estate agent, spokesperson, or representative (unless listed in item 2, 5 or 8). Business or organization City and state NONE

•Questions about completing this form? Call (608) 266-8115 •Other inquiries (608) 266-8123 •Attach additional pages as needed

7. List CREDITORS to which yo	ou or your family ow	ed \$5,000 oı	r more.			
Creditor	City a	nd state				/" one
					\$50,000	More tha
NONE					or less	\$50,000
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ADD: AM		····				
8. Changes to reportable interest	ests this year. See i	nstructions.				-
Part B. Your and your reimbursement in 199		ources (	of inco	me, gifts	s, and ex	xpens
9. List your and your family's El		or more)				
Name of employer		City and stat	e	Nature of emp	ployer's busine	ss
(If State of Wisconsin, identify agency or i	nstitution)					
NanE				***************************************		
	-	<u> </u>				
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						<u> </u>
10. List OTHER SOURCES from v Source of income						
Source of income  MERRILL FEDERAL	SALINGC +1 M	1 00	CTADIC	City and state	Meron III	
referre leverence	JAVINOS V COM	V -UM	=(10/23	rees -1	MERRILL	$-,\omega$
11. List COMMERCIAL CUSTOMI enterprise (other than a C Co Customer, client, ten	rporation) listed in	item 2.	City and		,	
12. List individuals and organizat	ز ions that provided	ou with ENT	ERTAINM	ENT or GIFTS	6 (more than S	\$50).
Name of provider				City and state		
NONE						
3. List sources of HONORARIA	and payment of EX	PENSES rela	eted to vo	ur stato govo	rnmont dutio	
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Payer	Approximate	Amount o	f	Circui	mstances	
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WONC						
have read the accompanying instruction on the companying instruction of the companying instructi	te, and correct to the veen December 31, 19	best of my k 999 and my r	nowledge, nomination	information, Jappointment	and belief. If	I repor-
ed it. If any part has been left h						report.
Jame Jan	March	102990	(115	<i>)536-</i>	9777	
ignature of person filing	Date		Daytime p			

The information sought in this form is required by §§19.43 and 19.44, Wisconsin Statutes. Failure to file a completed form may result in a forfeiture of up to \$500. Statements of Economic Interests are open for public inspection. The Ethics Board will notify you of the identity of any person who examines your Statement. In accordance with §15.04(1)(m), Wisconsin Statutes, the Ethics Board states that no personally identifiable information is likely to be used for purposes other than those for which it is collected

Page 2 of 6

Ref: 00001106 00025449

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Select Client Statement

December 1 - December 31, 1999

Account number 592-06025-12 123 202,527.10 -7,966.09 Note: Securities deposited/withdrawn net = The value of securities on the day they 526,100.68 526,100.68 1,058,954.03 1,058,954.03 720,661.69 Year-to-date as of 02/99 0.00 898,086.73 0.00 1,058,954.03 898,086,73 1,058,954.03 898,086.73 This period Portfolio summary Securities deposited/withdrawn net = Opening portfolio balance Capital deposited/withdrawn net = Closing portfolio value Opening value of securities + Opening cash balance Closing cash balance Closing value of securities Adjusted opening value IAMES T ROGERS 500,000.00 -9,834.97 This year 0.00 0.00 0.00 700.70 This period -3,063.42 13.27 \$ 4,006.15 \$ 1,656.70 Cash and money funds balance Securities bought and other subtractions Securities sold and other additions Money funds earnings reinvested Dividends credited Opening balance Closing balance Withdrawals Deposits

activity" section.

were debited from or credited to the account as reflected in the "Other security

Capital deposited/withdrawn net = Cash withdrawals and deposits, credit card payments, FMA Card activity, checks written, and Money funds/Insured Deposit Account<sup>SM</sup> transfers.

Portfolio details

The values of your holdings are as of 12/31/99. Please note, unrealized gain/(loss) is being prepared for informational purposes only and should not be used for tax preparation without the assistance of your tax advisor.

Money fund

	Annualized % dividend Yield/Anticipated	income (annualized) 5.17%	5,18
	Accrued	\$ .93	\$.83
T .	Current value	\$ 1,656.70	\$ 1,656.70
	Number of shares Description	1,656.70 SB MONEY FUNDS CASH PORT CL A	Total money funds
ds	Number of shares	1,656	Total mon

o Lo	ong ⊘	S	
Common	stocks	options	

	Not available	5,452.50	.468	Please provide	Please		WIS ARCH COMMUNICATIONS GROUP INC EXP 9/1/2001	759,11
	36,926.50 ST	63,431.50	75.875	31.704	26,505.00	01/13/99	AMERICA ONLINE INC DEL SYMBOL: AOURATING: B-	838
	18,614.38	41,510.63		27.096	22,896.25			040
	6,637.50 ST	14,737.50	49.125	27.00	8,100.00	03/31/99		000
	2,131.25 ST	4,912.50	49.125	27.812	2,781.25	01/11/99		3 8
	\$ 9,845.63 ST	\$ 21,860.63	\$ 49.125	- 1	\$ 12,015.00 \$ 27.00	01/07/99	SYMBOL: ALKS	? ?
rreig/Anticipated Income (annualized)	gain/(loss)	value	share price	on I	Cost	acdnired	Quantity Description	Quantity
Average % Yield/Anticipated	Unrealized	Current	urrent	Share Current		Date		O stitue

Ref: 00001106 00025450

Common stocks & options continued

A member of citigroup

Select Client Statement

December 1 - December 31, 1999

JAMES T ROGERS

1 1

Account number 592-06025-12 123 Average % Yield/Anticipated Income (annualized) 3.153 1,344.00 111.60 ST S S ST ST ST ST S S S ST S S ST S ST S ST S S S S ST S S gain/(loss) Unrealized (12,899.93)(1.848.73)(14,685.15) \$ 4,899.02 540.70 63.51 20,075.32 5,306.25 1,829.28 (555.00)(3.09) (8.50)5,439.72 20,130.94 10,552.78 23,041.10 2,296,95 510.37 (814.27)(523.49)(1,725.15)30.28 437.62 12,488.32 9,496.96 1,308.24 4,550.20 4,020.03 45,512.51 2,266.90 10,805.20 11,377.55 (3,617.91)18.69 \$ 13,087.15 1,703.20 14,790.35 26,481.06 11,718.63 42,613.20 48,095.63 12,712.50 41,739.38 13,912.09 3,328.25 32,360,32 28,315.28 29,597.70 24,575.20 9,215.70 4,413.51 02,547.51 17,240.34 60,675.60 25,471.96 4,125.74 16,588.26 57,444.53 26,550.00 4,500.00 15,750.00 613.38 103.96 7,065.37 1,081.21 1,800.00 18,600.00 363.87 30.438 30.438 30.438 42.375 13.313 6.594 42.375 50.563 50.563 \$ 6.594 42.375 13.313 17.938 17.938 61.438 61.438 61.438 61,438 5.813 5.813 5.813 45.00 45.00 45.00 45.00 cost share price Share Current \$ 4.125 45.265 35.239 4.169 11.562 11.562 24.687 21.937 11.563 31.718 4.50 30.00 40.927 24.687 23.568 31.362 11.389 50.062 46.125 46.549 49.269 50.234 49.929 58.875 5.489 5.945 5.909 5.712 31.05 57.00 11.25 12.25 46.38 48.35 SS 4,350.00 \$ 8,188,13 1,162,50 9.350,63 39,380,99 13,567.36 57,298.35 7,406.25 21,608.44 57,035.00 12,082.81 2,890.63 14,973.44 19,872.00 20,025.00 6,918.75 28,020.31 17,762.50 37,634.50 6,555.00 5,023.49 15,975.00 2,817.50 18,792.50 12,568.23 17,475.15 2,355.00 107.05 46,066.98 27,364.27 583.10 52,217.91 372.37 1,062.52 acquired 1,984.7051 ARCH COMMUNICATIONS GROUP NEW 01/07/99 08/27/99 09/22/99 01/11/99 06/01/99 01/11/99 03/31/99 01/11/99 03/31/99 01/07/99 01/07/99 01/07/99 01/07/99 01/11/99 01/07/99 01/11/99 03/31/99 09/15/99 01/07/99 01/11/99 03/31/99 01/11/99 08/25/99 01/07/99 03/31/99 105.5192 GENZYME CORP SURGICAL PRODS SYMBOL: CMCSK/RATING: B-FOREST LABORATORIES INC SYMBOL: FRX/RATING: B+ 258,2949 SYMBOL: APGR/RATING: C ASTORIA FINANCIAL CORP SYMBOL: ASFC GENERAL DIVISION SYMBOL: GENZ/RATING: B-SYMBOL: CRUS/RATING: C CHIRON CORP DELAWARE SYMBOL: CHIR/RATING: B-COMCAST CORP CL A-SPL SYMBOL: XLTC/RATING: B-EXCEL TECHNOLOGY INC CIRRUS LOGIC INC GENZYME CORP 17.8846 DIVISION 62.5962 SYMBOL: GZSP Description 870 1,400 1,045 1,295 Quantity 385 145 1,135 2,420 9 270 2.243 985 250 640 1,200 1,420 230 1.650 150 115 935 590 9 350 5

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Ref: 00001106 00025451

Common stocks & options continued

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Select Client Statement

December 1 - December 31, 1999

Account number 592-06025-12 123 Average % Yield/Anticipated Income (annualized) 3.027 1,458.80 ST ST S ST ST ST ST 片 S S S r ST ST S ST ST ST ST ST ST ST ST S ş Unrealized gain/(loss) (847.50)\$ 73,357.81 30.50 (1,055.88)(5.49)2,182.50 (40.59)(4,324.27)(686.22) (291.71)(94.18)128.06 (824.08) 1,698.12 312.70 17,681.25 23,320.00 116.541.56 (857.59) 32,025.00 (6,071.86)(1.157.58)8,487.50 40,512.50 523.08 68.25 680.71 169.22 2,860.75 11,000.00 1,414.34 7,137.78 12,414.34 31,440.00 150,322.50 23,518.13 572.12 \$ 93,337.50 22,597.50 2,947.50 14,069.25 5,078,25 42,665.63 40,031.25 10,609,38 50,640.63 12,478.13 2,495.63 15,654.38 1,663.75 3,588.73 381.41 32,291.89 2,860.58 7,562.42 3,191,48 18,156,74 22,847.50 18,130.00 1,757.50 5,457.50 48,192.50 31,000.00 4,805.00 35,805.00 23,464.21 Current JAMES T ROGERS 21.875 21.875 41.625 15.125 15.125 15.125 15.125 6.938 41.625 41.625 6,938 6.938 98.25 98.25 98.25 6.938 6.938 6.938 \$ 98.25 18.50 18.50 18.50 10.813 18.50 7.75 7.75 cost share price Current 21.375 25.375 41.375 4.375 4.375 4.375 20.366 21.524 15.788 42.482 15.174 7.645 5.926 Share \$ 21.031 22.079 41.745 43.125 17.969 5.696 6.659 25.50 7.253 17.805 17.125 17.402 5.468 7.523 16.718 5.063 8.08 8.00 17.44 5.00 5,047.75 765.00 \$ 19,979.69 4,916.25 8,120.00 33,780,94 14,109.84 24,365.63 8,006.25 2,121.88 10,128.13 3,152.29 666.30 313.16 3,063.42 43,523.22 16,802.40 16,340.60 1,669.24 3,551.51 38,363,75 3,065.65 8,720.00 1,588.28 45,331.75 20,000,00 18,980,82 17,449.29 21,149.38 5,144.80 3,390.66 23,390.66 16,326.43 05/26/99 acquired 01/07/99 01/11/99 03/31/99 02/11/99 02/12/99 02/23/99 01/07/99 01/11/99 01/07/99 01/11/99 03/31/99 04/28/99 01/11/99 03/31/99 04/28/99 08/23/99 03/31/99 08/27/99 01/11/99 01/07/99 11/30/99 03/16/99 04/07/99 01/07/99 01/07/99 412.3068 QUANTUM CORP HARD DISK DRIVE L 3 COMMUNICATIONS HLDGS INC QUANTUM CORP DLT & STORAGE STANDARD MICROSYSTEMS CORP IDEC PHARMACEUTICALS CORP NANOGEN INC, SAN DIEGO SYMBOL: IDPH/RATING: C SYMBOL: SMSC/RATING; C SYMBOL: SCRI/RATING: C ROSLYN BANCORP INC SYMBOL: NGEN SYMBOL: RSLN SYMBOL: DSS 82.4614 SYMBOL: HDD SYMBOL: LLL Description SICOR INC CA-USD 54.9742. 517.2576 1,530 1,830 2,315 3 338 122 565 1.025 485 165 1,035 Quantity 825 2.135 1,090 460 1,235 2,617 980 95 295 2,605 4,000 2,170 620 4,620

ST ST

2,029.49

9,167.27

29,789,82 6,325.61

10.813

7.343

4,296.12 20,622.55

01/11/99

2,755 585

7.485

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### A member of citigroup

Ref: 00001106 00025452

Common stocks & options

## Select Client Statement

December 1 - December 31, 1999

Date	JAMES I ROGERS				
acduired	Share Current Cost cost share pr	Current share price	Current value	Unrealized gain/(loss)	Average % Yield/Anticipated Income (annualized)
01/07/99	\$ 27,914.06 \$ 37.218 \$	\$ 39.00	\$ 29,250.00	\$ 1,335.94 ST	
01/11/99	7,425.00 37.125	39.00	7,800.00	375.00 ST	
03/31/99	18,551.25 36.375	39.00	19,890.00	1	
	53,890.31 36.911		56,940.00	3,049.69	.128
					73.00
01/07/99	27,933.75 47.75	53.125	31,078.13	3,144.38 ST	
01/11/99	8,313.75 46.187	53.125	9,562.50	1,248.75 ST	
03/31/99	12,720.00 53.00	53.125	12,750.00	30.00 ST	
	48,967.50 48.724		53,390.63	4,423.13	950.
					30.15
01/07/99	20,033.75 21.312	39.938	37,541.72	17,507.97 ST	
01/11/99	3,281.25 23.437	39.938	5,591.32	2,310.07 ST	
03/31/99	7,682.81 27.937	39.938	10,982.95	3,300.14 ST	
	30,997.81 22.877		54,115.99	23,118.18	
	\$ 711,810.52	•	\$ 1,057,296.40	\$ 340,033,38** ST	28
				\$ 0.00** LT	\$ 3.017.55
	\$ 713,467.22	•	\$ 1,058,953.10	\$ 340,033,38m ST	.29
				1.	10 10 1 E

<sup>\*\*</sup>Unrealized Gain/Loss is only calculated when an original cost basis is avallable.

	Tran	Transaction details	details	
Investment	Date	Activity	Description Ouantity Ouantity	
activity	12/03/99	2/03/99 Bought		Amount
	12/27/99	12/27/99 Stk split	SCORP	\$ -3,063.42
				0.00
	Total secu	rities bought an	Total securities bought and other subtractions	
	Total secu	rities sold and c	Total securities sold and other additions	\$ -3,063.42
				500

I

\$ 0.00

Amount 364.70

13.27 \$ 1,656.70

Closing balance

o traded at \$1.00 mm -t-	consequence at \$1.00 per snare.	Decoringon		12/13/99 Autoinvest SB MONEY ETINDS CASH BOOK OF	SE MONET TONDS CASH PORT OF A	MONEY FUNDS EARNINGS REINVESTED	(SEE DETAILS UNDER EARNINGS DETAILS)
actions ar	מבנוסוום מו	Activity	,	Autoinves			
All trans		Date		12/13/99			
\$ 4,006,15		Amount		336.00		-3,063.42	
ey funds balance		Description	A TO HOOK LIGHT SOUNDS CONTINUES	12/02/33 Automivest 3B Monet Fonds CASH PORT CLA	12/03/99 Redemption on MONEY CHARGO CASH CO.	SE MONET FUNDS CASH FOR CL A	
Opening money funds ba	A -4114	Activity Description	Autoinuect	Adiolivesi	Dademotion		
		Cale	19/09/99	507707	19/03/99		
Money funds	( ) ( ) ( ) ( ) ( ) ( ) ( ) ( ) ( ) ( )	activity					

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### Ref: 00001106 00025455

## Select Client FMA PLUS

December 1 - December 31, 1999

92-33022-19 123

				JAMES T. ROGERS	Account number 592-33022-1	er 592-33022-
Cash and money funds balance	; balaı	nce		Portfolio summary	This period	Yearthurst
Opening balance	\$ 18	This period \$ 187,991.55	This year	Opening value of securities + Opening cash balance	1,324,508.57	167,134.6
Securities bought and other subtractions	)9-	-604,936.98		= Opening portfolio balance	1,324,508.57	0.0 167.134.6
Securities sold and other additions	)9	600,000,00		Securities deposited/withdrawn net	0.00	33,081.0
Deposits		0.00	1.190 000 00	Adhisted opening without	-30,000.00	1,096,740.8
Withdrawais		-30,000,00	-30,000,00-		1,294,508.57	1,296,956.4
FMA Card activity		0.00	-36 679 19	Closing value of securities + Closing cash balance	1,383,987.91	1,383,987.9
Checks written		0.00	-26.580.00	= Closing portfolio value	1,383,987.91	1,383,987,9
Dividends credited		3,336.98		Note: Securities deposited/withdrawn net = The value of securities on the day the	The value of securit	es on the day the
Money funds earnings reinvested		742.12		activity" section.	int as reflected in the	Other security
Closing balance	\$ 15	\$ 157,133.67		Capital deposited/withdrawn net = Cash withdrawals and deposits, credit card	ithdrawals and deposi	ts, credit card
				payments, riving Card activity, checks written, and Money funds/Insured Deposit Accounts <sup>5M</sup> transfers.	in, and Money funds/li	sured Deposit

33,081.00 1,096,740.81

1,296,956.43

167,134.62

167,134.62

the day they

1,383,987.91 1,383,987.91

Portfolio details

The values of your holdings are as of 12/31/99. Please note, unrealized gain(floss) is being prepared for informational purposes only and should not be used for tax preparation without the assistance of your tax advisor.

Money funds

Annualized % dividend Yield/Anticipated	Income (annualized) 5.17%	\$ 8,123.81 5.16 \$ 5,123.81
Accrued	\$ 89.03	\$ 89.03
Current	\$ 157,133.67	\$ 157,133.67
Description	157,133.67 SB MONEY FUNDS CASH PORT CL A	Total money funds
Number of shares Description	157,133.67	Total money fur

Common stocks & options

Please refer to the back of page 1 for definitions of research ratings.

Average % Yield/Anticipated	Income (annualized)						×				6-		
Unrealized	gain/(loss)	\$ 2,075.00 ST			(4.728.00) I.T	(2000)	(3,216.50) L1	(37.452.00)	1 1 000 11	(51,386.50)	2,425.00 ST		
Current	value	\$ 3,675.00			72.00	00 80	30,00	960,00	4 400 00	1,140,00	4,025.00		
Share Current cost share price	200	\$ 36.75			.24	24		.24			40.25		
Share Current		\$ 16.00			16.00	23.281		9.602	11.175		16.00		
Cost		\$ 1,600.00			4,800.00	9,312.50	30 077 00	38,412.00	52,524.50		1,600.00		
Date acquired		12/09/99		00100100	08/52/80	09/21/96	20,00,00	12/02/36		44,00,00	11/23/99		
Description	COLL CITION IN	SYMBOL: LCTO	In cash account	GARGOYI ES INC	SAMBOL: DOX	STATES COL				GETTHERE COM INC	SYMBOL: GTHR	In cash account	Research rating:1/S
Quantity	100	<b>.</b> .		300		004	4,000	901	4,700	9			

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## Select Client FMA PLUS

December 1 - December 31, 1999

Ref: 00001106 00025456 Common stocks & options continued

-19 123	fed	alized)		1				-							1	***************************************	4076	25.5	3		88	i 8
r 592-33022	Average % Yield/Anticipated	Income (annualized)																1 00 00	50°,			\$ 1,800,00
Account number 592-33022-19 123	Unrealized	gain/(loss)	(\$ 15,214.00) LT	(457.20)* LT	(15 R71 20)	(8 400 75)	1	(093.(5)	(9,296.51)	N/A	4/N		0.00	(350.00) LT	(3.528.39)   T				20,625.00* LT		\$ 4,500.00 ST	(\$ 68,044.89) LT
	Current	Value	\$ 160.00 (\$	4.80	164 80	56.25	27:00	62.0	DE.20	Unpriced	Unprised	000	3.5	850.00	7,650.00	34,000.00			155,625.00		\$ 207,180.30	\$)
ROGERS	Current share price	2010	\$ .016	.016		2.50	250	2014						8.50	8.50	8.50			10.375			
JAMES T. ROGERS	Share Current		\$ 1.53/	1.54	1.537	375.956	360.00	37.4.36	074.00	25.375	21.50	24.59		12.00	12.25	10.50	10.961		9.00			
	Cost	0 45 074 00	\$ 15,374.00	462.00	15,836.00	8,459.01	900.00	9.359.01	10:000	18,139.87	6,450.00	24.589.87		1,200.00	11,178.39	42,427.29	54,805.68		135,000.00		\$ 295,315.06	
	Date acquired	44106106	06/07/1	11/26/96		10/28/93	10/28/93			04/08/93	04/08/93		20170100	02/0//20	02/08/96	05/14/96			01/21/94			
	Description	MOBIL EMEDIA CORP CLASS A	SYMBOLIMBIMO			PALATIN TECHNOLOGIES INC (NEW)	SYMBOL: PTN		STABTED CODE	SYMBOL: 61A20			TB WOODS CORP	SWADO: TRW					I U FCO TECHS INC SYMBOL: TFCO	Total common stocks and antions	stocks and options	
	Quantity	10,000	CCC	300	10,300	22.5	2.5	25	002	2 6	300	1,000	100	9 00	006	4,000	5,000		19,000	Total common		

income, capital gains or the return of capital. Distributions for funds not sponsored by Salomon Smith Barney are based upon information provided by an outside vendor and are not verified by Salomon Smith Barney. "Total Value (Tax Based)" is being provided for information purposes only. "Fund Performance", when shown, is provided to assist you in comparing your total investment, excluding reinvested distributions, with the current value of the fund's shares in your account. "Fund Performance" does not take into account cash distributions. Yield is the current distribution annualized, divided by the fund's net asset value at the end of the statement period. Distributions may consist of

Mutual funds

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Yield/Anticipated	income (annualized)												.721
Unrealized	gain/(loss)	\$ 2,706.01 ST			71 050 BA ST		4,365.91 ST		75.418 SE	1	22.56 ST	75 441 11	75.811.72
Current	value	\$ 302,706.01			321.052.64		304,365.91		625.418.55	2000	71.565	625,811.72	625,811.72
Current	aliaid pilice	\$ 82.78			14.64		14.64		14.64	14 64			
Share		\$ 82.04			11.40		14.43		12.87	13 799		12.875	
Cost		\$ 300,000.00			250,000.00		300,000.00		550,000.00	370.61		550,370.61	550,000.00
Date acquired		12/29/99		100,000	11/29/99	00,000	68/67/71						
Description	3 656 753 SMITH BADNEY ACCORDERATIONS	FUND OL A	In cash account	21 929 825 SMITH BABNEY SMALL CAR COCKET	CINITY DANIEL SIMPLE CAP GROWIN	20.790.021 FUND CLASS A	In cash account	42 719 846 Total Durchases		26.856 Reinvestments to date	42.746.702 Total Value (Tay based)	Com value (184 Daseu)	rung Periormance
Number of shares Description	3 658 753			21,929,825	24212111	20.790.021		42 719 846		26,856	42,746,702		

Ref: 00001106 00025457

continued

Mutual Innds

Select Client FMA PLUS

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1999 December 1 - December 31,

Account number 592-33022-19 123 Yield/Anticipated income (annualized) 5.17 \$ 14,631,98 4,708.17 \$ 4,708.17 20,653.42 ST S ST ST ב (\$ 68,044.89) LT Unrealized gain/(loss) \$ 78,531,15 (\$ 5,164.60) (951.37)(5,164.60)(6,115.97)\$ 0.00 \$ 72,031.15 \$ 65,249.16 91,067.18 65,249,16 25,818.02 \$ 1,019,584.91 91,067.18 \$ 1,383,898.88 Current value JAMES T. ROGERS Current share price 14.15 14.15 \$ 14.15 Share cost 14.671 15.27 15.10 \$ 15.27 \$ 70,413.76 97,183.15 Cost 70,413.76 26,769.39 70,413.76 \$ 947,553.76 \$ 1,400,002.49 06/11/99 acquired SMITH BARNEY MANAGED **Fund Performance** MUNICIPALS FUND CL A 6,435.843 Total Value (Tax based) Reinvestments to date Total Purchases In cash account Fotal mutual funds (Tax based) Total portfolio value 4,611.248 4,611.248 1,824.595 of shares Number

\$ 157,133.67 The tax status of earnings is reliable to the best of our knowledge. Taxable and non-taxable designations refer to the (SEE DETAILS UNDER EARNINGS DETAILS) Closing balance Taxable federal income tax status of your securities, not of your account. Comment Earnings details 12/23/99

Amount \$ 370.61 \$ 3,336,98 Amount 2,966.37 391.91 \$ 350.21 Non-taxable \$ 2,966.37 2,966.37 Non-taxable \$ 370.61 \$ 370.61 \$ 350.21 391.91 Taxable FOR PERIOD 12/13/99-12/29/99 17 DAYS AVERAGE YIELD 5.16 %. 28 DAYS AVERAGE YIELD 5.10 %. RECORD 12/21/99 PAY 12/23/99 RECORD 12/27/99 PAY 12/30/99 FOR PERIOD 11/15/99-12/12/99 REINVESTED REINVESTED CASH DIV CASH DIV Comment SMITH BARNEY SMALL CAP GROWTH SB MONEY FUNDS CASH PORT CL A SB MONEY FUNDS CASH PORT CL A SMITH BARNEY MANAGED MUNICIPALS FUND CL A Total earnings from money funds FUND CLASS A Fotal dividends earned Description 2/30/99 12/10/99 12/29/99 Dividends earnings

Money funds \$ 742.12

\$ 0.00

\$ 742.12

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Ref: 00001106 00025462

Common stocks & options

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Select Client Statement

December 1 - December 31, 1999

Account number 592-33023-18 123 Average % Yield/Anticipated Income (annualized) 8 1.128 283.40 306.60 2.184 1.729 1.059 752.00 590.00 1.432 882.06 1,184.48 788.40 770.80 S ロ ST S ST ᆸ ۲ S ST ST ニ S ۲ S ロ S 占 S ロ ST Unrealized gain/(loss) (996.03) \$ 9,973.35 (3,568.59)(7,577.40)(270.29)252.81 (1,470.99)(386.47)(8,364.57) 90,968.05 (12,412.31) 6,735.94 (748.89)18,715.00 (261.42)5,131.29 18,303.32 (5,805.60)5,100.27 956.11 19,259.43 21,497.34 20,748.45 23,815.27 54,520.00 7,803.40 1,736.38 548.76 \$ 18,018.90 40,547.42 16,462.80 3,018.18 9,877.68 29,907.42 21,843.75 9,902.50 29,707.50 6,990.00 68,443.75 42,705.00 18,637.50 52,362.50 38,272.16 33,725.00 15,946.74 54,218.90 5,619.42 39,960.32 45,579.74 72,732.50 61,576.06 22,653.40 24,300.92 14,621.74 Current value JAMES T. ROGERS 27.438 27.438 \$ 60.063 27.438 27.438 44.375 44.375 80.563 80.563 62.438 58.25 58.25 62.438 58.25 29.25 58.25 41.188 41.188 41.188 Share Current cost share price 154.75 \$ 26.818 21.188 40.952 36.492 38.825 40.287 56.762 61.134 34.979 40.067 32.231 37.35 53.883 24.193 51.946 42.034 75.732 28.848 70.759 61.47 34.015 19.75 51.028 41.924 40.027 38.75 27.00 \$ 8,045.55 24,040.20 49,579.37 42,319.73 13,446.27 819.05 4,014.21 9,649.69 31,178.49 63,312.46 15,107.81 7,376.47 15,010.00 6,368.31 51,069.57 13,537.23 28,547.23 19,968.84 14,990.63 34,959.47 18,462.98 24,831.29 18,212.50 14,850.00 14,883.16 59,839.68 30,106.52 05/06/99 03/31/99 acquired 06/10/99 07/27/99 10/28/97 04/30/98 11/04/99 05/03/96 05/28/99 12/09/99 04/26/99 04/05/99 07/18/96 04/06/99 05/02/96 05/08/96 06/24/99 04/05/99 04/16/96 03/27/96 FEDERAL NATIONAL MORTGAGE ASSN ASSOCIATES FIRST CAPITAL CORP £. . ... SYMBOL: AMGN/RATING: B WALT DISNEY HOLDING CO SYMBOL: DIS/RATING: B+ SYMBOL: KO/RATING: A+ ENRON CORP SYMBOL: ENE/RATING: A-197.9412 SYMBOL: XOM/RATING: A SYMBOL: FNM/RATING: A GENERAL ELECTRIC CO SYMBOL: GE/RATING: A+ GILLETTE CO SYMBOL: G/RATING: A 475.0588 EXXON MOBIL CORP COCA-COLA CO SYMBOL: AFS AMGEN INC Description CLASS A 2,340 ဓ္တ 1,090 8 110 170 Quantity 360 20 375 510 1,175 120 1,460 760 1,180 420 673 85 ရ 730 470 1,495 550 590 355

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Page 2 of 7

Amember of citigroup

Ref: 00001106 00025461

## Select Client Statement

1999 December 1 - December 31,

			JAMES T. ROGERS	Account number 592-33023-18 123	592-33023-18 1	23
Cash and money funds balance	alance		Portfolio summary	This period	Year-to-date	}
	This period	This year	Opening value of securities	1.437.339.66	060 654 20	
Opening balance	\$ 19,169.99		+ Opening cash balance	00.0	83.60	
Securities bought and other subtractions	-36,436,02		<ul> <li>Opening portfolio balance</li> </ul>	1,437,339.66	960,737.89	•
Securities sold and other additions	20,082.91		Securities deposited/withdrawn net Capital deposited/withdrawn net	0.00	424,446.40	•
Deposits	000	383 08	Adjusted opposited, Withdrawill Hall	0.00	-117,460.58	
Withdrawale	2010	200.000	Adjusted opening value	1,437,339.66	1,267,723.71	
Williamais	00.0	-117,843.86	Closing value of securities	1.503.719.91	1 503 740 04	
Dividends credited	2,196.14		+ Closing cash balance	00.0	00.0	
Money funds earnings reinvested	65.95		= Closing portfolio value	1,503,719.91	1,503,719.91	
Closing balance	\$ 5,078.97		Note: Securities deposited/withdrawn net == The value of securities on the day they were dehited from or credited to the securities.	et == The value of securities	on the day they	
			activity" section.	count as renected in the "Of	her security	
			Capital deposited/withdrawn net = Cash withdrawals and deposits, credit card	n withdrawals and deposits,	credit card	
			Accounts I transfers.	illen, and Money funds/Insu	red Deposit	

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The values of your holdings are as of 12/31/99. Please note, unrealized gainl(loss) is being prepared for informational purposes only and should not be used for tax preparation without the assistance of your tax advisor. Portfolio details

Money Tunds					
	Number of shares	Number of shares Description	Current value	Accred Advidends Yield	Annualized % dividend Yield/Anticipated
	5,078.97	5,078.97 SB MONEY FUNDS CASH PORT CL A	\$ 5,078.97		Income (annualized)
10	Total money funds	spun	\$ E 070 07	•	\$ 262.58
- <b>₽</b> 009000			(B:010'A:#	\$ 2.88	\$.16
•				· · · · · · · · · · · · · · · · · · ·	
Common		Ċ		A .	Average %

stocks & options

Average % Yield/Anticipated	Income (annualized)		541	162.00					.184	140.00		
Unrealized	gain/(loss)	\$ 8,062,38 ST	21,821.89 LT			29,048.89 LT	3.760.61 ST	1	32,809.50		61.303.36 IT	19,691.34 ST
Current	value	\$ 31,867.50	29,925.00	` : .		48,656.25	27,031.25	75 897 EO	00.100,01		81,685.68	40,842.84
urrent	are price	\$ 75.875	166.25			108.125	108.125				60.063	60.063
Share Current	cost share price	\$ 56.678	45.017			43.571	93.082	61 254			14.987	31.105
č	ieno ieno	\$ 23,805.14	8,103.11			19,607.36	23,270.64	42.878.00			20,382.32	21,151.50
Date	50	05/26/99	06/27/96		-0,00,00	78/90/20	05/17/99			-0,00,00	06/23/97	05/20/99
Description	TO ON THE INC SOLUTION	SYMBOL: AOURATING: B-	AMERICAN EXPRESS CO		ONI GLOGO INNCITANDENI GENA	SYMBOL: ALCIDIATING A L.	STATE OF A STATE OF A H			ONI NEUMA	NYMBOL: AMONONIA). D	
Quantity	420	074	180	~	450		520	700		1.360	2	080

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Ref: 00001106 00025464

Common stocks & options continued

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Select Client Statement

December 1 - December 31, 1999

Account number 592-33023-18 123 .248% Average % Yield/Anticipated Income (annualized) .128 22.50 1.978 \$ 84.60 1.688 ,240.00 \$ 11,331.03 1,246.00 \$ 24,832.05 ST **占** 片 S ST בי コ ST S \$ 66,091.80 ST \$ 589,576.97 LT Unrealized gain/(loss) (910.84) (140.54)\$ 589,576,97 146.11 3,276.04 6,036.31 6,230.38 12,126.15 \$ 66,091.80 8,586.30 7,821.57 17,792.72 62,678.90 \$ 33,987.11 17,550.00 16,579.58 28,306.60 24,881.40 12,440.70 36,492.72 73,814.82 \$ 1,498,638.06 \$ 1,503,717,03 Current value JAMES T. ROGERS 40.438 40.438 40.438 82.938 82.938 82.938 \$ 72.313 39.00 Share Current cost share price \$ 19.478 31.719 25.715 31,537 32.615 54.317 85.008 81.963 40.757 74.15 Cost \$ 9,155.06 14,273.96 22,076.22 17,933.26 50,552.75 16,295.10 37,403.56 10,543.27 12,294.59 65,993.25 \$ 842,969.29 \$ 848,048.26 01/12/98 acquired 02/03/97 12/13/99 06/0/99 05/29/96 06/16/99 12/07/99 04/15/97 TIME WARNER HOLDINGS CO TYCO INTERNATIONAL LTD -NEW-SYMBOL: WWY/RATING: A+ SYMBOL: TYC/RATING: B+ SYMBOL: TWX/RATING; B-WELLS FARGO & CO NEW SYMBOL: WFC/RATING: A WM WRIGLEY JR CO Fotal common stocks and options Description otal portfolio value 470 902 1,550 4 300 64 150 Quantity 450 890

\$ 11,583.61

Date	12/01/8
Investment	activity

Transaction details

	Price	\$ 22.36	0.00	0.00	81.963	61.47	1,3/6,4/	31./19
- Alignation	A THE BOX	673	0.10		06L	120	450	2
Description	EXXON MOBIL CORP	EXXON MOBIL CORP	MOBIL CORP	WM WRIGLEY JR CO		רטיא-ניטרא ניט	TYCO INTERNATIONAL LTD	-NEW-
Activity	Merger	Merger	Merger	Bought	Bought	Tigon I	Bought	
Date	12/01/99	12/01/99			12/14/99		12/16/99	

<sup>\*</sup>Based on information supplied by client, not verified by Salomon Smith Barney.

# SALOMON SMITH BARNEY

A member of citigroups

Ref: 00001106 00025463

Common stocks & options

Page 4 of 7

## Select Client Statement

December 1 - December 31, 1999

Account number 592-33023-18 123 Average % Yield/Anticipated Income (annualized) 1.726 394.40 612.00 \$ 112.00 .175 227.80 202.80 180.00 560.00 1.531 550.80 コ 5 コ S ロ こ ST ST <u>ا</u> 느 ニ ᆸ S ST ST Unrealized gain/(loss) \$ 61,802.30 (79.92)(72.22)14,158.50 4,692.00\* (221.11)18,963.24 60,637,95 79,601.19 6,530.80 19,428.43 11,680.16 11,222.80 12,717.27 12,565.13 55,400.78 (1,416.51)16,495.76 11,459.05 2,675.21 41,239.41 1,258.70 24,885.17 82,620.34 \$ 72,187.50 33,525.00 74,081.70 49,387.80 123,469.50 18,650.00 38,000.00 27,975.00 48,625.00 21,500.16 29,831.62 9,675.12 2,418.78 41,925.52 22,843.92 63,045.00 12,337.50 23,617.50 35,955.00 57,975.00 23,190.00 48,312.50 129,477.50 Current JAMES T. ROGERS \$ 14.836 \$ 103.125 82.313 82.313 40.313 37.25 40.313 40.313 67.188 67.188 96.625 96.625 93.25 93.25 96.625 75.00 116.75 35.25 35.25 Share Current cost share price 21.518 60.596 50.707 29.246 40.646 70.804 41.516 34.524 14.937 23.127 28.231 30.687 78.243 33.485 14.155 37.364 34.016 27.892 27.892 46.854 77.61 34.968 27.606 \$ 10,385.20 9,755.04 19,366.50 13,443.75 23,283.00 30,424.56 43,868.31 12,119.20 35,402.20 17,114.35 29,360.39 16,571.57 2,491.00 7,644.22 9,662.29 9,820.00 1,564.87 11,384.87 34,696.30 16,735.59 46,857.16 25,034.01 6,694.24 23,427.33 06/03/99 01/02/98 07/08/99 04/11/96 04/08/96 04/11/96 04/22/99 05/07/97 96/06/60 12/04/96 12/16/99 04/23/96 04/15/99 03/23/99 07/24/96 09/13/96 11/07/97 11/07/97 HOUSEHOLD INTERNATIONAL INC SYMBOL: HI/RATING: B+ LUCENT TECHNOLOGIES INC MCDONALDS CORP SYMBOL: MCD/RATING: A+ SYMBOL: MRK/RATING: A+ JOHNSON & JOHNSON SYMBOL: JNJ/RATING: A+ MICROSOFT CORP SYMBOL: MSFT/RATING: A-INTEL CORP SYMBOL: INTC/RATING: A-SYMBOL: HD/RATING: A+ TEXAS INSTRUMENTS INC SYMBOL: TXN/RATING: B PEPSICO INC SYMBOL: PEP/RATING: A Stock split pending HOME DEPOT INC MERCK & CO INC SYMBOL: LU Description 700 1,500 300 Quantity 900 9 200 500 1,040 480 240 8 320 20 540 350 670 1,020 240 500 1,340 9

### rian activity summary

Contact your Financial Consultant for the appropriate document. Primary Beneficiaries

to change or update your beneficiary information.

Employer contributions Contributions

\$ 30,000.00

1999

\$ 0.00

1998

The values of your holdings are as of 12/31/99. Please note, unrealized gain/(loss) is being prepared for informational purposes only and should not be used for tax preparation without the assistance of your tax advisor. Portfolio details

### Money funds

Annualized % dividend	Yeld/Anticipated Income (annualized)	5.17% \$ 1.32 \$ 5.15
Accrued	dividends \$ 01	\$:01
Current	\$ 25.61	\$ 25.61
Number of shares Description	25.61 SB MONEY FUNDS CASH PORT CL A	Total money funds

# **SALOMON SMITH BARNEY**

Amember of citigroup

Ref: 00005965 00033395

Mutual gpung

Select Client SERP Statement December 31, 1999

Page 3 of 5

JAMES T ROGERS

Account number 592-65896-14 123

"<sup>i</sup>Fund Performance", when shown, is provided to assist you in comparing your total investment, excluding reinvested distributions, with the current income, capital gains or the return of capital. Distributions for funds not sponsored by Salomon Smith Barney are based upon information provided by an outside vendor and are not verified by Salomon Smith Barney. "Total Value (Tax Based)" is being provided for information purposes only. Yield is the current distribution annualized, divided by the fund's net asset value at the end of the statement period. Distributions may consist of value of the fund's shares in your account. "Fund Performance" does not take into account cash distributions.

Yield/Anticipated	income (annualized)				3 567 761	200	\$ 0.00	0,00
Unrealized	\$ 3,526.71 ST	3.528.71	2.35 ST	3,529.06		\$ 3,528.06 ST	\$000 LT	\$3,529.06 ST
Current	\$ 33,526.71	33,528.71	41.05	33,567.76	33,567.76	\$ 33,567,78		\$ 33,587.37
Share Current	\$ 14.64	14.64	14.64					
Share	_	13.10	13.801	13.101				
CO St	\$ 30,000.00	30,000.00	38.70	30,038.70	30,000.00	\$ 30,038.70		\$ 30,064.31
Date acquired	12/17/99							
Number of shares Description	2,290.076 SMITH BARNEY SMALL CAP GROWTH FUND CLASS A	2,290.076 Total Purchases	2.804 Reinvestments to date	2,292.88 Total Value (Tax based)	rung Periormance	lotal mutual hinds (Tax based)	Total northile water	

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	Amount \$ 419.91	1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1	\$ 831.65		Amount	\$ 574.05		10.		.02		\$ 574.05	\$ .03
	Non-taxable		\$ 0.00		Non-taxable								
	Taxable \$ 419.91	411.74	\$ 831.65		Taxable	\$ 574.05		10.		.02			\$ 574 08
	PERIODIC DIST ON 10630.548 SHS	CASH DIV RECORD 12/14/99 PAY 12/15/99 CASH DIV	BB(\$7/71   U   DOLLATO		ACCRUED DIVIDEND			FOR PERIOD 11/15/99-12/12/99	28 DAYS AVERAGE YIELD 5.10 %.	FOUND 12/13/99-12/29/99	MAIS AVERAGE VIELD 5.16 %.		
Description	AIM DEVELOPING MARKETS CLASS A	SMITH BARNEY SMALL CAP GROWTH FUND CLASS A	ids earned	Description	SB MONEY FUNDS CASH PORT CL A		SB MONEY FUNDS CASH PORT CLA		SB MONEY FUNDS CASH PORT CL A		Total money funds earnings credited to account	Total money funds earnings reinvested	Total earnings from money funds
Date	12/16/99	12/23/99	Total dividends earned	Date	12/08/99		12/10/99		12/29/99		Total money (	Total money I	Total earning

earnings

Money funds

# **SALOMON SMITH BARNEY**

A member of **citigroup**J

Ref: 00001106 00025471

December 1 - December 31, 1999 Select Client IRA Statement

Page 5 of 5

Account number 592-61256-17 123

JAMES T. ROGERS

### Gain/loss details

tax advisor. Trades are allocated using the FIFO (first in-first out) method. Day traders should therefore not rely on this section for day trading results. The Gain/Loss details section does not reflect fractional shares or cash paid in lieu of fractional shares but rather reduces the cost basis of the security Please note, this material is being prepared for informational purposes only and should not be used for tax preparation without the assistance of your involved. This reduction is not reflected as a Realized transaction.

Realized gain or loss

Description	Original trade date	Closing trade date	Quantity	Quantity Purchase price				Realized
TUFCO TECHS INC	04794704	44,00,00	, , ,	2014	Sale Dice	Cost basis	Proceeds	gain or (loss)
LEAVES 1,500	48/12/10	Sold	000	\$ 0.00 \$	\$ 8.625	\$ 8.625 \$ 4,500.00	\$ 4,262.35	(\$ 237.65) LT
TUFCO TECHS INC	04/04/04	44706700	90,					
LEAVES 1,100	† N. O	Sold	400	9.00	8.625	3,600.00	3,409.88	(190.12) LT
TUECO TECHS INC	70,707,007	44,00,00						•
	18/12/10	11/26/99 Sold	1,100	00.6	8.625	9,900.00	9,372.18	(527.82) <sub>LT</sub>
	Total		000					
			2,000			\$ 18,000.00	\$ 17.044.41	(\$ 055 50)

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Ref: 00001106 00025469

Select Client IRA Statement December 1 - December 31, 1999

Page 3 of 5

JAMES T. ROGERS

Account number 592-61256-17 123

1 |

"<sup>1</sup>Fund Performance", when shown, is provided to assist you in comparing your total investment, excluding reinvested distributions, with the current by an outside vendor and are not verified by Salomon Smith Barney. "Total Value (Tax Based)" is being provided for information purposes only. income, capital gains or the return of capital. Distributions for funds not sponsored by Salomon Smith Barney are based upon information provided value of the fund's shares in your account. "Fund Performance" does not take into account cash distributions. Yield is the current distribution annualized, divided by the fund's net asset value at the end of the statement period. Distributions may consist of

	rung Performance	Time (lax payed)	24,392,966 Total Value (Tay harry)	29.836 Reinvestments to date	24,363.13 Total Purchases	2,433,305 FUND CLASS A	21,929.825 SMITH BARNEY SMALL CAP GROWTH	i ullu reflormance			10,667.973 Total Value (Tax based)	37.425 Reinvestments to date	The state of the s	2.030.5488 Reinvestments to data	8,599.9992 Total Purchases	¥,500	A 200	Number of shares Description 4,299,9992 AIM DEVELOPING MARKETS CLASS
					11.00	12/03/99	11/26/99								00,00,04	06/30/94	01/11/94	Date acquired
770.00g.W	278 850 00	279,270.74	411./4	00.800,07	20,039.00	28 850 00	350 000 00	111.176.56		133,752.60	418.81	440.04	22.156.13	111,176.56	40,070,06	10 070 60	\$ 64,500.00	Cost
		11.449	13.80	11.45	11.86	11.40			į	12.538	11.22	10.01	10 011	12.93	10.75		\$ 15.00	Share Current cost share pi
			14.64	14.64	14.64	14.64					12.36	12.30	1000	12.36	12.36		\$ 12.36	Current share price
357,113.03	337,113.03	3E7 443 63	436.80	356,676.23	35,623.59	321,052.64	131,856.14		131,036.14	134 050 44	462.57	25,097.58		106,295,99	53,148.00	# 00,147.00	3 I	Current value
	77,842.29	20,00	25.08 ST	77,817.23	6,764.59	71,052.64			(1,896.46)		42.66	2,941.45	17,000.01)	(4 880 57)	6,471.44	(\$ 11,352.01)	(8 44 050 04)	Unrealized
78,254.031		-	TS		ST	ST	20,679.581	416.05	.315		ST	4					income (annualized)	Yield/Anticipated

Payments, rimm card activity, cnecks written, and Money funds/Insured Deposit Account<sup>8M</sup> transfers.

ase note, unrealized gain/(loss) is being prepared for informational ration without the assistance of your tax advisor.	
etails The values of your holdings are as of 12/31/99, Please repurposes only and should not be used for tax preparation	
Portfolio d	

money lands							
	Number of shares		Current value	Accrued			Annualized % dividend Yield/Anticipated
	24.78	SB MONEY FUNDS CASH PORT CL A	\$ 24.78	\$ .01			income (annualized)
	Total money funds	unds	\$24.78	S. 01			\$ 1.28 5.16 \$ 1.28
Common stocks &	Quantity	Date Description acquired	•	Share Current	Current	Unrealized	Average % Yield/AntIcipated
options	5	APPONLINE.COM INC SYMBOL: AOP	Please pro	\$ 1.438	\$ 7.19	gain/(loss) Not available	Income (annualized)
	သ	FIRST EQUITIES CORP	Please provide		Unpriced	Not available	
	5	IMN EQUITY CORP RESTRICTED DIVIDEND SHS	Please provide		Unpriced	Not available	
	Total common	Total common stocks and options	\$ 0.00		\$7.19	\$ 0.00** LT \$ 0.00** LT	00:0