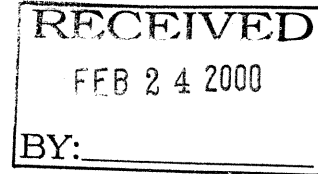




**TOMMY G. THOMPSON**

**Governor  
State of Wisconsin**



February 22, 2000

To the Honorable Members of the Senate:

I am pleased to nominate and with the advice and consent of the Senate, do appoint Roberta A. Harris to the Public Defender Board effective February 22, 2000, pursuant to the statute governing, to serve an interim term to expire May 1, 2000 and a three year term to expire May 1, 2003.

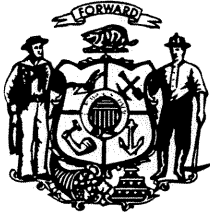
Ms. Harris will be available to the Senate for hearings and my staff will assist in any way they can.

Respectfully submitted,

A large, stylized handwritten signature in black ink, appearing to read "Tommy G. Thompson".

TOMMY G. THOMPSON  
Governor

TGT/nkw



**TOMMY G. THOMPSON**

**Governor  
State of Wisconsin**

**GOVERNOR'S APPOINTMENT**

**NAME/MAILING ADDRESS:** Roberta A. Harris  
3444 N. 51st Boulevard  
Milwaukee, WI 53216

**E-MAIL ADDRESS:** raharris@mbf-law.com

**RESIDES IN:** Milwaukee

**TELEPHONE:** 414/271-6560 (W)  
414/445-8442 (H)

**OCCUPATION:** Attorney  
Michael, Best & Friedrich, LLP

**APPOINTED TO:** Public Defender Board  
(WI Bar Association rep)

**TERM:** an interim term to expire May 1, 2000  
and a full three year term to expire May 1, 2003

**SUCCEEDS:** vacancy (M. McMahon)

**SENATE CONFIRMATION:** required

**DATE OF APPOINTMENT:** February 22, 2000

**DATE OF NOMINATION:** February 22, 2000

**COMPENSATION:** reimbursement of expenses



**TOMMY G. THOMPSON**

**Governor  
State of Wisconsin**

February 22, 2000

Roberta A. Harris  
3444 N. 51st Boulevard  
Milwaukee, WI 53216

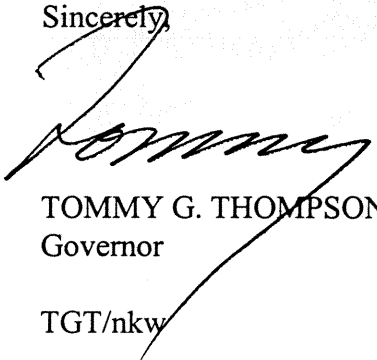
Dear Ms. Harris:

This letter is to confirm your nomination to the Public Defender Board effective February 22, 2000 to serve an interim term to expire May 1, 2000 and a three year term to expire May 1, 2003.

This nomination requires Senate confirmation and I have forwarded the necessary information to the Senate Chief Clerk so a hearing can be scheduled.

I am pleased you have agreed to take on this task, with your proven skills and dedication, I know you will do a superb job.

Sincerely,

  
TOMMY G. THOMPSON  
Governor

TGT/nkw

**ROBERTA ANN HARRIS**

3444 North 51st Boulevard  
Milwaukee, WI 53216  
(414)445-8442  
raharris@mbf-law.com

---

**EDUCATION**

<b>University of Wisconsin School of Law</b> Juris Doctor Degree, December 1998 <i>Activities:</i> Black Law Students Association	Madison, WI
<b>Emory University School of Law</b> Candidate for Juris Doctor Degree Attended Academic Year 1996-97 <i>Activities:</i> Community Service Director, Black Law Students Association Member, Emory Public Interest Committee	Atlanta, GA
<b>Massachusetts Institute of Technology</b> Ford Fellowship, Academic Year 1994-95	Cambridge, MA
<b>Harvard Law School</b> Coursework in Race Relations and the Law. Fall 1994	Cambridge, MA
<b>University of Wisconsin - Madison</b> Bachelor of Arts in Afro-American Studies, August 1992	Madison, WI
<b>Wellesley College</b> Attended Academic Year 1988-89	Wellesley, MA

**EXPERIENCE**

**Associate** February 1999 - Present  
**Michael Best & Friedrich LLP** Milwaukee, WI  
Counsel clients in the selection and protection of trademarks; prepare and prosecute trademark registration applications worldwide; enforce clients' trademark rights; manage clients' trademark portfolios; manage intellectual property matters for internet businesses and clients' internet related issues; prepare and prosecute copyright registration applications; enforce clients' copyrights; assist in intellectual property litigation; and provide general counseling.

**Consultant** September 1996 - Present  
**JAHA Workshop** Milwaukee, WI  
Provide consultation for community-based organizations and agencies in the areas of: program design and development, fiscal and programmatic analysis, grant writing and program evaluation. Provide workshops and training in Youth Development: understanding the developmental needs of children, specifically children of color and children from low-income backgrounds; incorporating a developmental needs foundation in the training of youth workers; programmatic and individualized case plan designs to address children's developmental needs.

**Legal Intern** June 1998 - August 1998  
**State Public Defender - Juvenile Division** Milwaukee, WI  
Responsible for the legal representation of juvenile clients, under attorney supervision, in delinquency and Child in Need of Protection or Custody cases. Handled client interviews and representation of clients at detention hearings, initial appearances, status, contest, and waiver hearings and trial. Prepared all aspects of the defense, including legal research and motions. Assisted attorneys in case preparation by conducting legal research and preparing briefs. Shadowed attorneys in cases involving particularly unusual or complex matters.

**Administrative/Legal Intern  
Children's Hospital of Wisconsin**

**May 1997 - February 1998  
Milwaukee, WI**

Responsible for the development and coordination of a variety of administrative projects in coordination with hospital and corporate vice-presidents. Project assignments included development and revision of hospital policies, designing report formats and providing assistance to in-house counsel with legal research. Provided direction and representation for the hospital with community-based initiatives.

**Advisor  
Founder and Director  
Project UJIMA**

**May 1998 - December 1998  
January 1994 - May 1998  
Milwaukee, WI**

Developed and implemented a unified approach geared at reducing the rate of violent injury recidivism in children and adolescents. Initiated a collaborative with Children's Hospital of Wisconsin, the Medical College of Wisconsin, Social Development Commission, Family Services of Milwaukee and the City of Milwaukee-Public Health Department to provide comprehensive medical, psychological and social services to children and adolescents suffering from violence related injuries (i.e. gun shot wound) from the moment of injury through post-injury care. Responsible for overseeing programmatic and policy development, as well as, administration and implementation of all community based components of Project UJIMA.

**Program Analyst  
Social Development Commission  
Milwaukee Youth Opportunities Collaborative**

**January 1993 - August 1996  
Milwaukee, WI**

Responsible for monitoring and analyzing fiscal expenditures for up to twelve annually subcontracted agencies and ten seasonal sub grantees. Advised Program Manager on fiscal and programmatic issues and/or areas of concern. Provided technical assistance to all sub grantees in fiscal matters and program development. Developed contracts. Monitored agency compliance with contractual guidelines and procedures. Conducted program troubleshooting, at both agency and administrative levels. Implemented, maintained and conducted database analysis of barcode attendance monitoring system.

**Research Fellow  
Massachusetts Institute of Technology (MIT)**

**August 1994- May 1995  
Cambridge, MA**

One of twelve recipients, nationally, of a Ford fellowship to conduct independent research at MIT for the academic year of 1994-95 with the Community Fellows Program. Designed a "Youth Development" model aimed at improving administration and services provided in youth programming. The model takes a comprehensive approach to understanding 'ten developmental needs', with a particular focus on the needs of children of color and economically disadvantaged youth. Completed required coursework related to community organizing/development and policy analysis.

**ADDITIONAL**

Proficient in Westlaw, Lexis, Microsoft Word, Microsoft Excel, Microsoft PowerPoint, Microsoft Outlook, Aldus Pagemaker, Filmmaker Pro and MacDraw Pro software applications.

**PROFESSIONAL  
AFFILIATIONS**

Milwaukee Urban League Young Professional's Group Executive Board 1999  
Milwaukee Community Leadership Group 1999  
UrBan Board of Directors 1999  
Milwaukee Youth Peer Debriefing Team Planning Committee 1998-99  
African World Festival Volunteer 1993-99  
U.S. Senator Russell Feingold's Women's Advisory Board 1994-96  
Foster Care Review Board 1993-95  
Milwaukee Violence Prevention Coalition Executive Committee 1994



STATE OF WISCONSIN  
***ETHICS BOARD***

James R. Morgan  
Chairman  
Paul M. Holzem  
David L. McRoberts  
Joanne R. Orr  
Dorothy C. Johnson

On the capitol square at:  
44 EAST MIFFLIN STREET, STE 601  
MADISON, WISCONSIN 53703-2800  
phone: 608/266-8123  
fax: 608/264-9309  
e-mail: [ethics@ethics.state.wi.us](mailto:ethics@ethics.state.wi.us)  
web: <http://ethics.state.wi.us>

Roth Judd  
Director

NOMINEE: Roberta A. Harris

POSITION: Member, Public Defender Board  
(*WI Bar Association rep*)

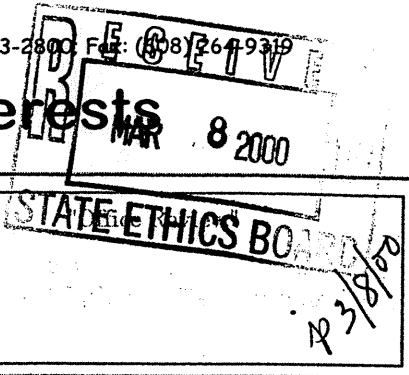
STATUTORY  
REFERENCE: **15.78 Public defender board.** There is created a public defender board consisting of 9 members . . . . No member may be, or be employed on the staff of, a judicial or law enforcement officer, district attorney, corporation counsel or the state public defender. At least 5 members shall be members of the state bar of Wisconsin.

3/9/00 cw

SENATE COMMITTEE ON JUDICIARY AND CONSUMER AFFAIRS: Senators George (Chair), Risser, Clausing, Huelsman and Darling.

# Statement of Economic Interests

Filed in 2000 for calendar year 1999



Name: Harris, Roberta

State position: Member, Public Defender Board  
(held or sought)

→→→ SEE INSTRUCTIONS FOR EXPLANATION AND EXCEPTIONS. ←←←

## Part A. Investments, creditors, and private positions on February 22, 2000.

1. List STOCKS, BONDS, mutual funds, and other investments you or your family held (minimum \$5,000).

Name of security	Type of security (stocks, bonds, mutual fund, money market, etc.)	"✓" one	
		\$50,000 or less	More than \$50,000

2. List BUSINESSES and INCOME-PRODUCING REAL ESTATE in which you or your family had a 10% or greater ownership interest.

Name of business (if any) or business activity	City and state	General nature of business	Form of business organization (service corporation, subchapter S or C corporation, partnership, proprietorship, etc.)

3. List the OFFICERS, DIRECTORS, and GENERAL PARTNERS (other than you and your family) of a partnership or non-Wisconsin entity listed in item 2.

Business	Name of officers, directors, or partners	City and state

4. List specific location of Wisconsin REAL ESTATE you or your family owned (unless listed in item 2).

Location of property (street address or fire number, municipality, and county)	Type of property (e.g., farm, recreational, commercial, rental)	Nature of interest (own, lease, option, easement, land contract)

5. List ORGANIZATIONS of which you or a family member was an OFFICER or DIRECTOR.

Business or organization	City and state	Position

6. List ORGANIZATIONS THAT AUTHORIZED YOU OR A FAMILY MEMBER TO REPRESENT THEM in their dealings with others as an attorney-at-law, real estate agent, spokesperson, or representative (unless listed in item 2, 5 or 8).

Business or organization	City and state

7. List CREDITORS to which you or your family owed \$5,000 or more.

Creditor	City and state	"✓" one	
		\$50,000 or less	More than \$50,000
Sallie Mae			
Great Lakes Higher Education Corporation	Milwaukee, WI	✓	

**Part B. Your and your family's sources of income, gifts, and expense reimbursement in 1999.**

8. List your and your family's EMPLOYERS (\$1,000 or more).

Name of employer (If State of Wisconsin, identify agency or institution)	City and state	Nature of employer's business
Michael Best, Friedrich LLP	Milwaukee, WI	Law Firm

9. List OTHER SOURCES from which you or your family received INCOME of \$1,000 or more.

Source of income	City and state

10. List COMMERCIAL CUSTOMERS, CLIENTS, and TENANTS and lobbyists that paid \$1,000 or more to an enterprise (other than a C Corporation) listed in item 2.

Customer, client, tenant, or lobbyist	City and state

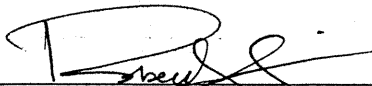
11. List individuals and organizations that provided you with ENTERTAINMENT or GIFTS (more than \$50).

Name of provider	City and state

12. List sources of HONORARIA and payment of EXPENSES related to your state government duties (more than \$50) not previously reported to Ethics Board.

Payer	Approximate value of expenses	Amount of honorarium	Circumstances of receipt

I have read the accompanying instructions and certify that the information contained in this Statement of Economic Interests is true, complete, and correct to the best of my knowledge, information, and belief. If any part has been left blank, I have done so intentionally because there is nothing to report.


3/6/00
(414) 271-6560  
 Signature of person filing                      Date                      Daytime phone #

The information sought in this form is required by §§19.43 and 19.44, Wisconsin Statutes. Failure to file a completed form may result in a forfeiture of up to \$500. Statements of Economic Interests are open for public inspection. The Ethics Board will notify you of the identity of any person who examines your Statement. In accordance with §15.04(1)(m), Wisconsin Statutes, the Ethics Board states that no personally identifiable information is likely to be used for purposes other than those for which it is collected.



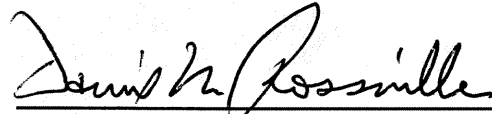


Ayes: (5) Senators George, Risser, Clausing, Huelsman  
and Drzewiecki.  
Noes: (0) None.  
Absent: (0) None.

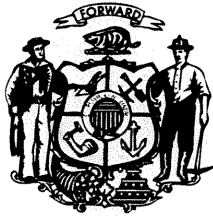
CONFIRMATION RECOMMENDED, Ayes 5, Noes 0, Absent 0

Ayes: (5) Senators George, Risser, Clausing, Huelsman  
and Drzewiecki.  
Noes: (0) None.  
Absent: (0) None.

PASSAGE RECOMMENDED, Ayes 5, Noes 0, Absent 0

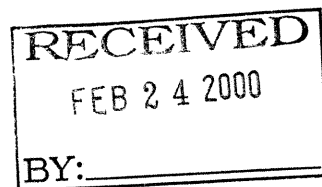


Daniel Rossmiller  
Committee Clerk



**TOMMY G. THOMPSON**

**Governor  
State of Wisconsin**



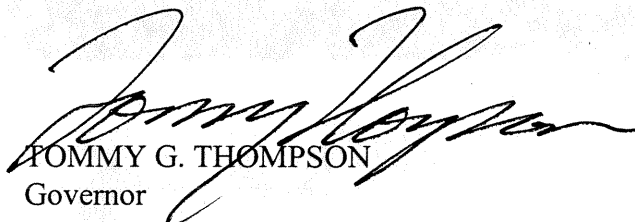
February 22, 2000

To the Honorable Members of the Senate:

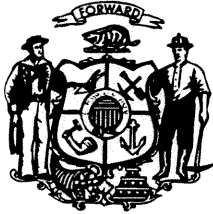
I am pleased to nominate and with the advice and consent of the Senate, do reappoint John J. Hogan to the Public Defender Board effective February 22, 2000, pursuant to the statute governing, to serve a term to expire May 1, 2002.

Mr. Hogan will be available to the Senate for hearings and my staff will assist in any way they can.

Respectfully submitted,

  
TOMMY G. THOMPSON  
Governor

TGT/nkw



## **TOMMY G. THOMPSON**

**Governor  
State of Wisconsin**

### **GOVERNOR'S APPOINTMENT**

**NAME/MAILING ADDRESS:** John J. Hogan  
Hogan Law Office  
3622 Highway 47 North, P. O. Box 1008  
Rhineland, WI 54501

**E-MAIL ADDRESS:** hoganlaw@newnorth.net

**RESIDES IN:** Hazelhurst

**TELEPHONE:** 715/365-1008 (W)  
715/356-3619 (H)

**OCCUPATION:** Attorney  
Hogan Law Office

**APPOINTED TO:** Public Defender Board  
(WI Bar Association rep)

**TERM:** a term to expire May 1, 2002

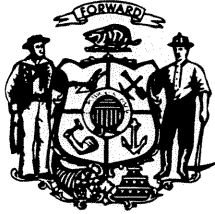
**SUCCEEDS:** himself

**SENATE CONFIRMATION:** required

**DATE OF APPOINTMENT:** February 22, 2000

**DATE OF NOMINATION:** February 22, 2000

**COMPENSATION:** reimbursement of expenses



**TOMMY G. THOMPSON**

**Governor  
State of Wisconsin**

February 22, 2000

John J. Hogan  
Hogan Law Office  
3622 Highway 47 North, P. O. Box 1008  
Rhineland, WI 54501

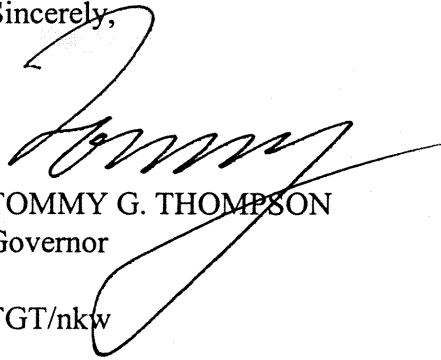
  
Dear Mr. Hogan:

This letter is to confirm your nomination to the Public Defender Board effective February 22, 2000 to serve a term to expire May 1, 2002.

This nomination requires Senate confirmation and I have forwarded the necessary information to the Senate Chief Clerk so a hearing can be scheduled.

I am pleased you have agreed to take on this task, with your proven skills and dedication, I know you will do a superb job.

Sincerely,

  
TOMMY G. THOMPSON  
Governor

TGT/nkw

1996

**HOGAN LAW OFFICE**

**John J. Hogan**  
Court Commissioner

*Attorneys at Law*  
203 Schiek Plaza, Ste. 250  
P.O. Box 1008  
Rhinelander, WI 54501-1008  
Please respond to post office box

**Timothy B. Melms**

715-365-1008  
715-365-1010 (fax)

**JOHN J. HOGAN**

**PERSONAL**

Date of Birth: 7-19-52  
Married, Catherine  
Two Children, Maggie & Danny

**BACKGROUND**

1993-Present Owner of the Hogan Law Office; Primary Emphasis Trial Practice.

1985-1993 Associate, Eckert Law Office; Primary responsibilities included handling through jury trial all forms of litigation, including insurance defense, plaintiff's personal injury actions, workers compensation defense, criminal defense.

1979-1985 Oneida County District Attorney; Elected on three occasions to be the chief prosecutor of all criminal defenses in Oneida County with a population of 30,000 citizens. Primarily and personally handled several thousand Court trials and in excess of 150 jury trials.

1977-1979 Associate, John H. Ames & Associates; Handled trials, including jury trials in criminal and personal injury actions. Represented the Town of Minocqua in traffic prosecutions.

**SCHOOLING**

1977 Graduate, Marquette Law School, President of Law School 1977, Recipient of Dean's Award 1977.

1974 Graduate, University of Portland, BA Political Science.



STATE OF WISCONSIN  
***ETHICS BOARD***

James R. Morgan  
Chairman  
Paul M. Holzem  
David L. McRoberts  
Joanne R. Orr  
Dorothy C. Johnson

On the capitol square at:  
44 EAST MIFFLIN STREET, STE 601  
MADISON, WISCONSIN 53703-2800  
phone: 608/266-8123  
fax: 608/264-9309  
e-mail: [ethics@ethics.state.wi.us](mailto:ethics@ethics.state.wi.us)  
web: <http://ethics.state.wi.us>

Roth Judd  
Director

NOMINEE: John J. Hogan

POSITION: Member, Public Defender Board  
(*WI Bar Association rep*)

STATUTORY  
REFERENCE:

**15.78 Public defender board.** There is created a public defender board consisting of 9 members . . . . No member may be, or be employed on the staff of, a judicial or law enforcement officer, district attorney, corporation counsel or the state public defender. At least 5 members shall be members of the state bar of Wisconsin.

4/3/00 w

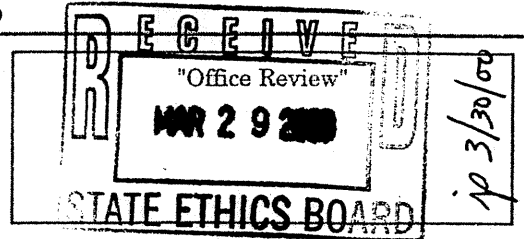
SENATE COMMITTEE ON JUDICIARY AND CONSUMER AFFAIRS: Senators George (Chair), Risser, Clausung, Huelsman and Darling.

# Statement of Economic Interests

Filed in 2000 for calendar year 1999

Name: Hogan, John J.

State position: Member, Public Defender Board  
(held or sought)



→→→ SEE INSTRUCTIONS FOR EXPLANATION AND EXCEPTIONS. ←←←

## Part A. Investments, creditors, and private positions on December 31, 1999.

1. List STOCKS, BONDS, mutual funds, and other investments you or your family held (minimum \$5,000).

Name of security	Type of security (stocks, bonds, mutual fund, money market, etc.)	"✓" one	
		\$50,000 or less	More than \$50,000
See attached list			

2. List BUSINESSES and INCOME-PRODUCING REAL ESTATE in which you or your family had a 10% or greater ownership interest.

Name of business (if any) or business activity	City and state	General nature of business	Form of business organization (service corporation, subchapter S or C corporation, partnership, proprietorship, etc.)
Hogan Law Office	Rhldr., WI	Law	Proprietorship

3. List the OFFICERS, DIRECTORS, and GENERAL PARTNERS (other than you and your family) of a partnership or non-Wisconsin entity listed in item 2.

Business	Name of officers, directors, or partners	City and state

4. List specific location of Wisconsin REAL ESTATE you or your family owned (unless listed in item 2).

Location of property (street address or fire number, municipality, and county)	Type of property (e.g., farm, recreational, commercial, rental)	Nature of interest (own, lease, option, easement, land contract)
3622 Highway 47 N Oneida County Rhinelander, WI	Commercial	Own

5. List ORGANIZATIONS of which you or a family member was an OFFICER or DIRECTOR.

Business or organization	City and state	Position
Tri-County Bar Assoc.	Rhinelander, WI	Treasurer

6. List ORGANIZATIONS THAT AUTHORIZED YOU OR A FAMILY MEMBER TO REPRESENT THEM in their dealings with others as an attorney-at-law, real estate agent, spokesperson, or representative (unless listed in item 2, 5 or 8).

Business or organization	City and state



7. List CREDITORS to which you or your family owed \$5,000 or more.

Creditor	City and state	"✓" one	
		\$5,000 or less	More than \$5,000
M & I Mortgage Corp.	Milwaukee, WI	X	
Ford Motor Credit	Appleton, WI	X	

8. Changes to reportable interests this year. See Instructions.

**Part B. Your and your family's sources of income, gifts, and expense reimbursement in 1999.**

9. List your and your family's EMPLOYERS (\$1,000 or more).

Name of employer (If State of Wisconsin, identify agency or institution)	City and state	Nature of employer's business
Hogan Law Office	Rhineland, WI	Attorney
Butler Dental Office	Minocqua, WI	Dentist

10. List OTHER SOURCES from which you or your family received INCOME of \$1,000 or more.

Source of income	City and state

11. List COMMERCIAL CUSTOMERS, CLIENTS, and TENANTS and lobbyists that paid \$1,000 or more to an enterprise (other than a C Corporation) listed in item 2.

Customer, client, tenant, or lobbyist	City and state
See attached list	

12. List individuals and organizations that provided you with ENTERTAINMENT or GIFTS (more than \$50).

Name of provider	City and state

13. List sources of HONORARIA and payment of EXPENSES related to your state government duties (more than \$50) not previously reported to Ethics Board.

Payer	Approximate value of expenses	Amount of honorarium	Circumstances of receipt
State of WI-Public Defender	Less than \$1,000	\$0	Attendance at Board Meetings

I have read the accompanying instructions and certify that the information contained in this Statement of Economic Interests is true, complete, and correct to the best of my knowledge, information, and belief. If I acquired a reportable interest between December 31, 1999 and my nomination/appointment date, I have reported it. If any part has been left blank, I have done so intentionally because there is nothing to report.

Signature of person filing: John Hogan Date: 3/27/00 Daytime phone #: 715-365-1008

The information sought in this form is required by §§19.43 and 19.44, Wisconsin Statutes. Failure to file a completed form may result in a forfeiture of up to \$500. Statements of Economic Interests are open for public inspection. The Ethics Board will notify you of the identity of any person who examines your Statement. In accordance with §15.04(1)(m), Wisconsin Statutes, the Ethics Board states that no personally identifiable information is likely to be used for purposes other than those for which it is collected.

## ***SECURITIES***

Rhineland Tel -- stock  
J.C. Penneys -- stock  
General Motors -- stock  
Wendys -- stock  
Glendale Federal Bank -- stock  
Firststar -- stock  
Putnam Fund Growth -- mutual fund  
Putnam Asia Pac Growth -- mutual fund  
Putnam New Opportunities -- mutual fund  
Putnam Voyage Fund -- mutual fund  
Putnam Global Growth -- mutual fund  
Prudential Equity -- mutual fund  
Prudential Money Market -- mutual fund  
Prudential Nicholas -- mutual fund  
Prudential Global Fund -- mutual fund  
Prudential Multi B -- mutual fund  
Prudential Voyager -- mutual fund  
Prudential Fund for Growth -- mutual fund  
Prudential Global Fund B -- mutual fund  
Fort Howard -- bond  
Erickson -- stock  
Callaway Golf -- stock  
Boeing -- stock  
British Steel - stock  
Coca Cola Co. -- stock  
Hewlett Packard Co. -- stock  
Johnson & Johnson -- stock  
LSI Logic -- stock  
American Century-Select Mutual Fund  
ATT -- bond  
Intel -- stock

State of Wisconsin  
Ethics Board

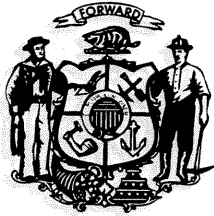
1. We have no individuals we believe to be lobbyists and who paid \$1,000 to us.
2. We, of course, do have clients who have paid us more than \$1,000 but we don't believe any of them to be lobbyists.
3. For any corporation or business that has paid us more than \$1,000, whether or not we believe them to be a lobbyist, the following are a list of such corporation and business:

American Family Insurance  
DeByles, Inc.  
Construction Lending Corporation of America  
Composite Stripping  
Rhineland Well Drilling, Inc.  
M & I Merchants Bank  
Island Collision Center  
Quality Tree Service  
Lakeland Times, Minocqua, WI  
Winger Contracting & Supply Co., Inc., Minocqua, WI  
Vacationland Properties, Rhineland, WI  
Rydens Rentals  
Chicago Title Insurance  
Sedlak Chevrolet, Minocqua, WI  
The Pointe Condominium  
Midwest Security  
Trinity Universal (Milwaukee Guardian)  
Quality Heating, Inc.  
Greg's Mobile  
Timberline Inn  
Nicolet Employment  
Howard Young Medical Center

Very truly yours,

John J. Hogan

JJH/jkp



**TOMMY G. THOMPSON**

**Governor  
State of Wisconsin**

**RECEIVED**  
FEB 24 2000  
BY: \_\_\_\_\_

GOVERNOR'S APPOINTMENTS

February 22, 2000

NAME MAILING ADDRESS: James T. Rogers  
P.O. Box 424  
Merrill, WI 53550-0424

To the Honorable Members of the Senate:

E-MAIL ADDRESS: not available

I am pleased to nominate and with the advice and consent of the Senate, do reappoint James T. Rogers to the Public Defender Board effective May 1, 2000, pursuant to the statute governing, to serve a three year term to expire May 1, 2003.

TELEPHONE: 715-848-9777 WI

Mr. Rogers will be available to the Senate for hearings and my staff will assist in any way they can.

OCCUPATION: Attorney

Respectfully submitted,

APPOINTED TO: Public Defender Board  
WI Bar Number: 0000000000

TOMMY G. THOMPSON  
Governor

TGT/nkw CONFIRMATION: required

DATE OF APPOINTMENT: 2/22/00

DATE OF NOMINATION: 2/22/00

COMPENSATION: same as current position

**JAMES T. ROGERS**  
**Trial Lawyer**

P.O. BOX 438  
MERRILL, WISCONSIN 54452-0438  
Phone: (715) 536-9777

EDUCATION

University of Iowa, Iowa City, Iowa  
University of Wisconsin Law School, J.D., 1966  
Chairman, Madison Legal Aid Society, 1965-66  
Chief Prosecutor, University of Wisconsin Student Court, 1964-66

LOCAL BAR ASSOCIATIONS - MEMBERSHIP AND LEADERSHIP POSITIONS

Lincoln County Bar Association  
President, 1969-70

State Bar of Wisconsin  
Member of Special Committee on Prosecutorial Improvements, 1983-89  
Member of Special Committee to Review Criminal Sanctions, 1987-88  
Member of Convention and Entertainment Committee, 1989-

Wisconsin Academy of Trial Lawyers  
Board of Directors, 1985-  
Chairman, Constitutional Challenge Committee, 1988-

Wisconsin Association of Criminal Defense Lawyers  
Board of Directors, 1986-  
Secretary, 1986-87  
President-Elect, 1987-88  
President, 1988-89  
Liaison to the American Bar Association Criminal Justice Section,  
1988-

NATIONAL BAR ASSOCIATIONS - MEMBERSHIP AND LEADERSHIP POSITIONS

Association of Trial Lawyers of America  
Member of Constitutional Challenge Committee, 1988-

American Bar Association  
Vice Chairman of the Asset and Investment Management Committee of the  
Section of Economics of Law Practice  
Member of Special Drunk Driving Committee  
Member of the Defense Services Committee of the Criminal Law Section  
Member of the Marriage and Cohabitation Committee of the Family Law  
Section

National Association of Criminal Defense Lawyers  
Member of State and Local Defense Bar Liaison Committee, 1988-89  
Member of Ad Hoc Subcommittee on Propriety of DNA Evidence, 1989-

## LECTURER

Moderator, State Bar of Wisconsin, OWI Update, January, 1988  
Moderator, State Bar of Wisconsin, Defense of Drunk Driving: An Update,  
December, 1988  
Moderator, State Bar of Wisconsin, Paternity Law, December, 1988  
Wisconsin Academy of Trial Lawyers' Conventions & Seminars  
Wisconsin Association of Criminal Defense Lawyers' Conventions &  
Seminars  
United Press International, 1986 State Convention, "Media Defamation  
Law: An Overview"

## COMMUNITY AND POLITICAL

District Attorney of Lincoln County, three terms ending 1973  
Special District Attorney Pro Tem, Oneida County, 1972  
Special District Attorney Pro Tem, Price County, various cases, 1972-76  
Special District Attorney Pro Tem, Lincoln County, 1976 & 1977  
Special City Attorney, City of Wausau, 1973, 1974, & 1977  
Chairman of the Judiciary Committee of the Northeast Crime Control  
Commission, 1971-72

## FINANCIAL BOARDS

Merrill Federal Savings & Loan Association  
Board of Directors, 1990-

## INCLUDED IN

Who's Who in American Law, 1st, 2nd, 3rd, 4th, 5th, 6th and 7th  
Editions  
Who's Who in the World, 7th, 8th, 9th and 10th Editions

## PUBLIC SERVICE

Public Defender Board, State of Wisconsin, appointed by Governor Tommy  
G. Thompson for a three-year term commencing May, 1988  
Elected by Board as Second Vice Chairman, 1989-  
Wisconsin Judicare, Inc., A Legal Services Program  
Board of Directors, 1990-



STATE OF WISCONSIN  
***ETHICS BOARD***

James R. Morgan  
Chairman  
Paul M. Holzem  
David L. McRoberts  
Joanne R. Orr  
Dorothy C. Johnson

On the capitol square at:  
44 EAST MIFFLIN STREET, STE 601  
MADISON, WISCONSIN 53703-2800  
phone: 608/266-8123  
fax: 608/264-9309  
e-mail: [ethics@ethics.state.wi.us](mailto:ethics@ethics.state.wi.us)  
web: <http://ethics.state.wi.us>

Roth Judd  
Director

NOMINEE: James T. Rogers

POSITION: Member, Public Defender Board  
(*WI Bar Association rep*)

STATUTORY  
REFERENCE:

**15.78 Public defender board.** There is created a public defender board consisting of 9 members . . . . No member may be, or be employed on the staff of, a judicial or law enforcement officer, district attorney, corporation counsel or the state public defender. At least 5 members shall be members of the state bar of Wisconsin.

3/16/00 CW

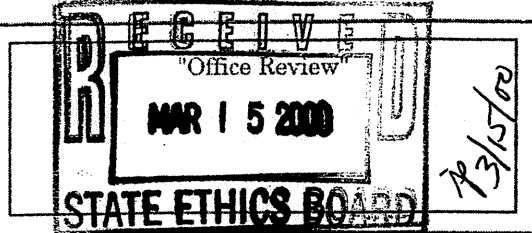
SENATE COMMITTEE ON JUDICIARY AND CONSUMER AFFAIRS: Senators George (Chair), Risser, Clausing, Huelsman and Darling.

# Statement of Economic Interests

Filed in 2000 for calendar year 1999

Name: **Rogers, James T.**

State position: **Member, Public Defender Board**  
(held or sought)



→→→ SEE INSTRUCTIONS FOR EXPLANATION AND EXCEPTIONS. ←←←

## Part A. Investments, creditors, and private positions on December 31, 1999.

1. List STOCKS, BONDS, mutual funds, and other investments you or your family held (minimum \$5,000).

Name of security	Type of security (stocks, bonds, mutual fund, money market, etc.)	"✓" one	
		\$50,000 or less	More than \$50,000
SEE ATTACHED SCHEDULES			
			Y
	stock		
1 <sup>st</sup> COMMUNITY BANK, ORANGE CITY, FL	15,000 shares		X

2. List BUSINESSES and INCOME-PRODUCING REAL ESTATE in which you or your family had a 10% or greater ownership interest.

Name of business (if any) or business activity	City and state	General nature of business	Form of business organization (service corporation, subchapter S or C corporation, partnership, proprietorship, etc.)
NONE			

3. List the OFFICERS, DIRECTORS, and GENERAL PARTNERS (other than you and your family) of a partnership or non-Wisconsin entity listed in item 2.

Business	Name of officers, directors, or partners	City and state
NONE		

4. List specific location of Wisconsin REAL ESTATE you or your family owned (unless listed in item 2).

Location of property (street address or fire number, municipality, and county)	Type of property (e.g., farm, recreational, commercial, rental)	Nature of interest (own, lease, option, easement, land contract)
1408 E. 8 <sup>th</sup> St Merrill, Lincoln	home	own
Merrill, Lincoln	vacant lot	own
Schofield, Marathon	rental home	1/2 interest

5. List ORGANIZATIONS of which you or a family member was an OFFICER or DIRECTOR.

Business or organization	City and state	Position
HOME SUPPLY CO-OP	WAUSAU, WI	DIRECTOR
MERRILL FEDERAL SAVINGS+LOAN	MERRILL, WI	DIRECTOR

6. List ORGANIZATIONS THAT AUTHORIZED YOU OR A FAMILY MEMBER TO REPRESENT THEM in their dealings with others as an attorney-at-law, real estate agent, spokesperson, or representative (unless listed in item 2, 5 or 8).

Business or organization	City and state
NONE	



List CREDITORS to which you or your family owed \$5,000 or more.

Creditor	City and state	"✓" one	
		\$50,000 or less	More than \$50,000
NONE			

8. Changes to reportable interests this year. See Instructions.

**Part B. Your and your family's sources of income, gifts, and expense reimbursement in 1999.**

9. List your and your family's EMPLOYERS (\$1,000 or more).

Name of employer (If State of Wisconsin, identify agency or institution)	City and state	Nature of employer's business
NONE		

10. List OTHER SOURCES from which you or your family received INCOME of \$1,000 or more.

Source of income	City and state
MERRILL FEDERAL SAVINGS + LOAN - DIRECTOR'S FEES - MERRILL, WI	

11. List COMMERCIAL CUSTOMERS, CLIENTS, and TENANTS and lobbyists that paid \$1,000 or more to an enterprise (other than a C Corporation) listed in item 2.

Customer, client, tenant, or lobbyist	City and state
NONE	

12. List individuals and organizations that provided you with ENTERTAINMENT or GIFTS (more than \$50).

Name of provider	City and state
NONE	

13. List sources of HONORARIA and payment of EXPENSES related to your state government duties (more than \$50) not previously reported to Ethics Board.

Payer	Approximate value of expenses	Amount of honorarium	Circumstances of receipt
NONE			

I have read the accompanying instructions and certify that the information contained in this Statement of Economic Interests is true, complete, and correct to the best of my knowledge, information, and belief. If I acquired a reportable interest between December 31, 1999 and my nomination/appointment date, I have reported it. If any part has been left blank, I have done so intentionally because there is nothing to report.

Signature of person filing

*Janet Ryan*

Date

March 10 2000

Daytime phone #

(715) 536-9777

The information sought in this form is required by §§19.43 and 19.44, Wisconsin Statutes. Failure to file a completed form may result in a fine. Statements of Economic Interests are open for public inspection. The Ethics Board will notify you of the identity of persons who have requested information. In accordance with §15.04(1)(m), Wisconsin Statutes, the Ethics Board states that no person shall be held liable for any information that is not reported in this form.



# SALOMON SMITH BARNEY

A member of citigroup

## Select Client Statement December 1 - December 31, 1999

Account number 592-06025-12 123

JAMES T ROGERS

011106 00025450

Average %  
Yield/Anticipated  
Income (annualized)

Quantity	Description	Date acquired	Cost	Share cost	Current share price	Current value	Unrealized gain/(loss)	Average % Yield/Anticipated Income (annualized)
1,984.7051	ARCH COMMUNICATIONS GROUP NEW	01/07/99	\$ 8,188.13	\$ 4.125	\$ 6.594	\$ 13,087.15	\$ 4,899.02	ST
258.2949	SYMBOL: APGR/RATING: C	01/11/99	1,162.50	4.50	6.594	1,703.20	540.70	ST
2,243	ASTORIA FINANCIAL CORP	06/01/99	9,350.63	4.169	30.438	14,790.35	5,439.72	ST
870	SYMBOL: ASFC	08/27/99	39,380.99	45.265	30.438	26,481.06	(12,899.93)	ST
385		09/22/99	13,567.36	35.239	30.438	11,718.63	(1,848.73)	ST
145			4,350.00	30.00	30.438	4,413.51	63.51	ST
1,400			57,298.35	40.927		42,613.20	(14,685.15)	3,153
								1,344.00
1,135	CHIRON CORP DELAWARE	01/07/99	28,020.31	24.687	42.375	48,095.63	20,075.32	ST
300	SYMBOL: CHIR/RATING: B-	01/11/99	7,406.25	24.687	42.375	12,712.50	5,306.25	ST
985		03/31/99	21,608.44	21.937	42.375	41,739.38	20,130.94	ST
2,420			57,035.00	23.568		102,547.51	45,512.51	ST
1,045	CIRRUS LOGIC INC	01/07/99	12,082.81	11.562	13.313	13,912.09	1,829.28	ST
250	SYMBOL: CRUS/RATING: C	01/11/99	2,990.63	11.562	13.313	3,328.25	437.62	ST
1,295			14,973.44	11.563		17,240.34	2,266.90	ST
640	COMCAST CORP CL A-SPL	01/07/99	19,872.00	31.05	50.563	32,360.32	12,488.32	ST
560	SYMBOL: CMCSK/RATING: B-	03/31/99	17,762.50	31.718	50.563	28,315.28	10,552.78	ST
1,200			37,634.50	31.362		60,675.60	23,041.10	183
								111.60
								9,496.96
								1,306.24
1,420	EXCEL TECHNOLOGY INC	01/07/99	15,975.00	11.25	17.938	25,471.96	9,496.96	ST
230	SYMBOL: XLTC/RATING: B-	01/11/99	2,817.50	12.25	17.938	4,125.74	1,306.24	ST
1,650			18,792.50	11.389		29,597.70	10,805.20	ST
400	FOREST LABORATORIES INC	01/07/99	20,025.00	50.062	61.438	24,575.20	4,550.20	ST
150	SYMBOL: FRX/RATING: B+	01/11/99	6,918.75	46.125	61.438	9,215.70	2,296.95	ST
115		03/31/99	6,555.00	57.00	61.438	7,065.37	510.37	ST
270		09/15/99	12,568.23	46.549	61.438	16,588.26	4,020.03	ST
935			46,066.98	49.269		57,444.53	11,377.55	ST
590	GENZYME CORP	01/07/99	27,364.27	46.38	45.00	26,550.00	(814.27)	ST
100	GENERAL DIVISION	01/11/99	5,023.49	50.234	45.00	4,500.00	(523.49)	ST
350	SYMBOL: GENZ/RATING: B-	03/31/99	17,475.15	49.929	45.00	15,750.00	(1,725.15)	ST
40		08/25/99	2,355.00	58.875	45.00	1,800.00	(555.00)	ST
1,080			52,217.91	48.35		48,900.00	(3,617.91)	30.28
								ST
								(3.09)
								ST
								(8.50)
								ST
								18.69
								1,081.21
								5,712
								1,062.52
								5,712

105.5192 GENZYME CORP SURGICAL PRODS

17.8846 DIVISION  
62.5962 SYMBOL: GZSP



**Select Client Statement**  
December 1 - December 31, 1999

Ref: 00001106 00025452

**JAMES T ROGERS** Account number 592-06025-12 123

**Common stocks & options**  
continued

Quantity	Description	Date acquired	Cost	Share cost	Current share price	Current value	Unrealized gain/(loss)	Average % Yield/Anticipated Income (annualized)
750	TYCO INTERNATIONAL LTD	01/07/99	\$ 27,914.06	\$ 37.218	\$ 39.00	\$ 29,250.00	\$ 1,335.94	ST
200	-NEW-	01/11/99	7,425.00	37.125	39.00	7,800.00	375.00	ST
510	SYMBOL: TYC/RATING: B+	03/31/99	18,551.25	36.375	39.00	19,890.00	1,338.75	ST
<b>1,460</b>			<b>53,890.31</b>	<b>36.911</b>		<b>56,940.00</b>	<b>3,049.69</b>	<b>.128</b>
585	UNITED HEALTHCARE CORP	01/07/99	27,933.75	47.75	53.125	31,078.13	3,144.38	ST
180	SYMBOL: UNH/RATING: B+	01/11/99	8,313.75	46.187	53.125	9,562.50	1,248.75	ST
240		03/31/99	12,720.00	53.00	53.125	12,750.00	30.00	ST
<b>1,005</b>			<b>48,967.50</b>	<b>48.724</b>		<b>53,390.63</b>	<b>4,423.13</b>	<b>.056</b>
940	WEATHERFORD INTL INC	01/07/99	20,033.75	21.312	39.938	37,541.72	17,507.97	ST
140	SYMBOL: WFT/RATING: B-	01/11/99	3,281.25	23.437	39.938	5,591.32	2,310.07	ST
275		03/31/99	7,682.81	27.937	39.938	10,982.95	3,300.14	ST
<b>1,355</b>			<b>30,997.81</b>	<b>22.877</b>		<b>54,115.99</b>	<b>23,118.18</b>	<b>30.15</b>
<b>Total common stocks and options</b>			<b>\$ 711,810.52</b>			<b>\$ 1,057,296.40</b>	<b>\$ 340,033.38**</b>	<b>ST 28</b>
<b>Total portfolio value</b>			<b>\$ 713,467.22</b>			<b>\$ 1,058,953.10</b>	<b>\$ 340,033.38**</b>	<b>ST 28</b>
						<b>\$ 0.00**</b>	<b>\$ 3,017.55</b>	<b>LT 28</b>
						<b>\$ 0.00**</b>	<b>\$ 3,103.20</b>	<b>LT 28</b>

\*\*Unrealized Gain/Loss is only calculated when an original cost basis is available.

**Transaction details**

Date	Activity	Description	Quantity	Price	Amount
12/03/99	Bought	QUANTUM CORP HARD DISK DRIVE	460	\$ 6.659	\$ -3,063.42
12/27/99	Stk split	IDEC PHARMACEUTICALS CORP STK SPLIT ON 765 SHS	765		0.00
<b>Total securities bought and other subtractions</b>					
<b>Total securities sold and other additions</b>					
<b>\$ -3,063.42</b>					
<b>\$ 0.00</b>					

**Investment activity**

**Money funds activity**

Date	Activity	Description	Amount
<b>Opening money funds balance</b>			
<b>\$ 4,006.15</b>			
12/02/99	AutoInvest	SB MONEY FUNDS CASH PORT CL A	336.00
12/03/99	Redemption	SB MONEY FUNDS CASH PORT CL A	-3,063.42
<b>All transactions are traded at \$1.00 per share.</b>			
		SB MONEY FUNDS CASH PORT CL A	364.70
MONEY FUNDS EARNINGS REINVESTED (SEE DETAILS UNDER EARNINGS DETAILS)			
			13.27
<b>Closing balance</b>			
<b>\$ 1,656.70</b>			

## Select Client FMA PLUS December 1 - December 31, 1999

Ref: 00001106 00025455

JAMES T. ROGERS

Account number 592-33022-19 123

### Cash and money funds balance

	This period	This year
Opening balance	\$ 187,991.55	
Securities bought and other subtractions	-604,936.98	
Securities sold and other additions	600,000.00	
Deposits	0.00	1,190,000.00
Withdrawals	-30,000.00	-30,000.00
FMA Card activity	0.00	-36,679.19
Checks written	0.00	-26,580.00
Dividends credited	3,336.98	
Money funds earnings reinvested	742.12	
<b>Closing balance</b>	<b>\$ 157,133.67</b>	

### Portfolio summary

	This period	Year-to-date
Opening value of securities	1,324,508.57	167,134.62
+ Opening cash balance	0.00	0.00
= Opening portfolio balance	1,324,508.57	167,134.62
Securities deposited/withdrawn net	0.00	33,081.00
Capital deposited/withdrawn net	-30,000.00	1,096,740.81
Adjusted opening value	1,294,508.57	1,296,956.43
Closing value of securities	1,383,987.91	1,383,987.91
+ Closing cash balance	0.00	0.00
= Closing portfolio value	1,383,987.91	1,383,987.91

Note: Securities deposited/withdrawn net = The value of securities on the day they were debited from or credited to the account as reflected in the "Other security activity" section.  
Capital deposited/withdrawn net = Cash withdrawals and deposits, credit card payments, FMA Card activity, checks written, and Money funds/Insured Deposit Account<sup>SM</sup> transfers.

### Portfolio details

The values of your holdings are as of 12/31/99. Please note, unrealized gain/(loss) is being prepared for informational purposes only and should not be used for tax preparation without the assistance of your tax advisor.

Number of shares	Description	Current value	Accrued dividends	Annualized % dividend Yield/Anticipated Income (annualized)
157,133.67	SB MONEY FUNDS CASH PORT CL A	\$ 157,133.67	\$ 89.03	5.17%
<b>Total money funds</b>		<b>\$ 157,133.67</b>	<b>\$ 89.03</b>	<b>\$ 8,123.81</b>

Please refer to the back of page 1 for definitions of research ratings.

### Common stocks & options

Quantity	Description	Date acquired	Share cost	Current share price	Current value	Unrealized gain/(loss)	Average % Yield/Anticipated Income (annualized)
100	EL SITIO INC-USD SYMBOL: LCTO In cash account	12/09/99	\$ 16.00	\$ 36.75	\$ 3,675.00	\$ 2,075.00	ST
300	GARGOYLES INC	09/26/96	16.00	.24	72.00	(4,728.00)	LT
400	SYMBOL: GOYL	09/27/96	23.281	.24	96.00	(9,216.50)	LT
4,000		12/02/96	38,412.00	.24	960.00	(37,452.00)	LT
4,700			52,524.50	11.175	1,128.00	(51,396.50)	
100	GETTHERE.COM INC SYMBOL: GTHR In cash account Research rating:1/S	11/23/99	16.00	40.25	4,025.00	2,425.00	ST

# SALOMON SMITH BARNEY

A member of citigroup

## Select Client FMA PLUS December 1 - December 31, 1999

Account number 592-33022-19 123

JAMES T. ROGERS

ref: 00001106 00025456

Quantity	Description	Date acquired	Cost	Share cost	Current share price	Current value	Unrealized gain/(loss)	Average % Yield/Anticipated Income (annualized)
10,000	MOBILEMEDIA CORP CLASS A SYMBOL: MBLMQ	11/26/96	\$ 15,374.00	\$ 1.537	\$ .016	\$ 160.00	(\$ 15,214.00)	LT
300		11/26/96	462.00	1.54	.016	4.80	(457.20)*	LT
10,300			15,836.00	1.537		164.80	(15,671.20)	LT
22.5	PALATIN TECHNOLOGIES INC (NEW) SYMBOL: PTN	10/28/93	8,459.01	375.956	2.50	56.25	(8,402.76)	LT
2.5		10/28/93	900.00	360.00	2.50	62.50	(893.75)	LT
25			9,359.01	374.36		Unpriced	(9,296.51)	N/A
700	STARTER CORP SYMBOL: STAZQ	04/08/93	18,139.87	25.375		Unpriced	N/A	
300		04/08/93	6,450.00	21.50		0.00	0.00	LT
1,000			24,589.87	24.59		850.00	(350.00)	LT
100	TB WOODS CORP SYMBOL: TBW	02/07/96	1,200.00	12.00	8.50	7,650.00	(3,528.39)	LT
900		02/08/96	11,178.39	12.25	8.50	34,000.00	(8,427.29)	LT
4,000		05/14/96	42,427.29	10.50	8.50	42,500.00	(12,305.68)	1,800.00
5,000			54,805.68	10.961		155,625.00	20,625.00*	LT
15,000	TUFCO TECHS INC SYMBOL: TFCO	01/21/94	135,000.00	9.00	10.375	\$ 207,160.30	\$ 4,500.00	ST
							(\$ 68,044.89)	LT
			\$ 295,315.06					\$ 1,800.00

**Total common stocks and options**

Number of shares	Description	Date acquired	Cost	Share cost	Current share price	Current value	Unrealized gain/(loss)	Yield/Anticipated Income (annualized)
3,658,753	SMITH BARNEY AGGRESSIVE GROWTH FUND CL A In cash account	12/29/99	\$ 300,000.00	\$ 82.04	\$ 82.78	\$ 302,706.01	\$ 2,706.01	ST
21,929,825	SMITH BARNEY SMALL CAP GROWTH FUND CLASS A In cash account	11/29/99	250,000.00	11.40	14.64	321,052.64	71,052.64	ST
20,790,021		12/29/99	300,000.00	14.43	14.64	304,365.91	4,365.91	ST
42,719,846	Total Purchases		550,000.00	12.87	14.64	625,418.55	75,418.55	ST
26,856	Reinvestments to date		370.61	13.799	14.64	393.17	22.56	ST
42,746,702	Total Value (Tax based) Fund Performance		550,370.61	12.875		625,811.72	75,441.11	75,811.72
			550,000.00			625,811.72		

Yield is the current distribution annualized, divided by the fund's net asset value at the end of the statement period. Distributions may consist of income, capital gains or the return of capital. Distributions for funds not sponsored by Salomon Smith Barney are based upon information provided by an outside vendor and are not verified by Salomon Smith Barney. "Total Value (Tax Based)" is being provided for information purposes only. "Fund Performance", when shown, is provided to assist you in comparing your total investment, excluding reinvested distributions, with the current value of the fund's shares in your account. "Fund Performance" does not take into account cash distributions.

**Mutual funds**

## Select Client FMA PLUS December 1 - December 31, 1999

Ref: 00001106 00025457

JAMES T. ROGERS

Account number 592-33022-19 123

### Mutual funds continued

Number of shares	Description	Date acquired	Cost	Share cost	Current share price	Current value	Unrealized gain/(loss)	Yield/Anticipated Income (annualized)
4,611.248	SMITH BARNEY MANAGED MUNICIPALS FUND CL A In cash account	06/15/99	\$ 70,413.76	\$ 15.27	\$ 14.15	\$ 65,249.16	(\$ 5,164.60)	ST
4,611.248	<b>Total Purchases</b>		70,413.76	15.27	14.15	65,249.16	(5,164.60)	
1,824.595	Reinvestments to date		26,769.39	14.671	14.15	25,818.02	(951.37)	ST
6,435.843	<b>Total Value (Tax based)</b>		97,183.15	15.10		91,067.18	(6,115.97)	5.17
	<b>Fund Performance</b>		70,413.76			91,067.18	20,653.42 <sup>1</sup>	4,708.17
	<b>Total mutual funds (Tax based)</b>		\$ 947,553.75			\$ 1,019,594.91	\$ 72,031.15	ST .46
	<b>Total portfolio value</b>		\$ 1,400,002.49			\$ 1,383,898.88	\$ 76,531.15	ST 1.05
						(\$ 68,044.89)	LT	\$ 14,631.98

(SEE DETAILS UNDER EARNINGS DETAILS) 742.12  
Closing balance \$ 157,133.67

### Earnings details

The tax status of earnings is reliable to the best of our knowledge. Taxable and non-taxable designations refer to the federal income tax status of your securities, not of your account.

Date	Description	Comment	Taxable	Non-taxable	Amount
12/23/99	SMITH BARNEY SMALL CAP GROWTH FUND CLASS A	CASH DIV RECORD 12/21/99 PAY 12/23/99	\$ 370.61		\$ 370.61
12/30/99	SMITH BARNEY MANAGED MUNICIPALS FUND CL A	CASH DIV RECORD 12/27/99 PAY 12/30/99		2,966.37	2,966.37
	<b>Total dividends earned</b>		\$ 370.61	\$ 2,966.37	\$ 3,336.98
Date	Description	Comment	Taxable	Non-taxable	Amount
12/10/99	SB MONEY FUNDS CASH PORT CL A	REINVESTED FOR PERIOD 11/15/99-12/12/99 28 DAYS AVERAGE YIELD 5.10 %.	\$ 350.21		\$ 350.21
12/29/99	SB MONEY FUNDS CASH PORT CL A	REINVESTED FOR PERIOD 12/13/99-12/29/99 17 DAYS AVERAGE YIELD 5.16 %.	391.91		391.91
	<b>Total earnings from money funds</b>		\$ 742.12	\$ 0.00	\$ 742.12

### Dividends

### Money funds earnings



**Select Client Statement**  
December 1 - December 31, 1999

Ref: 00001106 00025462

**JAMES T. ROGERS** Account number 592-33023-18 123

**Common stocks & options**  
*continued*

Quantity	Description	Date acquired	Cost	Share cost	Current share price	Current value	Unrealized gain/(loss)	Average % Yield/Anticipated Income (annualized)
300	AMGEN INC	06/10/99	\$ 8,045.55	\$ 26.818	\$ 60.063	\$ 18,018.90	\$ 9,973.35	ST
2,340	SYMBOL: AMGN/RATING: B		49,579.37	21.188		140,547.42	90,968.05	
360	ASSOCIATES FIRST CAPITAL CORP	04/30/98	13,446.27	37.35	27.438	9,877.68	(3,568.59)	LT
600	CLASS A	05/06/99	24,040.20	40.067	27.438	16,462.80	(7,577.40)	ST
20	SYMBOL: AFS	07/27/99	819.05	40.952	27.438	548.76	(270.29)	ST
110		11/04/99	4,014.21	36.492	27.438	3,018.18	(996.03)	ST
1,090			42,319.73	38.825		29,907.42	(12,412.31)	.947 283.40
375	COCA-COLA CO	05/03/96	15,107.81	40.287	58.25	21,843.75	6,735.94	LT
170	SYMBOL: KO/RATING: A +	10/28/97	9,649.69	56.762	58.25	9,902.50	252.81	LT
510		03/31/99	31,178.49	61.134	58.25	29,707.50	(1,470.99)	ST
120		12/09/99	7,376.47	61.47	58.25	6,990.00	(386.47)	ST
1,175			63,312.46	53.883		68,443.75	5,131.29	1.098 752.00
1,460	WALT DISNEY HOLDING CO	04/26/99	51,069.57	34.979	29.25	42,705.00	(8,364.57)	ST
	SYMBOL: DIS/RATING: B +							.717 306.60
760	ENRON CORP	05/02/96	15,010.00	19.75	44.375	33,725.00	18,715.00	LT
420	SYMBOL: ENE/RATING: A-	04/05/99	13,537.23	32.231	44.375	18,637.50	5,100.27	ST
1,180			28,547.23	24.193		52,362.50	23,815.27	1.126 590.00
475,0588	EXXON MOBIL CORP	07/18/96	19,968.84	42.034	80.563	38,272.16	18,303.32	LT
197,9412	SYMBOL: XOM/RATING: A	05/28/99	14,990.63	75.732	80.563	15,946.74	956.11	ST
673			34,959.47	51.946		54,218.90	19,259.43	2.184 1,184.48
640	FEDERAL NATIONAL MORTGAGE ASSN	05/08/96	18,462.98	28.848	62.438	39,960.32	21,497.34	LT
90	SYMBOL: FNM/RATING: A	04/05/99	6,368.31	70.759	62.438	5,619.42	(748.89)	ST
730			24,831.29	34.015		45,579.74	20,748.45	1.729 788.40
470	GENERAL ELECTRIC CO	04/16/96	18,212.50	38.75	154.75	72,732.50	54,520.00	LT
	SYMBOL: GE/RATING: A +							1.059 770.80
550	GILLETTE CO	03/27/96	14,850.00	27.00	41.188	22,653.40	7,803.40	LT
590	SYMBOL: G/RATING: A	04/06/99	30,106.52	51.028	41.188	24,300.92	(5,805.60)	ST
355		06/24/99	14,883.16	41.924	41.188	14,621.74	(261.42)	ST
1,495			59,839.68	40.027		61,576.06	1,736.38	1.432 882.06

**Select Client Statement**  
December 1 - December 31, 1999

Ref: 00001106 00025461

**JAMES T. ROGERS**

**Account number 592-33023-18 123**

**Cash and money funds balance**

	This year
<b>Opening balance</b>	<b>\$ 19,169.99</b>
Securities bought and other subtractions	-36,436.02
Securities sold and other additions	20,082.91
Deposits	0.00
Withdrawals	383.28
Dividends credited	-117,843.86
Money funds earnings reinvested	2,196.14
<b>Closing balance</b>	<b>\$ 5,078.97</b>

**Portfolio summary**

	This period	Year-to-date
Opening value of securities	1,437,339.66	960,654.29
+ Opening cash balance	0.00	83.60
= Opening portfolio balance	1,437,339.66	960,737.89
Securities deposited/withdrawn net	0.00	424,446.40
Capital deposited/withdrawn net	0.00	-117,460.58
Adjusted opening value	1,437,339.66	1,267,723.71
Closing value of securities	1,503,719.91	1,503,719.91
+ Closing cash balance	0.00	0.00
= Closing portfolio value	1,503,719.91	1,503,719.91

**Note: Securities deposited/withdrawn net = The value of securities on the day they were debited from or credited to the account as reflected in the "Other security activity" section.**

**Capital deposited/withdrawn net = Cash withdrawals and deposits, credit card payments, FMA Card activity, checks written, and Money funds/Insured Deposit Account<sup>SM</sup> transfers.**

**Portfolio details**

The values of your holdings are as of 12/31/99. Please note, unrealized gain/(loss) is being prepared for informational purposes only and should not be used for tax preparation without the assistance of your tax advisor.

**Money funds**

Number of shares	Description	Current value	Accrued dividends	Annualized % dividend Yield/Anticipated Income (annualized)
5,078.97	SB MONEY FUNDS CASH PORT CL A	\$ 5,078.97	\$ 2.88	5.17%
<b>Total money funds</b>		<b>\$ 5,078.97</b>	<b>\$ 2.88</b>	<b>\$ 262.58</b>

**Common stocks & options**

Quantity	Description	Date acquired	Share cost	Current share price	Current value	Unrealized gain/(loss)	Average % Yield/Anticipated Income (annualized)
420	AMERICA ONLINE INC DEL SYMBOL: AOL/RATING: B-	05/26/99	\$ 56.678	\$ 75.875	\$ 31,867.50	\$ 8,062.36	ST
180	AMERICAN EXPRESS CO SYMBOL: AXP/RATING: B+	06/27/96	8,103.11	166.25	29,925.00	21,821.89	LT
450	AMER INTERNATIONAL GROUP INC	02/06/97	19,607.36	43.571	48,656.25	29,048.89	LT
250	SYMBOL: AIG/RATING: A+	05/17/99	23,270.64	93.082	27,031.25	3,760.61	ST
700			42,878.00	61.254	75,687.50	32,809.50	ST
1,360	AMGEN INC	06/23/97	20,382.32	14.987	81,685.68	61,303.36	LT
680	SYMBOL: AMGN/RATING: B	05/20/99	21,151.50	31.105	40,842.84	19,691.34	ST

## Select Client Statement December 1 - December 31, 1999

Ref: 00001106 00025464

**JAMES T. ROGERS**

**Account number 592-33023-18 123**

**Common stocks & options**  
*continued*

Quantity	Description	Date acquired	Cost	Share cost	Current share price	Current value	Unrealized gain/(loss)	Average % Yield/Anticipated Income (annualized)
470	TIME WARNER HOLDINGS CO SYMBOL: TWX/RATING: B-	02/03/97	\$ 9,155.06	\$ 19.478	\$ 72.313	\$ 33,907.11	\$ 24,832.05	LT -248% \$ 84.60
450	TYCO INTERNATIONAL LTD -NEW- SYMBOL: TYC/RATING: B+	12/13/99	14,273.96	31.719	39.00	17,550.00	3,276.04	ST .128 22.50
410	WELLS FARGO & CO NEW	04/15/97	10,543.27	25.715	40.438	16,579.58	6,036.31	LT
700	SYMBOL: WFC/RATING: A	01/12/98	22,076.22	31.537	40.438	28,306.60	6,230.38	LT
440		06/07/99	17,933.26	40.757	40.438	17,792.72	(140.54)	ST
1,550			50,552.75	32.615		62,678.90	12,126.15	1,978 1,240.00
300	WM WRIGLEY JR CO	05/29/96	16,295.10	54.317	82.938	24,881.40	8,586.30	LT
440	SYMBOL: WWY/RATING: A+	06/16/99	37,403.56	85.008	82.938	36,492.72	(910.84)	ST
150		12/07/99	12,294.59	81.963	82.938	12,440.70	146.11	ST
890			65,993.25	74.15		73,814.82	7,821.57	1,688 1,246.00
Total common stocks and options			\$ 842,969.29			\$ 1,496,638.06	\$ 66,091.80	ST .75
Total portfolio value			\$ 848,049.26			\$ 1,803,717.03	\$ 589,576.97	LT \$ 11,331.03 ST .77 \$ 11,593.61

\*Based on information supplied by client, not verified by Salomon Smith Barney.

### Transaction details

Date	Activity	Description	Quantity	Price	Amount
12/01/99	Merger	EXXON MOBIL CORP			\$ 22.36
12/01/99	Merger	EXXON MOBIL CORP	673		0.00
12/01/99	Merger	MOBIL CORP	-510		0.00
12/10/99	Bought	WM WRIGLEY JR CO	150	81.963	-12,294.59
12/14/99	Bought	COCA-COLA CO	120	61.47	-7,376.47
12/16/99	Bought	TYCO INTERNATIONAL LTD -NEW-	450	31.719	-14,273.96

**Investment activity**

**Select Client Statement**  
December 1 - December 31, 1999

Account number 592-33023-18 123

**JAMES T. ROGERS**

f: 00001106 00025463

Quantity	Description	Date acquired	Cost	Share cost	Current share price	Current value	Unrealized gain/(loss)	Average % Yield/Anticipated Income (annualized)
700	HOME DEPOT INC SYMBOL: HD/RATING: A+ Stock split pending	04/11/96	\$ 10,385.20	\$ 14.836	\$ 103.125	\$ 72,187.50	\$ 61,802.30	LT .155% \$ 112.00
900	HOUSEHOLD INTERNATIONAL INC SYMBOL: HI/RATING: B+	04/11/96	19,366.50	21.518	37.25	33,525.00	14,158.50	LT 1.825 612.00
900	INTEL CORP SYMBOL: INTC/RATING: A-	04/08/96	13,443.75	14.937	82.313	74,081.70	60,637.95	LT
600		06/03/99	30,424.56	50.707	82.313	49,387.80	18,963.24	ST .145 180.00
1,500			43,868.31	29.246		123,469.50	79,601.19	
200	JOHNSON & JOHNSON SYMBOL: JNJ/RATING: A+	05/07/97	12,119.20	60.596	93.25	18,650.00	6,530.80	LT
300		01/02/98	23,283.00	77.61	93.25	27,975.00	4,692.00*	LT 1.201 560.00
500			35,402.20	70.804		46,625.00	11,222.80	
480	LUCENT TECHNOLOGIES INC SYMBOL: LU	09/30/98	16,571.57	34.524	75.00	36,000.00	19,428.43	LT .106 38.40
740	MCDONALDS CORP SYMBOL: MCD/RATING: A+	12/04/96	17,114.35	23.127	40.313	29,831.62	12,717.27	LT
240		07/08/99	9,755.04	40.646	40.313	9,675.12	(79.92)	ST
60		12/16/99	2,491.00	41.516	40.313	2,418.78	(72.22)	ST .483 202.80
1,040			29,360.39	28.231		41,925.52	12,565.13	
320	MERCK & CO INC SYMBOL: MRK/RATING: A+	04/23/96	9,820.00	30.687	67.188	21,500.16	11,680.16	LT
20		04/15/99	1,564.87	78.243	67.188	1,343.76	(221.11)	ST 1.726 394.40
340			11,384.87	33.485		22,843.92	11,459.05	
540	MICROSOFT CORP SYMBOL: MSFT/RATING: A-	07/24/96	7,644.22	14.155	116.75	63,045.00	55,400.78	LT
350	PEPSICO INC SYMBOL: PEP/RATING: A	09/13/96	9,662.29	27.606	35.25	12,337.50	2,675.21	LT
670		04/22/99	25,094.01	37.364	35.25	23,617.50	(1,416.51)	ST 1.531 550.80
1,020			34,696.30	34.016		35,955.00	1,258.70	
600	TEXAS INSTRUMENTS INC SYMBOL: TXN/RATING: B	11/07/97	16,735.59	27.892	96.625	57,975.00	41,239.41	LT
240		11/07/97	6,694.24	27.892	96.625	23,190.00	16,495.76	LT
500		03/23/99	23,427.33	46.854	96.625	48,312.50	24,885.17	ST .175 227.80
1,340			46,857.16	34.968		129,477.50	82,620.34	

Common Stocks & Options continued

# Plan activity summary

## Primary Beneficiaries

Contact your Financial Consultant for the appropriate documentation to change or update your beneficiary information.

1998

### Contributions

Employer contributions \$ 30,000.00 \$ 0.00

## Portfolio details

The values of your holdings are as of 12/31/99. Please note, unrealized gain/(loss) is being prepared for informational purposes only and should not be used for tax preparation without the assistance of your tax advisor.

### Money funds

Number of shares	Description	Current value	Accrued dividends	Annualized % dividend Yield/Anticipated Income (annualized)
25.61	SB MONEY FUNDS CASH PORT CLA	\$ 25.61	\$ .01	5.17%
<b>Total money funds</b>		<b>\$ 25.61</b>	<b>\$ .01</b>	<b>5.15%</b>
				<b>\$ 1.32</b>

# SALOMON SMITH BARNEY

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## Select Client SERP Statement December 31, 1999

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Ref: 00005965 00033395

JAMES T ROGERS

Account number 592-65896-14 123

### Mutual funds

Yield is the current distribution annualized, divided by the fund's net asset value at the end of the statement period. Distributions may consist of income, capital gains or the return of capital. Distributions for funds not sponsored by Salomon Smith Barney are based upon information provided by an outside vendor and are not verified by Salomon Smith Barney. "Total Value (Tax Based)" is being provided for information purposes only. "Fund Performance", when shown, is provided to assist you in comparing your total investment, excluding reinvested distributions, with the current value of the fund's shares in your account. "Fund Performance" does not take into account cash distributions.

Number of shares	Description	Date acquired	Cost	Share cost	Current share price	Current value	Unrealized gain/(loss)	Yield/Anticipated Income (annualized)
2,290.076	SMITH BARNEY SMALL CAP GROWTH FUND CLASS A	12/17/99	\$ 30,000.00	\$ 13.10	\$ 14.64	\$ 33,526.71	\$ 3,526.71	ST
<b>2,290.076</b>	<b>Total Purchases</b>		<b>30,000.00</b>	<b>13.10</b>	<b>14.64</b>	<b>33,526.71</b>	<b>3,526.71</b>	
2.804	Reinvestments to date		38.70	13.801	14.64	41.05	2.35	ST
<b>2,292.88</b>	<b>Total Value (Tax based)</b>		<b>30,038.70</b>	<b>13.101</b>		<b>33,567.76</b>	<b>3,529.06</b>	
<b>Fund Performance</b>			<b>30,000.00</b>			<b>33,567.76</b>		<b>3,567.76</b>
<b>Total mutual funds (Tax based)</b>			<b>\$ 30,038.70</b>			<b>\$ 33,567.76</b>	<b>\$ 3,529.06</b>	<b>ST</b>
<b>Total portfolio value</b>			<b>\$ 30,064.31</b>			<b>\$ 33,583.37</b>	<b>\$ 3,529.06</b>	<b>ST</b>
						<b>\$ 0.00</b>	<b>\$ 0.00</b>	<b>LT</b>
						<b>\$ 0.00</b>	<b>\$ 0.00</b>	<b>LT</b>
						<b>\$ 1.32</b>		

Date	Description	Comment	Taxable	Non-taxable	Amount
12/16/99	AIM DEVELOPING MARKETS CLASS A	PERIODIC DIST ON 10630.548 SHS	\$ 419.91		\$ 419.91
		REINV DIVIDEND			
		RECORD 12/14/99 PAY 12/15/99			
12/23/99	SMITH BARNEY SMALL CAP GROWTH FUND CLASS A	CASH DIV	411.74		411.74
		RECORD 12/21/99 PAY 12/23/99			
<b>Total dividends earned</b>			<b>\$ 831.65</b>	<b>\$ 0.00</b>	<b>\$ 831.65</b>

Date	Description	Comment	Taxable	Non-taxable	Amount
12/08/99	SB MONEY FUNDS CASH PORT CL A	ACCRUED DIVIDEND	\$ 574.05		\$ 574.05

12/10/99	SB MONEY FUNDS CASH PORT CL A	REINVESTED FOR PERIOD 11/15/99-12/12/99	.01		.01
		28 DAYS AVERAGE YIELD 5.10 %			
12/29/99	SB MONEY FUNDS CASH PORT CL A	REINVESTED FOR PERIOD 12/13/99-12/29/99	.02		.02
		17 DAYS AVERAGE YIELD 5.16 %			

<b>Total money funds earnings credited to account</b>					
			<b>\$ 574.08</b>	<b>\$ 0.00</b>	<b>\$ 574.08</b>
<b>Total money funds earnings reinvested</b>					
			<b>\$ 0.00</b>	<b>\$ 0.00</b>	<b>\$ 0.00</b>
<b>Total earnings from money funds</b>					
			<b>\$ 574.08</b>	<b>\$ 0.00</b>	<b>\$ 574.08</b>

**Dividends**

**Money funds earnings**

**SALOMON SMITH BARNEY**

A member of citigroup

Ref: 00001106 00025471

**Select Client IRA Statement**  
December 1 - December 31, 1999

Page 5 of 5

JAMES T. ROGERS

Account number 592-61256-17 123

**Gain/loss details**

Please note, this material is being prepared for informational purposes only and should not be used for tax preparation without the assistance of your tax advisor. Trades are allocated using the FIFO (first in-first out) method. Day traders should therefore not rely on this section for day trading results. The Gain/Loss details section does not reflect fractional shares or cash paid in lieu of fractional shares but rather reduces the cost basis of the security involved. This reduction is not reflected as a Realized transaction.

Description	Original trade date	Closing trade date	Quantity	Purchase price	Sale price	Cost basis	Proceeds	Realized gain or (loss)	
TUFCO TECHS INC LEAVES 1,500	01/21/94	11/26/99 Sold	500	\$ 9.00	\$ 8.625	\$ 4,500.00	\$ 4,262.35	(\$ 237.65) LT	
TUFCO TECHS INC LEAVES 1,100	01/21/94	11/26/99 Sold	400	9.00	8.625	3,600.00	3,409.88	(190.12) LT	
TUFCO TECHS INC	01/21/94	11/26/99 Sold	1,100	9.00	8.625	9,900.00	9,372.18	(527.82) LT	
<b>Total</b>							<b>\$ 18,000.00</b>	<b>\$ 17,044.41</b>	<b>(\$ 955.59)</b>

**Realized gain or loss**

# SALOMON SMITH BARNEY

Member of citigroup

## Select Client IRA Statement December 1 - December 31, 1999

**JAMES T. ROGERS** Account number 592-61256-17 123

### Mutual funds

*Yield is the current distribution annualized, divided by the fund's net asset value at the end of the statement period. Distributions may consist of income, capital gains or the return of capital. Distributions for funds not sponsored by Salomon Smith Barney are based upon information provided by an outside vendor and are not verified by Salomon Smith Barney. "Total Value (Tax Based)" is being provided for information purposes only. "Fund Performance", when shown, is provided to assist you in comparing your total investment, excluding reinvested distributions, with the current value of the fund's shares in your account. "Fund Performance" does not take into account cash distributions.*

Number of shares	Description	Date acquired	Cost	Share cost	Current share price	Current value	Unrealized gain/(loss)	Yield/Anticipated income (annualized)
4,299.9992	AIM DEVELOPING MARKETS CLASS A	01/11/94	\$ 64,500.00	\$ 15.00	\$ 12.36	\$ 53,147.99	(\$ 11,352.01)	LT
4,300		06/30/94	46,676.56	10.75	12.36	53,148.00	6,471.44	LT
<b>8,599.9992</b>	<b>Total Purchases</b>		<b>111,176.56</b>	<b>12.93</b>	<b>12.36</b>	<b>106,295.99</b>	<b>(4,880.57)</b>	
2,030.5488	Reinvestments to date		22,156.13	10.911	12.36	25,097.58	2,941.45	LT
37.425	Reinvestments to date		419.91	11.22	12.36	462.57	42.66	ST
<b>10,667.973</b>	<b>Total Value (Tax based)</b>		<b>133,752.60</b>	<b>12.538</b>		<b>131,856.14</b>	<b>(1,896.46)</b>	<b>.315</b>
								<b>416.05</b>
<b>Fund Performance</b>								
21,929.825	SMITH BARNEY SMALL CAP GROWTH FUND CLASS A	11/26/99	250,000.00	11.40	14.64	321,052.64	71,052.64	ST
2,433.305		12/03/99	28,859.00	11.86	14.64	35,623.59	6,764.59	ST
<b>24,363.13</b>	<b>Total Purchases</b>		<b>278,859.00</b>	<b>11.45</b>	<b>14.64</b>	<b>356,676.23</b>	<b>77,817.23</b>	
29.836	Reinvestments to date		411.74	13.80	14.64	436.80	25.06	ST
<b>24,392.966</b>	<b>Total Value (Tax based)</b>		<b>279,270.74</b>	<b>11.449</b>		<b>357,113.03</b>	<b>77,842.29</b>	
			<b>278,859.00</b>			<b>357,113.03</b>		<b>78,254.03<sup>1</sup></b>

payments, RIA Care activity, checks written, and Money funds/insured deposit Account<sup>SM</sup> transfers.

### Portfolio details

The values of your holdings are as of 12/31/99. Please note, unrealized gain/(loss) is being prepared for informational purposes only and should not be used for tax preparation without the assistance of your tax advisor.

#### Money funds

Number of shares	Description	Current value	Accrued dividends	Annualized % dividend Yield/Anticipated Income (annualized)
24.78	SB MONEY FUNDS CASH PORT CL A	\$ 24.78	\$ .01	5.17%
<b>Total money funds</b>		<b>\$ 24.78</b>	<b>\$ .01</b>	<b>\$ 1.28</b>

#### Common stocks & options

Quantity	Description	Date acquired	Cost	Share cost	Current share price	Current value	Unrealized gain/(loss)	Average % Yield/Anticipated Income (annualized)
5	APPONLINE.COM INC SYMBOL: AOP		Please provide		\$ 1.438	\$ 7.19	Not available	
5	FIRST EQUITIES CORP		Please provide			Unpriced	Not available	
5	IMN EQUITY CORP RESTRICTED DIVIDEND SHS		Please provide			Unpriced	Not available	
<b>Total common stocks and options</b>			<b>\$ 0.00</b>			<b>\$ 7.19</b>	<b>\$ 0.00** ST</b>	<b>0.00</b>
							<b>\$ 0.00** LT</b>	<b>\$ 0.00</b>