<u>Committee Name</u>: Senate Committee – Privacy, Electronic Commerce and Financial Institutions (SC-PECFI)

Appointments

01hr_SC-PECFI_Appoint_pt00

Clearinghouse Rules

01hr_SC-PECFI_CRule_01-

Committee Hearings

01hr_SC-PECFI_CH_pt00

Committee Reports

01hr_SC-PECFI_CR_pt00

Executive Sessions

01hr_SC-PECFI_ES_pt00

Hearing Records

01hr_ab0000

01hr_sb0000

Misc.

01hr_SC-PECFI__Misc__pt01

Record of Committee Proceedings

01hr_SC-PECFI_RCP_pt02

Senate

Record of Committee Proceedings

Committee on Privacy, Electronic Commerce and Financial Institutions

Clearinghouse Rule 01-082

Relating to securities broker-dealer, agent, investment adviser and investment adviser representative licensing procedures, examination requirements, and rule of conduct provisions.

Submitted by the Department of Financial Institutions.

September 5, 2001

Referred to Committee on Privacy, Electronic Commerce and

Financial Institutions.

October 18, 2001

NO ACTION TAKEN

Committee Clerk

Senate

Record of Committee Proceedings

Committee on Privacy, Electronic Commerce and Financial Institutions

Clearinghouse Rule 01-083

Relating to securities registration exemptions involving capital formation by businesses.

Submitted by the Department of Financial Institutions.

September 5, 2001

Referred to Committee on Privacy, Electronic Commerce and

Financial Institutions.

October 18, 2001

NO ACTION TAKEN

Committee Clerk

Senate

Record of Committee Proceedings

Committee on Privacy, Electronic Commerce and Financial Institutions

Clearinghouse Rule 02-034

Relating to member business loans. Submitted by the Department of Financial Institutions.

April 24, 2002

Referred to Committee on Privacy, Electronic Commerce and Financial Institutions.

May 23, 2002

PUBLIC HEARING HELD

(4)

Present:

Senators Erpenbach, Plache, S. Fitzgerald and

Kanavas.

Absent:

(1) Senator Jauch.

Appearances for

- Robin Marohn, Heartland Credit Union
- Todd Miller, Community First Credit Union
- Kim Sponem, CUNA Credit Union
- Tony Busch, Community First Credit Union
- Max Michaelson, Co-op Credit Union
- Randy Beck, Royal Credit Union
- Brett Thompson, Wisconsin Credit Union League
- Ginger Larson, Office of Credit Unions

Appearances against

- Daryll Lund, Community Bankers of Wisconsin
- Rose Oswald Poels, WI Bankers Association

Appearances for Information Only

• None.

Registrations for

- Ruthann Nelson, WI Federation of Cooperatives
- Georgia Maxwell, WI Credit Union League
- Sean Rathjen, self
- Kim Fuming, UW Credit Union
- Tom Leponski, CUNA Credit Union
- Catherine Genin, UW Credit Union
- Nancy Comstock, self

- Robert Mate, Citizens First Credit Union
- Thomas Barnes, First American Credit Union
- Don Vanevenhoven, Fox Community Credit Union
- Eric Chrisinger, Co-op Credit Union
- Paul Newkirk, Westby Co-op Credit Union
- Larry Anderson, Westby Credit Union
- Michele Steien, Co-op Credit Union
- Chip Coenen, Community First Credit Union

Registrations against

• None.

June 14, 2002

NO ACTION TAKEN

Julie Laundrie Committee Clerk