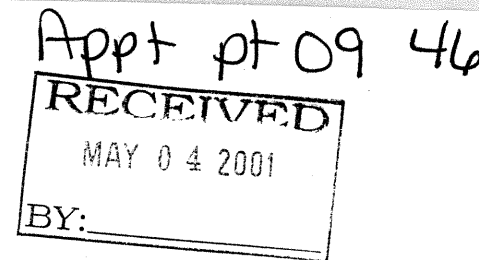




STATE OF WISCONSIN
ETHICS BOARD



James R. Morgan
Chairman
Paul M. Holzem
David L. McRoberts
Joanne R. Orr
Dorothy C. Johnson
Gordon B. Baldwin

On the capitol square at:
44 EAST MIFFLIN STREET, STE 601
MADISON, WISCONSIN 53703-2800
phone: 608/266-8123
fax: 608/264-9309
e-mail: ethics@ethics.state.wi.us
web: <http://ethics.state.wi.us>

Roth Judd
Director

NOMINEE: Phillip L. Neuenfeldt

POSITION: Member, Wisconsin Technical College System Board
(employee)

STATUTORY

REFERENCE: **15.94 Technical college system board; creation.**

* * * The board shall be composed of:

(1m) The state superintendent of public instruction or the superintendent's designee.

(2) The secretary of workforce development or the secretary's designee.

(2m) The president, or by his or her designation another member, of the board of regents of the University of Wisconsin System.

(3) One employer of labor, one employee who does not have employing or discharging power, one person whose principal occupation is farming and who is actually engaged in the operation of farms and 6 additional members appointed for 6-year terms.

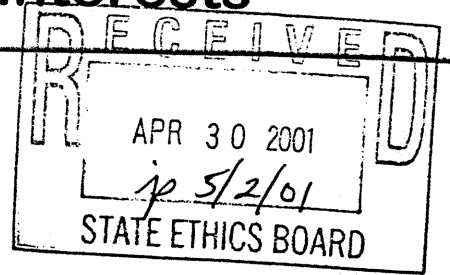
(4) One student enrolled at least half-time and in good academic standing at a technical college who is at least 18 years old and a resident of this state, for a 2-year term. The governor may not appoint a student member from the same technical college in any 2 consecutive terms. If the student member loses the status upon which the appointment was based, he or she shall cease to be a member of the board.

5/2/01
SENATE COMMITTEE ON UNIVERSITIES, HOUSING, AND GOVERNMENT
OPERATIONS: Senators M. Meyer (Chair), Moore, Grobschmidt, Hansen, Ellis, Huelsman, and Zien.

Statement of Economic Interests

Filed in 2001 for calendar year 2000

Name: Neuenfeldt, Phillip L.
State position: WI Technical College System Board Member
(held or sought)



→→→ SEE INSTRUCTIONS FOR EXPLANATION AND EXCEPTIONS. ←←←

•Questions about completing this form? Call (608) 266-8115 •Other inquiries (608) 266-8123 •Attach additional pages as needed

Part A

As of March 29, 2001

1. List STOCKS, BONDS, limited partnerships, Wisconsin governmental securities, and mutual and money market funds you or your family held (minimum \$5,000).

Name of security	Type of security - "✓" one					Amount - "✓" one	
	stock/ option/ futures	bond	limited partnership	Wisconsin governmental security	mutual or money mkt fund	\$50,000 or less	More than \$50,000
N.A.							

2. List BUSINESSES and INCOME-PRODUCING REAL ESTATE in which you or your family had a 10% or greater ownership interest.

Name of business (if any) or business activity, or address of real estate	Municipality	State	If real estate, list County	Describe nature of business
N.A.				

- a) For each general partnership or non-Wisconsin entity, list the GENERAL PARTNERS or the OFFICERS and DIRECTORS.

Business	Partners, or officers and directors	City	State

- b) For each enterprise that is an unincorporated business, a subchapter S corporation, a service corporation (SC), a limited liability company (LLC), a partnership, or income-producing real estate, list COMMERCIAL CUSTOMERS, CLIENTS, and TENANTS, and lobbyists that paid the enterprise \$1,000 or more in calendar year 2000.

Commercial customer, client, tenant, or lobbyist	City	State

3. List the specific location of WISCONSIN REAL ESTATE in which you or your family had an interest (except your principal residence and real estate whose location you listed in item 2).

LOCATION OF PROPERTY			NATURE OF INTEREST (own, lease, option, easement, land contract)
Street address or fire number	Municipality	County	
N.A.			

4. List ORGANIZATIONS of which you or a family member was an OFFICER or DIRECTOR.

Business or organization	City	State	Position
Wisconsin State AFL-CIO	Milwaukee	WI	Sec. - Treas.
Wis. Regional Training Partnership	Mil.	WI	Co-Chair

5. List ORGANIZATIONS THAT AUTHORIZED YOU OR A FAMILY MEMBER TO REPRESENT THEM in their dealings with others as an attorney-at-law, agent, spokesperson, or representative (unless listed in item 4 or 7).

Business or organization	City	State
Wisconsin AFL-CIO	Milwaukee	WI

6. List CREDITORS to which you or your family owed \$5,000 or more.

Creditor	City	State	"✓" one	
			\$5,000 or less	More than \$5,000
Wells Fargo - Home Mortgage				

Part B

For calendar year 2000

7. List your and your family's EMPLOYERS (\$1,000 or more of income) in 2000.

Name of employer (If State of Wisconsin, identify agency or institution)	City	State	Nature of employer's business
Wisconsin State AFL-CIO	Mil.	WI	labor organization

8. List OTHER SOURCES from which you or your family received INCOME of \$1,000 or more in 2000.

Source of income	City	State
N.A.		

9. List individuals and organizations that provided you with ENTERTAINMENT or GIFTS (more than \$50) in 2000.

Name of provider	City	State
N.A.		

10. List, for 2000, sources of HONORARIA and payment of EXPENSES related to your state government duties (more than \$50) not previously reported to the Ethics Board.

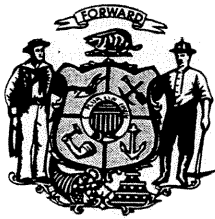
Payer	Approximate value of expenses	Amount of honorarium	Circumstances of receipt
N.A.			

I have read the accompanying instructions and certify that the information contained in this Statement of Economic Interests is true, complete, and correct to the best of my knowledge, information, and belief. If any part has been left blank, I have done so intentionally because there is nothing to report.

Signature of person filing: Theresa H. Wernick Date: 4-26-01 Daytime phone #: 414-771-0700

The information sought in this form is required by §§19.43 and 19.44, Wisconsin Statutes. Failure to file a completed form may result in a forfeiture of up to \$500. Statements of Economic Interests are open for public inspection. The Ethics Board will notify you of the identity of any person who examines your Statement. In accordance with §15.04(1)(m), Wisconsin Statutes, the Ethics Board states that no personally identifiable information is likely to be used for purposes other than those for which it is collected.

Office Review



SCOTT McCALLUM

**Governor
State of Wisconsin**

GOVERNOR'S APPOINTMENT

NAME/MAILING ADDRESS: Phillip L. Neuenfeldt
Wisconsin State AFL-CIO
6333 W. Bluemound Road
Milwaukee, WI 53213

E-MAIL ADDRESS: pneufldt@execpc.com

RESIDES IN: Milwaukee

TELEPHONE: 414/771-0700 (W)
414/672-7094 (H)

OCCUPATION: Secretary-Treasurer/Legislative Director
Wisconsin State AFL-CIO

APPOINTED TO: Wisconsin Technical College System Board
(employee)

TERM: a six year term to expire May 1, 2007

SUCCEEDS: James N. Elliott
4129 N. 61st Street
Milwaukee, WI 53216

SENATE CONFIRMATION: required

DATE OF APPOINTMENT: May 1, 2001

DATE OF NOMINATION: March 29, 2001

COMPENSATION: \$100 per annum, plus expenses

SENATE HEARING SLIP

(Please Print Plainly)

DATE: 6/6/01

BILL NO. _____

OR

SUBJECT: PHILIP NEUENFELDT
GOVERNORS POWER

(NAME) Ken O'Pry

1334 APPLEGATE RD

(Street Address or Route Number)

(City and Zip Code) MADISON WI 53704

(Representing) WFT + WEA

Speaking in Favor: ☐

Speaking Against: ☐

Registering in Favor: ☒

but not speaking: ☐

Registering Against: ☐

but not speaking: ☐

Speaking for information only; Neither for nor against: ☐

Please return this slip to a messenger PROMPTLY.

Senate Sergeant-At-Arms
State Capitol - B35 South
P.O.Box 7882
Madison, WI 53707-7882

SENATE HEARING SLIP

(Please Print Plainly)

DATE: 6-6-01

BILL NO. App. to WTSB

OR

SUBJECT Phil Neuenfeldt

(NAME) Scott Gerlach

(Street Address or Route Number)

(City and Zip Code) 2nd St

(Representing)

Speaking in Favor: ☐

Speaking Against: ☐

Registering in Favor: ☒

but not speaking: ☐

Registering Against: ☐

but not speaking: ☐

Speaking for information only; Neither for nor against: ☐

Please return this slip to a messenger PROMPTLY.

Senate Sergeant-At-Arms
State Capitol - B35 South
P.O.Box 7882
Madison, WI 53707-7882

SENATE HEARING SLIP

(Please Print Plainly)

DATE: Wednesday, June 6, 2001

BILL NO. _____

OR

SUBJECT: Gubernatorial Appointment

(Name) Phillip L. Neuenfeldt

(Street Address or Route Number) 6333 W. Bluemound Road

Milwaukee, WI 53213

(City and Zip Code)

Self

(Representing)

Speaking in Favor: ☐

Speaking Against: ☐

Registering in Favor: ☐

but not speaking: ☐

Registering Against: ☐

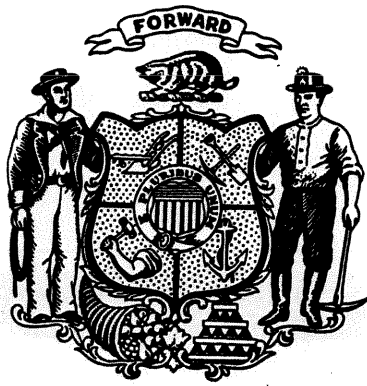
but not speaking: ☐

Speaking for information only; Neither for nor against: ☐

Please return this slip to a messenger PROMPTLY

Senate Sergeant At Arms
P. O. Box 7882
State Capitol

END



END



STATE OF WISCONSIN *ETHICS BOARD*

James R. Morgan
Chairman
Paul M. Holzem
David L. McRoberts
Joanne R. Orr
Dorothy C. Johnson
Gordon B. Baldwin

On the capitol square at:
44 EAST MIFFLIN STREET, STE 601
MADISON, WISCONSIN 53703-2800
phone: 608/266-8123
fax: 608/264-9309
ethics@ethics.state.wi.us
http://ethics.state.wi.us

Roth Judd
Director

Nominee: James G. Otto
Position: Member, Architects, et al. Examining Board
Regulation & Licensing, Dept. of
(architect)

Statutory Reference:

15.08 Examining boards and councils. (1) **SELECTION OF MEMBERS.** All members of examining boards shall be residents of this state * * * No member may serve more than 2 consecutive terms. No member of an examining board may be an officer, director or employe of a private organization which promotes or furthers the profession or occupation regulated by that board.

(1m)(am) Public members appointed under s. 15.405 or 15.407 shall not be, nor ever have been, licensed, certified, registered or engaged in any profession or occupation licensed or otherwise regulated by the board, examining board or examining council to which they are appointed, shall not be married to any person so licensed, certified, registered or engaged, and shall not employ, be employed by or be professionally associated with any person so licensed, certified, registered or engaged.

15.405 Department of regulation and licensing; attached boards and examining boards. * * *

(2) Examining board of architects, landscape architects, professional engineers, designers and land surveyors. There is created an examining board of architects, landscape architects, professional engineers, designers and land surveyors in the department of regulation and licensing. Any professional member appointed to the examining board shall be registered to practice architecture, landscape architecture, professional engineering, the design of engineering systems or land surveying under ch. 443. The examining board shall consist of the following members appointed for 4-year terms: 3 architects, 3 landscape architects, 3 professional engineers, 3 designers, 3 land surveyors and 10 public members.

8/30/01

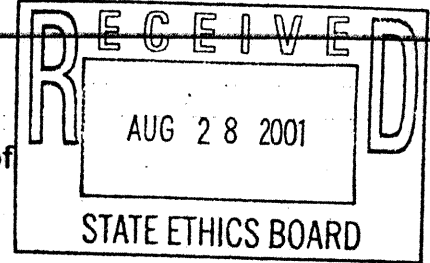
SENATE COMMITTEE ON UNIVERSITIES, HOUSING, AND GOVERNMENT
OPERATIONS: Senators M. Meyer (Chair), Moore, Grobschmidt, Hansen, Ellis,
Huelsman, and Zien.

Statement of Economic Interests

Filed in 2001 for calendar year 2000

Name: Otto, James G.

State position: Regulation & Licensing, Dept. of Member
(held or sought) Architects, et al. Examining Board



→→→ SEE INSTRUCTIONS FOR EXPLANATION AND EXCEPTIONS. ←←←

•Questions about completing this form? Call (608) 266-8115 •Other inquiries (608) 266-8123 •Attach additional pages as needed

Part A

As of August 21, 2001

1. List STOCKS, BONDS, limited partnerships, Wisconsin governmental securities, and mutual and money market funds you or your family held (minimum \$5,000).

Name of security	Type of security - "✓" one					Amount - "✓" one	
	stock/ option/ futures	bond	limited partnership	Wisconsin governmental security	mutual or money mkt fund	\$50,000 or less	More than \$50,000
NEUBERGER BERMAN CASH RESERVE					✓	✓	
STEIN ROE CASH RESERVE					✓	✓	
VANGUARD MMR PRIME					✓	✓	
FIRSTAR STABLE ASSET FUND					✓	✓	
NICHOLAS FUND					✓	✓	

2. List BUSINESSES and INCOME-PRODUCING REAL ESTATE in which you or your family had a 10% or greater ownership interest.

Name of business (if any) or business activity, or address of real estate	Municipality	State	If real estate, list County	Describe nature of business

- a) For each general partnership or non-Wisconsin entity, list the GENERAL PARTNERS or the OFFICERS and DIRECTORS.

Business	Partners, or officers and directors	City	State

- b) For each enterprise that is an unincorporated business, a subchapter S corporation, a service corporation (SC), a limited liability company (LLC), a partnership, or income-producing real estate, list COMMERCIAL CUSTOMERS, CLIENTS, and TENANTS, and lobbyists that paid the enterprise \$1,000 or more in calendar year 2000.

Commercial customer, client, tenant, or lobbyist	City	State

3. List the specific location of WISCONSIN REAL ESTATE in which you or your family had an interest (except your principal residence and real estate whose location you listed in item 2).

LOCATION OF PROPERTY			NATURE OF INTEREST (own, lease, option, easement, land contract)
Street address or fire number	Municipality	County	

4. List ORGANIZATIONS of which you or a family member was an OFFICER or DIRECTOR.

Business or organization	City	State	Position

5. List ORGANIZATIONS THAT AUTHORIZED YOU OR A FAMILY MEMBER TO REPRESENT THEM in their dealings with others as an attorney-at-law, agent, spokesperson, or representative (unless listed in item 4 or 7).

Business or organization	City	State

6. List CREDITORS to which you or your family owed \$5,000 or more.

Creditor	City	State	"✓" one	
			\$50,000 or less	More than \$50,000

Part B

For calendar year 2000

7. List your and your family's EMPLOYERS (\$1,000 or more of income) in 2000.

Name of employer (If State of Wisconsin, identify agency or institution)	City	State	Nature of employer's business
EKLBERG ANDERSON DESIGN PARTNERSHIP, INC	MILW	WI	ARCHITECTURE
ST. JOSEPH'S HOSPITAL	MILW	WI	HOSPITAL

8. List OTHER SOURCES from which you or your family received INCOME of \$1,000 or more in 2000.

Source of income	City	State

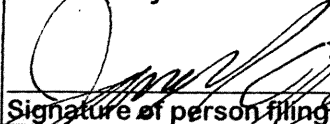
9. List individuals and organizations that provided you with ENTERTAINMENT or GIFTS (more than \$50) in 2000.

Name of provider	City	State

10. List, for 2000, sources of HONORARIA and payment of EXPENSES related to your state government duties (more than \$50) not previously reported to the Ethics Board.

Payer	Approximate value of expenses	Amount of honorarium	Circumstances of receipt

I have read the accompanying instructions and certify that the information contained in this Statement of Economic Interests is true, complete, and correct to the best of my knowledge, information, and belief. If any part has been left blank, I have done so intentionally because there is nothing to report.


Signature of person filing

8/27/01
Date

Daytime phone # 414-944-9000
JGOARCH @ AOL.COM
E-mail address

The information sought in this form is required by §§19.43 and 19.44, Wisconsin Statutes. Failure to file a completed form may result in a forfeiture of up to \$500. Statements of Economic Interests are open for public inspection. The Ethics Board will notify you of the identity of any person who examines your Statement. In accordance with §15.04(1)(m), Wisconsin Statutes, the Ethics Board states that no personally identifiable information is likely to be used for purposes other than those for which it is collected.

Statement of Economic Interests

Filed in 2001 for calendar year 2000

Name: Otto, James G.

State position: Regulation & Licensing, Dept. of Member
(held or sought) Architects, et al. Examining Board

→→→ SEE INSTRUCTIONS FOR EXPLANATION AND EXCEPTIONS. ←←←

•Questions about completing this form? Call (608) 266-8115 •Other inquiries (608) 266-8123 •Attach additional pages as needed

Part A

As of August 21, 2001

1. List STOCKS, BONDS, limited partnerships, Wisconsin governmental securities, and mutual and money market funds you or your family held (minimum \$5,000)

Name of security	Type of security - "✓" one					Amount - "✓" one	
	stock/ option/ futures	bond	limited partnership	Wisconsin governmental security	mutual or money mkt fund	\$50,000 or less	More than \$50,000
T ROWE PRICE INTERNATIONAL FUND					✓	✓	
AMERICAN CENTURY INTERNATIONAL GROWTH					✓	✓	
BANK MUTUAL CORP.	✓					✓	
JANUS FUND					✓	✓	
LIBERTY STEIN ROE SELECT VALUE					✓	✓	

2. List BUSINESSES and INCOME-PRODUCING REAL ESTATE in which you or your family had a 10% or greater ownership interest.

Name of business (if any) or business activity, or address of real estate	Municipality	State	If real estate, list County	Describe nature of business

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Street address or fire number	Municipality	County	

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Business or organization	City	State	Position

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Business or organization	City	State

6. List CREDITORS to which you or your family owed \$5,000 or more.

Creditor	City	State	"/" one	
			\$50,000 or less	More than \$50,000

Part B

For calendar year 2000

7. List your and your family's EMPLOYERS (\$1,000 or more of income) in 2000.

Name of employer (If State of Wisconsin, identify agency or institution)	City	State	Nature of employer's business

8. List OTHER SOURCES from which you or your family received INCOME of \$1,000 or more in 2000.

Source of income	City	State

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Daytime phone # _____

Signature of person filing _____

Date _____

E-mail address _____

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Statement of Economic Interests

Filed in 2001 for calendar year 2000

Name: Otto, James G.

State position: Regulation & Licensing, Dept. of Member
(held or sought) Architects, et al. Examining Board

→→→ SEE INSTRUCTIONS FOR EXPLANATION AND EXCEPTIONS. ←←←

•Questions about completing this form? Call (608) 266-8115 •Other inquiries (608) 266-8123 •Attach additional pages as needed

Part A

As of August 21, 2001

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Name of security	Type of security - "✓" one					Amount - "✓" one	
	stock/ option/ futures	bond	limited partnership	Wisconsin governmental security	mutual or money mkt fund	\$50,000 or less	More than \$50,000
STEIN ROE MANAGED MUNI					✓	✓	
VANGUARD MUNI INTERMEDIATE					✓	✓	
VANGUARD WINDSOR II					✓	✓	

2. List BUSINESSES and INCOME-PRODUCING REAL ESTATE in which you or your family had a 10% or greater ownership interest.

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			\$5,000 or less	More than \$5,000

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For calendar year 2000

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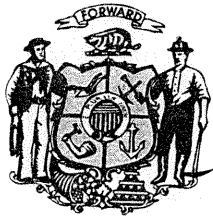
Daytime phone # _____

Signature of person filing _____

Date _____

E-mail address _____

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SCOTT McCALLUM

**Governor
State of Wisconsin**

GOVERNOR'S APPOINTMENT

NAME/MAILING ADDRESS: James G. Otto
1374 St. Augustine Road
Hubertus, WI 53033

E-MAIL ADDRESS: jimo@engberganderson.com

RESIDES IN: Hubertus

TELEPHONE: 262/628-4572 (H)

OCCUPATION: Principal Architect
Engberg Anderson Design Partnership, Inc.

APPOINTED TO: Examining Board of Architects, Landscape Architects,
Professional Engineers, Designers and Land Surveyors
(architect)

TERM: a four year term to expire July 1, 2005

SUCCEEDS: Roger Roslansky
N5740 Thistledown Drive
La Crosse, WI 54601

SENATE CONFIRMATION: required

DATE OF APPOINTMENT: August 21, 2001

DATE OF NOMINATION: August 21, 2001

COMPENSATION: \$25 per diem, plus expenses

EXPERIENCE CONTINUED

Spring Semester 1990
University of Wisconsin System
University of Wisconsin -
Milwaukee
School of Architecture & Urban
Planning
Department of Architecture

Position: Adjunct Assistant Professor / Architecture 516 - Building Construction

Course Description: Overview and analysis of architectural technology, construction techniques and systems in relation to building design. Review of materials and methods in relation to specific installation requirements. explanation and analysis of graphic and written construction documentation.

Duties: Responsible for preparation and delivery of 18 - 1 1/4 hour lectures covering: Architectural Practice, Sitework, Cast-in-place and Precast Concrete, Structural Steel and lightgauge Metal Framing, Rough and Finish Carpentry, Insulation Systems and Condensation Control, Roofing and Waterproofing, Sheet Metal, Windows and Doors. Selection of reading assignments, and site visits. Preparation and grading of project assignments covering building systems and detailing. Preparation and grading of exams. Determination of final student grades.

August 1989 - April 1990
Miller, Meier, Kenyon and Cooper
Architects and Engineers, Inc.
777 North Jefferson
Milwaukee, Wisconsin 53202

Position: Project Manager

Duties: Project management and personnel supervision, design, design development, construction documents, specifications, construction administration.

Representative Projects:

- Lake Terrace / O'Donnell Park Phase Two and Three / Milwaukee County Park and parking structure.
- Wisconsin State Fair Park Agg Products Feasibility Study / Exhibition facility.

EXPERIENCE CONTINUED

May 1988 - August 1989
Architects III, Inc.
7255 West Appleton Avenue
Milwaukee, Wisconsin 53216

Position: Project Manager

Duties: Project management and personnel supervision, schematic design, design, design development, construction documents, specifications, construction administration.

Project Types: Long Term Health Care, Office, Commercial

Representative Projects:

- Luther Manor - Adult Day Care Center / addition.
- Luther Manor - Faith & Education Center / chapel and campus kitchen addition.
- Methodist Manor - Skilled Nursing Facility / Addition (one wing) and remodeling (3 wings).

June 1985 - May 1988
Miller, Meier, Kenyon and Cooper
Architects and Engineers, Inc.
756 North Milwaukee Street
Milwaukee, Wisconsin 53202

Position: Project Manager / Chief Specifications Writer

Duties: Design development, working drawings and specifications.

Responsible for project management and personnel supervision from design development through working drawings and specifications writing. Responsible for interoffice coordination of architectural and engineering project personnel between Milwaukee, WI. and Fort Lauderdale, FL. offices. Developed and responsible for refining computerized master specification system for Milwaukee office.

Project Types: Governmental, Educational and Institutional.

Representative Projects:

- Boynton Beach Public Library / New Main Public Library, Boynton Beach Florida.
- Broward Community College - New Math - Science Complex / Broward County, Florida.
- MATC Student Services Building - New Offices, Conference Center, Student Center and Food Service / Milwaukee Wisconsin.
- Cedarburg City Hall - Remodeling of existing historic school into new City Offices.
- General Mitchell International Airport / Terminal Building - Ticketing & Baggage Expansion (Existing control tower removal and infill).
- General Mitchell International Airport / Terminal Building - New Observation Elevators.
- General Mitchell International Airport / Concourse "E" remodeling.
- General Mitchell International Airport / Concourse "E" Northwest Airlines Terminal Operations Control Tower.

EXPERIENCE CONTINUED

*Spring Semester 1990
University of Wisconsin System
University of Wisconsin -
Milwaukee
School of Architecture & Urban
Planning
Department of Architecture*

Position: Adjunct Assistant Professor / Architecture 516 - Building Construction

Course Description: Overview and analysis of architectural technology, construction techniques and systems in relation to building design. Review of materials and methods in relation to specific installation requirements. explanation and analysis of graphic and written construction documentation.

Duties: Responsible for preparation and delivery of 18 - 1 1/4 hour lectures covering: Architectural Practice, Sitework, Cast-in-place and Precast Concrete, Structural Steel and lightgauge Metal Framing, Rough and Finish Carpentry, Insulation Systems and Condensation Control, Roofing and Waterproofing, Sheet Metal, Windows and Doors. Selection of reading assignments, and site visits. Preparation and grading of project assignments covering building systems and detailing. Preparation and grading of exams. Determination of final student grades.

*August 1989 - April 1990
Miller, Meier, Kenyon and Cooper
Architects and Engineers, Inc.
777 North Jefferson
Milwaukee, Wisconsin 53202*

Position: Project Manager

Duties: Project management and personnel supervision, design, design development, construction documents, specifications, construction administration.

Representative Projects:

- Lake Terrace / O'Donnell Park Phase Two and Three / Milwaukee County Park and parking structure.*
- Wisconsin State Fair Park Agg Products Feasibility Study / Exhibition facility.*

EXPERIENCE CONTINUED

May 1988 - August 1989
Architects III, Inc.
7255 West Appleton Avenue
Milwaukee, Wisconsin 53216

Position: Project Manager

Duties: Project management and personnel supervision, schematic design, design, design development, construction documents, specifications, construction administration.

Project Types: Long Term Health Care, Office, Commercial

Representative Projects:

- Luther Manor - Adult Day Care Center / addition.
- Luther Manor - Faith & Education Center / chapel and campus kitchen addition.
- Methodist Manor - Skilled Nursing Facility / Addition (one wing) and remodeling (3 wings).

June 1985 - May 1988
Miller, Meier, Kenyon and Cooper
Architects and Engineers, Inc.
756 North Milwaukee Street
Milwaukee, Wisconsin 53202

Position: Project Manager / Chief Specifications Writer

Duties: Design development, working drawings and specifications.

Responsible for project management and personnel supervision from design development through working drawings and specifications writing. Responsible for interoffice coordination of architectural and engineering project personnel between Milwaukee, WI. and Fort Lauderdale, FL. offices. Developed and responsible for refining computerized master specification system for Milwaukee office.

Project Types: Governmental, Educational and Institutional.

Representative Projects:

- Boynton Beach Public Library / New Main Public Library, Boynton Beach Florida.
- Broward Community College - New Math - Science Complex / Broward County, Florida.
- MATC Student Services Building - New Offices, Conference Center, Student Center and Food Service / Milwaukee Wisconsin.
- Cedarburg City Hall - Remodeling of existing historic school into new City Offices.
- General Mitchell International Airport / Terminal Building - Ticketing & Baggage Expansion (Existing control tower removal and infill).
- General Mitchell International Airport / Terminal Building - New Observation Elevators.
- General Mitchell International Airport / Concourse "E" remodeling.
- General Mitchell International Airport / Concourse "E" Northwest Airlines Terminal Operations Control Tower.

EXPERIENCE CONTINUED

Spring Semester 1987
University of Wisconsin System
University of Wisconsin -
Milwaukee
School of Architecture & Urban
Planning
Department of Architecture

Position: Adjunct Assistant Professor / Architecture 516 - Building
Construction
(Refer to Spring Semester 1990)

October 1978 - June 1985
BHS Architects, Inc.
6789 North Green Bay Road
Milwaukee, Wisconsin 53209

Position: Associate, Construction Documents Department Head.
Duties: Design Development, Working Drawings, Specifications,
Construction Observation and Contract Administration. Responsible for
project management and personnel supervision from the Design
Development Phase through Construction.

Management Duties: Responsible for formulating and implementing
operational procedures and standards, and development of job
descriptions. Responsible for supervision and workloads of registered
architects and production staff. Responsible for project and personnel
scheduling and mentoring process of projects. Responsible for employee
performance and employee performance reviews within the Construction
Documents Department. Responsible for coordinating workloads with
other department heads. Provide backup in partners' absence at meetings.

Project Types: Residential, Commercial, Financial, Industrial and
Educational.

Representative Projects:

- University School North Campus - Administration Building
Remodeling, New Classroom Building, New Gymnasium Building,
New Theater Building, New Ice Hockey Facility / Fox Point,
Wisconsin.
- University of Wisconsin - Milwaukee Vogel Hall - Art Museum /
Exterior historic renovation and interior remodeling.
- University of Wisconsin - Milwaukee Pearse and Garland Halls /
Exterior historic renovation.
- State of Wisconsin - Wisconsin Department of Justice - Southeastern
Regional Crime Laboratory / New criminal investigation facility.

EXPERIENCE CONTINUED

September 1981 - January 1982
Lester G. Seubert
Architectural Specifications
Consultant
7127 West North Avenue
Milwaukee, Wisconsin 53226

Position: Specifications Consultant (part time)
Duties: Preparing technical specifications

March 1979 - May 1979
James L. Gensler Architect, Inc.
2777 North Hackett
Milwaukee, Wisconsin 53211

Position: Architectural Consultant (on loan from BHS Architects)
Duties: Design Development, Working Drawings.

Projects:

- Hoffman House Restaurant, Addition & Remodeling / Ramada Inn, Eau Claire, Wisconsin

February 1976 - October 1978
Schallock Petersen &
Associates, Inc.
Architects, Engineers & General
Contractors
11716 West Greenfield Avenue
West Allis, Wisconsin 53214

Position: Job Captain
Duties: Design, Design Development, Working Drawings, Specifications, Structural Design, HVAC Design, Plumbing Design and Electrical Design.

Project Types: Financial, Industrial, Commercial.

PROFESSIONAL ORGANIZATIONS

*American Institute of Architects (AIA)
Member*

*Wisconsin Society of Architects (WSA / AIA)
AIA / AGC Liaison Committee - 1998 - Present
Wisconsin Society of Architects (WSA / AIA)
Board of Directors - 1984 - 1985
WSA Southeast Chapter
Executive Committee / President - 1985
Executive Committee / 1st Vice President - 1984
Executive Committee / 2nd Vice President - 1983
Executive Committee / Secretary - Treasurer - 1982*

*American Society of Heating, Refrigerating and Air - Conditioning Engineers
Affiliate Member*

COMMUNITY INVOLVEMENT

*Town of Richfield, Wisconsin
Goals 2000, Parks & Conservancy Committee
1995 - 1996*

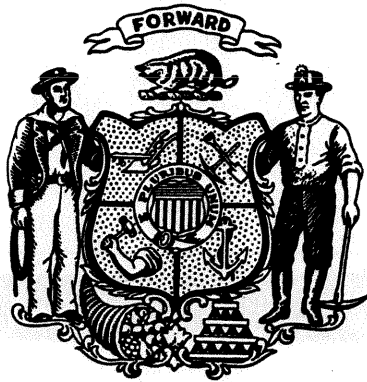
*University of Wisconsin - Milwaukee
School of Architecture & Urban Planning
Shaping the Future - Alumni Endowment Fund Campaign
Donor Recognition Program Volunteer
1992 - 1993*

*University of Wisconsin - Milwaukee
School of Architecture & Urban Planning
Architect Registration Examination Review Seminars
Guest Lecturer - Instructor
1989 - 1992*

Career Day presentations at area High Schools

*WSA Community Activities
Co-Chair "Architecture Week" with UWM School of Architecture
Radio commercials for Architecture Week at WTMJ
Channel 10 / 36 Fund Raising
Lego booth at Summerfest*

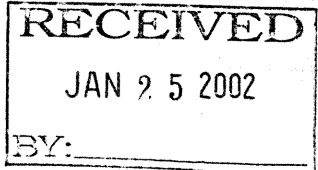
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END



STATE OF WISCONSIN
ETHICS BOARD



James R. Morgan
Chairman
Paul M. Holzem
David L. McRoberts
Joanne R. Orr
Dorothy C. Johnson
Gordon B. Baldwin

On the capitol square at:
44 EAST MIFFLIN STREET, STE 601
MADISON, WISCONSIN 53703-2800
phone: 608/266-8123
fax: 608/264-9309
ethics@ethics.state.wi.us
http://ethics.state.wi.us

Roth Judd
Director

Nominee: Dale R. Paczkowski
Position: Member, Architects, et al. Examining Board
Regulation & Licensing, Dept. of
(professional engineer)

Statutory Reference:

15.08 Examining boards and councils. (1) **SELECTION OF MEMBERS.** All members of examining boards shall be residents of this state * * * No member may serve more than 2 consecutive terms. No member of an examining board may be an officer, director or employee of a private organization which promotes or furthers the profession or occupation regulated by that board.

(1m)(am) Public members appointed under s. 15.405 or 15.407 shall not be, nor ever have been, licensed, certified, registered or engaged in any profession or occupation licensed or otherwise regulated by the board, examining board or examining council to which they are appointed, shall not be married to any person so licensed, certified, registered or engaged, and shall not employ, be employed by or be professionally associated with any person so licensed, certified, registered or engaged.

15.405 Department of regulation and licensing; attached boards and examining boards. * * *

(2) Examining board of architects, landscape architects, professional engineers, designers and land surveyors. There is created an examining board of architects, landscape architects, professional engineers, designers and land surveyors in the department of regulation and licensing. Any professional member appointed to the examining board shall be registered to practice architecture, landscape architecture, professional engineering, the design of engineering systems or land surveying under ch. 443. The examining board shall consist of the following members appointed for 4-year terms: 3 architects, 3 landscape architects, 3 professional engineers, 3 designers, 3 land surveyors and 10 public members.

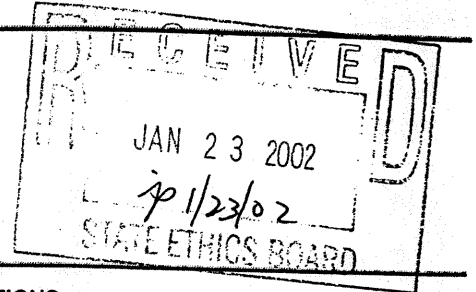
1/24/02 CW
SENATE COMMITTEE ON UNIVERSITIES, HOUSING, AND GOVERNMENT
OPERATIONS: Senators M. Meyer (Chair), Moore, Grobschmidt, Hansen, Ellis,
Huelsman, and Zien.

Statement of Economic Interests

Filed in 2002 for calendar year 2001

Name: Paczkowski, Dale R.

State position: Regulation & Licensing, Dept. of
(held or sought) Member
Architects, et al. Examining Board



SEE INSTRUCTIONS FOR EXPLANATION AND EXCEPTIONS.

•Questions about completing this form? Call (608) 266-8115 •Other inquiries (608) 266-8123 •Attach additional pages as needed

Part A

As of December 31, 2001

1. List STOCKS, BONDS, limited partnerships, Wisconsin governmental securities, and mutual and money market funds you or your family held (minimum \$5,000).

Name of security	Type of security - "✓" one					Amount - "✓" one	
	stock/ option/ futures	bond	limited partnership	Wisconsin governmental security	mutual or money mkt fund	\$50,000 or less	More than \$50,000
HARLEY-DAVIDSON	✓					✓	

2. List BUSINESSES and INCOME-PRODUCING REAL ESTATE in which you or your family had a 10% or greater ownership interest.

Name of business (if any) or business activity, or address of real estate	Municipality	State	If real estate, list County	Describe nature of business

- a) For each general partnership or non-Wisconsin entity you listed in Item #2, list the GENERAL PARTNERS or the OFFICERS and DIRECTORS.

Business	Partners, or officers and directors	City	State

- b) For each enterprise you listed in Item #2 that is an unincorporated business, a subchapter S corporation, a service corporation (SC), a limited liability company (LLC), a partnership, or income-producing real estate, list BUSINESSES, ORGANIZATIONS, and any LOBBYISTS that were CUSTOMERS, CLIENTS, or TENANTS that paid the enterprise \$1,000 or more in calendar year 2001.

Businesses, organizations, lobbyists that were customers, clients, or tenants	City	State

3. List the specific location of WISCONSIN REAL ESTATE in which you or your family had an interest (except your principal residence and real estate whose location you listed in item 2).

LOCATION OF PROPERTY			NATURE OF INTEREST (own, lease, option, easement, land contract)
Street address or fire number	Municipality	County	

List ORGANIZATIONS of which you or a family member was an OFFICER or DIRECTOR.

Business or organization	City	State	Position

5. List ORGANIZATIONS THAT AUTHORIZED YOU OR A FAMILY MEMBER TO REPRESENT THEM in their dealings with others as an attorney-at-law, agent, spokesperson, or representative (unless listed in item 4 or 7).

Business or organization	City	State

6. List CREDITORS to which you or your family owed \$5,000 or more.

Creditor	City	State	"✓" one	
			\$50,000 or less	More than \$50,000
FOND DU LAC CREDIT UNION	FOND DU LAC	WI	✓	
BANK OF AMERICA	DALLAS	TX		✓

7. Changes to reportable interests this year. See Instructions.

Part B

For calendar year 2001

8. List your and your family's EMPLOYERS (\$1,000 or more of income) in 2001.

Name of employer (If State of Wisconsin, identify agency or institution)	City	State	Nature of employer's business
CITY OF FOND DU LAC	FOND DU LAC	WI	MUNICIPAL
CONSOLIDATED PAROCHIAL ELEMENTARY SCHOOL	MT. CLERY	WI	TEACHING

9. List OTHER SOURCES from which you or your family received INCOME of \$1,000 or more in 2001.

Source of income	City	State

10. List individuals and organizations that provided you with ENTERTAINMENT or GIFTS (more than \$50) in 2001.

Name of provider	City	State

11. List, for 2001, sources of HONORARIA and payment of EXPENSES related to your state government duties (more than \$50) not previously reported to the Ethics Board.

Payer	Approximate value of expenses	Amount of honorarium	Circumstances of receipt

I have read the accompanying instructions and certify that the information contained in this Statement of Economic Interests is true, complete, and correct to the best of my knowledge, information, and belief. If any part has been left blank, I have done so intentionally because there is nothing to report.

Dale R. Paczkowski
Signature of person filing

21 JAN 02
Date

Daytime phone # 920-924-4336

dpaczkowski@ci.fond-du-lac.wi.us
E-mail address

The information sought in this form is required by §§19.43 and 19.44, Wisconsin Statutes. Failure to file a completed form may result in a forfeiture of up to \$500. Statements of Economic Interests are open for public inspection. The Ethics Board will notify you of the identity of any person who examines your Statement. In accordance with §15.04(1)(m), Wisconsin Statutes, the Ethics Board states that no personally identifiable information is likely to be used for purposes other than those for which it is collected.



SCOTT McCALLUM

**Governor
State of Wisconsin**

GOVERNOR'S APPOINTMENT

NAME/MAILING ADDRESS: Dale R. Paczkowski
1124 Rienzi Road
Fond du Lac, WI 54935

E-MAIL ADDRESS: dpaczkowski@ci.fond-du-lac.wi.us

RESIDES IN: Fond du Lac

TELEPHONE: 920/929-3261 (W)
920/924-9336 (H)

OCCUPATION: Water Operations Manager
City of Fond du Lac

APPOINTED TO: Examining Board of Architects, Landscape Architects,
Professional Engineers, Designers and Land Surveyors
(professional engineer)

TERM: an interim term to expire July 1, 2005

SUCCEEDS: vacancy (T. Richard)

SENATE CONFIRMATION: required

DATE OF APPOINTMENT: January 7, 2002

DATE OF NOMINATION: January 7, 2002

COMPENSATION: \$25 per diem, plus expenses

Dale R. Paczkowski, P.E.
1124 Rienzi Road
Fond du Lac, Wisconsin 54935
920-924-9336

EDUCATION:

St. Norbert College, DePere, Wisconsin.
Received B.S.
Majored in Physics

Marquette University, Milwaukee, Wisconsin
Received B.S.
Majored in Civil Engineering

Registered Professional Engineer

Continuing Education courses from University of Wisconsin

Licensed Commercial Pilot with an Instrument Rating.

ORGANIZATIONS:

National Society of Professional Engineers
American Public Works Association
American Water Works Association
American Society of Civil Engineers
Aircraft Owners & Pilots Association
Experimental Aircraft Association
Civil Air Patrol

WORK EXPERIENCE:

Presently working for the City of Fond du Lac, Wisconsin as Water Operations Manager. The principal activities that I perform are as follows:

Assume responsibilities of the Superintendent in his absence.

Supervise the planning and expediting of Water Utility projects; coordinates projects with the Engineering and Construction Divisions, other utilities, contractors, plumbers and the general public.

Plan, supervise, direct, and coordinate the activities of office staff, construction and maintenance, engineering, meter shop and the water plant.

Prepare estimates and other data required assisting with budget preparation, Wisconsin Public Service Commission Reports, and water rate cases.

Develop and maintain a program of industrial and commercial fire line inspection to determine possible water usage for fire protection.

Develops and maintains relations with customers and the general public; responds to potential problems and complaints.

Maintains and prepares the tax roll for delinquent bills, and schedule's, coordinates, and directs the non-payment shut off procedures.

Worked for the City of Eau Claire, Wisconsin as a design engineer on Municipal construction projects; prepare preliminary design information, design plans and specifications.

Perform investigation of previous engineering construction to determine safety, efficiency, durability, effects on environment, public opinion and a true economic comparison of design variables, which may affect future design plans and specifications.

Interpret federal, state and local ordinances as they relate to municipal construction projects.

Act as an engineering consultant; advise builders and contractor concerning construction problems that may occur; interpret contract documents furnishing information and explanation to substantiate the City's position; advise municipal employees in areas concerning planning, environmental problems and other matters of a civil engineering nature; supervise aides, technicians, inspectors and draftsmen.

Act in a public informational capacity; answer questions concerning the proper size and location of sanitary sewer and water main services; respond to complaints that arise and resolve them whenever possible.

City's representative to Eau Claire County's Committee on Solid Waste Management and Recycling.

Worked for the State of Illinois, Department of Transportation, Division of Aeronautics, as an Airport Design Engineer reviewing plans and specifications, engineering agreements, land documents, land appraisals, and Exhibit "A"s. In the Planning Section, I was in charge of reviewing and processing Airport Master Plans, layout, zoning, and compatible land use zoning plans.



Carol Roessler
STATE SENATOR

February 11, 2002

Senator Mark Meyer
131 South
Wisconsin State Capitol
Madison, WI 53707

Dear Senator Meyer:

I am writing in support of Dale Paczkowski's nomination to the Examining Board of Architects, Landscape Architects, Professional Engineers, Designers and Land Surveyors.

Mr. Paczkowski's strong educational background and community involvement make him a prime candidate for this position. He is a member of various organizations and has worked for many years as an engineer. He is currently working for the City of Fond du Lac as the Water Operations Manager. I feel that Mr. Paczkowski's abilities and skills will be beneficial to the Examining Board.

I urge you to add Mr. Paczkowski's nomination to your next hearing schedule. Thank you for your time and consideration.

Sincerely,

A handwritten signature in cursive script that reads "Carol".

CAROL ROESSLER
State Senator
18th Senate District

CR:/ses/rec-paczowski

SENATE HEARING SLIP

(Please Print Plainly)

DATE: 3-6-02

BILL NO. _____

or

SUBJECT Dale Paczkowski
appointment

Senator Carol Roesler
(NAME)

P.O. Box 7882
(Street Address or Route Number)

Madison, WI 53707
(City and Zip Code)

(Representing)

Speaking in Favor:

☐

Speaking Against:

☐

Registering in Favor:

but not speaking:

☒

Registering Against:

but not speaking:

☐

Speaking for information

only; Neither for nor against:

☐

Please return this slip to a messenger PROMPTLY.

Senate Sergeant-At-Arms
State Capitol - B35 South
P.O.Box 7882
Madison, WI 53707-7882

SENATE HEARING SLIP

(Please Print Plainly)

DATE: Wednesday, March 6, 2002

BILL NO. _____

or

SUBJECT: Gubernatorial Appointment

Dale R. Paczkowski

(Name)

1124 Rienzi Road

(Street Address or Route Number)

Fond du Lac, WI 54935

(City and Zip Code)

Self

(Representing)

Speaking in Favor:

XXXXXX

Speaking Against:

☐

Registering in Favor:

☐

but not speaking:

Registering Against:

☐

but not speaking:

Speaking for information only;

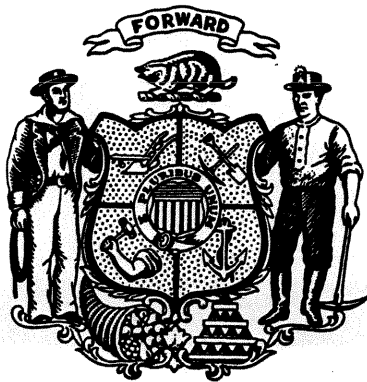
Neither for nor against:

☐

Please return this slip to a messenger PROMPTLY

Senate Sergeant At Arms
P. O. Box 7882
State Capitol
Madison, WI 53707-7882

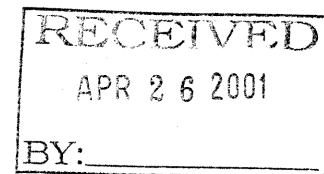
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END



STATE OF WISCONSIN
ETHICS BOARD



James R. Morgan
Chairman
Paul M. Holzem
David L. McRoberts
Joanne R. Orr
Dorothy C. Johnson
Gordon B. Baldwin

On the capitol square at:
44 EAST MIFFLIN STREET, STE 601
MADISON, WISCONSIN 53703-2800
phone: 608/266-8123
fax: 608/264-9309
ethics@ethics.state.wi.us
http://ethics.state.wi.us

Roth Judd
Director

Nominee: Robert J. Pertzborn
Position: Member, Architects, et al. Examining Board
(designer)

Statutory Reference:

15.08 Examining boards and councils. (1) SELECTION OF MEMBERS. All members of examining boards shall be residents of this state * * * No member may serve more than 2 consecutive terms. No member of an examining board may be an officer, director or employe of a private organization which promotes or furthers the profession or occupation regulated by that board.

(1m)(am) Public members appointed under s. 15.405 or 15.407 shall not be, nor ever have been, licensed, certified, registered or engaged in any profession or occupation licensed or otherwise regulated by the board, examining board or examining council to which they are appointed, shall not be married to any person so licensed, certified, registered or engaged, and shall not employ, be employed by or be professionally associated with any person so licensed, certified, registered or engaged.

15.405 Department of regulation and licensing; attached boards and examining boards. * * *

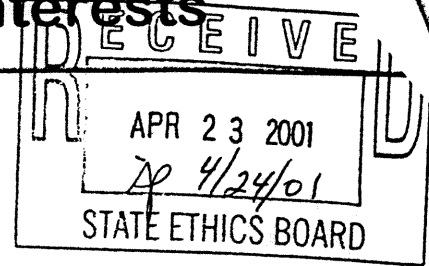
(2) Examining board of architects, landscape architects, professional engineers, designers and land surveyors. There is created an examining board of architects, landscape architects, professional engineers, designers and land surveyors in the department of regulation and licensing. Any professional member appointed to the examining board shall be registered to practice architecture, landscape architecture, professional engineering, the design of engineering systems or land surveying under ch. 443. The examining board shall consist of the following members appointed for 4-year terms: 3 architects, 3 landscape architects, 3 professional engineers, 3 designers, 3 land surveyors and 10 public members.

4/25/01 CW
SENATE COMMITTEE ON UNIVERSITIES, HOUSING, AND GOVERNMENT
OPERATIONS: Senators M. Meyer (Chair), Moore, Grobschmidt, Hansen, Ellis,

Statement of Economic Interests

Filed in 2001 for calendar year 2000

Name: Pertzborn, Robert J.

State
position:
(held or sought)Regulation & Licensing, Dept. of
Member
Architects, et al. Examining Board

→→→ SEE INSTRUCTIONS FOR EXPLANATION AND EXCEPTIONS. ←←←

•Questions about completing this form? Call (608) 266-8115 •Other inquiries (608) 266-8123 •Attach additional pages as needed

Part A

As of March 27, 2001

1. List STOCKS, BONDS, limited partnerships, Wisconsin governmental securities, and mutual and money market funds you or your family held (minimum \$5,000).

Name of security	Type of security - "✓" one					Amount - "✓" one	
	stock/ option/ futures	bond	limited partnership	Wisconsin governmental security	mutual or money mkt fund	\$50,000 or less	More than \$50,000
MARSHAL SMALL CAP GR. + INC FD					✓		✓
MARSHAL SMALL CAP GR. FD.					✓	✓	
MARSHAL INTERNATIONAL FD.					✓	✓	
MARSHAL MID CAP GR. FD.					✓	✓	
MARSHAL EQUITY FD.					✓		✓

2. List BUSINESSES and INCOME-PRODUCING REAL ESTATE in which you or your family had a 10% or greater ownership interest.

Name of business (if any) or business activity, or address of real estate	Municipality	State	If real estate, list County	Describe nature of business
H. J. PERTZBORN PLUMBING AND FIRE PROTECTION CORP.	MADISON	WI	DANE	PLUMBING + FIRE PROTECTION SERVICE WORK NEW CONSTRUCTION

- a) For each general partnership or non-Wisconsin entity, list the GENERAL PARTNERS or the OFFICERS and DIRECTORS.

Business	Partners, or officers and directors	City	State
N/A			

- b) For each enterprise that is an unincorporated business, a subchapter S corporation, a service corporation (SC), a limited liability company (LLC), a partnership, or income-producing real estate, list COMMERCIAL CUSTOMERS, CLIENTS, and TENANTS, and lobbyists that paid the enterprise \$1,000 or more in calendar year 2000.

Commercial customer, client, tenant, or lobbyist	City	State
N/A		

3. List the specific location of WISCONSIN REAL ESTATE in which you or your family had an interest (except your principal residence and real estate whose location you listed in item 2).

LOCATION OF PROPERTY			NATURE OF INTEREST (own, lease, option, easement, land contract)
Street address or fire number	Municipality	County	
LOT 12 BEAVER RD.	PRESQUE ISLE	VILAS	OWN

4. List ORGANIZATIONS of which you or a family member was an OFFICER or DIRECTOR.

Business or organization	City	State	Position
H.J. PERTZBORN PLUMBING & FIRE PROTECTION	MADISON	WI	PRESIDENT (PRESENT TIME)
MADISON AREA PLUMBING CONTRACTORS	MADISON	WI	CHAIRMAN APPRENTICE COMMITTEE

5. List ORGANIZATIONS THAT AUTHORIZED YOU OR A FAMILY MEMBER TO REPRESENT THEM in their dealings with others as an attorney-at-law, agent, spokesperson, or representative (unless listed in item 4 or 7).

Business or organization	City	State
N/A		

6. List CREDITORS to which you or your family owed \$5,000 or more.

Creditor	City	State	"✓" one	
			\$50,000 or less	More than \$50,000
N/A				

Part B

For calendar year 2000

7. List your and your family's EMPLOYERS (\$1,000 or more of income) in 2000.

Name of employer (If State of Wisconsin, identify agency or institution)	City	State	Nature of employer's business
H.J. PERTZBORN PLUMBING & FIRE PROTECTION	MADISON	WI	PLUMBING & FIRE PROTECTION
SENTRY GROCERY STORE HILLDALE	MADISON	WI	GROCERY STORE

8. List OTHER SOURCES from which you or your family received INCOME of \$1,000 or more in 2000.

Source of income	City	State
N/A		

9. List individuals and organizations that provided you with ENTERTAINMENT or GIFTS (more than \$50) in 2000.

Name of provider	City	State
N/A		

10. List, for 2000, sources of HONORARIA and payment of EXPENSES related to your state government duties (more than \$50) not previously reported to the Ethics Board.

Payer	Approximate value of expenses	Amount of honorarium	Circumstances of receipt
N/A			

I have read the accompanying instructions and certify that the information contained in this Statement of Economic Interests is true, complete, and correct to the best of my knowledge, information, and belief. If any part has been left blank, I have done so intentionally because there is nothing to report.

Signature of person filing

Date

Daytime phone #

The information sought in this form is required by §§19.43 and 19.44, Wisconsin Statutes. Failure to file a completed form may result in a forfeiture of up to \$500. Statements of Economic Interests are open for public inspection. The Ethics Board will notify you of the identity of any person who examines your Statement. In accordance with §15.04(1)(m), Wisconsin Statutes, the Ethics Board states that no personally identifiable information is likely to be used for purposes other than those for which it is collected.

Office Review



SCOTT McCALLUM

Governor
State of Wisconsin

GOVERNOR'S APPOINTMENT

NAME/MAILING ADDRESS: Robert J. Pertzborn
H.J. Pertzborn Plumbing and Fire Protection Corp.
802 John Nolen Drive
Madison, WI 53713

E-MAIL ADDRESS: rpertzborn@hjpertzborn.com

RESIDES IN: Madison

TELEPHONE: 608/256-3900 (W)

OCCUPATION: President
H.J. Pertzborn Plumbing and Fire Protection Corp.

APPOINTED TO: Examining Board of Architects, Landscape Architects,
Professional Engineers, Designers and Land Surveyors
(designer)

TERM: an interim term to expire July 1, 2003

SUCCEEDS: W. Clint McCullough
McCullough Plumbing, Inc.
2436 Pennsylvania Avenue
Madison, WI 53704

SENATE CONFIRMATION: required

DATE OF APPOINTMENT: March 27, 2001

DATE OF NOMINATION: March 27, 2001

COMPENSATION: \$25 per diem, plus expenses

802 John Nolen Dr., Madison,
WI 53713

608-256-3900 Phone
608-256-5004 Fax
jpertzborn@hjpertzborn.com

Robert J. Pertzborn

Experience

1970-1975 H. J. Pertzborn Plumbing and Heating, Inc. Madison, WI
Apprentice Plumber

1975-1984 H. J. Pertzborn Plumbing and Heating, Inc. Madison, WI
Journeyman Plumber

1984-Present H. J. Pertzborn Plumbing and Fire Protection Corp.
Madison, WI
Master Plumber

1985-Present H. J. Pertzborn Plumbing and Fire Protection Corp.
Madison, WI
President
• Third generation plumbing company

Education

1963-1967 Edgewood High School Madison, WI

1967-1968 University Wisconsin Madison, WI

1970-1975 Plumbing Trades Course Study Madison, WI

1990 State of Wisconsin Designer of Engineering Systems Madison, WI

Interests

Joint Apprenticeship Committee - 1985-2001, St. Joseph's Church,
Exchange Club

Military

1968-1970 United States Army Infantry Vietnam Veteran

SENATE HEARING SLIP

(Please Print Plainly)

DATE: Wednesday, June 6, 2001

BILL NO. _____

or

SUBJECT: Gubernatorial Appointment

Robert J. Pertzborn

(Name)

802 John Nolen Drive

(Street Address or Route Number)

Madison, WI 53713

(City and Zip Code)

Self

(Representing)

Speaking in Favor: ☐

Speaking Against: ☐

Registering in Favor: ☐

but not speaking:

Registering Against: ☐

but not speaking:

Speaking for information only; ☐

Neither for nor against:

Please return this slip to a messenger PROMPTLY

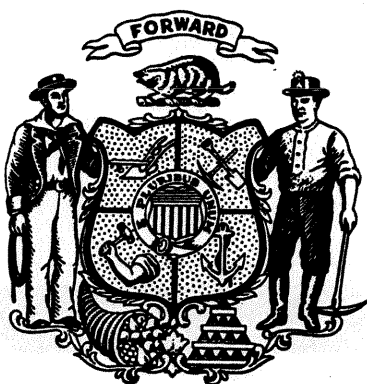
Senate Sergeant At Arms

P. O. Box 7882

State Capitol

Madison, WI 53707-7882

END



END



STATE OF WISCONSIN
ETHICS BOARD

James R. Morgan
Chairman
Paul M. Holzem
David L. McRoberts
Joanne R. Orr
Dorothy C. Johnson

On the capitol square at:
44 EAST MIFFLIN STREET, STE 601
MADISON, WISCONSIN 53703-2800
phone: 608/266-8123
fax: 608/264-9309
e-mail: ethics@ethics.state.wi.us
web: <http://ethics.state.wi.us>

Roth Judd
Director

NOMINEE: John Petersen, III

POSITION: Member, Wisconsin Housing and Economic Development
Authority
(*public member*)

STATUTORY

REFERENCE: **234.02 Wisconsin housing and economic
development authority: creation; membership;
appointment and tenure; meetings; officers. (1)**
* * * The members of the authority shall be the
secretary of commerce or his or her designee and the
secretary of administration or his or her designee, and 6
public members * * * In addition, one senator
of each party and one representative to the assembly of
each party appointed as are the members of standing
committees in their respective houses shall serve as
members of the authority.
* * * *

3/16/00 CW

SENATE COMMITTEE ON ECONOMIC DEVELOPMENT, HOUSING AND
GOVERNMENT OPERATIONS: Senators Wirch, (Chair); Moore,
Grobschmidt, Drzewiecki, and Zien.

Statement of Economic Interests

Filed in 2000 for calendar year 1999

Name: Petersen, III, John

Housing & Economic Dev. Auth.

State position: Member

(held or sought) STATE of WI. INVESTMENT BOARD

RECEIVED
MAR 16 2000

STATE ETHICS BOARD

→→→ SEE INSTRUCTIONS FOR EXPLANATION AND EXCEPTIONS. ←←←

Part A. Investments, creditors, and private positions on December 31, 1999.**1. List STOCKS, BONDS, mutual funds, and other investments you or your family held (minimum \$5,000).**

Name of security	Type of security (stocks, bonds, mutual fund, money market, etc.)	"✓" one \$50,000 or less	More than \$50,000
see Sched A-1			

2. List BUSINESSES and INCOME-PRODUCING REAL ESTATE in which you or your family had a 10% or greater ownership interest.

Name of business (if any) or business activity	City and state	General nature of business	Form of business organization (service corporation, subchapter S or C corporation, partnership, proprietorship, etc.)
Sched A-2			

3. List the OFFICERS, DIRECTORS, and GENERAL PARTNERS (other than you and your family) of a partnership or non-Wisconsin entity listed in item 2.

Business	Name of officers, directors, or partners	City and state
Sched A-3		

4. List specific location of Wisconsin REAL ESTATE you or your family owned (unless listed in item 2).

Location of property (street address or fire number, municipality, and county)	Type of property (e.g., farm, recreational, commercial, rental)	Nature of interest (own, lease, option, easement, land contract)
Sched A-4		

5. List ORGANIZATIONS of which you or a family member was an OFFICER or DIRECTOR.

Business or organization	City and state	Position
Sched A-5		

6. List ORGANIZATIONS THAT AUTHORIZED YOU OR A FAMILY MEMBER TO REPRESENT THEM in their dealings with others as an attorney-at-law, real estate agent, spokesperson, or representative (unless listed in item 2, 5 or 8).

Business or organization	City and state
NONE	

7. List CREDITORS to which you or your family owed \$5,000 or more.

Creditor	City and state	"✓" one	
		\$50,000 or less	More than \$50,000
Sched A-7			

8. Changes to reportable interests this year. See Instructions.

Part B. Your and your family's sources of income, gifts, and expense reimbursement in 1999.

9. List your and your family's EMPLOYERS (\$1,000 or more).

Name of employer (If State of Wisconsin, identify agency or institution)	City and state	Nature of employer's business
Sched B-9		

10. List OTHER SOURCES from which you or your family received INCOME of \$1,000 or more.

Source of income	City and state
None	

11. List COMMERCIAL CUSTOMERS, CLIENTS, and TENANTS and lobbyists that paid \$1,000 or more to an enterprise (other than a C Corporation) listed in item 2.

Customer, client, tenant, or lobbyist	City and state
Sched B-11	

12. List individuals and organizations that provided you with ENTERTAINMENT or GIFTS (more than \$50).

Name of provider	City and state
None	

13. List sources of HONORARIA and payment of EXPENSES related to your state government duties (more than \$50) not previously reported to Ethics Board.

Payer	Approximate value of expenses	Amount of honorarium	Circumstances of receipt
None			

I have read the accompanying instructions and certify that the information contained in this Statement of Economic Interests is true, complete, and correct to the best of my knowledge, information, and belief. If I acquired a reportable interest between December 31, 1999 and my nomination/appointment date, I have reported it. If any part has been left blank, I have done so intentionally because there is nothing to report.

Signature of person filing: [Signature] Date: 3/14/00 Daytime phone #: 608-2554070

The information sought in this form is required by §§19.43 and 19.44, Wisconsin Statutes. Failure to file a completed form may result in a forfeiture of up to \$500. Statements of Economic Interests are open for public inspection. The Ethics Board will notify you of the identity of any person who examines your Statement. In accordance with §15.04(1)(m), Wisconsin Statutes, the Ethics Board states that no personally identifiable information is likely to be used for purposes other than those for which it is collected.

SCHEDULE A-1			
Name of Security	Type of Security	Less than \$50,000	More than \$50,000
Arraycomm	partnership	x	
Ophidian Pharma.	common stk.	x	
Exxon	common stk.		x
Marshall & Illsley	common stk.		x
Dell Computer	common stk.		x
Madison Gas & Elec.	common stk.		x
Waste Management	common stk.		x
Regent Pacific	common stk.		x
NSM Steel	common stk.	x	
Titan Petrol.	common stk.	x	
Western Water	common stk.	x	
Integrated Water Tech.	convert. Note	x	

Schedule A-2			
Name of Business	Address	Nature of	Form of Org
Inland Investment Co Inc.	Madison, WI	Investments	Wisc C. Corp.
Gretchen Petersen Tours	Madison, WI	Tours	Wisc C. Corp.
JPIII INC	Madison, WI	Management	Wisc. S. Corp.
Manchester Place Mgt. Co.	Madison, WI	Property Mgt.	Wisc. S. Corp.
Wisconsin Ave. Asset. Mgt	Madison, WI	Investments	Partnership
Inland -Kohl Joint Venture	Madison, WI	Income Property	Joint Venture

2525 E. Washington Ave., Madison - per filing 3/16/00 jp

Schedule A-3			
Name	Partners	City & State	
Manchester Place Mgt. Co.	Nathan F. Brand	Madison, WI	
	John J. Tye	Madison, WI	
	Fredric E. Mohs	Madison, WI	
Inland -Kohl Joint Venture	Kohl Trusts	Milwaukee WI	
Wisconsin Ave. Asset Mgt.			
John J. Tye	Madison, WI	Fredric E. Mohs	Madison, WI
Paula A. Mohs	Madison, WI	Andrew North	Madison, WI
Nicole Mohs	Madison, WI	Jerry Weygandt	Madison, WI
Patrick J. Baxter	Madison, WI	Kimport Investment	Madison, WI
Loren Mortenson	Madison, WI	JPIII Inc Pension	Madison, WI
Joe Steuer	Naples, Fl.	Nathan F. Brand	Madison, WI

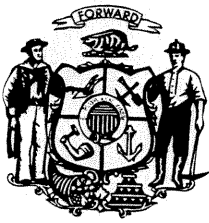
Schedule A-4		
Location	Type of Property	Nature of Interest
1664 Capital Ave Madison	Apartments	own
199 Kennedy Hts. Madison	Apartments	own
2. E. Mifflin Madison	Office Bldg.	own

Schedule A-5		
Organization	City/State	Position
Inland Investment Co.	Madison, WI	President
JPIII Inc.	Madison, WI	President
Gretchen Petersen Tours	Madison, WI	President
State of Wisc. Investment Board	Madison, WI	Chairman
Wisconsin Housing Preservation Trust	Madison, WI	Chairman

Schedule A-7		
Creditor	Less than 50K	More than 50K
Marshall & Illesley		X
Merrill Lynch		X
Paine Weber		X
S. G. Cowan		X
Assoc. Commer. Mtg		X
City of Madison		X
Revenue Bonds		X
Anchor Bank		X

Schedule B-9		
Employer	City/ State	Nature of Business
JPIII Inc.	Madison WI	Management
Gretchen Petersen Tours	Madison WI	Tours
State of Wisconsin Investment Board	Madison WI	Investment Mgt.
Wisconsin Housing Trust	Madison WI	Housing Owner

Schedule B-11	
	City/ State
Tenants of Manchester Pl.	
Merrill Lynch	Madison WI
Murphy Desmond	Madison WI
Dewitt Ross Stevens	Madison WI
Axely Brynson	Madison WI
Bank One	Madison WI
Verbatim	Madison WI
Insty Prints	Madison WI
Kohl Foods	Milwaukee WI



TOMMY G. THOMPSON

**Governor
State of Wisconsin**

GOVERNOR'S APPOINTMENT

NAME/MAILING ADDRESS: John Petersen, III
Inland Investment Co.
2 E. Mifflin Street, Suite 901
Madison, WI 53703

E-MAIL ADDRESS: jpiii@inxpress.net

RESIDES IN: Madison

TELEPHONE: 608/255-4070 (W)
608/238-5237 (H)

OCCUPATION: President
Inland Investment Co.

APPOINTED TO: Wisconsin Housing and Economic Development Authority
(public member)

TERM: a four year term to expire January 1, 2004

SUCCEEDS: himself

SENATE CONFIRMATION: required

DATE OF APPOINTMENT: March 3, 2000

DATE OF NOMINATION: March 3, 2000

COMPENSATION: reimbursement of expenses

BIOGRAPHY

JOHN PETERSEN III

Phone 608-255-4070

Fax 608-255-9777

Email jpiii@inxpress.net

Age: 57

Lifelong resident of Madison (born 10/19/1941)

Marital Status:

Married to Gretchen F. Petersen (Owner/Operator
Gretchen Petersen Tours)

Family:

Son: Eric J. Petersen (age 32)

Daughter: Rehna S. Becker (age 28)

Education:

1955-1959 Universtiy of Wisconsin High School,
Madison

1959-1960 Oberlin College, Oberlin, Ohio

1960-1964 University of Wisconsin, Madison
School of Commerce B.S. Finance

1964-1966 University of Wisconsin, Madison
School of Commerce M.S. Real Estate

Employment:

1966-Present Inland Investment Co. Inc.

President and controlling shareholder of Inland
Investment, a family owned corporation with
investments in real estate and securities.

Other Activities:

1976-1984 Board of Directors United Banks of Wisc.
Executive Committee, Loan Committee, Audit

1984-1987 Board of Directors Valley Bankcorp.

1990-Present

Director, Vice Chairman

Wisconsin Housing & Economic Development
Authority

1990-1992 Chairman Economic Development Committee

1993-Present Chairman Directors Loan Committee

1992 Member, Department of Administration
Information Technology Advisory Board

1993-Present Trustee, State of Wisconsin Investment Board

1996-Present Chairman State of Wisconsin Investment Board

SENATE HEARING SLIP
(Please Print Plainly)

DATE: Wednesday, December 12, 2001

BILL NO. _____

or

SUBJECT: Gubernatorial Appointment

John Petersen, III
(Name)

2 E. Mifflin Street, Suite 901

(Street Address or Route Number)

Madison, WI 53703

(City and Zip Code)

Self

(Representing)

Speaking in Favor:

☐ XXXXX

Speaking Against:

☐

Registering in Favor:

☐

but not speaking:

☐

Registering Against:

☐

but not speaking:

Speaking for information only;

Neither for nor against:

☐

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Senate Sergeant At Arms
P. O. Box 7882
State Capitol