September 12, 2003 – Introduced by Senators Stepp, Harsdorf, Kanavas, Leibham, Kedzie, Risser, Darling, Zien, Brown, Reynolds and Lazich, cosponsored by Representatives Montgomery, Jensen, Ladwig, McCormick, Nischke, Gunderson, Suder, Kaufert, Huebsch, Hundertmark, J. Wood, Grothman, M. Williams, Albers, Ainsworth, Olsen, Owens, Hahn and Musser. Referred to Select Committee on Job Creation.

AN ACT *to amend* 84.063 (5), 84.30 (14), 86.196 (2) (c), 218.0114 (13) (b), 218.11 (2) (b) 1., 218.12 (2) (b) 2., 218.22 (2) (b) 1., 218.32 (2) (b) 1., 218.41 (2m) (a) 1., 218.51 (3) (b) 1., 281.36 (2) (b), 341.19 (4), 343.02 (1) and 343.305 (11); *to repeal and recreate* 299.05; and *to create* 29.026, 73.303, 85.16 (3), 93.125, 93.13, 101.022, 101.023, 125.04 (3m), 145.025, 168.165, 224.50, 224.60 and 299.06 of the statutes; **relating to:** periods in which state agencies will act on certain applications, approval of certain applications, refunds of fees, and granting rule–making authority.

Analysis by the Legislative Reference Bureau

Deadlines for agency action

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This bill requires state agencies to promulgate rules establishing periods within which the agencies intend to approve or disapprove applications for specified licenses, permits, and other approvals that the agencies issue. The following state agencies are required to promulgate rules: the Department of Natural Resources (DNR); the Department of Agriculture, Trade and Consumer Protection (DATCP); the Department of Commerce; the Department of Financial Institutions (DFI); the Department of Transportation (DOT); and the Department of Revenue (DOR).

Automatic approval upon failure to meet deadlines

Under this bill, there are two possible consequences of failure to act on an application within the period established by rule. For some kinds of approvals, if an agency fails to act within the period established by rule or before the end of an authorized extension of that period, the application is automatically approved. An agency may extend the period for these approvals on the grounds that an application was incomplete if the agency provides written notice to the applicant, within 14 days of receiving the application, describing the information that must be provided to complete the application. An agency may extend the period by not more than 30 days if it finds that there is a substantial likelihood that the activity proposed to be conducted under the application would result in substantial harm to human health or safety and that the agency cannot adequately review the application within the period. The bill also authorizes agencies to promulgate rules providing for extensions of the period for acting on an application because the applicant makes a material modification to the application.

A license or permit that is automatically approved is subject to any terms or conditions specified by statute or rule for that kind of license or permit and the agency may suspend or revoke it for failure to comply with those terms or conditions.

Approvals for which failure to act by a deadline results in automatic approval include: high-capacity well approvals, water pollution permits, solid or hazardous waste facility operating licenses, and permits and other determinations related to structures and deposits in navigable waters issued by DNR; nursery dealer, pesticide manufacturer, commercial feed manufacturer, food processing plant, and grain dealer licenses, and farm-raised deer registrations issued by DATCP; approvals of construction site erosion control plans, approvals of exemptions from requirements related to the retention and disclosure of information about toxic substances, and approvals of agencies that inspect manufactured homes issued by the Department of Commerce; approvals of plans for mergers by certain business entities and approvals relating to the operations of state banks, savings banks and savings and loans, and credit unions issued by DFI; and approvals by DOT relating to maintenance of highway vegetation and certain types of business and vehicle registration.

Fee refunds upon failure to meet deadlines

For the kinds of approvals that are not subject to automatic approval under the bill, an agency must refund fees paid by an applicant for an approval if the agency fails to act within the period established by rule. Also, an applicant may choose to treat the application as though it had been denied and obtain administrative and, if necessary, judicial review of the denial. An agency may extend the period for these approvals on the grounds that an application was incomplete if the agency provides written notice to the applicant within 14 days of receiving the application describing the information that must be provided to complete the application.

Approvals for which the consequence of failure to act on an application within the period established by rule is a refund of fees include: air pollution permits, well driller registrations, bait dealer licenses, and commercial fishing licenses issued by DNR; milk producer, buttermaker, and cheesemaker licenses issued by DATCP;

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electrician certifications, plumber licenses, and building plan approvals issued by the Department of Commerce; mortgage banker and investment advisor licenses issued by DFI; outdoor advertising permits, motor vehicle dealer licenses, and oversize and overweight vehicle permits issued by DOT; and permits related to the sale of cigarettes, tobacco products, and alcohol beverages issued by DOR.

For further information see the *state* fiscal estimate, which will be printed as an appendix to this bill.

The people of the state of Wisconsin, represented in senate and assembly, do enact as follows:

Section 1. 29.026 of the statutes is created to read:

29.026 Deadlines for action on certain approval applications. (1) DEADLINES. The department, by rule, shall establish periods within which the department intends to approve or disapprove an application for any of the approvals specified in s. 29.024 (2r) 1. to 16.

- (2) Failure to meet deadline. (a) Subject to sub. (4), the department shall refund fees paid by the applicant for an approval subject to sub. (1) if the department fails to provide the applicant with written notice that the department has approved or disapproved the application for the approval, including the specific facts upon which any disapproval is based, before the expiration of the period established under sub. (1) for the approval.
- (b) Subject to sub. (4), if the department fails to provide the applicant for an approval subject to sub. (1) with written notice that the department has approved or disapproved the application before the expiration of the period established under sub. (1) for the approval, the applicant may choose to proceed under ch. 227 as though the department had disapproved the application by providing the department with written notice of that choice no later than 45 days after the expiration of the period established under sub. (1).

(a) A permit under s. 139.34.

(c) The	department may not disapprove an application for an approval solely
because the d	epartment is unable to complete its review of the application within the
period establi	shed under sub. (1).
(3) Not	ICE OF DEADLINE. Upon receiving an application for an approval subject
to sub. (1), the	e department shall inform the applicant of the period established under
sub. (1) for th	e approval.
(4) Perm	MITTED EXTENSION OF DEADLINE. The department may extend the period
established u	nder sub. (1) because an application is incomplete if all of the following
apply:	
(a) With	nin 14 days after receiving the application, the department provides
written notice	e to the applicant describing specifically the information that must be
provided to co	omplete the application.
(b) The	information under par. (a) is directly related to eligibility for the
approval or to	terms or conditions of the approval.
(c) The i	information under par. (a) is necessary to determine whether to approve
the applicatio	n or is necessary to determine the terms or conditions of the approval.
(d) The	extension is not longer than the number of days from the day on which
the departmen	nt provides the notice under par. (a) to the day on which the department
receives the i	nformation.
Section	2. 73.303 of the statutes is created to read:
73.303	Deadlines for action on permit applications. (1) In this section,
"department"	means the department of revenue.
(2) Th	e department, by rule, shall establish periods within which the
department in	ntends to approve or disapprove an application for any of the following:

- (b) A cigarette salesperson permit under s. 139.37.
- (c) A tobacco product salesperson permit under s. 139.81.
- (3) (a) Subject to sub. (5), the department shall refund fees paid by the applicant for a permit specified in sub. (2) if the department fails to provide the applicant with written notice that the department has approved or disapproved the application for the permit, including the specific facts upon which any disapproval is based, before the expiration of the period established under sub. (2) for the permit.
- (b) Subject to sub. (5), if the department fails to provide the applicant for a permit specified in sub. (2) with written notice that the department has approved or disapproved the application before the expiration of the period established under sub. (2) for the permit, the applicant may choose to proceed under ch. 227 as though the department had disapproved the application by providing the department with written notice of that choice no later than 45 days after the expiration of the period established under sub. (2).
- (c) The department may not disapprove an application for a permit solely because the department is unable to complete its review of the application within the period established under sub. (2).
- **(4)** Upon receiving an application for a permit specified in sub. (2), the department shall inform the applicant of the period established under sub. (2) for the permit.
- **(5)** The department may extend the period established under sub. (2) because an application is incomplete if all of the following apply:
- (a) Within 14 days after receiving the application, the department provides written notice to the applicant describing specifically the information that must be provided to complete the application.

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(4) or (7).

1	(b) The information under par. (a) is directly related to eligibility for the
2	approval or to terms or conditions of the permit.
3	(c) The information under par. (a) is necessary to determine whether to approve
4	the application or is necessary to determine the terms or conditions of the permit.
5	(d) The extension is not longer than the number of days from the day on which
6	the department provides the notice under par. (a) to the day on which the department
7	receives the information.
8	SECTION 3. 84.063 (5) of the statutes is amended to read:
9	84.063 (5) RULES. The department shall promulgate rules, including any rule
10	required under s. 85.16 (3), to implement and administer this section.
11	SECTION 4. 84.30 (14) of the statutes is amended to read:
12	84.30 (14) DEPARTMENT RULES. The department may promulgate rules deemed
13	necessary to implement and enforce this section. The department shall promulgate
14	rules to restrict the erection and maintenance of signs as to their lighting, size,
15	number and spacing when such signs are visible from the highway but outside the
16	adjacent area. The department shall by rule establish a priority system for the
17	removal or relocation of all signs not specified in sub. (5) (d) which fail to conform to
18	the requirements of sub. (5). The department's rules shall include any rule required
19	<u>under s. 85.16 (3).</u>
20	Section 5. 85.16 (3) of the statutes is created to read:
21	85.16 (3) (a) The department, by rule, shall establish periods within which the

department intends to approve or disapprove an application for any of the following:

1. An approval related to a utility facilities work plan under s. 84.063 (3) (c).

2. An approval or permit related to a controlled-access highway under s. 84.25

- 3. An approval of a franchise or permit granted by a municipality as specified in s. 84.08.
- 4. An outdoor advertising business license under s. 84.30 (10).
- 5. An outdoor advertising sign permit under s. 84.30 (10m).
- 5 6. An approval related to highway vegetation under s. 86.03 (3).
- 7. A permit related to excavating, filling, altering, or disturbing a highway or bridge under s. 86.07 (2).
- 8. A permit for the erection and maintenance of a specific information sign under s. 86.195 (2) (a) or a business sign under s. 86.195 (2) (b).
- 9. A permit for the erection and maintenance of a tourist–oriented directional sign under s. 86.196 (2).
- 12 10. An unairworthy aircraft certificate under s. 114.20 (5).
- 13. A recreational vehicle dealer's license under s. 218.11.
- 12. A recreational vehicle salesperson's license under s. 218.12.
- 13. A motor vehicle salvage dealer's license under s. 218.22.
- 16 14. A motor vehicle auction dealer's license under s. 218.32.
- 17 15. A moped dealer's license under s. 218.41.
- 16. A buyer identification card under s. 218.51.
- 19 17. An approval related to quarterly or consecutive monthly registration under
- 20 s. 341.185 or 341.19.
- 21 18. A registration of a dealer, distributor, manufacturer, or transporter under
- 22 s. 341.51.
- 23 19. A registration of a finance company or a financial institution under s.
- 24 341.57.
- 25 20. A certificate of title under s. 342.18.

- 21. A permit to perform chemical analysis of the breath under s. 343.305 (6).
- 2 22. A license to conduct a driver school under s. 343.61.
- 3 23. A license to act as a driving instructor under s. 343.62.
- 24. A permit related to oversize and overweight vehicles and loads under ss. 348.26 or 348.27.
 - (b) Subject to pars. (g) and (h), the department shall refund any applicable fee paid by the applicant for any license, permit, or other approval specified in par. (a) 1. to 5., 7. to 16., or 21. to 24. if the department fails to provide the applicant with written notice that the department has approved or disapproved the application for the license, permit, or other approval, including the specific facts upon which any disapproval is based, before the expiration of the period established under par. (a) for the license, permit, or other approval.
 - (c) Subject to pars. (g) and (h), if the department fails to provide the applicant for a license, permit, or other approval specified in par. (a) 1. to 5., 7. to 16., or 21. to 24. with written notice that the department has approved or disapproved the application before the expiration of the period established under par. (a) for the license, permit, or other approval, the applicant may choose to proceed under ch. 227 as though the department had disapproved the application by providing the department with written notice of that choice no later than 45 days after the expiration of the period established under par. (a).
 - (d) Subject to pars. (g) to (j), failure by the department to provide the applicant for a license, permit, or other approval specified in par. (a) 6. or 17. to 20. with written notice that the department has approved or disapproved the application for the license, permit, or other approval, including the specific facts upon which any disapproval is based, before the expiration of the period established under par. (a)

- for the license, permit, or other approval, constitutes approval of the application. A license, permit, or other approval approved under this paragraph is subject to any terms or conditions specified by statute or rule for the license, permit, or other approval and the department may suspend, limit, revoke, or withdraw the license, permit, or other approval for substantial failure to comply with those terms or conditions. The department may not make the license, permit, or other approval subject to any term or condition that is not specified by statute or rule. Within 30 days after the expiration of the period established under par. (a) for the license, permit, or other approval, the department shall provide the applicant with a statement showing that the license, permit, or other approval is approved and specifying any terms and conditions that apply to that license, permit, or other approval.
- (e) The department may not disapprove an application for a license, permit, or other approval solely because the department is unable to complete its review of the application within the period established under par. (a).
- (f) Upon receiving an application for a license, permit, or other approval specified in par. (a), the department shall inform the applicant of the period established under par. (a) for the license, permit, or other approval.
- (g) The department may include any of the following in the rules required under par. (a):
- 1. A longer period under par. (a) for an application for a license, permit, or other approval for which an environmental impact statement is required under s. 1.11 than for other applications.
- 2. With respect to a license, permit, or other approval specified in par. (a) 6. or 17. to 20., extensions of the period established under par. (a) because the applicant

- makes a material modification to the application if the department notifies the applicant in writing of the extension within 30 days after the applicant makes the modification.
- 3. With respect to a license, permit, or other approval specified in par. (a) 6. or 17. to 20., deadlines for the department to complete intermediate steps in the process of completing its review of an application.
- (h) The department may extend the period established under par. (a) because the application is incomplete if all of the following apply:
- 1. The department notifies the applicant in writing of the need for an extension within 14 days after the applicant submits the application and the notice specifically describes the information that must be provided to complete the application or the information needed to complete the department's review of the application.
- 2. The information under subd. 1. is directly related to eligibility for the license, permit, or other approval or to terms or conditions of the license, permit, or other approval.
- 3. The information under subd. 1. is necessary to determine whether to approve the application or is necessary to determine the terms or conditions of the license, permit, or other approval.
- 4. The extension is not longer than the number of days from the day on which the department provides the notice under subd. 1. to the day on which the department receives the information.
- (i) During the period established under par. (a) for a license, permit, or other approval specified in par. (a) 6. or 17. to 20., the department and the applicant may jointly agree to a different period for acting on an application for a license, permit, or other approval than that specified under par. (a). The department may not make

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1 the license, permit, or other approval subject to any term or condition that is not 2 specified by statute or rule. 3 (j) The department may extend the period established under par. (a) for a 4 license, permit, or other approval specified in par. (a) 6. or 17. to 20. by not more than 5 30 days if, within the period established under par. (a), the department finds that 6 there is a substantial likelihood that the activity proposed to be conducted under the 7 application would result in substantial harm to human health or human safety and 8 that the department cannot adequately review the application within the period established under par. (a) and, upon making those findings, provides written notice 9 10 to the applicant that states with particularity the facts on which those findings are 11 based. **SECTION 6.** 86.196 (2) (c) of the statutes is amended to read: 12 13 86.196 (2) (c) Provisions for fees to cover costs of sign manufacture, erection and 14 maintenance to be collected through a permit system and deadlines for acting on 15 permit applications as required under s. 85.16 (3). 16 **Section 7.** 93.125 of the statutes is created to read: 17 Deadlines for action on occupational applications. 93.125 **(1)**

93.125 Deadlines for action on occupational applications. (1)
DEADLINES. The department, by rule, shall establish periods within which the department intends to approve or disapprove an application for any of the following:

- (a) A food inspector license under s. 93.11.
- (b) A professional weather modification license under s. 93.35 (4).
- (c) An individual commercial pesticide applicator license under s. 94.704.
 - (d) A pesticide applicator certification under s. 94.705.
- (f) A buttermaker or cheesemaker license under s. 97.17.
- 25 (g) A butter grader or cheese grader license under s. 97.175.

- (h) A milk producer license under s. 97.22 (2).
- 2 (i) A grade A dairy farm permit under s. 97.22 (3).
 - (j) A milk and cream tester license under s. 98.145.
 - (k) A milk weigher and sampler license under s. 98.146.
 - (2) Failure to meet deadline. (a) Subject to sub. (4), the department shall refund fees paid by the applicant for a license or other approval specified in sub. (1) if the department fails to provide the applicant with written notice that the department has approved or disapproved the application for the license or other approval, including the specific facts upon which any disapproval is based, before the expiration of the period established under sub. (1) for the license or other approval.
 - (b) Subject to sub. (4), if the department fails to provide the applicant for a license or other approval specified in sub. (1) with written notice that the department has approved or disapproved the application before the expiration of the period established under sub. (1) for the approval, the applicant may choose to proceed under ch. 227 as though the department had disapproved the application by providing the department with written notice of that choice no later than 45 days after the expiration of the period established under sub. (1).
 - (c) The department may not disapprove an application for a license or other approval solely because the department is unable to complete its review of the application within the period established under sub. (1).
 - **(3)** Notice of Deadline. Upon receiving an application for a license or other approval specified in sub. (1), the department shall inform the applicant of the period established under sub. (1) for the license or other approval.

(4)	PERMITTED EXTENSION OF DEADLINE. The department may extend the period
establish	ned under sub. (1) because an application is incomplete if all of the following
apply:	
1.	Within 14 days after receiving the application, the department provides
written	notice to the applicant describing specifically the information that must be
provided	l to complete the application.
2.	The information under subd. 1. is directly related to eligibility for the license
or other	approval or to terms or conditions of the license or other approval.
3.	The information under subd. 1. is necessary to determine whether to approve
the appl	ication or is necessary to determine the terms or conditions of the license or
other ap	proval.
4.	The extension is not longer than the number of days from the day on which
he dep	artment provides the notice under subd. 1. to the day on which the
departm	ent receives the information.
SEC	CTION 8. 93.13 of the statutes is created to read:
93.	13 Automatic approval of certain applications. (1) DEADLINES. The
departm	ent, by rule, shall establish periods within which the department intends to
approve	or disapprove an application for any of the following:
(a)	A weather modification permit under s. 93.35 (6).
(an	n) A nursery dealer license under s. 94.10 (2).
(b)	A nursery grower license under s. 94.10 (3).
(c)	A Christmas tree grower license under s. 94.10 (3g).
(cm	n) A seed labeler's license under s. 94.43.
(d)	A ginseng grower or dealer registration under s. 94.50 (2).
(e)	A fertilizer manufacturer or distributor license under s. 94.64 (3).

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1		(em) A nonagricultural or special–use fertilizer permit under s. 94.64 (3m).
2		(f) A soil or plant additive manufacturer or distributor license under s. 94.65
3	(2).	
4		(g) A soil or plant additive permit under s. 94.65 (3).
5		(gm) A license for the sale or distribution of liming material under s. 94.66 (2).
6		(h) A pesticide manufacturer or labeler license under s. 94.68 (1).
7		(i) A restricted–use pesticide dealer or distributor license under s. 94.685.
8		(im) A veterinary clinic pesticide use and repackaging permit under s. 94.702.
9		(j) A commercial pesticide application business license under s. 94.703.
10		(k) A commercial feed manufacturer or distributor license under s. 94.72 (5).
11		(km) A farm–raised deer registration under s. 95.55.
12		(L) A fish farm registration under s. 95.60 (3m).
13		(m) An animal market license under s. 95.68 (2).
14		(mm) An animal dealer license under s. 95.69 (2).
15		(n) An animal trucker license under s. 95.71 (2).
16		(p) A license for collecting or processing dead animals under s. 95.72 (2).
17		(pm) A license for transporting dead animals under s. 95.72 (7).
18		(q) A dairy plant license under s. 97.20 (2).
19		(r) A bulk milk tanker license under s. 97.21 (2).
20		(rm) A milk distributor license under s. 97.21 (3).
21		(s) A food warehouse license under s. 97.27 (2).
22		(t) A food processing plant license under s. 97.29 (2).

(tm) A retail food establishment license under s. 97.30 (2).

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- (u) A meat or poultry commercial slaughtering or processing license or a meat or poultry custom slaughtering or processing registration certificate under s. 97.42(2).
 - (v) A vehicle scale license under s. 98.16.
- 5 (vm) A weights and measures servicing license under s. 98.18 (1) (a).
- 6 (w) A liquid petroleum gas meter registration under s. 98.245 (7).
- 7 (wm) A public warehouse keeper license under s. 99.02 (1).
- 8 (x) A mobile air conditioner servicing registration certificate under s. 100.45 9 (5) (c).
- 10 (xm) A grain dealer license under s. 126.11.
 - (y) A grain warehouse keeper license under s. 126.26.
- 12 (ym) A milk contractor license under s. 126.41.
 - (z) A vegetable contractor license under s. 126.56.
 - (2) Failure to meet deadline. (a) Subject to subs. (4) (b) and (5), failure by the department to provide the applicant for a license, permit, or other approval specified in sub. (1) with written notice that the department has approved or disapproved the application for the license, permit, or other approval, including the specific facts upon which any disapproval is based, before the expiration of the period established under sub. (1) for the license, permit, or other approval, constitutes approval of the application. A license, permit, or other approval approved under this paragraph is subject to any terms or conditions specified by statute or rule for the license, permit, or other approval and the department may suspend, limit, revoke, or withdraw the license, permit, or other approval for substantial failure to comply with those terms or conditions. The department may not make the license, permit, or other approval subject to any term or condition that is not specified by statute or rule. Within 30

- days after the expiration of the period established under sub. (1) for the license, permit, or other approval, the department shall provide the applicant with a statement showing that the license, permit, or other approval is approved and specifying any terms and conditions that apply to that license, permit, or other approval.
- (b) The department may not disapprove an application for a license, permit, or other approval solely because the department is unable to complete its review of the application within the period established under sub. (1).
- **(3)** Notice of deadline. Upon receiving an application for a license, permit, or other approval specified in sub. (1), the department shall inform the applicant of the period established under sub. (1) for the license, permit, or other approval.
- **(4)** OPTIONAL PROVISIONS OF RULES. The department may include any of the following in the rules required under sub. (1):
- (a) A longer period under sub. (1) for an application for a license, permit, or other approval for which an environmental impact statement is required under s.1.11 than for other applications.
- (b) Extensions of the period established under sub. (1) because the applicant makes a material modification to the application if the department notifies the applicant in writing of the extension within 30 days after the applicant makes the modification.
- (c) Deadlines for the department to complete intermediate steps in the process of completing its review of an application.
- (5) EXTENSIONS AUTHORIZED. (a) During the period established under sub. (1), the department and the applicant may jointly agree to a different period for acting on an application for a license, permit, or other approval than that specified under

- sub. (1). The department may not require an applicant to agree to a different period as a condition of approving an application.
 - (b) The department may extend the period established under sub. (1) because an application is incomplete if all of the following apply:
 - 1. Within 14 days after receiving the application, the department provides written notice to the applicant describing specifically the information that must be provided to complete the application.
 - 2. The information under subd. 1. is directly related to eligibility for the license, permit, or other approval or to terms or conditions of the license, permit, or other approval.
 - 3. The information under subd. 1. is necessary to determine whether to approve the application or is necessary to determine the terms or conditions of the license, permit, or other approval.
 - 4. The extension is not longer than the number of days from the day on which the department provides the notice under subd. 1. to the day on which the department receives the information.
 - (c) The department may extend the period established under sub. (1) for an application by not more than 30 days if, within the period established under sub. (1), the department finds that there is a substantial likelihood that the activity proposed to be conducted under the application would result in substantial harm to human health or human safety and that the department cannot adequately review the application within the period established under sub. (1) and, upon making those findings, provides written notice to the applicant that states with particularity the facts on which those findings are based.

Section 9. 101.022 of the statutes is created to read:

- **101.022 Deadlines for action on certain applications. (1)** DEFINITIONS. In this section, "license or building plan application" means any of the following:
- (a) An application for a license, permit, or certificate of certification or registration issued by the department under ss. 101.09 (3) (c), 101.122 (2) (c), 101.143 (2) (g), 101.15 (2) (e), 101.17, 101.177 (4) (a), 101.178 (2) or (3) (a), 101.63 (2) or (2m), 101.653, 101.73 (5) or (6), 101.82 (2), 101.87, 101.935, 101.95, 101.951, 101.952, 145.02 (4), 145.035, 145.045, 145.15, 145.16, 145.165, 145.17, 145.175, 145.18, or 167.10 (6m).
- (b) Forms, plans, and other information submitted to the department under s. 101.12 or 145.26.
- **(2)** DEADLINES. The department, by rule, shall establish periods within which the department intends to approve or disapprove any license or building plan application. Any period established under this subsection shall be consistent with any applicable period specified by statute.
- (3) Failure to meet deadline. (a) Subject to sub. (5), the department shall refund fees paid by a person submitting a license or building plan application if the department fails to provide the person with written notice that the department has approved or disapproved the application, including the specific facts upon which any disapproval is based, before the expiration of the period established under sub. (2) for the license or building plan application.
- (b) Subject to sub. (5), if the department fails to provide a person submitting a license or building plan application with written notice that the department has approved or disapproved the license or building plan application before the expiration of the period established under sub. (2) for the license or building plan application, the applicant may choose to proceed under ch. 227 as though the

- department had disapproved the license or building plan application by providing the department with written notice of that choice no later than 45 days after the expiration of the period established under sub. (2).
- (c) The department may not disapprove a license or building plan application solely because the department is unable to complete its review of the application within the period established under sub. (2).
- **(4)** Notice of deadline. Upon receiving a license or building plan application, the department shall inform the applicant of the period established under sub. (2) for the license or building plan application.
- (5) PERMITTED EXTENSION OF DEADLINE. The department may extend the period established under sub. (2) because a license or building plan application is incomplete if all of the following apply:
- (a) Within 14 days after receiving the license or building plan application, the department provides written notice to the applicant describing specifically the information that must be provided to complete the license or building plan application.
- (b) The information under par. (a) is directly related to eligibility for approval of the license or building plan application or to terms or conditions of the approval.
- (c) The information under par. (a) is necessary to determine whether to approve the license or building plan application or is necessary to determine the terms or conditions of the approval.
- (d) The extension is not longer than the number of days from the day on which the department provides the notice under par. (a) to the day on which the department receives the information.

SECTION 10. 101.023 of the statutes is created to read:

- 101.023 Automatic approval of certain applications. (1) DEFINITIONS. In this section, "application" means any form or other writing that is submitted to the department under this chapter or ch. 145 or 168 for the purpose of obtaining any approval of the department that is required by law as a prerequisite to the applicant taking certain actions, except that "application" does not include a license or building plan application, as defined under s. 101.022 (1).
- **(2)** DEADLINES. The department, by rule, shall establish periods within which the department intends to approve or disapprove an application. Any period established under this subsection shall be consistent with any applicable period specified by statute.
- (3) Failure to Meet Deadline. (a) Subject to subs. (5) (a) and (b) and (6), failure by the department to provide an applicant with written notice that the department has approved or disapproved the application, including specific facts upon which any disapproval is based, before the expiration of the period established under sub. (2) applicable to the application constitutes approval of the application by the department. An application approved under this paragraph is subject to any terms or conditions specified by law for the approval and the department may suspend, limit, revoke, or withdraw the approval for substantial failure to comply with those terms or conditions. The department may not make the approval subject to any term or condition that is not specified by statute or rule. Within 30 days after an application is approved under this paragraph, the department shall provide the applicant with a statement showing that the application is approved and specifying any terms and conditions that apply to the approval.

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1	(b) The department may not disapprove an application solely because the
2	department is unable to complete its review of the application within the period
3	established under sub. (2).
4	(4) NOTICE OF DEADLINE. Upon receiving an application, the department shall
5	inform the applicant of the period established under sub. (2) applicable to the
6	application.
7	(5) OPTIONAL PROVISIONS OF RULES. The department may include any of the
8	following in the rules required under sub. (2):
9	(a) Extensions of the period established under sub. (2) because the applicant
10	makes a material modification to the application if the department notifies the
11	applicant in writing of the extension within 30 days after the applicant makes the
12	modification.
13	(b) A longer period under sub. (2) for an application for which an environmental
14	impact statement is required under s. 1.11 than for other applications.
15	(c) Deadlines for the department to complete intermediate steps in the process
16	of completing its review of an application.
17	(6) Extensions authorized. (a) During the period established under sub. (2),
18	the department and the applicant may jointly agree to a different period for acting
19	on an application than that specified under sub. (2). The department may not require
20	an applicant to agree to a different period as a condition of approving an application.
21	(b) The department may extend the period established under sub. (2) because
22	an application is incomplete if all of the following apply:
23	1. Within 14 days after receiving the application, the department provides

written notice to the applicant describing specifically the information that must be

provided to complete the application.

- 2. The information under subd. 1. is directly related to eligibility for approval of the application or to terms or conditions of the approval.
- 3. The information under subd.1. is necessary to determine whether to approve the application or is necessary to determine the terms or conditions of the approval.
- 4. The extension is not longer than the number of days from the day on which the department provides the notice under subd. 1. to the day on which the department receives the information.
- (c) The department may extend the period established under sub. (2) for an application by not more than 30 days if, within the period established under sub. (2), the department finds that there is a substantial likelihood that the activity proposed to be conducted under the application would result in substantial harm to human health or human safety and that the department cannot adequately review the application within the period established under sub. (2) and, upon making those findings, provides written notice to the applicant that states with particularity the facts on which those findings are based.

SECTION 11. 125.04 (3m) of the statutes is created to read:

- 125.04 **(3m)** Deadlines for action on Permit applications. (a) The department, by rule, shall establish periods within which the department intends to approve or disapprove an application for any permit issued by the department under this chapter.
- (b) Subject to par. (f), the department shall refund any fee paid by the applicant for any permit under this chapter if the department fails to provide the applicant with written notice that the department has approved or disapproved the application for the permit, including the specific facts upon which any disapproval is based, before the expiration of the period established under par. (a) for the permit.

- (c) Subject to par. (f), if the department fails to provide the applicant for a permit under this chapter with written notice that the department has approved or disapproved the application before the expiration of the period established under par. (a) for the permit, the applicant may choose to proceed under ch. 227 as though the department had disapproved the application by providing the department with written notice of that choice no later than 45 days after the expiration of the period established under par. (a).
- (d) The department may not disapprove an application for a permit under this chapter solely because the department is unable to complete its review of the application within the period established under par. (a).
- (e) Upon receiving an application for a permit under this chapter, the department shall inform the applicant of the period established under par. (a) for the permit.
- (f) The department may extend the period established under par. (a) because the application is incomplete if all of the following apply:
- 1. The department notifies the applicant in writing of the need for an extension within 14 days after the applicant submits the application and the notice specifically describes the information that must be provided to complete the application.
- 2. The information under subd. 1. is directly related to eligibility for the permit or to terms or conditions of the permit.
- 3. The information under subd. 1. is necessary to determine whether to approve the application or is necessary to determine the terms or conditions of the permit.
- 4. The extension is not longer than the number of days from the day on which the department provides the notice under subd. 1. to the day on which the department receives the information.

SECTION 12. 145.025 of the statutes is created to read:

145.025 Automatic approval of certain applications. Except as otherwise provided in this section, any form or other writing that is submitted to the department under this chapter for the purpose of obtaining any approval of the department that is required by law as a prerequisite to the applicant taking certain actions is subject to s. 101.023. This section does not apply to an application for a license, registration, or certification under s. 145.02 (4), 145.035, 145.045, 145.15, 145.16, 145.165, 145.17, 145.175, or 145.18, or to any form or other writing submitted to the department under s. 145.26.

SECTION 13. 168.165 of the statutes is created to read:

168.165 Automatic approval of certain applications. Any form or other writing that is submitted to the department under this chapter for the purpose of obtaining any approval of the department that is required by law as a prerequisite to the applicant taking certain actions is subject to s. 101.023.

SECTION 14. 218.0114 (13) (b) of the statutes is amended to read:

218.0114 **(13)** (b) The department of transportation shall promulgate rules establishing the license period for each type of license described in sub. (14) (a) to (f) and shall promulgate any rule required under s. 85.16 (3).

SECTION 15. 218.11 (2) (b) 1. of the statutes is amended to read:

218.11 **(2)** (b) 1. The department shall promulgate rules establishing the license period under this section <u>and shall promulgate any rule required under s.</u> 85.16 (3).

SECTION 16. 218.12 (2) (b) 2. of the statutes is amended to read:

218.12 (2) (b) 2. The department may promulgate rules establishing a uniform
expiration date for all licenses issued under this section and shall promulgate any
rule required under s. 85.16 (3).
SECTION 17. 218.22 (2) (b) 1. of the statutes is amended to read:
218.22 (2) (b) 1. The department shall promulgate rules establishing a license
period and shall promulgate any rule required under s. 85.16 (3).
SECTION 18. 218.32 (2) (b) 1. of the statutes is amended to read:
218.32 (2) (b) 1. The department shall promulgate rules establishing a license
period and shall promulgate any rule required under s. 85.16 (3).
SECTION 19. 218.41 (2m) (a) 1. of the statutes is amended to read:
218.41 (2m) (a) 1. The department shall promulgate rules establishing a
license period and shall promulgate any rule required under s. 85.16 (3).
SECTION 20. 218.51 (3) (b) 1. of the statutes is amended to read:
218.51 (3) (b) 1. The department shall promulgate rules establishing the buyer
identification card period and shall promulgate any rule required under s. 85.16 (3).
SECTION 21. 224.50 of the statutes is created to read:
224.50 Deadlines for action on certain applications. (1) DEFINITION. In
this section, "department" means the department of financial institutions and any
subunit of the department of financial institutions.
(2) DEADLINES. (a) The department, by rule, shall establish periods within
which the department intends to approve or disapprove an application for any of the
following:
1. A lender license under s. 138.09 (1m) (a).
2. An insurance premium finance company license under s. 138.12 (3).
3. A seller of checks license under s. 217.03.

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- 4. An adjustment service company license under s. 218.02.
- 5. A collection agency license under s. 218.04.
- 3 6. A community currency exchange license under s. 218.05.
- 7. A mortgage banker, loan originator, or mortgage broker registration under s. 224.72.
 - 8. A nondepository small business lender license under s. 224.92.
- 9. A broker-dealer, agent, investment adviser, or investment adviser representative license under s. 551.32.
 - (b) Any period established under par. (a) shall be consistent with any applicable period specified by statute.
 - (3) Failure to Meet Deadline. (a) Subject to sub. (5), the department shall refund fees paid by the applicant for a license or registration specified in sub. (2) if the department fails to provide the applicant with written notice that the department has approved or disapproved the application, including the specific facts upon which any disapproval is based, before the expiration of the period established under sub. (2) for the license or other approval.
 - (b) Subject to sub. (5), if the department fails to provide the applicant for a license or registration specified in sub. (2) with written notice that the department has approved or disapproved the application before the expiration of the period established under sub. (2) for the license or registration, the applicant may choose to proceed under ch. 227 as though the department had disapproved the application by providing the department with written notice of that choice no later than 45 days after the expiration of the period established under sub. (2).

(c) The department may not disapprove an application for a license or
registration specified in sub. (2) solely because the department is unable to complete
its review of the application within the period established under sub. (2).
(4) Notice of deadline. Upon receiving an application for a license or
registration specified in sub. (2), the department shall inform the applicant of the
period established under sub. (2) for the license or registration.
(5) PERMITTED EXTENSION OF DEADLINE. The department may extend the period
established under sub. (2) because an application is incomplete if all of the following
apply:
(a) Within 14 days after receiving the application, the department provides
written notice to the applicant describing specifically the information that must be
provided to complete the application.
(b) The information under par. (a) is directly related to eligibility for the
approval or to terms or conditions of the license or registration.
(c) The information under par. (a) is necessary to determine whether to approve
the application or is necessary to determine the terms or conditions of the license or
registration.
(d) The extension is not longer than the number of days from the day on which
the department provides the notice under par. (a) to the day on which the department
receives the information.
Section 22. 224.60 of the statutes is created to read:
224.60 Automatic approval of certain applications. (1) Definitions. In
this section:

(a) "Application" means any form or other writing that is submitted to the

department for the purpose of obtaining any approval of the department that is

- required by law as a prerequisite to the applicant taking certain actions, except that "application" does not include any application for a license or registration described in s. 224.50 (2) (a) 1. to 9.
- (b) "Department" means the department of financial institutions and any subunit of the department of financial institutions.
- **(2)** Deadlines. The department, by rule, shall establish periods within which the department intends to approve or disapprove an application. Any period established under this subsection shall be consistent with any applicable period specified by statute.
- (3) Failure to Meet Deadline. (a) Subject to subs. (5) (a) and (6), failure by the department to provide an applicant with written notice that the department has approved or disapproved the application, including specific facts upon which any disapproval is based, before the expiration of the period established under sub. (2) applicable to the application constitutes approval of the application by the department. An application approved under this paragraph is subject to any terms or conditions specified by law for the approval and the department may suspend, limit, revoke, or withdraw the approval for substantial failure to comply with those terms or conditions. The department may not make an application approved under this paragraph subject to any term or condition that is not specified by statute or rule. Within 30 days after an application is approved under this paragraph, the department shall provide the applicant with a statement showing that the application is approved and specifying any terms and conditions that apply to the approval.

- (b) The department may not disapprove an application solely because the department is unable to complete its review of the application within the period established under sub. (2).
- (4) Notice of deadline. Upon receiving an application, the department shall inform the applicant of the period established under sub. (2) applicable to the application.
- (5) OPTIONAL PROVISIONS OF RULES. The department may include any of the following in the rules required under sub. (2):
- (a) Extensions of the period established under sub. (2) because the applicant makes a material modification to the application if the department notifies the applicant in writing of the extension within 30 days after the applicant makes the modification.
- (b) Deadlines for the department to complete intermediate steps in the process of completing its review of an application.
- (6) EXTENSIONS AUTHORIZED. (a) During the period established under sub. (2), the department and the applicant may jointly agree to a different period for acting on an application than that specified under sub. (2). The department may not require an applicant to agree to a different period as a condition of approving an application.
- (b) The department may extend the period established under sub. (2) because an application is incomplete if all of the following apply:
- 1. Within 14 days after receiving the application, the department provides written notice to the applicant describing specifically the information that must be provided to complete the application.
- 2. The information under subd. 1. is directly related to eligibility for approval of the application or to terms or conditions of the approval.

- 3. The information under subd. 1. is necessary to determine whether to approve the application or is necessary to determine the terms or conditions of the approval.
- 4. The extension is not longer than the number of days from the day on which the department provides the notice under subd. 1. to the day on which the department receives the information.
- (c) The department may extend the period established under sub. (2) for an application by not more than 30 days if, within the period established under sub. (2), the department finds that there is a substantial likelihood that the activity proposed to be conducted under the application would result in substantial harm to human health or human safety and that the department cannot adequately review the application within the period established under sub. (2) and, upon making those findings, provides written notice to the applicant that states with particularity the facts on which those findings are based.

Section 23. 281.36 (2) (b) of the statutes is amended to read:

281.36 (2) (b) 1. The department shall approve or deny a complete application for a water quality certification under this section within 120 21 days after the date the department determines that a complete application for the certification has been submitted unless the applicant and the department agree to extend the time period. The department may not determine an application to be complete until the requirements under s. 1.11 have been met and until all of the items of information for the water quality certification and for any associated permits or other approvals have been submitted to the department. If the department fails to approve or deny the complete application within the applicable time period, the applicant may bring an action for mandamus to compel the department to approve or deny the application. If the court grants the mandamus, the department shall approve or

deny the application within 30 days after the mandamus is granted and the court shall award the applicant reasonable attorney fees and court costs incurred in bringing the action.

- 2. For purposes of subd. 1., the department shall initially determine whether a complete application has been submitted and, no later than 30 14 days after the application is submitted, notify the applicant in writing about the initial determination of completeness. If the department determines that the application is incomplete, the notice shall state the reason for the determination and the specific items of information necessary to make the application complete. An applicant may supplement and resubmit an application that the department has determined to be incomplete. There is no limit on the number of times that an applicant may resubmit an application that the department has determined to be incomplete under this subdivision. The department may not demand items of information that are not specified in the notice as a condition for determining whether the application is complete unless both the department and the applicant agree or unless the applicant makes material additions or alterations to the project for which the the application has been submitted.
 - **Section 24.** 299.05 of the statutes is repealed and recreated to read:
- **299.05 Deadlines for action on certain applications. (1)** DEADLINES. The department, by rule, shall establish periods within which the department intends to approve or disapprove an application for any of the following:
 - (a) A well driller or pump installer registration under s. 280.15.
- (b) A water system, wastewater treatment plant, or septage servicing vehicle operator certification under s. 281.17 (3).
 - (c) A license for servicing septic tanks and similar facilities under s. 281.48 (3).

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- (d) A solid waste incinerator operator certification under s. 285.51 (2).
- 2 (e) An ozone-depleting refrigerant removal approval under s. 285.59.
- 3 (em) An air pollution control permit under s. 285.60.
 - (f) A solid waste disposal facility operator certification under s. 289.42 (1).
 - (g) A hazardous waste transportation service license under s. 291.23.
 - (h) A metallic mining exploration license under s. 293.21.
 - (i) An oil or gas exploration license under s. 295.33 (1).
 - (j) A laboratory certification or registration under s. 299.11.
 - (k) A medical waste transportation license under s. 299.51 (3) (c).
 - (2) Failure to meet deadline. (a) Subject to sub. (4), the department shall refund fees paid by the applicant for a license or other approval specified in sub. (1) if the department fails to provide the applicant with written notice that the department has approved or disapproved the application for the license or other approval, including the specific facts upon which any disapproval is based, before the expiration of the period established under sub. (1) for the license or other approval.
 - (b) Subject to sub. (4), if the department fails to provide the applicant for a license or other approval specified in sub. (1) with written notice that the department has approved or disapproved the application before the expiration of the period established under sub. (1) for the license or other approval, the applicant may choose to proceed under ch. 227 as though the department had disapproved the application by providing the department with written notice of that choice no later than 45 days after the expiration of the period established under sub. (1).
 - (c) The department may not disapprove an application for a license or other approval solely because the department is unable to complete its review of the application within the period established under sub. (1).

(3) Notice of deadline. Upon receiving an application for a license or other
approval specified in sub. (1), the department shall inform the applicant of the period
established under sub. (1) for the license or other approval.
(4) PERMITTED EXTENSION OF DEADLINE. The department may extend the period
established under sub. (1) because an application is incomplete if all of the following
apply:
(a) Within 14 days after receiving the application, the department provides
written notice to the applicant describing specifically the information that must be
provided to complete the application.
(b) The information under par. (a) is directly related to eligibility for the license
or other approval or to terms or conditions of the license or other approval.
(c) The information under par. (a) is necessary to determine whether to approve
the application or is necessary to determine the terms or conditions of the license or
other approval.
(d) The extension is not longer than the number of days from the day on which
the department provides the notice under par. (a) to the day on which the department
receives the information.
SECTION 25. 299.06 of the statutes is created to read:
299.06 Automatic approval of certain applications. (1) Deadlines. The
department, by rule, shall establish periods within which the department intends to
approve or disapprove an application for any of the following:
(a) A high–capacity well approval under s. 281.17 (1).
(b) A water pollution discharge permit under s. 283.31 or 283.33.
(d) A solid waste facility determination of feasibility under s. 289.29.

(e) A solid waste facility operating license under s. 289.31.

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- (f) A hazardous waste facility operating license under s. 291.25.
- (g) A prospecting permit under s. 293.45.
- (h) An oil or gas production license under s. 295.33 (2).
- (i) Permits and other determinations under ss. 30.10, 30.12, 30.123, 30.18, 30.19, and 30.20.
- (2) FAILURE TO MEET DEADLINE. (a) Subject to subs. (4) (b) and (5), failure by the department to provide the applicant for a permit, approval, or other determination specified in sub. (1) with written notice that the department has approved or disapproved the application for the permit, approval, or other determination, including the specific facts upon which any disapproval is based, before the expiration of the period established under sub. (1) for the permit, approval, or other determination, constitutes approval of the application. A permit, approval, or other determination approved under this paragraph is subject to any terms or conditions specified by statute or rule for the permit, approval, or other determination and the department may suspend, limit, revoke, or withdraw the permit, approval, or other determination for substantial failure to comply with those terms or conditions. The department may not make the permit, approval, or other determination subject to any term or condition that is not specified by statute or rule. Within 30 days after the expiration of the period established under sub. (1) for the permit, approval, or other determination, the department shall provide the applicant with a statement showing that the permit, approval, or other determination is approved and specifying any terms and conditions that apply to that permit, approval, or other determination.

- (b) The department may not disapprove an application for a permit, approval, or other determination solely because the department is unable to complete its review of the application within the period established under sub. (1).
- (3) Notice of Deadline. Upon receiving an application for a permit, approval, or other determination specified in sub. (1), the department shall inform the applicant of the period established under sub. (1) for the permit, approval, or other determination.
- **(4)** OPTIONAL PROVISIONS OF RULES. The department may include any of the following in the rules required under sub. (1):
- (a) A longer period under sub. (1) for an application for a permit, approval, or other determination for which an environmental impact statement is required under s. 1.11 than for other applications.
- (b) Extensions of the period established under sub. (1) because the applicant makes a material modification to the application if the department notifies the applicant in writing of the extension within 30 days after the applicant makes the modification.
- (c) Deadlines for the department to complete intermediate steps in the process of completing its review of an application.
- (5) EXTENSIONS AUTHORIZED. (a) During the period established under sub. (1), the department and the applicant may jointly agree to a different period for acting on an application for a permit, approval, or other determination than that specified under sub. (1). The department may not require an applicant to agree to a different period as a condition of approving an application.
- (b) The department may extend the period established under sub. (1) because an application is incomplete if all of the following apply:

- 1. Within 14 days after receiving the application, the department provides written notice to the applicant describing specifically the information that must be provided to complete the application.
- 2. The information under subd. 1. is directly related to eligibility for the permit, approval, or other determination or to terms or conditions of the permit, approval, or other determination.
- 3. The information under subd. 1. is necessary to determine whether to approve the application or is necessary to determine the terms or conditions of the permit, approval, or other determination.
- 4. The extension is not longer than the number of days from the day on which the department provides the notice under subd. 1. to the day on which the department receives the information.
- (c) The department may extend the period established under sub. (1) for an application by not more than 30 days if, within the period established under sub. (1), the department finds that there is a substantial likelihood that the activity proposed to be conducted under the application would result in substantial harm to human health or human safety and that the department cannot adequately review the application within the period established under sub. (1) and, upon making those findings, provides written notice to the applicant that states with particularity the facts on which those findings are based.
 - **SECTION 26.** 341.19 (4) of the statutes is amended to read:
- 341.19 **(4)** The department shall promulgate rules to implement this section and shall promulgate any rule required under s. 85.16 (3).
 - **Section 27.** 343.02 (1) of the statutes is amended to read:

343.02 (1) The department shall administer and enforce this chapter and may
promulgate for that purpose such rules as the secretary considers necessary and
shall promulgate any rule required under s. 85.16 (3). Rules promulgated under this
chapter may not conflict with and shall be at least as stringent as standards set by
the federal commercial motor vehicle safety act, 49 USC 31301 to 31317 and the
regulations adopted under that act.
Section 28. 343.305 (11) of the statutes is amended to read:

343.305 **(11)** Rules. The department shall promulgate rules under ch. 227 necessary to administer this section and shall promulgate any rule required under s. 85.16 (3). The rules shall include provisions relating to the expeditious exchange of information under this section between the department and law enforcement agencies, circuit courts and district attorneys. The rules may not affect any provisions relating to court procedure.

SECTION 29. Initial applicability.

(1) This act first applies to applications that are received on the effective date of this subsection.

SECTION 30. Effective date.

(1) This act takes effect on the first day of the 13th month beginning after publication.

20 (END)