March 3, 2006 – Introduced by Senator Roessler, cosponsored by Representatives Strachota and Hahn. Referred to Committee on Health, Children, Families, Aging and Long Term Care.

AN ACT to renumber and amend 51.30 (1) (a); to amend 51.30 (1) (b), 51.30 (4)

(b) 8. and 51.30 (9) (a); and to create 51.30 (1) (ag) and 51.30 (4) (b) 8g. of the

statutes; relating to: registration and treatment records for services for

mental illness, developmental disability, alcoholism, or drug dependence,

exceptions to confidentiality for treatment records, and a good faith exception

to liability for release of records by a record custodian.

Analysis by the Legislative Reference Bureau

Under current law relating to mental health, developmental disability, alcoholism, and drug dependence, "registration records" are defined to include all records of the Department of Health and Family Services (DHFS), county departments of developmental disabilities services and community programs, treatment facilities, and other persons who provide services to those entities, that identify individuals who are receiving or have received services for mental illness, developmental disability, alcoholism, or drug dependence. "Treatment records" are defined to include registration records and all other records concerning individuals receiving or who have received the services; however, treatment records do not include notes or records maintained for personal use by a treatment service provider if the notes or records are not available to others. Treatment records are confidential and privileged to the subject individual, except that they may be released without the individual's informed consent to numerous persons and in numerous

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circumstances. One of these exceptions concerns release to a licensed physician who determines that the life or health of the subject is in danger and that treatment without the information in the treatment record could be injurious to the subject's health. This exception is limited to that part of the records that is necessary to meet the medical emergency. Liability for damages applies to the violation of confidentiality of registration and treatment records. The liability includes, for a violation that is not knowing and willful, damages, exemplary damages of up to \$1,000 for each violation, costs, and reasonable actual attorneys fees. However, a custodian of records, while acting in good faith, does not incur this liability for the release of registration or treatment records in accordance with the exceptions to confidentiality.

This bill changes the definition of "registration records" to include all records of DHFS, county departments, treatment facilities, and other persons providing services to these entities that are created in the course of providing services for mental illness, developmental disability, alcoholism, or drug dependence, and changes the definition of "treatment records" similarly. The bill changes the exception concerning release of treatment records to a licensed physician in a medical emergency to provide the exception to a health care provider who is otherwise unable to obtain the individual's informed consent because of the individual's condition or the nature of the medical emergency. Further, the bill creates an additional exception that applies to health care providers in a related health care entity, as defined in the bill, or to any person acting under the supervision of such a health care provider who is involved with an individual's care, if necessary for the current treatment of the individual. Lastly, the bill eliminates the good faith exception to liability for release of registration or treatment records by a record custodian.

For further information see the *state and local* fiscal estimate, which will be printed as an appendix to this bill.

The people of the state of Wisconsin, represented in senate and assembly, do enact as follows:

SECTION 1. 51.30 (1) (a) of the statutes is renumbered 51.30 (1) (am) and amended to read:

51.30 **(1)** (am) "Registration records" include all the records of the department, county departments under s. 51.42 or 51.437, treatment facilities, and other persons providing services to the department, county departments, or <u>treatment</u> facilities which identify individuals who are receiving or who at any time have received, that

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are created in the course of providing services to individuals for mental illness,
 developmental disabilities, alcoholism, or drug dependence.

SECTION 2. 51.30 (1) (ag) of the statutes is created to read:

4 51.30 (1) (ag) "Health care provider" has the meaning given in s. 146.81 (1).

SECTION 3. 51.30 (1) (b) of the statutes is amended to read:

51.30 **(1)** (b) "Treatment records" include the registration and all other records concerning individuals who are receiving or who at any time have received that are created in the course of providing services to individuals for mental illness, developmental disabilities, alcoholism, or drug dependence which and that are maintained by the department, by county departments under s. 51.42 or 51.437 and their staffs, and by treatment facilities. Such Treatment records do not include notes or records maintained for personal use by an individual providing treatment services for the department, a county department under s. 51.42 or 51.437, or a treatment facility, if such the notes or records are not available to others.

SECTION 4. 51.30 (4) (b) 8. of the statutes is amended to read:

51.30 **(4)** (b) 8. To a licensed physician who has determined that the life or health of the individual is in danger and that treatment without the information contained in the treatment records could be injurious to the patient's health. Such disclosure For treatment of the individual in a medical emergency, to a health care provider who is otherwise unable to obtain the individual's informed consent because of the individual's condition or the nature of the medical emergency. Disclosure under this subdivision shall be limited to that part of the records necessary to meet the medical emergency.

Section 5. 51.30 (4) (b) 8g. of the statutes is created to read:

51.30 **(4)** (b) 8g. To health care providers in a related health care entity, or to any person acting under the supervision of such a health care provider who is involved with an individual's care, if necessary for the current treatment of the individual. In this subdivision, "related health care entity" means one of the following:

- a. An entity that is within a clinically integrated care setting in which individuals typically receive health care from more than one health care provider.
- b. An organized system of health care in which the health care providers hold themselves out to the public as participating in a joint arrangement and jointly participate in activities.

SECTION 6. 51.30 (9) (a) of the statutes is amended to read:

51.30 **(9)** (a) Any person, including the state or any political subdivision of the state, violating this section shall be liable to any person damaged as a result of the violation for such damages as may be proved, together with exemplary damages of not more than \$1,000 for each violation and such costs and reasonable actual attorney fees as may be incurred by the person damaged. A custodian of records incurs no liability under this paragraph for the release of records in accordance with this section while acting in good faith.

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