


# State of Wisconsin


LEGISLATIVE REFERENCE BUREAU

## **RESEARCH APPENDIX -** **PLEASE DO NOT REMOVE FROM DRAFTING FILE**

Date Transfer Requested: 02/14/2008 (Per: ARG)





 Appendix A ... Part 01 of 23

 The 2007 drafting file for LRB-1109/2

has been transferred to the drafting file for

**2007 LRB-3866** (SB 483)

 This cover sheet, the final request sheet, and the final version of the 2007 draft were copied on yellow paper, and returned to the original 2007 drafting file.

 The attached 2007 draft was incorporated into the new 2007 draft listed above. For research purposes, this cover sheet and the complete drafting file were transferred, as a separate appendix, to the 2007 drafting file. If introduced this section will be scanned and added, as a separate appendix, to the electronic drafting file folder.

## 2007 DRAFTING REQUEST

### Bill

Received: **12/12/2006**

Received By: **agary**

Wanted: **As time permits**

Identical to LRB:

For: **Financial Institutions**

By/Representing: **Carrie Templeton**

This file may be shown to any legislator: **NO**

Drafter: **agary**

May Contact:

Addl. Drafters:

Subject: **Fin. Inst. - securities**

Extra Copies: **MDK**

Submit via email: **YES**

Requester's email: **catherine.haberland@dfi.state.wi.us**

Carbon copy (CC:) to: **aaron.gary@legis.wisconsin.gov**

---

### Pre Topic:

No specific pre topic given

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### Topic:

Uniform Securities Act

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### Instructions:

See Attached

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### Drafting History:

<u>Vers.</u>	<u>Drafted</u>	<u>Reviewed</u>	<u>Typed</u>	<u>Proofed</u>	<u>Submitted</u>	<u>Jacketed</u>	<u>Required</u>
/?				_____			State
/P1	agary 03/08/2007	wjackson 03/27/2007	rschluet 03/27/2007	_____	lparisi 03/27/2007		State
/1	agary 12/07/2007	wjackson 12/07/2007	pgreensl 12/10/2007	_____	lparisi 12/10/2007		State
/2	agary 12/19/2007	kfollett 12/19/2007	rschluet 12/19/2007	_____	sbasford 12/19/2007		

Vers.      Drafted      Reviewed      Typed      Proofed      Submitted      Jacketed      Required

FE Sent For:

<END>

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/1	agary 12/07/2007	wjackson 12/07/2007	pgreensl 12/10/2007	_____	lparisi 12/10/2007		

FE Sent For:

*12/19/07*  
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Extra Copies: **MDK**

Submit via email: **YES**

Requester's email: ~~carrie.templeton@dfi.state.wi.us~~

*NO longer at this agency*

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By/Representing: Carrie Templeton

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Subject: Fin. Inst. - securities

Extra Copies: MDK

Submit via email: YES

Requester's email: carrie.templeton@dfi.state.wi.us

Carbon copy (CC:) to: aaron.gary@legis.wisconsin.gov

Pre Topic:

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Topic:

Uniform Securities Act

Instructions:

See Attached

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/P1	agary 03/08/2007	wjackson 03/27/2007	rschluet 03/27/2007	<i>12/10/07</i> <i>ps</i>	lparisi 03/27/2007		

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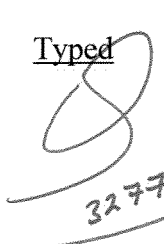
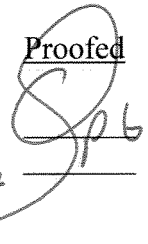
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3277

FE Sent For:

<END>

**Gary, Aaron**

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**From:** Templeton, Carrie E - DFI  
**Sent:** Tuesday, December 12, 2006 12:54 PM  
**To:** Gary, Aaron  
**Subject:** FW: Uniform Securities Act (USA)  
**Attachments:** USA - Final Draft (10-17-05).doc

Hi Aaron—  
Attached is my holiday gift to you ☺

Could you please draft the attached on behalf of DFI? Thanks!

It was nice seeing you on Saturday  
Carrie

*Carrie Templeton*  
*Department of Financial Institutions*  
*(608) 264-7800*  
*carrie.templeton@dfi.state.wi.us*

-----Original Message-----

**From:** Jason Westphal [mailto:JWestphal@wisbar.org]  
**Sent:** Tuesday, December 12, 2006 11:05 AM  
**To:** Templeton, Carrie  
**Subject:** Uniform Securities Act (USA)

Carrie:

Per our phone conversation...please see attached.

Greatly appreciate your/DFI's assistance & look forward to working with you again this session!

Jason J. Westphal  
Government Relations Coordinator  
State Bar of Wisconsin  
5302 Eastpark Blvd.  
P.O. Box 7158  
Madison, WI 53707-7158  
Phone: (608) 250-6077  
Cell: (608) 695-5686  
Fax: (608) 257-4343  
[jwestphal@wisbar.org](mailto:jwestphal@wisbar.org)

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