

# ☞ 07hr\_SC-LEUA\_Appt\_Finora\_pt01



Details: Rosemary Finora Appointment

(FORM UPDATED: 08/11/2010)

## WISCONSIN STATE LEGISLATURE ... PUBLIC HEARING - COMMITTEE RECORDS

### 2007-08

(session year)

### Senate

(Assembly, Senate or Joint)

### Committee on ... Labor, Elections and Urban Affairs (SC-LEUA)

### COMMITTEE NOTICES ...

- Committee Reports ... **CR**
- Executive Sessions ... **ES**
- Public Hearings ... **PH**

### INFORMATION COLLECTED BY COMMITTEE FOR AND AGAINST PROPOSAL

- Appointments ... **Appt** (w/Record of Comm. Proceedings)
- Clearinghouse Rules ... **CRule** (w/Record of Comm. Proceedings)
- Hearing Records ... bills and resolutions (w/Record of Comm. Proceedings)
  - (**ab** = Assembly Bill)                      (**ar** = Assembly Resolution)                      (**ajr** = Assembly Joint Resolution)
  - (**sb** = Senate Bill)                              (**sr** = Senate Resolution)                              (**sjr** = Senate Joint Resolution)
- Miscellaneous ... **Misc**

Senate

Record of Committee Proceedings

**Committee on Labor, Elections and Urban Affairs**

**Finora, Rosemary**, of Pewaukee, as a member of the Employee Trust Funds Board, to serve for the term ending May 1, 2009.

January 08, 2007 Referred to Committee on Labor, Elections and Urban Affairs.

February 13, 2007 **PUBLIC HEARING HELD**

Present: (5) Senators Coggs, Wirch, Lehman, Grothman  
and A. Lasee.

Absent: (0) None.

Appearances For

- Rosemary Finora, Pewaukee

Appearances Against

- None.

Appearances for Information Only

- None.

Registrations For

- Catherine Giljohann — Governor Doyle's Office

Registrations Against

- None.

Registrations for Information Only

- None.

February 13, 2007 **EXECUTIVE SESSION HELD**

Present: (5) Senators Coggs, Wirch, Lehman, Grothman  
and A. Lasee.


Absent: (0) None.

Moved by Senator Lehman, seconded by Senator Wirch that  
**Finora, Rosemary** be recommended for confirmation.

Ayes: (5) Senators Coggs, Wirch, Lehman, Grothman  
and A. Lasee.

Noes: (0) None.

CONFIRMATION RECOMMENDED, Ayes 5, Noes 0



---

Adam Plotkin  
Committee Clerk



**Vote Record**  
**Committee on Labor, Elections and Urban Affairs**

Date: Tue. Feb. 13, 2007

Moved by: Lehman

Seconded by: Wirch

AB \_\_\_\_\_

SB \_\_\_\_\_

Clearinghouse Rule \_\_\_\_\_

AJR \_\_\_\_\_

SJR \_\_\_\_\_

Appointment ROSEMARY FINORA

AR \_\_\_\_\_

SR \_\_\_\_\_

Other \_\_\_\_\_

A/S Amdt \_\_\_\_\_

A/S Amdt \_\_\_\_\_ to A/S Amdt \_\_\_\_\_

A/S Sub Amdt \_\_\_\_\_

A/S Amdt \_\_\_\_\_ to A/S Sub Amdt \_\_\_\_\_

A/S Amdt \_\_\_\_\_ to A/S Amdt \_\_\_\_\_ to A/S Sub Amdt \_\_\_\_\_

Be recommended for:

- Passage       Adoption       Confirmation       Concurrence       Indefinite Postponement  
 Introduction       Rejection       Tabling       Nonconcurrence

Committee Member

**Senator Spencer Coggs, Chair**

Aye    No    Absent    Not Voting

**Senator Robert Wirch**

**Senator John Lehman**

**Senator Glenn Grothman**

**Senator Alan Lasee**

**Totals:**

5    0    \_\_\_\_\_    \_\_\_\_\_

Motion Carried

Motion Failed





**JIM DOYLE**  
**GOVERNOR**  
**STATE OF WISCONSIN**

---

August 8, 2006

To the Honorable, the Senate:

I am pleased to nominate and with the advice and consent of the Senate, do appoint Rosemary Finora to be a non WRS Participant/beneficiary on the Employee Trust Funds Board to serve a term expiring May 1, 2009.

Ms. Finora will be available to the Senate for hearings and my staff will assist in any way they can.

Respectfully submitted,

A handwritten signature in cursive script that reads "Jim Doyle".

Jim Doyle  
Governor



**JIM DOYLE**  
GOVERNOR  
STATE OF WISCONSIN

---

August 8, 2006

Ms. Rosemary A. Finora  
W296N2222 Glen Cove Road  
Pewaukee, WI 53072

Dear Ms. Finora:

I am pleased to appoint you to the Employee Trust Funds Board, effective August 8, 2006. Your experience, knowledge, and dedication will be a true asset to my administration and a great benefit to the people of Wisconsin.

I look forward to working with you to find creative ways of delivering services and implementing positive change for the citizens of our state.

Sincerely,

A handwritten signature in cursive script that reads "Jim Doyle".

Jim Doyle  
Governor





**JIM DOYLE**  
**GOVERNOR**  
**STATE OF WISCONSIN**

---

GOVERNOR'S APPOINTMENT

**NAME:** Rosemary Finora

**MAILING ADDRESS:** W296N2222 Glen Cove Road  
Pewaukee, WI 53072

**E-MAIL ADDRESS:** rfinora@wi.rr.com

**RESIDES IN:** Pewaukee, WI

**TELEPHONE:** 262/787-2274 (w)

**OCCUPATION:** Vice President and Assistant General Counsel,  
APS Healthcare

**APPOINTED TO:** Employee Trust Funds Board  
non WRS Participant/beneficiary

**TERM:** A term to expire May 1, 2009

**SUCCEEDS:** Vacant (Cynthia A Van Bogaert)

**SENATE CONFIRMATION:** Yes

**DATE OF APPOINTMENT:** August 8, 2006

**DATE OF NOMINATION:** August 8, 2006

**ROSEMARY A. FINORA**  
W296 N2222 Glen Cove Road  
Pewaukee, Wisconsin 53072  
(262) 695-9814  
E-mail: rfinora@wi.rr.com

## **BACKGROUND SUMMARY**

**IN-HOUSE COUNSEL** with over 18 years of progressive responsibility. Represented public and private employers at federal, state and local levels. Strong background in management and employment, insurance and healthcare law. Team player with excellent analytical, communication, leadership and computer skills. Demonstrated performance in:

Employment Law	Labor Law	Healthcare Administration
Insurance Law	Litigation Strategy	Managed Care
Human Resource Management	Regulatory Law	Compliance

## **PROFESSIONAL EXPERIENCE**

**APS HEALTHCARE /INNOVATIVE RESOURCE GROUP**, Brookfield, WI                      **2000-present**  
National Provider of Medical and Behavioral Health Managed Care, EAP, Pharmacy and Claims services.

**VP and Assistant General Counsel/Corporate Compliance Officer**                      2003-present

**Assistant VP and Assistant General Counsel/Corporate Compliance Officer**                      2002-2003

- Responsible for managing litigation division and providing legal, strategic and business advice to all levels of business operations, management and human resources saving the company over \$150,000 per year.
- Provided legal oversight, strategy, discrimination testing and advice preceding, during and after several downsizings.
- In capacity of Corporate Compliance Officer developed and implemented Corporate Compliance Program and Code of Ethics.
- Negotiated and drafted contracts, including contracting and maintaining all liability insurances.

**Director, Human Resources/Counsel**                      2000-2002

- Responsible for oversight and direction of all Human Resource activities including recruitment, affirmative action, compensation, benefits, payroll, employee relations, systems, reporting, budgeting and training.
- Reduced payroll error rate from 7.0% to < 0.1%.
- Satisfied affirmative action conciliation agreement.
- Increased minority recruitment in three key areas resulting in a 33% increase in minority employees and 150% increase in minority managers
- Effectively cross-trained the entire Human Resources Department.
- Directed company wide compensation project.
- Coordinated and provided oversight for several company downsizings from both a logistical and legal standpoint.
- Provided legal counsel in employment matters.

**FORTIS INSURANCE COMPANY**, Milwaukee, WI                      **1990 to 1999**

(John Alden Life Insurance Company, Miami, Florida acquired by Fortis in 1998)

National provider of small group, individual health, disability and life insurance products.

**VP, Risk Management Counsel**                      1998 to 1999

**VP, Senior Associate Counsel**                      1992 to 1998

**Associate Counsel**                      1990 to 1992

Responsible for managing litigation division and providing legal, strategic and business advice to all levels of business operations, management and human resources. Negotiated and drafted contracts. Represented three insurance company affiliates. Primary clients included Employee Benefits and Compensation, Sales and Marketing, Claims and Underwriting, Corporate Communications and Medical Management.

- Recognized by business operational units for providing solid legal advice while enabling achievement of business goals and objectives of business goals and objectives.

## ROSEMARY A. FINORA Page Two

- Organized, coordinated and supervised numerous insurance department regulatory market conduct examinations all of which resulted in no fines or considerably fewer than usual penalties.
- Trimmed staff in Agent Licensing from 20 to 13 while maintaining efficiency and customer satisfaction.
- Retained, advised and supervised outside legal counsel in pending employment and insurance (including ERISA) litigation, bringing the cases to a positive resolution while minimizing costs. For example, in Reich v. John Alden Life Insurance Company, obtained an appellate decision against the Department of Labor realizing a savings to the company of over \$10 million in overtime backpay.
- Provided legal oversight, strategy and advice regarding the WARN act preceding, during and after several down sizings (layoffs of over 950 employees) with no resultant increase in litigation.
- Drafted and implemented the company's first standard settlement and release agreement signed by terminated employees.
- Effectively responded to plaintiffs' attorney letters threatening litigation, successfully preventing lawsuits, thereby significantly decreasing the number of cases proceeding to trial.
- Actively participated and successfully defended those few cases that reached the trial stage (100% success rate).

### **MORGAN, LEWIS & BOCKIUS, Miami, FL**

1988 to 1990

Global international law firm.

**Associate - Labor and Employment Section**

### **MACDONALD HEALTH SYSTEM / DOCTORS' HOSP., Coral Gables, FL**

1979 to 1987

Acute care hospital with over 200 beds.

**Assistant Vice President for Physician Services**

1986 to 1987

**Director of Admissions**

1985 to 1987

**Pre-Admission Planning Coordinator**

1984 to 1985

**Registered Nurse**

1979 to 1984

## **EDUCATION**

Juris Doctor, University of Miami School of Law, Coral Gables, FL

Bachelor of Science in Nursing, University of Miami, Coral Gables, FL

## **LICENSES / DESIGNATIONS**

Licensed to Practice Law in State of Florida, Florida Federal Southern and Middle Districts

District of Columbia Court of Appeals

State of Wisconsin

Licensed Registered Nurse in Florida and Wisconsin

Professional, Academy of Healthcare Management, Academy of Healthcare Management

Health Insurance Associate, Managed Healthcare Professional, Health Insurance Association of America

Fellow, Life Management Institute, Life Office Management Association, Inc.

## **ASSOCIATIONS**

American, Florida, Wisconsin and Waukesha Bar Associations

Labor and Employment, Trial Lawyers, Tort and Insurance Practice and Health Law Sections

American Corporate Counsel Association

Sigma Theta Tau (National Honor Society of Nursing)

Letter



STATE OF WISCONSIN  
***ETHICS BOARD***

James R. Morgan  
Chairman  
Paul M. Holzem  
Dorothy C. Johnson  
Richard Warch  
Courtney L. Hunt

On the capitol square at:  
44 EAST MIFFLIN STREET, STE 601  
MADISON, WISCONSIN 53703-2800  
phone: 608/266-8123  
fax: 608/264-9319  
ethics@ethics.state.wi.us  
<http://ethics.state.wi.us>

Roth Judd  
Director

Senate Committee Members:

The attached Statement of Economic Interests is provided with regard to the individual's nomination to a State Public Office by Governor Jim Doyle.

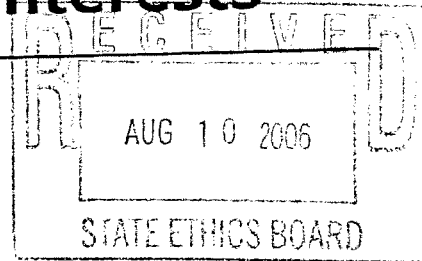
Sincerely,  
STATE OF WISCONSIN ETHICS BOARD

Nominee: Rosemary Finora  
Date: August 14, 2006

Mail or fax to: Wisconsin Ethics Board, 44 E. Mifflin St., Suite 601, Madison, WI 53703-2800; Fax: (608) 264-9319

# Statement of Economic Interests

Filed in 2006 for calendar year 2005



Name: Finora, Rosemary A.  
(last name, first name & initial)

State position: Employee Trust Fund Board  
(held or sought) (include agency, division, branch or district, if applicable)

FOR EXPLANATIONS, EXAMPLES AND EXCEPTIONS SEE THE INSTRUCTIONS OR VISIT OUR WEBSITE AT <http://ethics.state.wi.us>.  
Still have questions? For priority service send an e-mail to: [ethics@ethics.state.wi.us](mailto:ethics@ethics.state.wi.us); otherwise leave a detailed message at (608) 266-8115.  
Attach additional pages as needed.

## Part A Information current as of [redacted] (insert nomination/appointment date here)

1. INVESTMENTS. List stocks, bonds, limited partnerships, Wisconsin governmental securities, and mutual and money market funds you or your family held (minimum \$5,000).

Name of security	Type of security - "✓" one					Amount - "✓" on	
	stock/ option/ futures	bond	limited partnership	Wisconsin governmental security	mutual or money mkt fund	\$50,000 or less	More than \$50,000
MUTUAL RETIREMENT FUNDS WITH American Express (now Ameriprise)							
STATEMENT AVAILABLE UPON REQUEST							
* See attached STATEMENT							

2. BUSINESS ACTIVITIES. List businesses, farms, rental, commercial, and income-producing real estate; and business activities in which you or your family had at least a 10% or greater interest.

a) Enterprise(s) operating under a business or trade name, list here.

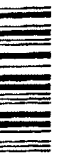
Name of business	Municipality or Town	County	State	Describe nature of business
NONE				

b) Enterprise(s) NOT operating under a business or trade name, list here.

Street address or fire number	Municipality or Town	County	State	Describe nature of business
NONE				

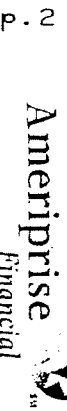
3. COMMERCIAL CUSTOMERS, CLIENTS, AND TENANTS. For each unincorporated business, subchapter S corporation, service corporation (SC), limited liability company (LLC), partnership, or income-producing real estate reported in Item 2, list businesses, organizations, and lobbyists that paid the enterprise \$1,000 or more in calendar year 2005.

Businesses, organizations, lobbyists that were customers, clients, or tenants	City	State
N/A		



Check Your Accounts  
Automated Telephone Service  
800-862-7919

Online  
ameriprise.com



Platinum Financial Services Statement of Accounts  
June 1, 2006 - June 30, 2006  
Group Number 0567 7597 6 004

IDS Life  
Flexible Annuity

Contract number 0930 0411 1312 5 004

Account owner(s)  
ROSEMARY A FINORA

Annuitant  
ROSEMARY A FINORA

Contract date 06/08/1994

Current account value  
on 06/30/2006 \$7,422.92

Value if surrendered \$7,416.92

Fixed account interest rate 4.00%

New payments Average rate on prior payments 4.00%

Payments to date \$7,000.00  
Surrenders to date \$0.00

262-787-2502

Rosemary Finora

Aug 08 06 07:41a

Fixed Account	Current payment allocation	Number of units owned	Current unit value	Investment value
RVS VP Intl Opt Fd	50.00%	1,094.459	\$1,950,886	\$0.00
RVS VP Global Bond Fd		1,503.570	\$1,520,978	\$1,664.65
RVS VP High Yld Bond			\$1,462,086	\$2,198.35
RVS VP Mid Cap Gr Fd	50.00%		\$1,164,654	\$0.00
<b>Total</b>				<b>\$7,422.92</b>

Account Activity:

Date	Activity	Number of units	Unit value	Dollar amount
06/07/2006	Contract charge			(\$6.00)
	Fixed Account	(0.883)	\$1,528,177	(\$2.86)
	RVS VP Global Bond Fd	(1.216)	\$1,471,727	(\$1.35)
	RVS VP High Yld Bond			(\$1.79)



Platinum Financial Services Statement of Accounts  
 June 1, 2006 - June 30, 2006

Group Number 0567 7597 6 001

Check Your Accounts  
 Automated Telephone Service  
 800-852-7919  
 Online  
 ameriprise.com

**IDS Life**  
**Retirement Advisor Variable Annuity**

Account owner(s)  
 ROSEMARY A FINORA

Annuitant  
 ROSEMARY A FINORA  
 Current Death Benefit

\$25,522.50

Contract date 02/16/2000

Contract number 0930 0652 9308 4 004

Current account value  
 on 06/30/2006

\$25,522.50

Value if surrendered

\$24,237.07

Fixed account interest rate  
 New payments

3.30%

Payments to date  
 Surrenders to date

\$22,228.64  
 \$0.00

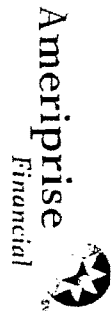
The interest rate for each new payment to the fixed account is guaranteed for 12 months. After the initial 12 month period, this interest rate is subject to change at our discretion. The interest rate applied to new payments made during the same 12 months may be different than the guaranteed rate credited to prior payments. For your information, your statement shows a weighted average of rates for all prior payments made to this fixed account. This weighted average may be more or less than the rate actually credited. Please refer to prior statements for actual interest crediting rates.

Current investment allocation 06/30/2006	Current payment allocation	Number of units owned	X	Current unit value	Investment value
RVS VP Global Bond Fd	10.00%	12,186,436		\$1,367,700	\$16,667.39
RVS VP Div Eq Inc Fd	10.00%				\$0.00
Goldman VIT MidCap Va	20.00%				\$0.00
RVS VP Mid Cap Gr Fd	10.00%	1,412,765		\$3,064,078	\$4,328.82
Royce Micro Cap	10.00%	1,495,734		\$3,026,133	\$4,526.29
Third Ave Value Port	10.00%				\$0.00
Templeton Foreign Sec	10.00%				\$0.00
FTV/PT Real Estate Fd	30.00%				\$0.00
<b>Total</b>	<b>100.00%</b>				<b>\$25,522.50</b>

Account Activity: There are no transactions to report for this statement period.



#080781 #0412080781J0630\* S#40F12



Platinum Financial Services Statement of Accounts  
 June 1, 2006 - June 30, 2006

Group Number 0567 7597 6 001

Check Your Accounts  
 Automated Telephone Service  
 800-862-7919  
 Online  
 ameriprise.com



Summary of Accounts by Product

Money Market Funds and Cash \*\*

Symbol	Account number	Value one year ago	Value last statement	Current value
<b>ROSEMARY A FINORA</b>				
RVS CASH MANAGEMENT FUND CLASS A	0011 3567 7597 0 002	\$12,683.64	\$13,526.85	\$14,293.58
ONE HIGH-YIELD SAVINGS	0040 0109 1000 4 040	\$11,508.30	\$11,613.46	\$12,557.69
SPS ADVANTAGE WITH ONE FEATURES	0000 2083 4701 3 021*	Not applicable	\$0.00	\$0.00
<b>ROSEMARY A FINORA IRA</b>	<b>OWNERSHIP TOTAL</b>	\$1,175.34	\$1,913.39	\$1,735.89
STRATEGIC PORTFOLIO SERVICE ADVANTAGE, IRA	0000 2083 6169 1 021*	\$1,855.43	\$3,472.71	\$3,090.05
<b>ROSEMARY A FINORA Roth Contributory IRA</b>	<b>OWNERSHIP TOTAL</b>	\$3,845.43	\$3,472.71	\$3,090.05
RVS CASH MANAGEMENT FUND CLASS A, ROTH IRA	0031 3567 7597 8 002	\$3,406.55	\$4,037.48	\$4,041.02
STRATEGIC PORTFOLIO SERVICE ADVANTAGE, ROTH IRA	0000 3360 8738 2 021*	\$438.88	\$3,516.69	\$3,529.71
			\$520.79	\$511.31
		<b>\$18,384.50</b>	<b>\$21,037.04</b>	<b>\$21,424.65</b>

Mutual Funds

Symbol	Account number	Value one year ago	Value last statement	Current value
<b>ROSEMARY A FINORA</b>				
RVS INTERNATIONAL SELECT VALUE FUND CL A	0010 1088 7842 1 002	\$198,816.32	\$207,514.11	\$208,626.73
RVS FUNDAMENTAL VALUE FUND CL A	0010 1104 6118 2 002	\$9,800.34	\$12,764.53	\$12,726.69
SPS ADVANTAGE WITH ONE FEATURES	0000 2083 4701 3 021*	\$29,934.46	\$32,860.15	\$33,131.72
<b>ROSEMARY A FINORA IRA</b>	<b>OWNERSHIP TOTAL</b>	\$158,880.52	\$161,889.43	\$162,767.32
STRATEGIC PORTFOLIO SERVICE ADVANTAGE, IRA	0000 2083 6169 1 021*	\$301,198.42	\$347,653.17	\$350,149.62
<b>ROSEMARY A FINORA Roth Contributory IRA</b>	<b>OWNERSHIP TOTAL</b>	\$7,904.20	\$347,653.17	\$350,149.62
STRATEGIC PORTFOLIO SERVICE ADVANTAGE, ROTH IRA	0000 3360 8738 2 021*	\$7,904.20	\$9,989.44	\$10,090.75
			\$9,989.44	\$10,090.75
		<b>\$507,715.94</b>	<b>\$565,136.72</b>	<b>\$568,866.10</b>

\* Your brokerage account(s), is now valued as of the last day of the month.

Annuities

Account number	Value one year ago	Value last statement	Current value
<b>ROSEMARY A FINORA</b>			
<b>OWNERSHIP TOTAL</b>	<b>\$31,268.56</b>	<b>\$33,111.96</b>	<b>\$32,945.42</b>
FLEXIBLE ANNUITY	0930 0411 1312 5 004	\$7,221.40	\$7,442.52
RETIREMENT ADVISOR VARIABLE ANNUITY	0930 0652 9308 4 004	\$24,047.16	\$25,669.44

\*\* See "Bank Features Provided by American Express Bank, FSB", under Additional Disclosures

IRA/CESA Plan Contributions

Plan	Owner	Plan	Owner	Plan	Owner	Plan	Owner
ROSEMARY A FINORA	ROSEMARY A FINORA	ROSEMARY A FINORA	ROSEMARY A FINORA	ROSEMARY A FINORA	ROSEMARY A FINORA	ROSEMARY A FINORA	ROSEMARY A FINORA
IRA Plan	IRA Plan	Roth Contributory IRA Plan	Roth Contributory IRA Plan	IRA Plan	IRA Plan	Roth Contributory IRA Plan	Roth Contributory IRA Plan
Made for 2006	Made for 2006	Made for 2006	Made for 2006	Made for 2006	Made for 2006	Made for 2006	Made for 2006
Contributions	Contributions	Contributions	Contributions	Contributions	Contributions	Contributions	Contributions
\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00





Platinum Financial Services Statement of Accounts  
 June 1, 2006 - June 30, 2006

Group Number 0567 7597 6 001

Check Your Accounts  
 Automated Telephone Service  
 800-862-7919  
 Online  
 ameriprise.com



Symbol	Quantity	Price	Estimated market value	Estimated Cost Basis*	Estimated Unrealized Gain/(Loss)*	Estimated % Yield	Estimated annual income
--------	----------	-------	------------------------	-----------------------	-----------------------------------	-------------------	-------------------------

Mutual Funds							
AIM ENERGY - A	IENAX	287.623	\$45,590	\$13,112.73	\$6,916.09	\$6,196.64	N/A
AIM FAMILY OF FUNDS	FAFRX	2,927.903	\$10,070	\$29,483.98	\$29,560.58	(\$76.60)	5.2%
FRK FLOAT DAILY ACCESS - A	FRMFX	405.589	\$40,470	\$16,414.18	\$12,008.75	\$4,405.43	0.5%
FRANKLIN GROUP OF FUNDS	FRNRX	1,080.719	\$34,670	\$37,468.52	\$22,801.16	\$14,667.36	0.3%
*FLOATING RATE*	OAKBX	592.484	\$25,880	\$16,333.48	\$10,969.20	\$4,364.28	1.3%
FRK MICROCAP VALUE - A	VGFX	752.806	\$25,430	\$19,143.85	\$12,707.23	\$6,436.62	1.5%
FRANKLIN GROUP OF FUNDS	SMVAX	352.653	\$32,070	\$11,309.58	\$7,601.10	\$3,708.48	N/A
FRK NATURAL RESOURCES - A	SGGDX	301.091	\$23,750	\$7,150.91	\$8,000.00	(\$848.09)	2.1%
FRANKLIN GROUP OF FUNDS	SGENX	290.725	\$45,920	\$13,350.09	\$10,356.65	\$2,993.44	1.8%
OAKMARK EQ & INCOME - I							
OAKMARK FUNDS							
VK GLOBAL FRANCHISE - A							
VAN KAMPEN FUNDS							
WELLS SMALL CAP VALUE - A							
WELLS FARGO ADVANTAGE							
1ST EAGLE GOLD - A							
FIRST EAGLE FUNDS							
1ST EAGLE GLOBAL - A							
FIRST EAGLE FUNDS							

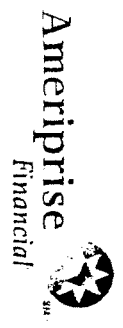
Value of Mutual Funds **\$162,767.32**

Total Portfolio Value **\$164,503.21**

This number is an estimate and should not be used in your tax calculations. Consult a professional tax advisor when calculating taxes. Estimated cost basis is not available for all positions.

Activity

Date	Description	Amount credited	Date	Description	Amount credited
	Cash Deposits				
				Total Cash Deposits	\$0.00



Platinum Financial Services Statement of Accounts  
June 1, 2006 - June 30, 2006

Group Number 0567 7597 6 001

Check Your Accounts  
Automated Telephone Service  
800-862-7919  
Online  
ameriprise.com

Mutual Funds	Symbol	Quantity	Price	Estimated market value	Estimated Cost Basis*	Estimated Unrealized Gain/Loss*	Estimated % yield	Estimated annual income
AIM ENERGY - A	IENAX	1,217.058	\$45.590	\$55,485.67	\$31,820.42	\$23,665.25	N/A	N/A
AIM FAMILY OF FUNDS	FAFRX	4,583.265	\$10.070	\$46,153.47	\$46,278.43	(\$124.96)	5.2%	\$2,420
FRK FLOAT DAILY ACCESS - A	FRNRX	1,810.835	\$34.670	\$62,781.64	\$35,458.87	\$27,322.77	0.3%	\$176
FRANKLIN GROUP OF FUNDS	OAKBX	896.614	\$25.880	\$23,204.37	\$16,466.71	\$6,737.66	1.3%	\$307
*FLOATING RATE	AFVAX	7,419.682	\$6.100	\$45,260.06	\$37,207.72	\$8,052.34	0.3%	\$148
FRK NATURAL RESOURCES - A	ASAAX	4,185.207	\$6.820	\$28,543.11	\$24,192.23	\$4,350.88	N/A	N/A
FRANKLIN GROUP OF FUNDS	VGFAX	1,238.356	\$25.430	\$31,491.39	\$21,166.51	\$10,324.88	1.5%	\$488
OAKMARK FUNDS	SMVAX	980.479	\$32.070	\$31,443.96	\$25,485.31	\$5,958.65	N/A	N/A
RVS FUNDAMENTAL VALUE - A	SGGDX	677.456	\$23.750	\$16,089.58	\$18,000.00	(\$1,910.42)	2.1%	\$334
RIVERSOURCE MUTUAL FUNDS	SGENX	211.158	\$45.920	\$9,696.37	\$7,522.20	\$2,174.17	1.8%	\$178
RVS SMALL CAP ADVANTGE - A								
RIVERSOURCE MUTUAL FUNDS								
VK GLOBAL FRANCHISE - A								
VAN KAMPEN FUNDS								
WELLS SMALL CAP VALUE - A								
WELLS FARGO ADVANTAGE								
1ST EAGLE GOLD - A								
FIRST EAGLE FUNDS								
1ST EAGLE GLOBAL - A								
FIRST EAGLE FUNDS								

Value of Mutual Funds \$350,149.62

Total Portfolio Value \$353,239.67

\* This number is an estimate and should not be used in your tax calculations. Consult a professional tax advisor when calculating taxes. Estimated cost basis is not available for all positions



4. **BUSINESS PARTNERS.** For each enterprise reported under Item 2, list its co-owners, partners, officers, and directors (other than yourself), unless the information is already registered with the Wisconsin Department of Financial Institutions.

Business	Partners, or officers and directors	City	State
N/A			

5. **NON-COMMERCIAL REAL ESTATE.** List the specific location of WISCONSIN REAL ESTATE in which you or your family had an interest (except your principal residence and real estate whose location you listed in item 2).

LOCATION OF PROPERTY			NATURE OF INTEREST (own, lease, option, easement, land contract)
Street address or fire number	Municipality Or Town	County	
NONE			

6. **OFFICERS AND DIRECTORS.** List organizations of which you or a family member was an officer or director.

Business or organization	City	State	Position
NONE			

7. **AGENT, REPRESENTATIVE OR SPOKESPERSON.** List organizations that authorized you or a family member to represent them in their dealings with others as an attorney-at-law, agent, spokesperson, or representative (unless listed in item 6 or 9). *MY EMPLOYER below*

Business or organization	City	State
APS Healthcare, Bethesda, Va	Silver Spring	MD
Innovative Resource Group LLC d/b/a APS Healthcare	Brookfield	WI

8. **CREDITORS.** List creditors to which you or your family owed \$5,000 or more.

Creditor	City	State	"✓" one	
			\$50,000 or less	More than \$50,000
Washington Mutual	Seattle	WA		✓

**Part B**

**For calendar year 2005**

9. **EMPLOYERS.** List your and your family's EMPLOYERS (\$1,000 or more of income) in 2005.

Name of employer (If State of Wisconsin, identify agency or institution)	City	State	Nature of employer's business
Innovative Resource Group LLC	Brookfield	WI	EAP, Utilization Review, Case Management, Disease Management

10. **ADDITIONAL SOURCES OF INCOME.** List other sources from which you or your family received income of \$1,000 or more in 2005.

Source of income	City	State
NONE		

**11. ENTERTAINMENT AND GIFTS.** List individuals and organizations that provided you with entertainment or gifts (more than \$50) in 2005.

Name of provider	City	State
NONE		

**12. HONORARIA AND EXPENSES.** List, for 2005, sources of honoraria and payment of expenses related to your state government duties (more than \$50) not previously reported to the Ethics Board.

Payer	Approximate value of expenses	Amount of honorarium	Circumstances of receipt
NONE			

I certify that the information contained in this Statement of Economic Interests is true, complete, and correct to the best of my knowledge, information, and belief. In the event this Statement of Economic Interests is filed prior my nomination or appointment, I certify that I will amend it within ten days of my nomination or appointment date if amendment is necessary to bring it into conformity with the true statement of my economic interests as of the date of my nomination or appointment. **If any part has been left blank, I have done so intentionally because there is nothing to report.**

Daytime phone # 262 787 2274

Rosemary A. Finora  
Signature of person filing

08/02/06  
Date

rfinora@wi.gov.com  
E-mail address

The information sought in this form is required by §§19.43 and 19.44, *Wisconsin Statutes*. Failure to file a completed form may result in a forfeiture of up to \$500. Statements of Economic Interests are open for public inspection. The Ethics Board will notify you of the identity of any person who examines your Statement. In accordance with §15.04(1)(m), *Wisconsin Statutes*, the Ethics Board states that no personally identifiable information is likely to be used for purposes other than those for which it is collected.

**History for ROSEMARY FINORA**

of Pewaukee, as a member of the Employee Trust Funds Board, to serve for the term ending May 1, 2009.

2006

- 08-11. S. Read and referred to committee on Labor and Election Process Reform ..... 909
- 08-16. S. Statement of Economic Interest Received.

2007

- 01-03. S. Continued from the 2005 session pursuant to s. 17.20(2)(a) of the Wisconsin Statutes.
- 01-08. S. Referred to committee on Labor, Elections and Urban Affairs.



# Senate Committee on Labor, Elections, and Urban Affairs

Tuesday, February 13, 2007

- The Committee on Labor, Elections, and Urban Affairs will now come to order
- Roll call
- Any opening remarks — *other comtes. going on NAT RESOURCES JCARR, JUDICIARY*
- Talk about procedure for the day
  - Public hearing on appointments and bill, then executive session on the same plus a Clearinghouse Rule dealing with employees of temporary help agencies
  - Know that Rosemay Finora and Carl Theorin are here, Patrick McNamara not able to make it, but his is a re-appointment
- Call the appointments
  - Rosemary Finora, new appointment to Employee Trust Funds Board
  - Carl Theorin, re-appointment to Auctioneer Board
- Testimony on SB 6
  - Risser (lead Senate author) here for sure
  - Rep. Musser (Lead Assembly author) MAY be there
- Roll call for executive session
- Discussion & Roll call on all three appointments
  - Technically it's best to have a separate vote on each, but it is possible to do them en masse
- Discussion & Roll Call on SB 6
- Discussion on Clearinghouse Rule 06-032
  - Ask Russ from Leg. Council for brief application
  - He, or you, can turn it over to Hal Bergen the DWD UI Administrator, for a brief description
  - Either Russ or you can broach the concerns regarding the 1 day notification period (this is the only thing that we'll be bringing up in the meeting)
  - After discussion, roll call on the motion to ask DWD for modifications





Public Hrg & Exec 2/13/07 - 10:05A

~~Rosemary Finora~~

opening remarks from Lasee & Lehman

Rosemary Finora

- town of Delafield
- some connection to Jenny Donnelly (DOA?)
- familiar w/ benefits pgms
- already attended 2 mtgs.
- Employee Trust Fund very secure

Carl Theorin (Thoreen)

- lives in Merrill, works in Wausau
- auctioneer for 30 yrs.

- wood products & forestry

- much work since 1996

- main concern now is internet auctions:

JL - diff. between WI & other states

- licensing requirements different

- oral test in Indiana

- 1700 licensed in WI

- shouldn't be unlicensed

JL - contacts in other states re: internet

- NAOLA (Natl Assn. of Licensed Auctioneers)

- 50% actions online now

- eBay has lawyer @ their mtgs. Now

- eBay fronts newest bid

- examining their requirement

Theorin cont.

AL - diff. between eBay & live auction?

- live person calls the auction
- auctioneer advertises & handles sale
  - need trust account
- auction industry is regulated & provides education

SB 6

Risser - copy of testimony & articles  
Grothman spoke against on Public Radio  
this week

- is a public referendum too
- would affect 9377 (very rough guess)

Arthur Cole-Riggs

- student council president @ MSN West H.S.
- has not talked to anyone opposed in his  
age group

BWR thanks for taking time

---

EXEC



## SPECIAL NOTES

02-13-2007

?

- \* Carl Theorin sent letter
  - I called him to confirm receipt
- \* Rosemary Finora called
- \* Matt from Kedzie's office asked for R. Finora's info
  - gave him copy of her cover sheet and resume
  - she's Kedzie's constituent
- \* Mark Pitsch, WI State Journal, called 2/2 to confirm date of hearing on SB 6
  - did article on 2/5
- \* MJS had editorial supporting SB-6 on 2/12
- \* Racine Journal Times editorial supporting SB 6 on 2/8