

State of Misconsin 2009 - 2010 LEGISLATURE

LRB-2425/PT
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PRELIMINARY DRAFT - NOT READY FOR INTRODUCTION

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AN ACT to amend 115.797 (1) (a), 115.80 (1) (a) 2., 115.80 (2m) (a) and 115.80 (2m)

(e); and to create 115.76 (13m), 115.76 (16m), 115.7825, 115.787 (2) (i), 115.80

(1) (a) 1m. and 118.315 of the statutes; **relating to:** the use of physical restraints and timeouts in schools.

Analysis by the Legislative Reference Bureau

This is a preliminary draft. An analysis will be provided in a later version. For further information see the **state and local** fiscal estimate, which will be printed as an appendix to this bill.

The people of the state of Wisconsin, represented in senate and assembly, do enact as follows:

5 SECTION 1. 115.76 (13m) of the statutes is created to read:
6 115.76 (13m) "Physical restraint" has the meaning given in s.

115.76 (13m) "Physical restraint" has the meaning given in s. 118.815 (1)

SECTION 2. 115.76 (16m) of the statutes is created to read:

115.76 (**16m**) "Timeout" has the meaning given in s. 118.815 (1)(s).

SECTION 3. 115.7825 of the statutes is created to read:

KLAN	2009 - 2010 Legislature - 2 -	LRB-2425/P1 TKK:cjs:jf SECTION 3
Mary	moved from moved from pages 3 to 5	
MILL	115.7825 Functional behavioral	assessment (and) behavioral
P 2	intervention plan. (1) Functional Behavioral A	
3	education program team shall conduct a functiona	l behavioral assessment for a child
4	with a disability for whom a behavior intervention	n plan is required under s. 115.787
⑤	The functional behavioral assessment sha	ıll establish a baseline measure of
6	the child's behaviors that are of a type described in	
7	contain all of the following information:	
8	1. A description of each behavior in concret	e terms.
9	2. The frequency and duration of each beha	vior described in subd. 1. and the
10	manner in which the behavior changes in intensity	y over the course of the day or with
11	changes in variables including the child's activity o	or setting or the presence of others.
12	3. An identification of the contextual factors	s that contribute to each behavior
<u>(13)</u>	described in subd. 1. including cognitive and affe	ective factors.
	****Note: Context is defined by Websters as "the surround a particular event, situation, etc." Contexture factors enumerated in the preceding subdivision 2. (the of day in which certain behaviors occur, etc.). I am continuations, which identify cognitive and affective factors would be seem to me that cognitive and affective factors would pupil, not the pupil's surroundings. No?	nal factors, then, would include the ne setting, presence of people, time onfused, therefore, by the drafting tors as contextual factors. It would
$\overline{4}$	4. A hypothesis regarding the conditions un	der which the behavior described
$ \begin{array}{c} \widehat{15} \\ \widehat{16} \\ \widehat{17} \end{array} $	in subd. 1. usually occurs and the probable conseq	behavior described in subdo to serves for the uences that serve to maintain the child;
<u>(16)</u>	behavior, provided in sufficient detail that the hy	pothesis may form the basis for a
(17)	behavior intervention plan under sub. (2).	recommendations to include in
	****Note: The drafting instructions directed me the general conditions under which a behavior usually that serve to maintain it" in the FBA. I assumed that and "maintain" is used to mean "cause or enable a situ to continue." However, I'm confused by the use of "pr to maintain" in this sentence. Consequences are effect earlier. Your drafting instructions would have concontinue. Could another word or words be used ins misunderstood your intent?	occurs and probable consequences "it" refers to the problem behavior, lation [it, or the problem behavior] robable consequences" with "serve s, later, of something that occurred sequences cause the behavior to

****Note: To hypothesize is to put forward a hypothesis; a hypothesis is a supposition made on the basis of limited evidence as a starting point for further investigation. The meaning of the word hypothesis is inconsistent with it's use in the draft as a "sufficiently detailed" basis for the behavioral intervention plan. Could the person conducting the FBA be directed to draw preliminary conclusions from the assessment that could be the basis for recommendations in the behavioral intervention plan?

(b) A functional behavioral assessment conducted under this section by the individualized education program team shall not be based solely on the behaviors of the child with a disability that are of a type described in s. 115.787 (2) (i) 1. to 3., but on multiple sources of data, including information obtained from direct observation of the child by the child's teachers and related service providers, information obtained from the child and the child's parent, and a review of the child's pupil records.

****Note: I drafted this subsection with the assumption that the IEP team conducts the assessment. Okay? That said, what triggers the initiation/completion of a functional behavioral assessment? How is the assessment different from the evaluation conducted by the IEP team under s. 115.782 (2), stats., for the preparation of the IEP? Should the assessment be integrated into the evaluation completed under s. 115.782 (2), stats.?

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BEHAVIORAL INTERVENTION PLAN

(a) A behavioral intervention plan

prepared or a child with a disability shall include all of the following:

1. Baseline information about the behaviors of the child that are of a type described in (s. 115.787 (2) (i))1. to 3. obtained through the functional behavioral assessment conducted under sub. (2)

2. Intervention strategies to be used to minimize the occurrence of each behavior identified in subd. 1.

3. Recommendations for teaching and reinforcing appropriate alternative and adaptive behaviors.

4. Criteria to determine the effectiveness of the interventions under subd. 2. and the alternative and adaptive behaviors under subd. 3. The criteria under this

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subdivision shall measure the frequency, duration, and intensity of each behavior identified under subd. 1.

- A schedule for monitoring and reporting on the implementation and effectiveness of the plan prepared under this subsection. The monitoring required under this subdivision shall identify any indirect or collateral effects of the use of aversive interventions on the child with a disability, including increases in aggressive or escape behaviors, health-related effects, and emotional reactions.
- 6. If given as provided under par. ((b), parental consent, in writing, to use physical restraint or timeout.
- (1) A behavioral intervention plan may not authorize the use of physical 6 the child's parent concerts in writing restraint or timeout on a child with a disability unless consent is given by the parent

of the child as provided under this paragraph. Consent given under this paragraph shall be valid for 12 months beginning on the date on which consent is given unless withdrawn by the parent of the child. A parent may withdraw consent at any time the local educational agency shall do

(15)for any reason. To obtain consent under this paragraph, all of the following shall

Provide The parent shall be provided with specific, complete, and accurate information in the parent's native language about all of the following:

- a. The benefits of authorizing the use of physical restraint or timeout.
- b. The manner in which physical restraint or timeout would be administered.
- c. Any expected side effects or risks of side effects from the use of physical restraint or timeout.
- d. Alternative behavioral management techniques that would be used prior to or instead of physical restraint or timeout.

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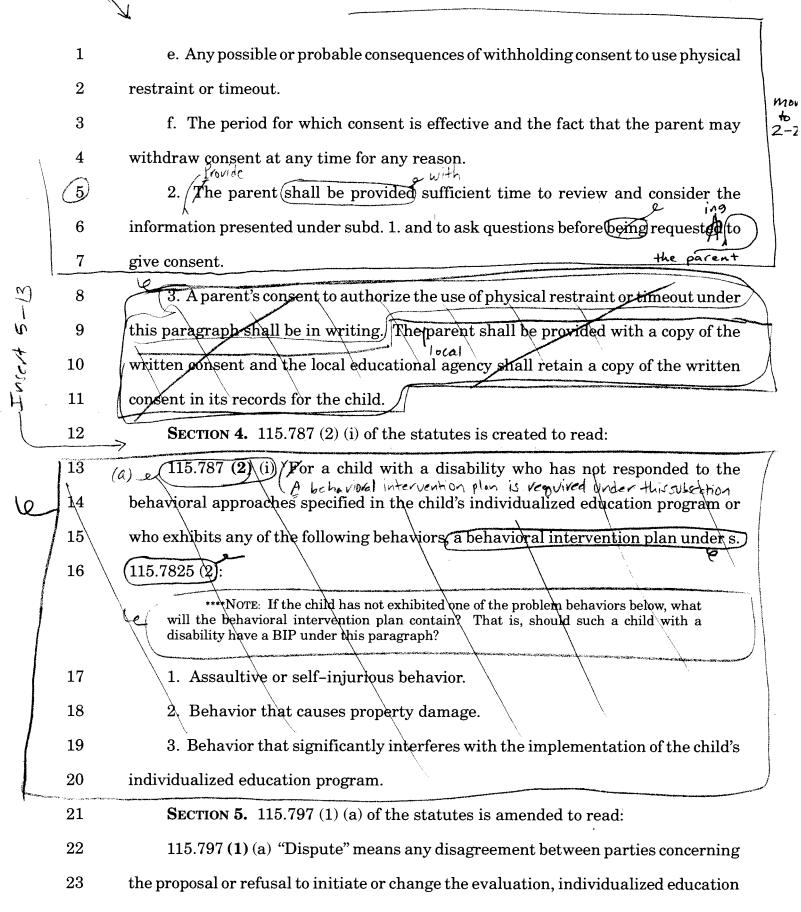
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program or educational placement of a child with a disability or the provision of a free appropriate public education to <u>or the use of physical restraint or timeout on</u> such a child. "Dispute" includes any such disagreement between parties that arises before the filing of a request for a hearing under s. 115.80 or in which other processes, including a hearing under s. 115.80 or litigation, have been requested or commenced.

Section 6. 115.80 (1) (a) 1m. of the statutes is created to read:

115.80 (1) (a) 1m. A parent, or the attorney representing the child, may file a written request for a hearing within one year after the use by the local educational agency of physical restraint or timeout on the parent's child, except that, if the local educational agency has not previously provided the parent or the attorney representing the child with notice of the right to request a hearing under this subdivision, he or she may file a request under this subdivision within one year after the local educational agency provides the notice. The division shall develop a model form to assist parents in filing a request under this subdivision.

Section 7. 115.80 (1) (a) 2. of the statutes is amended to read:

115.80 (1) (a) 2. The parent, or the attorney representing the child, shall include in the request under subd. 1. or 1m. the name of the child, the address of the residence of the child, the name of the school the child is attending, a description of the nature of the problem of the child relating to the proposed or refused initiation or change or the use of physical restraint or timeout, including facts relating to the problem, and a proposed resolution of the problem to the extent known and available to the parents at the time.

****Note: Should an LEA be granted authority to seek a hearing to override a parent's refusal to grant consent — or withdrawal of consent — to the use of physical restraint or timeout under s. 115.7825 (2)?

SECTION 8. 115.80 (2m) (a) of the statutes is amended to read:

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115.80 (2m) (a) Except as provided in par. (c), within 15 days of receiving a request for a hearing under sub. (1) (a) 1. or 1m. and before the hearing is conducted, the local educational agency shall convene a meeting with the child's parents and the relevant members of the individualized education program team who have specific knowledge of the facts identified in the hearing request. At the meeting, the child's parents shall discuss the hearing request and the facts that form the basis of the request and the local educational agency may resolve the issues. **SECTION 9.** 115.80 (2m) (e) of the statutes is amended to read: 115.80 (2m) (e) If the local educational agency does not resolve the issues presented by the hearing request to the satisfaction of the child's parents within 30 days of receipt of the request, the hearing requested under sub. (1) (a) 1. or 1m. may 118.305 occur. **SECTION 10.** (118.315) of the statutes is created to read: 118.315) Use of positive behavioral interventions and supports and aversive interventions. (1) Definitions. In this section: 6 ****Note: Does this new section 118.315 allow the intentional infliction of pain on pupils under certain circumstances (which would constitute "corporal punishment." under s. 118.31 (1))? If so, I will need to amend s. 118.31 (3). Please advise. "Administrator" means a school principal or designee a school district administrator, as defined under s. 115.001 (8), or designee or a pupil services director or designed ****Note: What is a "pupil services director"? "Aversive intervention" means deliberate action, including physical restraint, seclusion, and timeout, taken by a school employee or administrator to establish a negative association between certain behaviors and the deliberate action.

****NOTE: I modified the proposed definition of this term, substituting the word "deliberate" for "deliberative." Deliberate means intentional. Deliberative means considered, or dealing with the wisdom and expediency of a proposal; as proposed in the

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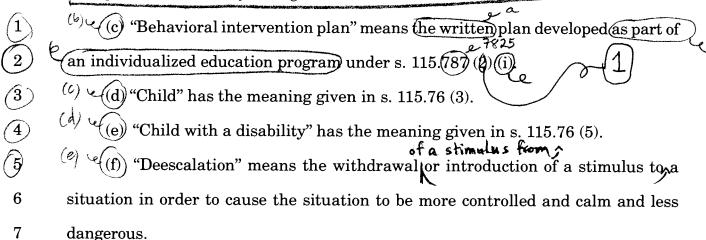
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drafting instructions, deliberative did not seem to fit in the definition of aversive intervention. That said, is it necessary to the effectiveness of the bill to define the term, "aversive interventions?" Given that seclusion is prohibited under the bill, I wonder why it is included in the definition of aversive interventions? Would it be sufficient to refer to timeout and physical restraint wherever the term "aversive interventions" was proposed to be used? Is it important that the reason for the use of the aversive interventions be included when referring to physical restraint or timeout?

Also, the drafting instructions directed me to define "antecedent." I did not define the term in part because the definition proposed was problematic, but also because I did not see that a definition for this term was necessary. After you have reviewed the draft, please let me know if you disagree.



****Note: Is this definition necessary? Deescalate is defined to mean "decrease in intensity, magnitude, amount, or the like." The definition proposed for de-escalation by the drafting instruction seems more limited. For example, is the withdrawal or presentation of a stimulus the only way to bring a situation into control? Would it be acceptable to use "deescalate" (defined not in the bill but using the common dictionary definition) wherever "deescalation" is proposed to be used?

"Emergency" means a situation in which it is necessary to control a pupil's

spontaneous or unpredictable behavior when that behavior poses a clear and present danger of serious physical harm to the pupil or to others and cannot be immediately controlled by a less restrictive technique than the one temporarily applied by a trained school employee "Emergency" does not include a situation in which a pupil certified school (4)(c) uses profanity or threatens physical harm to himself or herself or others unless the pupil demonstrates a means of carrying out the threat.

- (h) "Individualized education program" has the meaning given in s. 115.76 (9).
- (i) "Local educational agency" has the meaning given in s. 115.76 (10).

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****NOTE: Please be aware that, because "local educational agency" has a specific meaning that is tied to children with disabilities, this term cannot be a synonym for school districts in the bill. Where necessary, the bill refers to both local educational agencies and school districts. However, references to local education agencies and school districts may not capture situations in which a non-disabled pupil is confined in a correctional facility. Is that okay?

- (j) "Mechanical restraint" means a device that restricts a pupil's freedom of movement or normal access to a portion of his or her body and that the pupil cannot easily remove. "Mechanical restraint" does not include a protective or stabilizing device that is prescribed by a health care professional for a child with a disability in accordance with the child's individualized education program.
 - (k) "Parent" has the meaning given in s. 115.76 (12).
- (L) "Physical restraint" means a restriction imposed by a person that immobilizes or reduces the ability of a pupil to freely move his or her arms, legs, or head. "Physical restraint" does not include briefly holding a pupil to calm or comfort the pupil, holding a pupil's hand or arm to escort the pupil safely from one area to another, or intervening in a fight.
- (m) "Positive behavioral interventions and supports" means a set of evidence-based practices used to organize teaching and learning environments and experiences for a pupil which facilitate the pupil's successful self-awareness, self-management, and engagement with others and with the learning process.

****NOTE: I don't believe this definition works; after reading the definition, I don't really understand what a "positive behavioral intervention and support" is. However, because I am not clear what you intend to accomplish with this definition, I did not modify the definition at all.

An intervention is defined in Webster's dictionary as "the act or fact of intervening." Intervene is defined, in turn, as "to come between disputing people or groups, etc.; intercede; mediate." It is not clear how an intervention would be used to "organize teaching and learning environments."

I am also not clear why the term "supports" is incorporated into the defined term. What does "support" mean in this context?

What does a "positive behavioral intervention and support" look like in practice? Are "positive behavioral interventions and supports" an alternative to the use of physical restraint and timeout? Are they only used when a *certain* pupil is exhibiting problem

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behaviors, or are positive behavioral interventions and supports intended to be incorporated into the curriculum or day-to-day procedures applicable to all pupils in every classroom?

Is there a difference between a "teaching environment" and a "learning environment"?

Finally, what are "evidence-based practices?" Are these determined by a teacher on a case by case basis, or are they part of a teaching curriculum that all teachers must complete?

****Note: Do you want to require the Department of Public Instruction to identify positive behavioral interventions and supports by rule?

(n) "Pupil" includes a child with a disability.

(r) (o) "School" includes public schools charter schools private schools in which a private school participating in the program under a child with a disability is enrolled as provided in s. 115.77 (1m) (d), and a county suggested

children with disabilities education board.

****Note: The drafting instructions directed me to define a "nonpublic school" as a school that receives money from DPI to provide special education and related services to children with disabilities under an unidentified section of chapter 115. I did not include a definition for "nonpublic school" because the term "private school" is a defined term under s. 115.001 (3r).

I cross-referenced s. 115.77 (1m) (d), stats. Is s. 115.77 (1m) (d), stats., the unidentified section of chapter 115 that you had in mind?

****Note: Did you intend this section to apply to pupils enrolled in Milwaukee Parental Choice Program (private) schools under s. 119.23?

"School day" means any day during which a pupil is in attendance at a

school a school-sponsored event or public education agencies or services

****Note: I modified the proposed definition of this term. However, I am not clear what "public education agencies or services" means or why this phrase is necessary wouldn't "school" (as defined above) or "school-sponsored event" cover all the bases, so to speak? Is the use of the term "public" significant? That is, do you intended to exclude "private education agencies or services?"

(q) "School employee" means a person who is employed by or under contract (7)e includes

with a school.

"Seclusion" means a behavioral control technique that involves placing a

pupil in a setting from which the pupil is incapable of leaving because the setting is

locked or egress from the setting is physically blocked by furniture or another

inanimate object or a closed door held shut from the outside.

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****NOTE: Is it necessary to explain why a pupil is incapable of leaving a seclusion setting? I recommend eliminating the material beginning with "because" and ending with "outside."

(1) (s) "Timeout" means a behavioral management technique administered by a

school employee that involves the supervised separation of a pupil from his or her

class and the placement of the pupil in a timeout room.

****NOTE: The drafting instructions directed me to define timeout as a technique "administered by a <u>trained</u> school employee." I removed the word, trained; if trained is included in the definition, then a person who is <u>not</u> trained can administer something that looks like and is experienced by a pupil as timeout but is not subject to the restrictions and regulations imposed by this bill. Let me know if you have any questions.

****Note: The drafting instructions directed me to define timeout as a technique that "may involve the separation of a pupil..." May is a discretionary term, meaning that as defined, the timeout may involve something other than the separation of a pupil from his or her class. I deleted the word "may." Please let me know if you intended the timeout to involve something else as well.

****NOTE: What do you mean by "supervised separation?" Will the pupil always be supervised? If so, who will look after the classroom from which the pupil was taken?

****NOTE: The drafting instructions directed me to include the purpose for using timeout (to "calm[] the pupil and provid[e] the pupil with the opportunity to regain self-control.") in the definition. I took out the purpose statement. If there are other legitimate reasons to use timeout, then the use of timeout for these reasons is not authorized even if used in compliance with the bill; in addition, the use of timeout for other reasons would not fall within the definition of "timeout" and so would not be subject to regulation under the bill even if the timeout was used in a manner that would otherwise violate the bill.

(3) (e(t) "Timeout room" means an enclosed setting used for timeout and from which

a pupil is capable of leaving because the setting is not locked and egress from the

Setting is not physically blocked by furniture or another inanimate object or a closed

door held shut from the outside.

****NOTE: Is it necessary to explain why a pupil is capable of leaving a timeout room? I recommend eliminating the material beginning with "because" and ending with "outside."

WAND USE OF CERTAIN SUBSTANCES

3) (2) SECLUSION PROHIBITED; PROHIBITED TYPES AND USES OF PHYSICAL AND

MECHANICAL RESTRAINT. (a) No school employee may use seclusion on a pupil.

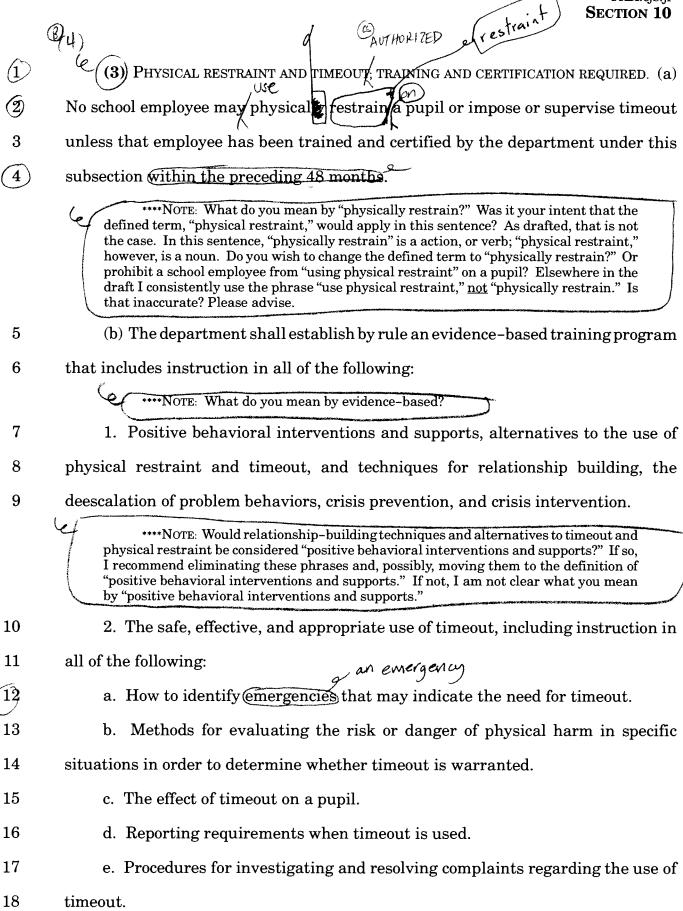
(b) No school employee may use physical restraint on a pupil if the physical

11 restraint does any of the following:

1. Fails to give adequate attention and care to the pupil's head.

(15d).

1 2. Places pressure or weight on, or causes the compression of, the chest, lungs, 2 sternum, diaphragm, back, or abdomen of the pupil. 3 3. Obstructs the pupil's circulation or the ability of the pupil to breathe. 4 4. Intentionally causes pain. ****Note: I completely modified this subdivision. I substituted "intentionally causes" for "utilizes" and eliminated "to obtain compliance or control." The phrase proposed in the drafting instruction, "one that utilizes pain to obtain compliance and move to p.11, control" implied that a physical restraint that utilizes pain for purposes other than obtaining compliance and control would be acceptable. Okay? (b) (5) Releases noxious, toxic, or otherwise unpleasant substances near the pupil. Ho schoolemployee may Caustic? ****Note: How does the use of noxious, toxic, or unpleasant substances constitute physical restraint as that term is defined in the bill? Would these be chemicals that could make a pupil drowsy? Is it okay to use substances, such as gasses, that are not noxious? 5 6. Subjects the pupil to ridicule, humiliation, or excessive emotional trauma. (c) No school employee may use physical restraint or timeout to punish a pupil, for the convenience of the employee or other school employees, or because there is an 9 insufficient number of school employees, and no school employee may threaten the 10 use of physical restraint or timeout to obtain a pupil's cooperation or otherwise obtain 11 control over a pupil's behavior. ****Note: How will it be possible to determine that a school employee did not use physical restraint or timeout to "punish a pupil [or] for the convenience of the employee?" This seems very subjective. Also, the last clause of this paragraph could preclude a school employee from giving a warning (threat) that if a pupil is unable to gain control over his or her behavior that timeout or physical restraint will be used. Is that your intent? (e) (d) No school employee may use a therapeutic device intended for physical (12)13 therapy or occupational therapy as a mechanical restraint. (e) No school employee may use a mechanical restraint unless the school within 14 15 which the school employee works is located within a mental health or correctional 16 facility or is a residential care center for children and youth, as defined in s. 48.02



1	3. The safe, effective, and appropriate administration of physical restraint,
2	including instruction in all of the following:
	****Note: As used in this subdivision, physical restraint sounds like a thing (I envision wrist or ankle bands). Is that correct?
3	a. How to identify an emergency that may indicate the need for the use of
4	physical restraint.
5	b. Methods for evaluating the risk of harm in specific situations in order to
6	determine whether the use of physical restraint is warranted.
7	c. The effects of the use of physical restraint on a pupil.
8	d. Reporting requirements when physical restraint is used.
9	e. Procedures for investigating and resolving complaints regarding the use of
10	physical restraint.
11)	(c) The department shall certify in writing a school employee who successfully
12	completes the training program required under this subsection and demonstrates
13	proficiency in the safe, effective, and appropriate use of each behavioral control
14	technique addressed by the training program. Certification under this subsection
15	shall be valid for a period not to exceed 48 months. The department shall renew the
16	certification of any school employee who requests renewal and meets the
17	requirements of this subsection.
	****Note: Are there other behavioral control techniques that would be taught in this program besides physical restraint and timeout? If so, should they be identified?
	****Note: Is a fee required for certification? May certification be revoked? For any reason or only for cause?
(18)	(5) (4) Use of Physical restraint. (a) 1. Before any school employee may use
19	physical restraint on any pupil, the school principal or his or her designee shall
20	provide the parent of that pupil with a description of any physical restraint that may
21	be used. might

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2. The use of physical restraint shall require the presence of at least 2 school employees certified under sub. (3) (c).

****NOTE: Just for the sake of argument, in a single teacher classroom, where will the second school employee come from? Who will watch the classroom(s) while physical restraint is being used on a pupil?

- (b) A school employee certified under sub. (3) (c) may, after reviewing and considering any known medical, developmental, or psychological limitations of a pupil, use physical restraint on that pupil only in an emergency and only if at least one of the following applies:
- 1. Other less intrusive interventions, including positive behavioral interventions and supports, constructive, non-physical deescalation, and restructuring of the pupil's school environment, have failed or been deemed inappropriate.

****NOTE: Would constructive, non-physical deescalation and restructuring of the pupil's school environment be considered "positive behavioral interventions and supports?" If so, I recommend removing this level of detail and placing it within the definition of "positive behavioral interventions and supports."

****Note: Who determines whether "other less intrusive interventions ... have failed or been deemed inappropriate?" The teacher proposing to use the physical restraint?

****NOTE: As drafted, this provision would allow physical restraint to be applied in an emergency to a child with a disability so long as subdivision 1. applies even if the parent of the child has not consented to the use of physical restraint. Is that your intent?

2. For a child with a disability whose individualized education program includes a behavioral intervention plan, the child's individualized education program permits the use of physical restraint and the child's parent has consented in writing to the use of physical restraint in the circumstances under which physical restraint is proposed to be used.

****Note: As drafted, parental consent to the use of physical restraint is only required for those pupils who have an IEP and a behavioral intervention plan. Is that your intent?

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SECTION 10

(c) 1. Except as provided in subd. 2., a school employee who uses physical
restraint on a pupil shall ensure that physical restraint is used for no more than the
lesser of 15 minutes total or 5 minutes after the pupil ceases the behavior giving rise
to the use of physical restraint.

2. If the school employee determines that the use of physical restraint on a pupil school based resource may need to exceed 15 minutes, he or she shall communicate with the person identified under sub. (9), who shall evaluate the need for extending the use of physical restraint on the pupil and obtain the approval of an administrator prior to designed authorizing the school employee to extend the use of physical restraint beyond 15 minutes. The school employee shall comply with any directives issued by the school printipal or his of her designed administrator concerning the continued use of physical restraint.

****NOTE: I am a bit confused by how the procedure for a school employee to extend the use of physical restraint beyond 15 minutes would work in practice. Why must the school employee go through the school-based resource person in order to get time-sensitive approval from the administrator? What role does the school-based resource person serve in this arrangement? If a physical restraint is a physical "hold," and not a thing, like a wrist band, how will the school employee who is executing the physical restraint be able to leave the setting to communicate with the resource person or administrator in any event?

What happens after the resource person or administrator evaluates the need for extending the use of physical restraint? What if the resource person says "no." May the school employee go to the administrator (on his or her own) anyway?

****NOTE: I am also confused about the use of the term administrator, as defined in sub. (1) (a), in this subdivision. As drafted, the school employee could obtain the approval of any administrator in the state. Is that your intent, or should there be one administrator in each school from whom school employees need to obtain approval prior to extending the use of physical restraint? Alternatively, would using "school principal of his or her designee" in place of administrator suffice?

(d) A school employee who uses physical restraint on a pupil who uses sign language or an augmentative mode of communication as the pupil's primary mode of communication shall permit the pupil to have his or her hands free of physical restraint for brief periods throughout the duration of the use of physical restraint for

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1	the purpose of communication, unless the school employee determines that such
2	freedom appears likely to result in harm to the pupil or to others.
3	Use of timeout. (a) 1. A school employee certified under sub. (3) (c) may,
4	after reviewing and considering any known medical, developmental, or psychological
5	limitations of a pupil who is not a child with a disability, use timeout on that pupil
6	$only\ if\ other\ less\ intrusive\ interventions, including\ positive\ behavioral\ interventions$
7	and supports, constructive, non-physical deescalation, and restructuring of the
8	pupil's school environment, have failed or been deemed inappropriate.

- ****NOTE: Please see the notes following sub. (4) (b) 1.
- 2. A school employee certified under sub. ((3)) (c) may, after reviewing and considering any known medical, developmental, or psychological limitations of a pupil who is a child with a disability, use timeout on that pupil only if both of the following apply:
- Other less intrusive interventions, including positive behavioral interventions and supports, constructive, non-physical deescalation, and restructuring of the pupil's school environment, have failed or been deemed inappropriate.
- The pupil's individualized education program includes a behavioral intervention plan, the pupil's individualized education program permits the use of timeout, and the child's parent has consented in writing to the use of timeout in the circumstances under which timeout is proposed to be used.

****Note: As drafted, parental consent to the use of timeout is required only for those pupils who have both an IEP and a behavioral intervention plan. Is that your intent?

(b) 1. No school employee may use a room as a timeout room unless the room has been approved for that use by the local educational agency or school district INSTET 18-

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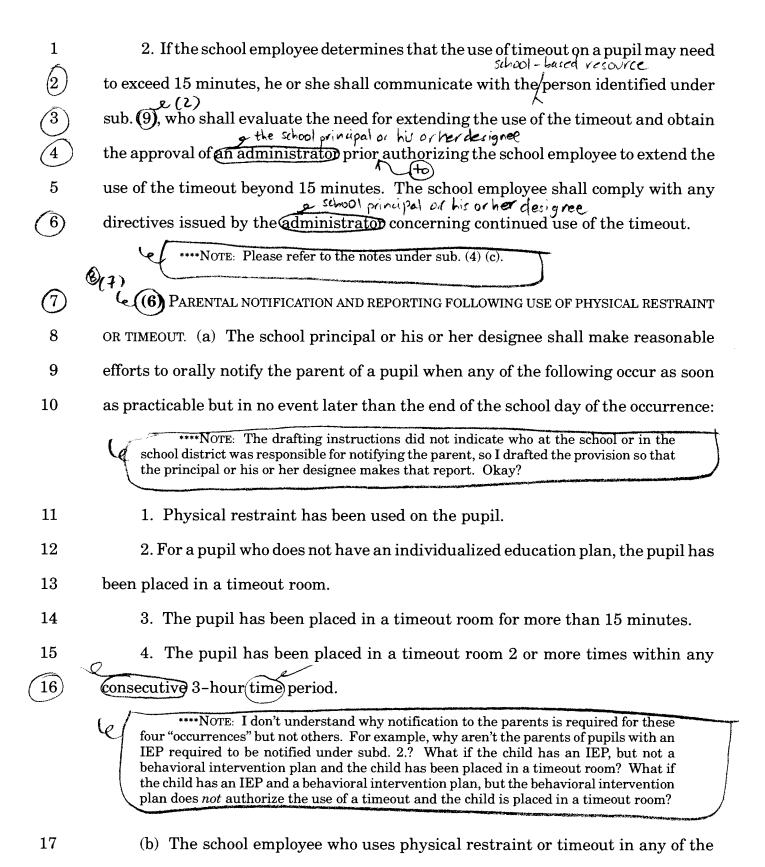
shall require as a condition for approval that a photograph of the room be submitted

with the request for approval. No room may be approved as a timeout room unless

the room satisfies all of the following:

****NOTE: Is it your intent that charter schools under s. 118.40 (2r) and private schools in which a child with a disability is enrolled would have to get approval from the school board of the school district in which the school is located even though the school board would have no authority over either of those schools? Is there some other entity (DPI?) that could approve the timeout room?

- a. It is free of fixtures, electrical outlets, exposed wiring or other objects that could be used by the pupil to harm himself or herself or others and is designed so that the pupil cannot climb up or upon the walls.
- b. It has an area of at least 42 square feet and a ceiling height that is comparable to the height of the surrounding room or rooms.
- c. It complies with all state and county fire and safety codes and is equipped with adequate lighting and ventilation.
- d. It affords the school employee with the means to hear and see the pupil at all times.
- e. If the timeout room is fitted with a door, the door either has a solid, wood core construction or is constructed of steel.
- 2. Before a school employee may place a pupil in a timeout room identified under subd. 1., the school principal or his or her designee shall provide the parent of the pupil with an opportunity to see and enter the room.
- (c) 1. Except as provided in subd. 2., a school employee who uses timeout on a pupil shall ensure that timeout is used for no more than the lesser of 15 minutes total or 5 minutes after the pupil ceases presenting the behavior or behaviors giving rise to the use of the timeout.



occurrences identified in par. (a) shall, within 24 hours after the occurrence, submit

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a written report containing the following information to the school administrator,
which report shall be retained by the school for review by the department:

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****Note: Who is the "school administrator" in this paragraph? Remember that administrator is defined as "a school principal or designee, a school district administrator or designee, or a pupil services director or designee." Would it be better to have the report submitted to the principal or his or her designee?

Is it your intent that the report be submitted to the school administrator under this paragraph (within 24 hours) before it must be submitted to the pupil's parent under paragraph (c) (within 36 hours)?

****NOTE: I made the list of reportable items under this paragraph identical in the report submitted to the school administrator and the report submitted to the parent. Okay?

- 1. The date, time, and duration of the use of physical restraint or timeout.
- 2. A description of the actions of the pupil before, during, and after the occurrence, including debriefing of the pupil. the occurrence

****NOTE: The drafting instructions proposed the use of the word "debriefing" with respect to the actions of the pupil and the school employees "before, during, and after the occurrence." Is "debriefing" the appropriate term here? To debrief means "to interrogate in order to obtain useful information or intelligence" or "to subject to prohibitions against revealing or discussing classified information." Is it your intent that the pupil or school employees be interrogated? Or be prohibited from discussing certain information related to the occurrence?

- 3. A description of any other relevant events preceding the use of the physical restraint or timeout, including the justification for initiating the use of physical restraint or timeout.
 - 4. The names of the school employees involved in the occurrence.
- 5. A description of the actions of the school employees under subd. 3. before, during, and after the occurrence, including debriefing of the school employees.

 ***Note: Please see the drafting note following subd. 2.

6. A description of any interventions used prior to the use of the physical restraint or timeout.

7. If physical restraint was used, a description of the physical restraint used, including any hold used and the reason the hold was necessary.

1 8. A log of the pupil's behavior during the use of the physical restraint or 2 timeout, including a description of any interaction between the pupil and the school 3 employees. 4 9. A description of any injuries sustained by, and any medical care 5 administered to, the pupil, school employees, or others before, during, or after the use 6 of the physical restraint or timeout. 7 10. A description of any property damage associated with the occurrence. 8 11. A description of future actions to be taken to control the pupil's problem 9 behaviors. 10 12. The name and position of the school employee completing the report. [11](c) The school principal or his or her designee shall, within 36 hours after the 12 occurrence of any of the events identified under par. (a), send or transmit by 1st class 13 mail or electronic mail or facsimile transmission to the pupil's parent the information 14 contained in the report prepared under paragraph (b). Each report prepared under this paragraph shall be retained by the school for review by the department. 15 (leased) Annually and upon the request of the department (each school district, the 16The governing body of each private school in which a child with a disability is enrolled as provided in 17 operator of each charter school, and each local educational agency shall submit to the 18 department a written report containing a summary of the occurrences identified in par. (a) for which a report was prepared under par. (b). The information reported e_{tx} 19 private school 20 under this paragraph shall include all of the following: ****NOTE: Do you wish to require the private schools in which a child with a disability is enrolled under s. 115.77 (1m) (d) to submit a report to the department under under so this paragraph? 1190 234

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INVERT 21-2

1. The name of the school at which the occurrence occurred.

22 2. The name of the school employees present before, during, and after the occurrence.

3. The number of occurrences involving a child with a disability. 1 2 4. The duration of the use of physical restraint for each occurrence involving 3 the use of physical restraint. 4 5. The number of timeouts for which a report was required and the duration of each such timeout. 5 6. The number and a description of any injuries related to each occurrence. 6 7 7. Evidence that the parent of each pupil on whom physical restraint or timeout 8 was used was notified as required under this subsection. $\widehat{(7)}$) Procedures for review of use of physical restraint and timeout. (a) Each each local educational agency, each operator of a charter school each operator of a private school in which a child with a disability is enrolled as provided in s. 115.77 (1m) (d)/shall establish a procedure for reviewing each occurrence identified in sub. (6) (a). The parent of a pupil on whom physical restraint or timeout was used shall be notified in writing of the date, time, and location of the 14 (15)review at least 10 days before the review is to be held. (b) A review conducted under this subsection shall include all of the following: 17 The review by school employees involved in the occurrence of the 18 circumstances before, during, and after the use of physical restraint or timeout to 19 determine all of the following: 20 a. Whether proper procedures were followed and whether procedures need to 21 be modified in future occurrences. 22 b. Whether alternative strategies for controlling the situation were utilized. 23 c. Whether the parent of the pupil was notified as required under sub. (6) (a). 24d. The need for communication with or counselling of any pupil who witnessed 25 the use of physical restraint or timeout.

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****NOTE: Must anyone other than the school employees involved in the occurrence (and the invited parents) attend the meeting? Was it your intent that the parent would be present during the full discussion of all issues identified in this paragraph?

- 2. For a pupil who is not a child with a disability, all of the following:
- a. A review of the effectiveness of the use of the physical restraint or timeout.

The school employee shall prepare and individual behavior plan for the pupil that work with the parent of the pupils the school-based resource persons and other

provides for the use of other, specified interventions or the continued use of the

intervention & previously

****NOTE: Which school employee creates the individual behavior plan, and when does the school employee create the plan? Before or after the meeting? Is the plan under this subdivision paragraph subject to review or approval by anyone? What are the "other, specified interventions" referred to in this subdivision paragraph? What are the "these interventions" referred to in this subdivision paragraph?

b. A determination as to whether the pupil should be evaluated under s.

7 115.782.

****NOTE: Who decides whether or not to evaluate the pupil? Will that person be involved in the determination under this subdivision paragraph? If not, is it anticipated that the determination here will be only a recommendation? Is the recommendation reviewable? By whom?

on whom physical restraint or timeout was used in an occurrence described in sub.

(6) (a) shall convene in the manner provided under s. 115.787 (4) to review the pupil's individualized education program and behavioral intervention plan. The pupil's parent shall be notified in writing of the date, time, and place of a meeting required under this paragraph at least 10 days prior to the meeting. A meeting required under

****Note: When (how soon after the occurrence) should the IEP team convene? Is the meeting of the IEP team in addition to or in lieu of the meeting held as required under par. (b)?

- 1. A review of the pupil's written progress, monitoring, and incident reports and the report prepared under sub. 6 (b) following the occurrence.
 - 2. If appropriate, interviews with the pupil.

this paragraph shall include all of the following:

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parent may, within 30 days after determination under this paragraph, appeal the office with the state superintendent. The state superintendent shall review

the school district's compliance with this section.

****Note: I have a number of questions regarding the complaint/grievance section:

- 1. What did you anticipate a complaint to DPI would look like? Should DPI be required to prepare a complaint form by administrative rule? What happens once a complaint has been filed with DPI?
- 2. How is a complaint to DPI under paragraph (a) different from a grievance to the school district under paragraph (b)? Why aren't the procedures available to parents of a pupil who is not a child with a disability identical to those available to parents of a child

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****NOTE: Is a fee required for certification? Does a certification under this paragraph expire? Must a certification under this paragraph be renewed with any frequency? May certification be revoked? For any reason or only for cause?

(END)

2009-2010 DRAFTING INSERT FROM THE LEGISLATIVE REFERENCE BUREAU

1	INSERT 3-8
2	(1) BEHAVIORAL INTERVENTION PLAN. (1) (a) The local educational agency shall
3	ensure that a behavioral intervention plan is prepared for a child with a disability
4	who has not responded to the behavioral approaches specified in the child's
5	individualized education program or who exhibits any of the following behaviors:
6	1. Assaultive or self-injurious behavior.
7	2. Behavior that causes property damage.
8	3. Behavior that significantly interferes with the implementation of the child's
9	individualized education program.
10	INSERT 5-13
(11)	115.787 (2) (i) If required under s. 115.7825, a behavioral intervention plan.
12	INSERT 6-13
(13)	In this subdivision, local educational agency includes the operator of a charter school
14	established under s. 118.40 (2r), the governing body of a private school in which a
15	child with a disability is enrolled as provided in s. 115.77 (1m) (d), and the governing
16	body of a private school participating in the program under s. 119.23.
17	INSERT 8-15
18	(h) "High degree of negligence" means criminal negligence, as defined in s.
19	939.25 (1).
	if the room is located in a school operated in
20	INSERT 18-1 or a charter school established h by the school distric
	INSERT 18-1 or a charter school established he by the school distriction of the department of health services if the room is located in an institution or
20	INSERT 18-1 or a charter school established juby the school district

if the room is located in a Type 1 juvenile correctional facility, as defined in s. 938.02

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(include) school principal or his or her

(19), or a Type 1 prison, as defined in s. 301.01 (5), or if the room is located in a charter school established under s. 118.40 (2r), a private school in which a child with a disability is enrolled as provided in s. 115.77 (1m) (d), or a private school participating in the program under s. 119.23, the state superintendent

INSERT 24-15♠

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1. File a written request for a hearing within one year after the use of physical restraint or a timeout on the pupil. The parent, or the attorney representing the pupil, shall include in the request the name of the pupil, the address of the residence of the pupil, the name of the school the pupil is attending, a description of the nature of the problem of the pupil relating to the use of physical restraint or timeout, including facts relating to the problem, and a proposed resolution of the problem to the extent known and available to the parents at the time.

INSERT 22-16

be attended by the school-based resource person identified under sub. (2), school employees involved in the occurrence, and other persons identified by the school-based resource person. The review shall (address)

INSERT 25-16

(10) CIVIL LIABILITY EXEMPTIONS. (a) Any administrator or school-based resource person identified under sub. (2), who authorizes a school employee certified under this section to use physical restraint or timeout on a pupil as authorized in this section is immune from civil liability for the act of authorization unless it constitutes a high degree of negligence.

School principal or his or her designee

(b) Any administrator, school employee, or school-based resource person identified under sub. (2) who is certified under this section and who uses physical restraint or a timeout on a pupil as authorized in this section is immune from civil

*** NOTE: With whom does the parent like the request for a hearing? By whom is the hearing conducted?

- liability for his or her act or omission in the use of physical restraint or timeout unless
- the act or omission constitutes a high degree of negligence.
- 3 (11) RULE-MAKING AUTHORITY. The department may promulgate rules to administer and implement this section.

2009-2010 DRAFTING INSERT FROM THE LEGISLATIVE REFERENCE BUREAU

1 INSERT 10-5

****NOTE: The definition of school uses the word "includes" rather than "means". Includes is boarder, indicating that the list is not exclusive, whereas "means" is more restrictive. Is that intentional? This question is relevant to the following questions:

1. Did you intend s. 118.305 to apply to a private school not specifically identified in the definition of school, such as a private school in which no children with disabilities are enrolled or which is not participating in the Milwaukee Parental Choice Program?

2. Why is "county children with disabilities education board" (board) included in the definition of "school"? Although the board may operate a school or program, it is not in itself a school, correct?

3. Should the definition of school make reference to an institution or facility operated by DHS or a Type (juvenile correction facility or Type (prison operated by DOC? These institutions are required to seek approval of a timeout room under sub. (6) (b). Note that if any of these particular facilities are included in the definition of school, the terms, "school day" and "school employee" take on much broader meanings. Would you need to modify these definitions?

please

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Please also consider whether the prohibitions on seclusion would need to be modified if these institutions are included in the definition of schools. For that matter, consider whether the provisions of section 118.305 need to be referenced in the chapters governing the treatment of institutionalized pupils by DHS and DOC.

INSERT 21-21

****NOTE: Should county children with disabilities education boards be required to submit annual reports under this paragraph? What about an institution or facility operated by DHS or a Type Diuvenile correction facility or Type Oprison operated by DOC?

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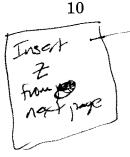
2. Within one year after the use of physical restraint or timeout on the pupil,

INSERT 24-17

- or, in the case of a pupil attending a charter school established under s. 118.40 (2r),
- attending a private school in which a child with a disability is enrolled as provided
- 8 in s. 115.77 (1m) (d), or attending a private school participating in the program under
- 9 s. 119.23, with the state superintendent

INSERT 24-20

****NOTE: May the parent of a pupil who is not a child with a disability and who attends a charter school under s. 118.40 (2r), a private school in which a child with a disability is enrolled as provided in s. 115.77 (1m) (d), or a private school participating in the MPCP, appeal the determination made by the state superintendent? If so, to whom?



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****NOTE: With whom or what entity does the parent of a child attending school in an institution or facility operated by DHS or a Type juvenile correction facility of a Type prison operated by DOC file a complaint?

Insert Z:

this material to page this insert at the location warked wi Insert 2

This Whote: Minascation subsection provides for the parameter parent of achild with a disdrilly for whom a behavioral intervention plan has been prepared to file a request for a heaving or a written complaint. This subsection also provides for the parent of a child who is not disabled to file a request for a heaving or a written complaint. What about the gravent of a child with a disability for whom no behavioral intervention plan has been prepared? Which paragraphs (a) or (b) should the parent of this child we to file a request for a heaving or a written complaint?



State of Wisconsin 2009 - 2010 LEGISLATURE

LRB-2425/P2 TKK:cjs:md

PRELIMINARY DRAFT - NOT READY FOR INTRODUCTION

1	$AN\ ACT\ \textit{to\ amend}\ 115.797\ (1)\ (a),\ 115.80\ (1)\ (a)\ 2.,\ 115.80\ (2m)\ (a)\ and\ 115.80\ (2m)$
2	(e); and <i>to create</i> 115.76 (13m), 115.76 (16m), 115.7825, 115.787 (2) (i), 115.80
3	(1) (a) 1m. and 118.305 of the statutes; relating to: the use of physical
4	restraints and timeouts in schools.
	Analysis by the Legislative Reference Bureau This is a preliminary draft. An analysis will be provided in a later version. For further information see the state and local fiscal estimate, which will be printed as an appendix to this bill.
	The people of the state of Wisconsin, represented in senate and assembly, do enact as follows:
5	SECTION 1. 115.76 (13m) of the statutes is created to read:
6	115.76 (13m) "Physical restraint" has the meaning given in s. $118.305(1)(L)$.
7	SECTION 2. 115.76 (16m) of the statutes is created to read:
8	115.76 (16m) "Timeout" has the meaning given in s. $118.305(1)(r)$.

Section 3. 115.7825 of the statutes is created to read:

identified under subd. 1.

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1	115.7825 Behavioral intervention plan and functional behavioral
2	assessment. (1) Behavioral intervention plan. (a) The local educational agency
3	shall ensure that a behavioral intervention plan is prepared for a child with a
4	disability who has not responded to the behavioral approaches specified in the child's
5	individualized education program or who exhibits any of the following behaviors:
6	1. Assaultive or self-injurious behavior.
7	2. Behavior that causes property damage.
8	3. Behavior that significantly interferes with the implementation of the child's
9	individualized education program.
10	(b) A behavioral intervention plan prepared under this subsection shall include
11	all of the following:
12	1. Baseline information about the behaviors of the child that are of a type
13	described in par. (a) 1. to 3. obtained through the functional behavioral assessment
14	conducted under sub. (2).
15	2. Intervention strategies to be used to minimize the occurrence of each
16	behavior identified in subd. 1.
17	3. Recommendations for teaching and reinforcing appropriate alternative and
18	adaptive behaviors.
19	4. Criteria to determine the effectiveness of the interventions under subd. 2.
20	and the alternative and adaptive behaviors under subd. 3. The criteria under this
21	subdivision shall measure the frequency, duration, and intensity of each behavior

5. A schedule for monitoring and reporting on the implementation and

effectiveness of the plan prepared under this subsection. The monitoring required

under this subdivision shall identify any indirect or collateral effects of the use of

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aversive interventions on the child with a disability, including increases in aggressive or escape behaviors, health-related effects, and emotional reactions.

- 6. If given as provided under par. (c), parental consent, in writing, to use physical restraint or timeout. I meets of the see //4.305(4)()
- (c) A behavioral intervention plan may not authorize the use of physical restraint or timeout on a child with a disability unless the child's parent consents in writing as provided under this paragraph. The local educational agency shall provide the parent with a copy of the written consent and shall retain a copy of the written consent in its records for the child. Consent under this paragraph is valid for 12 months beginning on the date on which consent is given unless withdrawn by the parent of the child. A parent may withdraw consent at any time for any reason. To obtain consent under this paragraph, the local educational agency shall do all of the following:
- 1. Provide the parent with specific, complete, and accurate information in the parent's native language about all of the following:
 - a. The benefits of authorizing the use of physical restraint or timeout.
 - b. The manner in which physical restraint or timeout may be administered.
- c. Any expected side effects or risks of side effects from the use of physical restraint or timeout.
- d. Alternative behavioral management techniques that may be used prior to or instead of physical restraint or timeout.
- e. Any possible or probable consequences of withholding consent to use physical restraint or timeout.
- f. The period for which consent is effective and the fact that the parent may withdraw consent at any time for any reason.

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SECTION 3

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2. Provide the parent with sufficient time to review and consider the information presented under subd. 1. and to ask questions before requesting the parent to give consent.

- (2) Functional behavioral assessment to be conducted for each child with a disability for whom a behavior intervention plan is required under sub. (1). The functional behavioral assessment shall establish a baseline measure of the child's behaviors that are of a type described in sub. (1) (a) 1. to 3. and shall contain all of the following information:
 - 1. A description of each behavior in concrete terms.
- 2. The frequency and duration of each behavior described in subd. 1. and the manner in which the behavior changes in intensity over the course of the day or with changes in variables including the child's activity or setting or the presence of others.
- 3. An identification of the contextual, cognitive, and affective factors that contribute to each behavior described in subd. 1. wellie 2
- 4. A hypothesis describing the purpose the behavior described in subd. 1. serves for the child, the conditions under which the behavior described in subd. 1. usually occurs, and the probable actions or inactions of others that serve to perpetuate the behavior, provided in sufficient detail that the hypothesis may form the basis for recommendations to include in a behavioral intervention plan prepared under sub. (1).
- (b) A functional behavioral assessment conducted under this section shall be based on multiple sources of data, including information obtained from direct observation of the child with a disability by the child's teachers and related service

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providers, information obtained from the child and the child's parent, and a review of the child's pupil records.

SECTION 4. 115.787 (2) (i) of the statutes is created to read:

115.787 (2) (i) If required under s. 115.7825, a behavioral intervention plan.

Section 5. 115.797 (1) (a) of the statutes is amended to read:

115.797 (1) (a) "Dispute" means any disagreement between parties concerning the proposal or refusal to initiate or change the evaluation, individualized education program or educational placement of a child with a disability or the provision of a free appropriate public education to or the use of physical restraint or timeout on such a child. "Dispute" includes any such disagreement between parties that arises before the filing of a request for a hearing under s. 115.80 or in which other processes, including a hearing under s. 115.80 or litigation, have been requested or commenced.

Section 6. 115.80 (1) (a) 1m. of the statutes is created to read:

115.80 (1) (a) 1m. A parent, or the attorney representing the child, may file a written request for a hearing within one year after the use by the local educational agency of physical restraint or timeout on the parent's child, except that, if the local educational agency has not previously provided the parent or the attorney representing the child with notice of the right to request a hearing under this subdivision, he or she may file a request under this subdivision within one year after the local educational agency provides the notice. The division shall develop a model form to assist parents in filing a request under this subdivision.

SECTION 7. 115.80 (1) (a) 2. of the statutes is amended to read:

115.80 (1) (a) 2. The parent, or the attorney representing the child, shall include in the request under subd. 1. or 1m. the name of the child, the address of the residence of the child, the name of the school the child is attending, a description of

the nature of the problem of the child relating to the proposed or refused initiation or change or the use of physical restraint or timeout, including facts relating to the problem, and a proposed resolution of the problem to the extent known and available to the parents at the time.

SECTION 8. 115.80 (2m) (a) of the statutes is amended to read:

115.80 (2m) (a) Except as provided in par. (c), within 15 days of receiving a request for a hearing under sub. (1) (a) 1. or 1m. and before the hearing is conducted, the local educational agency shall convene a meeting with the child's parents and the relevant members of the individualized education program team who have specific knowledge of the facts identified in the hearing request. At the meeting, the child's parents shall discuss the hearing request and the facts that form the basis of the request and the local educational agency may resolve the issues.

SECTION 9. 115.80 (2m) (e) of the statutes is amended to read:

115.80 **(2m)** (e) If the local educational agency does not resolve the issues presented by the hearing request to the satisfaction of the child's parents within 30 days of receipt of the request, the hearing requested under sub. (1) (a) 1. or 1m. may occur.

Section 10. 118.305 of the statutes is created to read:

118.305 Use of positive behavioral interventions and supports and aversive interventions. (1) Definitions. In this section:

- (a) "Aversive intervention" means deliberate action, including physical restraint, seclusion, and timeout, taken by a school employee to establish a negative association between certain behaviors and the deliberate action.
- (b) "Behavioral intervention plan" means a plan developed under s. 115.7825(1).



- (c) "Child" has the meaning given in s. 115.76 (3).
 - (d) "Child with a disability" has the meaning given in s. 115.76 (5).

(e) "Deescalation" means the withdrawal of a stimulus from, or introduction of a stimulus to, a situation in order to cause the situation to be more controlled and calm and less dangerous.

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****NOTE: Is this definition necessary? Deescalate is defined to mean "decrease in intensity, magnitude, amount, or the like." The definition proposed for de-escalation by the drafting instruction seems more limited. For example, is the withdrawal or presentation of a stimulus the only way to bring a situation into control? Would it be acceptable to use "deescalate" (defined not in the bill but using the common dictionary definition) wherever "deescalation" is proposed to be used?

- (f) "Emergency" means a situation in which it is necessary to control a pupil's spontaneous or unpredictable behavior when that behavior poses a clear and present danger of serious physical harm to the pupil or to others and cannot be immediately controlled by a less restrictive technique than the one used by a school employee certified under sub. (4) (c). "Emergency" does not include a situation in which a pupil uses profanity or threatens physical harm to himself or herself or others unless the pupil demonstrates a means of carrying out the threat.
- (g) "High degree of negligence" means criminal negligence, as defined in s. 939.25 (1).
 - (h) "Individualized education program" has the meaning given in s. 115.76 (9).
 - (i) "Local educational agency" has the meaning given in s. 115.76 (10).
- (j) "Mechanical restraint" means a device that restricts a pupil's freedom of movement or normal access to a portion of his or her body and that the pupil cannot easily remove. "Mechanical restraint" does not include a protective or stabilizing device that is prescribed by a health care professional for a child with a disability in accordance with the child's individualized education program.
 - (k) "Parent" has the meaning given in s. 115.76 (12).

- (L) "Physical restraint" means a restriction imposed by a person that immobilizes or reduces the ability of a pupil to freely move his or her arms, legs, or head. "Physical restraint" does not include briefly holding a pupil to calm or comfort the pupil, holding a pupil's hand or arm to escort the pupil safely from one area to another, or intervening in a fight.
- (m) "Positive behavioral interventions and supports" means a set of evidence-based practices used to organize teaching and learning environments and experiences for a pupil which facilitate the pupil's successful self-awareness, self-management, and engagement with others and with the learning process.
- (n) "School" includes a public school, a charter school, a private school in which a child with a disability is enrolled as provided in s. 115.77 (1m) (d), a private school participating in the program under s. 119.23, and a county children with disabilities education board.

****NOTE: The definition of school uses the word "includes" rather than "means." Includes is broader, indicating that the list is not exclusive, whereas "means" is more restrictive. Is that intentional? This question is relevant to the following questions:

- 1. Did you intend s. 118.305 to apply to a private school not specifically identified in the definition of "school," such as a private school in which no children with disabilities are enrolled or which is <u>not</u> participating in the Milwaukee Parental Choice Program?
- 2. Why is "county children with disabilities education board" (board) included in the definition of "school"? Although the board may operate a school or program, it is not in itself a school, correct?
- 3. Should the definition of "school" make reference to an institution or facility operated by DHS or a Type 1 juvenile correction facility or Type 1 prison operated by DOC? These institutions are required to seek approval of a timeout room under sub. (6) (b). Note that if any of these particular facilities are included in the definition of "school," the terms, "school day" and "school employee" take on much broader meanings. Would you need to modify these definitions?

Please also consider whether the prohibitions on seclusion would need to be modified if these facilities are included in the definition of "school." For that matter, please consider whether the provisions of section 118.305 need to be referenced in the chapters governing the treatment of institutionalized pupils by DHS and DOC.

(o) "School day" means any day during which a pupil is in attendance at a school or a school-sponsored event or program.

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(p) "School employee" includes a person who is under contract with a school. 1 (q) "Seclusion" means a behavioral control technique that involves placing a 2 pupil in a setting from which the pupil is incapable of leaving. 3 (r) "Timeout" means a behavioral management technique administered by a 4 school employee that involves the separation of a pupil from his or her class and the 5 is not a classroom placement of the pupil in a timeout room 6 (s) "Timeout room" means an enclosed setting used for timeout and from which a pupil is capable of leaving. **(2)** SCHOOL-BASED RESOURCE ON POSITIVE BEHAVIORAL INTERVENTIONS AND SUPPORTS. (a) Each school shall identify at the beginning of each school year at least 10 one school employee who shall serve as a school-based resource on positive 11 The employee identified under this behavioral interventions and supports. 12 paragraph shall do all of the following: 13 14 1. Maintain certification under sub. (4) (c). 2. Complete the advanced training under par. (b). 15 3. Assist other school employees to implement positive behavioral 16 interventions and supports and safely and appropriately administer physical 17 restraint and timeout as out Joth in this get that section 18 19 (b) The department shall establish by rule an advanced, evidence-based training program to be completed by the school employees identified under par. (a). 20 21 The department shall certify in writing a school employee who successfully 22 completes the training program under this paragraph and demonstrates proficiency in the safe, effective, and appropriate use of each behavioral control technique 23 addressed by the training program. 24

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- (3) SECLUSION AND USE OF CERTAIN SUBSTANCES PROHIBITED; PROHIBITED TYPES AND USES OF PHYSICAL AND MECHANICAL RESTRAINT. (a) No school employee may use seclusion on a pupil.
- (b) No school employee may release noxious, toxic, caustic, or otherwise unpleasant substances near the pupil.
- (c) No school employee may use physical restraint on a pupil if the physical restraint does any of the following:
 - 1. Fails to give adequate attention and care to the pupil's head.
- 2. Places pressure or weight on, or causes the compression of, the chest, lungs, sternum, diaphragm, back, or abdomen of the pupil.
 - 3. Obstructs the pupil's circulation or the ability of the pupil to breathe.
 - 4. Intentionally causes pain.
 - 5. Subjects the pupil to ridicule, humiliation, or emotional trauma.
- (d) No school employee may use physical restraint or timeout to punish a pupil, for the convenience of the employee or other school employees, or because there is an insufficient number of school employees, and no school employee may threaten the use of physical restraint or timeout to obtain a pupil's cooperation or otherwise obtain control over a pupil's behavior.
- (e) No school employee may use a therapeutic device intended for physical therapy or occupational therapy as a mechanical restraint.
- (f) No school employee may use a mechanical restraint unless the school within which the school employee works is located within a mental health or correctional facility or is a residential care center for children and youth, as defined in s. 48.02 (15d).

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	1	(4) PHYSICAL RESTRAINT AND TIMEOUT AUTHORIZED: TRAINING AND CERTIFICATION
	2	REQUIRED. (a) No school employee may use physical restraint on a pupil or impose
?: algo	3	or supervise timeout unless that employee has been trained and certified by the
induse of	4	department under this subsection.
PROT. "?	5	(b) The department shall establish by rule an evidence-based training program
	6	that includes instruction in all of the following:

- 1. Positive behavioral interventions and supports, alternatives to the use of physical restraint and timeout, and techniques for relationship building, the deescalation of problem behaviors, crisis prevention, and crisis intervention.
- 2. The safe, effective, and appropriate use of timeout, including instruction in all of the following:
 - a. How to identify an emergency that may indicate the need for timeout.
- b. Methods for evaluating the risk or danger of physical harm in specific situations in order to determine whether timeout is warranted.
 - c. The effect of timeout on a pupil.
- d. Reporting requirements when timeout is used.
 - e. Procedures for investigating and resolving complaints regarding the use of timeout.
 - 3. The safe, effective, and appropriate administration of physical restraint, including instruction in all of the following:
- a. How to identify an emergency that may indicate the need for the use of physical restraint.
 - b. Methods for evaluating the risk of harm in specific situations in order to determine whether the use of physical restraint is warranted.
 - c. The effects of the use of physical restraint on a pupil.

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- d. Reporting requirements when physical restraint is used. 1 e. Procedures for investigating and resolving complaints regarding the use of 2 3 physical restraint. (c) The department shall certify in writing a person who successfully completes 4 the training program required under this subsection and demonstrates proficiency 5 in the safe, effective, and appropriate use of each behavioral control technique 6 addressed by the training program. Certification under this subsection shall be valid 7 for a period not to exceed 24 months. The department shall renew the certification 8 of any person who requests renewal and meets the requirements of this subsection. 9 (5) Use of physical restraint. (a) 1. Before any school employee may use 10 physical restraint on any pupil, the school principal or his or her designee shall 11 provide the pupil's parent with a description of any physical restraint that might be 12 13 used. 2. The use of physical restraint shall require the presence of at least 2 school 14 employees certified under sub. (4) (c). 15 (b) A school employee certified under sub. (4) (c) may, after reviewing and 16 considering any known medical, developmental, or psychological limitations of a 17 pupil, use physical restraint on that pupil only in an emergency and only if at least 18 one of the following applies: 19 Other less intrusive interventions, including positive behavioral 20 21
 - interventions and supports, constructive, non-physical deescalation, and restructuring of the pupil's school environment, have failed or been deemed inappropriate.
 - 2. For a child with a disability whose individualized education program includes a behavioral intervention plan, the child's individualized education

- program permits the use of physical restraint and the child's parent has consented in writing to the use of physical restraint in the circumstances under which physical restraint is proposed to be used.
 - (c) 1. Except as provided in subd. 2., a school employee who uses physical restraint on a pupil shall ensure that physical restraint is used for no more than the lesser of 15 minutes total or 5 minutes after the pupil ceases the behavior giving rise to the use of physical restraint.
 - 2. If the school employee determines that the use of physical restraint on a pupil may need to exceed 15 minutes, he or she shall communicate with the school-based resource person identified under sub. (2), who shall evaluate the need for extending the use of physical restraint on the pupil and obtain the approval of the school principal or his or her designee prior to authorizing the school employee to extend the use of physical restraint beyond 15 minutes. The school employee shall comply with any directives issued by the school principal or his or her designee concerning the continued use of physical restraint.
 - (d) A school employee who uses physical restraint on a pupil who uses sign language or an augmentative mode of communication as the pupil's primary mode of communication shall permit the pupil to have his or her hands free of physical restraint for brief periods throughout the duration of the use of physical restraint for the purpose of communication, unless the school employee determines that such freedom appears likely to result in harm to the pupil or to others.
 - (6) Use of timeout. (a) 1. A school employee certified under sub. (4) (c) may, after reviewing and considering any known medical, developmental, or psychological limitations of a pupil who is not a child with a disability, use timeout on that pupil only if other less intrusive interventions, including positive behavioral interventions

and supports, constructive, non-physical deescalation, and restructuring of the pupil's school environment, have failed or been deemed inappropriate.

- 2. A school employee certified under sub. (4) (c) may, after reviewing and considering any known medical, developmental, or psychological limitations of a pupil who is a child with a disability, use timeout on that pupil only if both of the following apply:
- a. Other less intrusive interventions, including positive behavioral interventions and supports, constructive, non-physical deescalation, and restructuring of the pupil's school environment, have failed or been deemed inappropriate.
- b. The pupil's individualized education program includes a behavioral intervention plan, the pupil's individualized education program permits the use of timeout, and the child's parent has consented in writing to the use of timeout in the circumstances under which timeout is proposed to be used.
- (b) 1. No school employee may use a room as a timeout room unless the room has been approved for that use by the school district if the room is located in a school operated, or a charter school established, by the school district, the department of health services if the room is located in an institution or facility operated by the department of health services, the department of corrections if the room is located in a Type 1 juvenile correctional facility, as defined in s. 938.02 (19), or a Type 1 prison, as defined in s. 301.01 (5), or the state superintendent if the room is located in a charter school established under s. 118.40 (2r), a private school in which a child with a disability is enrolled as provided in s. 115.77 (1m) (d), or a private school participating in the program under s. 119.23. The school district, department of health services, department of corrections, or department of public instruction shall

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1	require as a condition for approval that a photograph of the room be submitted with
2	the request for approval. No room may be approved as a timeout room unless the
3	room satisfies all of the following:
4	a. It is free of fixtures, electrical outlets, exposed wiring or other objects that
5	could be used by the pupil to harm himself or herself or others and is designed so that
6	the pupil cannot climb up or upon the walls.
7	b. It has an area of at least 48 square feet and a ceiling height that is comparable
8	to the height of the surrounding room or rooms.
9	c. It complies with all state and county fire and safety codes and is equipped
10	with adequate lighting and ventilation.
11	d. It affords the school employee with the means to hear and see the pupil at
12	all times.
13	e. If the timeout room is fitted with a door, the door either has a solid, wood core
14	construction or is constructed of steel.
15	2. Before a school employee may place a pupil in a timeout room identified
16	under subd. 1., the school principal or his or her designee shall provide the parent
17	of the pupil with an opportunity to see and enter the room.
18	(c) 1. Except as provided in subd. 2., archool employee who uses timeout on a
19)	pupil shall ensure that timeout is used for no more than the lesser of 15 minutes total
20	or 5 minutes after the pupil ceases presenting the behavior or behaviors giving rise
21	to the use of the timeout.
22	2. If the school employee determines that the use of timeout on a pupil may need
23	to exceed 15 minutes, he or she shall communicate with the school-based resource
24	person identified under sub. (2), who shall evaluate the need for extending the use
25	of the timeout and obtain the approval of the school principal or his or her designee

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- prior to authorizing the school employee to extend the use of the timeout beyond 15 minutes. The school employee shall comply with any directives issued by the school principal or his or her designee concerning continued use of the timeout.
- (7) PARENTAL NOTIFICATION AND REPORTING FOLLOWING USE OF PHYSICAL RESTRAINT OR TIMEOUT. (a) The school principal or his or her designee shall make reasonable efforts to orally notify the parent of a pupil when any of the following occur as soon as practicable but in no event later than the end of the school day of the occurrence:
 - 1. Physical restraint has been used on the pupil.
- 2. For a pupil who does not have an individualized education plan, the pupil has been placed in a timeout room.
 - 3. The pupil has been placed in a timeout room for more than 15 minutes.
- 4. The pupil has been placed in a timeout room 2 or more times within any 3-hour period.
- (b) The school employee who uses physical restraint or timeout in any of the occurrences identified in par. (a) shall, within 24 hours after the occurrence, submit a written report containing the following information to the school principal or his or her designee, which report shall be retained by the school for review by the department:
 - 1. The date, time, and duration of the use of physical restraint or timeout.
- 2. A description of the actions of the pupil before, during, and after the occurrence, including a discussion of the pupil's feelings about and reactions to the occurrence.
- 3. A description of any other relevant events preceding the use of the physical restraint or timeout, including the justification for initiating the use of physical restraint or timeout.

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4. The names of the school employees involved in the occurrence. 1 5. A description of the actions of the school employees under subd. 4. before, $\mathbf{2}$ during, and after the occurrence, including a discussion of the reactions of the school 3 4 employees to the occurrence. 6. A description of any interventions used prior to the use of the physical 5 restraint or timeout. 6 7. If physical restraint was used, a description of the physical restraint used, 7 including any hold used and the reason the hold was necessary. 8 8. A log of the pupil's behavior during the use of the physical restraint or 9 timeout, including a description of any interaction between the pupil and the school 10 employees. 11 12 A description of any injuries sustained by, and any medical care administered to, the pupil, school employees, or others before, during, or after the use 13 of the physical restraint or timeout. 14 10. A description of any property damage associated with the occurrence. 15 11. A description of future actions to be taken to control the pupil's problem 16 behaviors. 17 12. The name and position of the school employee completing the report. 18 (c) The school principal or his or her designee shall, within 24 hours after the 19 occurrence of any of the events identified under par. (a), send or transmit by 1st class 20 mail or electronic mail or facsimile transmission to the pupil's parent the information 21 contained in the report prepared under paragraph (b). Each report prepared under 22 this paragraph shall be retained by the school for review by the department. 23

(d) Annually and upon the request of the department, each school district, the

operator of each charter school, the governing body of each private school in which

of each such timeout.

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1	a child with a disability is enrolled as provided in s. 115.77 (1m) (d), the governing
2	body of each private school participating in the program under s. 119.23, and each
3	local educational agency shall submit to the department a written report containing
4	a summary of the occurrences identified in par. (a) for which a report was prepared
5	under par. (b). The information reported under this paragraph shall include all of
6	the following: ****Note: Should county children with disabilities education boards be required to submit annual reports under this paragraph? What about an institution or facility operated by DHS or a Type 1 juvenile correction facility or Type 1 prison operated by DOC?
7	1. The name of the school at which the occurrence occurred.
8	2. The name of the school employees present before, during, and after the
9	occurrence.
10	3. The number of occurrences involving a child with a disability.
11	4. The duration of the use of physical restraint for each occurrence involving
12	the use of physical restraint.

5. The number of timeouts for which a report was required and the duration

6. The number and a description of any injuries related to each occurrence.

7. Evidence that the parent of each pupil on whom physical restraint or timeout

(8) PROCEDURES FOR REVIEW OF USE OF PHYSICAL RESTRAINT AND TIMEOUT. (a) Each

school district, each local educational agency, each operator of a charter school, the

governing body of each private school in which a child with a disability is enrolled

as provided in s. 115.77 (1m) (d), and the governing body of each private school

participating in the program under s. 119.23 shall establish a procedure for

reviewing each occurrence identified in sub. (7) (a). The parent of a pupil on whom

was used was notified as required under this subsection.

- physical restraint or timeout was used shall be notified in writing of the date, time, and location of the review at least 10 days before the review is to be held and invited to attend the review.
 - (b) A review conducted under this subsection shall be attended by the school-based resource person identified under sub. (2), school employees involved in the occurrence, and other persons identified by the school-based resource person. The review shall include all of the following:
 - 1. The review by school employees involved in the occurrence of the circumstances before, during, and after the use of physical restraint or timeout to determine all of the following:
 - a. Whether proper procedures were followed and whether procedures need to be modified in future occurrences.
 - b. Whether alternative strategies for controlling the situation were utilized.
 - c. Whether the parent of the pupil was notified as required under sub. (7) (a).
 - d. The need for communication with or counselling of any pupil who witnessed the use of physical restraint or timeout.
 - 2. For a pupil who is not a child with a disability, all of the following:
 - a. A review of the effectiveness of the use of the physical restraint or timeout. Upon completion of the review under this subd. 2. a., the school employees involved in the occurrence shall work with the parent of the pupil, the school-based resource person, and other appropriate persons to prepare a written individual behavior plan for the pupil that provides for the use of other, specified interventions or the continued use of the intervention used previously.
 - b. A determination as to whether the pupil should be evaluated under s. 115.782.

(c) The individualized education program team of any child with a disability
on whom physical restraint or timeout was used in an occurrence described in sub.
(7) (a) shall, within 21 days after the occurrence, convene in the manner provided
under s. 115.787 (4) to review the pupil's individualized education program and
behavioral intervention plan. The pupil's parent shall be notified in writing of the
date, time, and place of a meeting required under this paragraph at least 10 days
prior to the meeting. A meeting required under this paragraph shall include all of
the following:
1. A review of the pupil's written progress, monitoring, and incident reports and
the report prepared under sub. (7) (b) following the occurrence.

- 2. If appropriate, interviews with the pupil.
- 3. Concerns regarding the individualized education program and behavioral intervention plan of the pupil's parent.
- 4. A review and discussion of any indirect or collateral effects of the use of aversive interventions on the pupil, including increases in aggressive or escapist behaviors, health-related effects, or emotional reactions.
- 5. If a functional behavioral assessment has not been prepared for the pupil within the preceding 12 months, the completion of a functional behavioral assessment.
- (9) COMPLAINTS. (a) A parent of a child with a disability for whom a behavioral intervention plan has been prepared may do any of the following:
- 1. File a written request for a hearing regarding the use of physical restraint or timeout on the child in the manner provided under s. 115.80 (1) (a) 1m. and 2.

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- 2. Within one year after the use of physical restraint or timeout on the parent's child, file a written complaint regarding the school's compliance with this section with the state superintendent.
- (b) The parent of a pupil who is not a child with a disability may do any of the following:
- 1. File a written request for a hearing/within one year after the use of physical restraint or a timeout on the pupil. The parent, or the attorney representing the pupil, shall include in the request the name of the pupil, the address of the residence of the pupil, the name of the school the pupil is attending, a description of the nature of the problem of the pupil relating to the use of physical restraint or timeout, including facts relating to the problem, and a proposed resolution of the problem to the extent known and available to the parents at the time.

****Note: With whom does the parent of a non-disabled pupil file the request for noA:

a hearing? By whom is the hearing conducted?

Dearing? Within one year after the use of physical restraint or timeout on the pupil,

2. Within one year after the use of physical restraint or timeout on the pupil, file a written complaint regarding the use of physical restraint or timeout on the pupil with the school district or, in the case of a pupil attending a charter school under s. 118.40 (2r), attending a private school in which a child with a disability is enrolled as provided in s. 115.77 (1m) (d), or attending a private school participating in the program under s. 119.23, with the state superintendent. The school district or state superintendent shall respond to the complaint within 15 days. The parent may, within 30 days after the determination of a school district under this subdivision, appeal the determination of the school district to the state superintendent. The state superintendent shall review the school district's compliance with this section.

****Note: This subsection provides for the parent of a child with a disability for whom a behavioral intervention plan has been prepared to file a request for a hearing or a written complaint. This subsection also provides for the parent of a child who is not

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disabled to file a request for a hearing or a written complaint. What about the parent of a child with a disability for whom no behavioral intervention plan has been prepared? Which paragraph, (a) or (b), should the parent of this child use to file a request for a hearing or a written complaint?

****Note: May the parent of a pupil who is not a child with a disability and who attends a charter school under s. 118.40 (2r), a private school in which a child with a disability is enrolled as provided in s. 115.77 (1m) (d), or a private school participating in the MPCP, appeal the determination made by the state superintendent? If so, to whom?

****Note: I am still not clear about the purpose of this subsection. What procedure must be followed once a hearing is requested? What do you mean when you refer to the "determination of the [school district]" under paragraph (b)? What is the school district being asked to determine under paragraph (b)? What result or relief may be obtained following a hearing or from the complaint? If a parent appeals a determination by the school district, what remedy may the state superintendent provide other than the review of the school district's compliance with proposed s. 118.305?

****Note: With whom or with what entity does the parent of a child attending school in an institution or facility operated by DHS or a Type 1 juvenile correction facility, of a Type 1 prison operated by DOC file a complaint?

(10) CIVIL LIABILITY EXEMPTIONS. (a) Any school principal or his or her designee or school-based resource person identified under sub. (2), who authorizes a school employee certified under sub. (2) or (4) to use physical restraint or timeout on a pupil as authorized in this section is immune from civil liability for the act of authorization unless it constitutes a high degree of negligence.

- Any school principal or his or her designee, school employee, or school-based resource person identified under sub. (2) who is certified under sub. (2) or (4) and who uses physical restraint or a timeout on a pupil as authorized in this section is immune from civil liability for his or her act or omission in the use of physical restraint or timeout unless the act or omission constitutes a high degree of negligence.
- (11) RULE-MAKING AUTHORITY. The department may promulgate rules to administer and implement this section.

(END) 14

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Individual

Jeff Spitzer-Resnick

From: Dianne Greenley

Sent: Monday, July 27, 2009 4:44 PM

To: Jeff Spitzer-Resnick

Subject: timeout

I think that what we want is for timeout without consent to be used only in an emergency situation. Timeout with consent can be used in non-emergencies. The drafting gets a bit tricky since we also wanted timeout and restraint, even with consent, to be subjected to the requirements of less intrusive intervention, deescalation, etc. So (5)(b) and (6)(a) both need to be rewritten. Perhaps if just convey the intent to the drafter, she can figure out how to do it.

Dianne

Kuczenski, Tracy

From: Jeff Spitzer-Resnick [spitznick@drwi.org]

Sent: Monday, August 24, 2009 2:28 PM

To: Kuczenski, Tracy

Subject: Federal regulations-complaints

Per our conversation, take a look at 34 C.F.R. § 300.151-300.153, for the federal regulations on how states are supposed to administer complaints.

Thanks for another good meeting.

Jeff Spitzer-Resnick Disability Rights Wisconsin