

2009 DRAFTING REQUEST

Assembly Amendment (AA-AB850)

Received: 04/05/2010

Received By: **agary**

Wanted: **As time permits**

Companion to LRB:

For: **Peter Barca (608) 266-5504**

By/Representing: **Carla McDonald**

May Contact:

Drafter: **agary**

Subject: **Fin. Inst. - securities**

Addl. Drafters:

Extra Copies:

Submit via email: **YES**

Requester's email: **Rep.Barca@legis.wisconsin.gov**

Carbon copy (CC:) to: **aaron.gary@legis.wisconsin.gov**

Pre Topic:

No specific pre topic given

Topic:

Limiting term political subdivision for purposes of institutional investor exemption

Instructions:

Companion to LRBa1926/1, per Paula McGuire

Drafting History:

<u>Vers.</u>	<u>Drafted</u>	<u>Reviewed</u>	<u>Typed</u>	<u>Proofed</u>	<u>Submitted</u>	<u>Jacketed</u>	<u>Required</u>
/?	agary 04/05/2010	nmatzke 04/05/2010		_____			
/1			mduchek 04/05/2010	_____	cduerst 04/05/2010	cduerst 04/05/2010	

FE Sent For:

<END>

2009 DRAFTING REQUEST

Assembly Amendment (AA-AB850)

Received: 04/05/2010

Received By: agary

Wanted: As time permits

Companion to LRB:

For: Peter Barca (608) 266-5504

By/Representing: Carla McDonald

May Contact:

Drafter: agary

Subject: Fin. Inst. - securities

Addl. Drafters:

Extra Copies:

Submit via email: YES

Requester's email: Rep.Barca@legis.wisconsin.gov

Carbon copy (CC:) to: aaron.gary@legis.wisconsin.gov

Pre Topic:

No specific pre topic given

Topic:

Limiting term political subdivision for purposes of institutional investor exemption

Instructions:

Companion to LRBa1926/1, per Paula McGuire

Drafting History:

<u>Vers.</u>	<u>Drafted</u>	<u>Reviewed</u>	<u>Typed</u>	<u>Proofed</u>	<u>Submitted</u>	<u>Jacketed</u>	<u>Required</u>
/?	agary	/1 nwn 4/5	ND 4/5	_____	_____		

FE Sent For:

<END>



State of Wisconsin
2009 - 2010 LEGISLATURE

TODAY

a 2009 / 1
LRBa 10207
ARG:nwn:ph

RMNR

Assembly
SENATE AMENDMENT,
Assembly
TO 2009 SENATE BILL ~~604~~ 850

Comparison - no changes

1 At the locations indicated, amend the bill as follows:

2 1. Page 1, line 1: delete "defining political".

3 2. Page 1, line 2: delete "subdivision of a state for purposes of" and substitute
4 "limiting the institutional investor securities transaction exemption for certain
5 school district employee benefit plans under".

6 3. Page 2, line 1: delete lines 1 to 3 and substitute:

7 "SECTION 1m. 551.102 (11) (g) of the statutes is amended to read:

8 551.102 (11) (g) A plan established and maintained by a state, a political
9 subdivision of a state, or an agency or instrumentality of a state or a political
10 subdivision of a state for the benefit of its employees, if the plan has total assets in
11 excess of \$10,000,000 or its investment decisions are made by a duly designated
12 public official or by a named fiduciary, as defined in the Employee Retirement Income
13 Security Act of 1974, that is a broker-dealer registered under the Securities

1 Exchange Act of 1934, an investment adviser registered or exempt from registration
2 under the Investment Advisers Act of 1940, an investment adviser registered under
3 this chapter, a depository institution, or an insurance company. For purposes of this
4 paragraph, “political subdivision of a state” does not include a school district.

5 (END)