

☞ 09hr_SC-CFWD_Misc_pt04



Details: Informational Hearing, 8/19/2009, re: Community Block Grants

(FORM UPDATED: 08/11/2010)

WISCONSIN STATE LEGISLATURE ... PUBLIC HEARING - COMMITTEE RECORDS

2009-10

(session year)

Senate

(Assembly, Senate or Joint)

Committee on ... Children & Families & Workforce Development (SC-CFWD)

COMMITTEE NOTICES ...

- Committee Reports ... **CR**
- Executive Sessions ... **ES**
- Public Hearings ... **PH**

INFORMATION COLLECTED BY COMMITTEE FOR AND AGAINST PROPOSAL

- Appointments ... **Appt** (w/Record of Comm. Proceedings)
- Clearinghouse Rules ... **CRule** (w/Record of Comm. Proceedings)
- Hearing Records ... bills and resolutions (w/Record of Comm. Proceedings)
(**ab** = Assembly Bill) (**ar** = Assembly Resolution) (**ajr** = Assembly Joint Resolution)
(**sb** = Senate Bill) (**sr** = Senate Resolution) (**sjr** = Senate Joint Resolution)
- Miscellaneous ... **Misc**

Children and Families Committee

Public Hearing

Wednesday, August 19th

→ 2009?

- Senate Bill 30 (Robson/Hixson)
 - Repeals current law that allows people under 18 to drink in taverns when accompanied by a parent, guardian or spouse.
 - Testifying for:
 - Judy Robson
 - John Vander Meer from Hixson's office
 - Lisa Maroney from UW Hospital
 - Testifying on behalf of AWARE program. Supportive of legislation, but some members do want age moved to 21 from 18
 - Lisa will also bring with her pediatric professor at UW medical school Dr. Paul Grossberg
 - Joseph Collins: Two Rivers Police Chief

- Senate Bill 257 (Jauch/Grigsby)
 - Removing limitations on emergency assistance grants through TANF to make grant amounts fair for families of varying sizes. In addition, removes limitation that a maximum cannot be established for energy crisis.
 - Testifying for:
 - Bob Jauch
 - Julie Kerksick, Administrator, DCF Division of Family and Economic Security
 - Bob Anderson, Legal Action, WI.

- Community Services Development Block Grant Plan
 - Greg Markle, DCF Grant Specialist
 - Millie Rounsville—Northwest Community Action Program

Melissa Huggins
345-0996

Dr. Miller
from
Meriter
active
RHS
Newstart
clinic
for drug

Reading: on a...
to meeting of...
Dick Seligson VISEAP
committee meeting
244-4418

Roger Erwin

Children and Families Committee
Public Hearing
Wednesday, August 19th

2004?

- **Senate Bill 30 (Robson/Hixson)**
 - Repeals current law that allows people under 18 to drink in taverns when accompanied by a parent, guardian or spouse.
 - Testifying for:
 - Judy Robson
 - John Vander Meer from Hixson's office
 - Lisa Maroney from UW Hospital
 - Testifying on behalf of AWARE program. Supportive of legislation, but some members do want age moved to 21 from 18
 - Lisa will also bring with her pediatric professor at UW medical school Dr. Paul Grossberg
 - Dr. Miller from Meriter—runs clinic for drug and alcohol abuse and has been involved with AWARE program
 - Joseph Collins: Two Rivers Police Chief. He is leaving Fond du Lac at noon, so may be a little late

- **Senate Bill 257 (Jauch/Grigsby)**
 - Removing limitations on emergency assistance grants through TANF to make grant amounts fair for families of varying sizes. In addition, removes limitation that a maximum cannot be established for energy crisis.
 - Testifying for:
 - Bob Jauch
 - Julie Kerksick, Administrator, DCF Division of Family and Economic Security
 - Bob Anderson, Legal Action, WI.

- **Community Services Development Block Grant Plan**
 - Greg Markle, DCF Grant Specialist
 - ~~Millie Rounsville—Northwest Community Action Program~~

Dick Schlimm
WISCAP

Mike Borertz

Pres. of WISCAP

Mary Potoka - Steven's Point
CAP Services



422 West Third Street
Suite 200
P.O. Box 616
Ashland, WI 54806
Phone: 715.682.9141
FAX: 715.682.9181
V/TDD: 715.682.9141
www.nwwib.biz

August 18, 2009

Secretary Roberta Gassman
Wisconsin Department of Workforce Development
P.O. Box 7946
Madison, WI 53707-7946

Dear Secretary Gassman:

The Executive Committee of the Northwest Wisconsin Workforce Investment Board was very disappointed to learn that your office declined our proposal for funding. The Board's request for \$312,912 would train the workforce of the Lac Courte Oreilles Band and increase the capacity of Chippewa Woodcrafters to provide apprentices with valuable skills and work experience.

This proposal does indeed fall within the mandates of the Workforce Investment Act. In the Act's Final Rules and Regulations - 20 CFR, Section §665.210(b)(2) and Section §665.210(g), it states that allowable statewide workforce investment activities include the *development of exemplary programs and carrying out such adult and dislocated worker employment and training activities as the State determines are necessary to assist local areas in carrying out local employment and training activities*. By developing a unique training and apprenticeship model, specifically tied to the culture and community of the Lac Courte Oreilles Band, this request is well within these allowable parameters.

In your letter of July 29th, you encourage us to pursue this effort using our available local funds. And under normal situations, we would gladly do so. However, the current state of the depressed economy has greatly increased the numbers of individuals seeking assistance, especially in Northwest Wisconsin where unemployment averages 11%. We do not have available local funds for such an endeavor. While we are seeking additional match funds beyond those indicated in our original proposal, attempting to leverage funding for the project through as many partners as possible, it will not be possible to implement without generous assistance from your office.

We are aware that the Governor's discretionary funds are limited. However, at the August 4th, 2009 meeting of the Joint Committee on Finance, the Governor requested \$1,500,000, for 2009-10, from state discretionary funds received under the federal Workforce Investment Act (WIA) provisions of the American Recovery and Reinvestment Act (ARRA). This 1.5 million dollars has been allocated to the Milwaukee Area Workforce Investment Board. The Legislative Fiscal Bureau paper provided for this request (agenda item XIV) indicated that DWD estimated a total **\$1.0 million** in unobligated ARRA discretionary funds would remain after the allocation. While limited, it appears substantial

Meeting the Workforce Development Needs of Northwest Wisconsin

funding does exist, certainly adequate for our \$312,912 request. We are open to discussing any funding arrangement that may be possible.

The apprenticeships at Chippewa Woodcrafters are ready to begin at any time. This project would be the first of its kind in the nation-- a true partnership between the State, the Tribal Government, the local Workforce Investment Board, and the Wisconsin Indianhead Technical College. It defines the term "exemplary program" noted in the Workforce Investment Act.

The Executive Committee and the Strategic Directions Committee of the Northwest Wisconsin Workforce Investment Board respectfully requests that you re-evaluate your position and commit funding to this proposal. We look forward to your favorable response.

Yours sincerely,

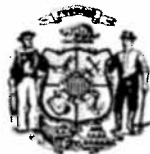
A handwritten signature in cursive script, reading "Leroy Forslund". To the right of the signature, the initials "MF" are written in a smaller, blocky font.

Leroy Forslund
Chairman
Northwest Wisconsin Workforce Investment Board, Inc.

Cc Rep. Gary Sherman
Senator Robert Jauch
Bryce Luchterhand, Governor's Northern Office
Rachelle Ashley, DWD
Bill Cadotte, Chippewa Woodcrafters
Louis Taylor, LCO Tribal Governing Board Chairman
Karen Morgan, Bureau of Apprenticeship Standards



Jim Doyle
Governor



201 East Washington Avenue, Room G200
P.O. Box 8916
Madison, WI 53708-8916

Reggie Bicha
Secretary

State of Wisconsin
Department of Children and Families

Telephone: 608-267-3905
Fax: 608-266-6836
dcf.wisconsin.gov

August 19, 2009

Thank you Chairperson Jauch and members of the Committee for allowing me to testify today on the Community Services Block Grant State Plan for Federal Fiscal Year 2010. I am Gregory Markle, a Grant Specialist in the Department of Children and Families with over ten years of poverty initiatives and grant management experience. The Department of Children and Families is required by federal law to convene a legislative hearing every three years in conjunction with the development of the State Plan.

I'd like to take this opportunity to first thank Wisconsin's Community Action Agencies for all the creative and innovative work they do for low-income children and families in Wisconsin. Community Action Agencies have a rich and long history in working to help individuals become self-sufficient and for advocating for our most vulnerable families. They have brought tremendous positive change for low-income children and families over the years.

I would also like to thank the Wisconsin Community Action Program Association (WISCAP) for their leadership in making issues of poverty a priority and bringing to the forefront the plight of low-income individuals and families.

The Department of Children and Families administers the Community Services Block Grant (CSBG). Every year, the Department is required to submit to the federal government a State Plan describing how we intend to allocate the CSBG.

The purpose of CSBG is to provide assistance to a network of community action agencies and other community-based organizations to alleviate poverty and create opportunities for individuals and families. Although CSBG is a block grant to the states, its strength lies in the fact that it is a community-driven program.

There are sixteen Community Action Agencies in Wisconsin that engage their communities in the implementation of local programs funded through Community Service Block Grants. Each agency serves a specific region of the State. All counties other than Ozaukee and Washington are served through Community Action Agencies receiving CSBG funding.

In 2008, Wisconsin's Community Action Agencies used over \$7 million in CSBG to mobilize more than \$172 million in federal, state, local and private resources to help over 250,000 low-income people work toward attaining economic self-sufficiency. Five thousand people were assisted in securing emergency housing, 115,000 people were provided with emergency food, and nearly five hundred people started their own business or improved their employment through assistance provided by CSBG recipient agencies. CSBG continues to be a vital resource, assisting low-income individuals and families in Wisconsin get out of poverty.

According to federal law, 90% of the Community Services Block Grant must be used to fund eligible entities. In Wisconsin the eligible entities are the 16 Community Action Agencies

and United Migrant Opportunity Services (UMOS), which serves the migrant and seasonal farm worker population. UMOS receives 4% of the CSBG allocation and the Community Action Agencies receive 86% of the funds. These funds are distributed on the basis of the number of low-income people at 200% of the federal poverty level in their service area. In addition, Wisconsin's eleven Tribes receive 4% of the allocation, 4% is used for state administration and 2% is used to fund Limited Purpose agencies, which include the Foundation for Rural Housing and the Coalition of Wisconsin Aging Group. The Limited Purpose agencies must provide statewide services in the areas of health, housing or education.

Agencies receiving Community Service Block Grant funds are accountable for their funds through a variety of means. Wisconsin's Community Action Agencies (CAA) voluntarily participate in an outcome-focused reporting system. This system, called ROMA (Results Oriented Management and Accountability) focuses on what CSBG grantees are doing to move families out of poverty. Each Agency also completes an annual report which describes all the individuals receiving services from the agency. In addition, each CAA identifies the sources of its funding and how those funds were spent. This information is then aggregated to obtain a statewide picture, and then aggregated again at the national level to obtain a nationwide picture of those served by this network.

Prior to the funding year, each CAA submits an annual plan to DCF. It then enters into a contract for the CSBG funds. Each CAA submits a six month and an annual program progress review that compares actual results and outcomes with those projected in the contract. Each CAA has an on-site monitoring visit from DCF every three years and is required to undergo an annual comprehensive financial audit performed by an independent CPA.

CAAs are also accountable to their communities. In addition to having a community-based board, every three years each CAA must undergo a comprehensive needs assessment to identify barriers to self-sufficiency that face low-income people in their community. The process includes surveys of low-income households, focus groups, and a review of other community needs assessment. Based on this survey, three year goals and a one year work-plan are developed and approved by the Board. This is one of the things that make CAAs and the CSBG so unique; this bottom up planning allows local agencies to identify and prioritize areas of need in their communities, and develop locally designed solutions rather than relying on a one size fits all federal or state program. While the poverty problems in Milwaukee, Eau Claire or Junction City might be similar, solutions are often unique to each community.

In response to local needs, and in accordance with the 2010 State Plan, agencies are focusing resources on helping impoverished community members:

- Obtain and retain employment
- Budget and access resources effectively
- Attain and maintain adequate housing
- Become empowered to participate in improving their community

Wisconsin is very fortunate to have a long history of involvement from Community Action Agencies in meeting the needs of our most vulnerable citizens. That history and experience, combined with the creativity of the local boards, the flexibility of CSBG funds, and the best efforts of many local volunteers, has continuously resulted in positive outcomes in both the short and long term for the people of Wisconsin.

Thank you again Chairperson Jauch and Committee members for the opportunity to testify on the Community Services Block Grant Federal Fiscal Year 2010 State Plan.

WISCONSIN STATE APPLICATION AND PLAN

For Administration of the

COMMUNITY SERVICES BLOCK GRANT

Draft FFY 2010

Prepared by Gregory Markle
Wisconsin Department Children and Families
Division of Prevention and Service Integration
Office of Prevention Initiatives
201 East Washington Avenue, Second Floor
P.O. Box 8916
Madison, WI 53708-8916

DOCUMENT DIGEST

Wisconsin Department of Children
and Families

Document Title:
COMMUNITY SERVICES BLOCK GRANT
APPLICATION
FEDERAL FISCAL YEAR 2010

III. Executive Summary

Abstract:

This document is the State of Wisconsin's plan for allocation of Federal Fiscal Year (FFY) 2010 funding under the Community Services Block Grant (CSBG), a program re-authorized under the Coats Human Services Reauthorization Act of 1998. This plan is administered by the Wisconsin Department of Children and Families (DCF), Division of Prevention and Service Integration, Office of Prevention Initiatives, and was developed with input from citizens including low-income persons, staff and volunteers of human service agencies and provider groups, tribal members and elected and appointed officials.

The purpose of CSBG is to empower people and communities to overcome the effects of poverty and to support their progress toward greater self-sufficiency. Towards this goal, 86 percent of Wisconsin's total estimated allocation of \$8,466,369.00 for Federal Fiscal Year (FFY) 2010 will be distributed by formula to sixteen Community Action Agencies (CAAs), and four percent will be allocated to a statewide agency serving immigrants, refugees and migrant farm workers. Each of CAAs is a not-for-profit corporation or social development commission that provides direct services to low-income individuals, families and communities within a specific geographic territory. Direct services provided by these agencies include employment training, housing assistance, small business development, transportation assistance, affordable housing development, parenting and family support, emergency food and clothing, and special projects for child and youth development. Each organization conducts a comprehensive needs assessment within its service territory and tailors its programs and services to meet those identified needs. Programming for the 2010 FFY is based upon needs determined during the 2007 tri-annual needs assessment. Each CAA is governed by its own tripartite board of directors consisting of a minimum of one-third representatives of the poor in the geographic area served, one-third elected public officials or their designees from that area, and one-third representatives representing public and private institutions that serve the CAAs' communities.

In addition to funding the 17 CAAs, Wisconsin also allocates four percent of CSBG funding to the state's eleven federally-recognized Indian tribes. Another four percent is granted to serve migrant populations and two percent is designated for funding of Limited Purpose Agencies (LPAs), each of which has a specific constituency and provides direct services to individuals, families and communities. LPAs are governed by boards of directors that have membership comprised of at least 50 percent low-income individuals or persons who can effectively represent the needs and interests of low-income citizens.

Deleted: 6

Finally, the State of Wisconsin utilizes up to 5% of total funding as administrative dollars within DCF for the purpose of administering the CSBG program statewide, and to support the work of the Wisconsin Community Action Program Association (WISCAP), a membership organization that provides technical assistance, training and support to agencies receiving CSBG funds. The state CSBG Grant Specialist works closely with WISCAP leadership and membership on initiatives and strategies that further Community Action goals and objectives.

Comment [GJM1]: Although I have changed to include the percentage here, I don't feel it fits well here and is described in the break out bullets at the end of the page.

As authorized, not less than 90 percent of the funds made available to the State of Wisconsin are used to make grants for the purposes of the CSBG subtitle. Distribution of these dollars among CAAs is calculated on a per capita basis, multiplying by 0.86 the total Wisconsin CSBG grant allocation, and then dividing that sum by the number of persons living within each respective agency service area whose incomes are at or below 125 percent of the federal poverty level (as described by 2000 census data).

Below is the distribution formula for CSBG funds allocated to Wisconsin.

- CAAs – at least 86%
- Tribal governments - 4%

- Y United Migrant Opportunity Services (UMOS) – up to 4%
- Y Limited Purpose Agencies – up to 2%
- Y State Administration and WISCAP – up to 5%

Comment [GJM2]: >(Actually we should not list who they are at this point because we want to hold consistency. We don't list all the CAAs here either. This is distribution of the formula not distribution to individual agencies.)

A. Statutory Authority

Wisconsin State Statutes, Chapter 46, Sections 46.30 thru 46.30 (4)(d) describe Community Action Agencies and Limited Purpose Agencies and the powers and duties to be carried out by these agencies under the legislative authority of the Community Services Block Grant 1998 reauthorization.

B. Designation of Lead State Agency

Beginning as of July, 2008, The Department of Children and Families became the distribution agency of CSBG funding received under 42 USC 9901 et seq. under s. 46.30(4), which is appropriated under s. 20.435(3)(mc) and (md) (Federal block grant operations). A report to the legislature concerning the activities of the Community Action Agencies under s. 46.30 is required annually under s. 46.014(4). (Please see the attached letter from the Governor of the State of Wisconsin, delegating administrative authority to the Department,)

Deleted: ,

C. Public Hearing Requirements

The following describes Wisconsin's compliance with legislative hearing requirements of the CSBG Act regarding the State Application and Plan:

(1) Public Hearing:

The State of Wisconsin held a public hearing in conjunction with the legislative hearing on August 19, 2009 to seek input on this application and plan. In addition, the State of Wisconsin will work with grant receiving agencies to widely distribute draft plan for comment. (Please see attached the required public posting of the Legislative Hearing and submitted testimony attached)

Deleted: 12

(2) Legislative Hearing:

On August 19, 2009, the Senate Committee on Children, Families and Workforce Development will hold a legislative hearing to gain public input on and provide for a review of Community Service Block grant programming and plan. (Please see the attached Open Meeting Notice, the required public posting prior to the legislative hearing.)

Deleted: 12

(3) Public Inspection of the State Plan:

Public inspection of the plan was made available through notice of the legislative hearing to the Community Action Agencies, Limited Purpose Agencies, statewide community action association, the state's three largest newspapers, the legislature and administrative offices in the State Capitol, and at the Department of Children and Families. Copies of the plan were also available at the hearing. (Please see attached written comments on the plan.)

Comment [GJM3]: (There may be no changes to the next 4 pages as they have to comply exactly with federal language.)

IV. Statement of Federal and CSBG Assurances

The State of Wisconsin, by submission of this application [as required by subsection 675A] for the period of Federal Fiscal Year 2010, and attachment of the designee signatures [as required by subsection 676(b) of the Community Services Block Grant Act, as amended, (42 U.S. C. 9901 et seq.)], agrees to the following required Assurances for the Community Services Block Grant.

A. Programmatic Assurances

- (1) Funds made available through this grant or allotment will be used:
 - (a) To support activities that are designed to assist low-income families and individuals, including families and individuals receiving assistance under part A of Title IV of the Social Security Act (42 U.S.C. 601 et seq.), homeless families and individuals, migrant or seasonal farm workers, and elderly low-income individuals and families to enable the families and individuals to:
 - (i) remove obstacles and solve problems that block the achievement of self-sufficiency (including self-sufficiency for families and individuals who are attempting to transition off a State program carried out under part A of Title IV of the Social Security Act);
 - (ii) secure and retain meaningful employment;
 - (iii) attain an adequate education, with particular attention toward improving literacy skills of low-income families in the communities involved, which may include carrying out family literacy initiatives;
 - (iv) make better use of available income;
 - (v) obtain and maintain adequate housing and a suitable living environment;
 - (vi) obtain emergency assistance through loans, grants, or other means to meet immediate and urgent family and individual needs; and
 - (vii) achieve greater participation in the affairs of the communities involved, including the development of public and private grassroots partnerships with local law enforcement agencies, local housing authorities, private foundations, and other public and private partners to document best practices based on successful grassroots intervention in urban areas, to develop methodologies for widespread replication; and strengthen and improve relationships with local law enforcement agencies, which may include participation in activities such as neighborhood or community policing efforts;
 - (b) To address the needs of youth in low-income communities through youth development programs that support the primary role of the family, give priority to the prevention of youth problems and crime, and promote increased community coordination and collaboration in meeting the needs of youth, and support development and expansion of innovative community-based youth development programs that have demonstrated success in preventing or reducing youth crime, such as programs for the establishment of violence-free zones that would involve youth development and intervention models (such as models involving youth mediation, youth mentoring, life skills training, job creation, and entrepreneurship programs); and after-school child care programs; and
 - (c) To make more effective use of, and to coordinate with, other programs (including State welfare reform efforts). [676(b)(1)]
- (2) To describe how the State intends to use discretionary funds made available from the remainder of the grant or allotment described in Section 675C(b) of the Act in accordance with the Community Services Block Grant program, including a description of how the State will support innovative community and neighborhood-based initiatives related to the purposes of the Community Services Block Grant program; [676(b)(2)]
- (3) To provide information provided by eligible entities in the State, including:

- (a) a description of the service delivery system, for services provided or coordinated with funds made available through grants made under Section 675C(a) of the Act, targeted to low-income individuals and families in communities within the State;
 - (b) a description of how linkages will be developed to fill identified gaps in services, through the provision of information, referrals, case management, and follow-up consultations;
 - (c) a description of how funds made available through grants made under Section 675(a) will be coordinated with other public and private resources; and,
 - (d) a description of how local entities will use the funds to support innovative community and neighborhood-based initiatives related to the purposes of the Community Services Block Grant, which may include fatherhood initiatives and other initiatives with the goal of strengthening families and encouraging effective parenting. [676(b)(3)]
- (4) To ensure that eligible entities in the State will provide, on an emergency basis, for the provision of such supplies and services, nutritious foods, and related services, as may be necessary to counteract conditions of starvation and malnutrition among low-income individuals. [676(b)(4)]
 - (5) That the State and the eligible entities in the State will coordinate, and establish linkages between, governmental and other social services programs to assure the effective delivery of such services to low-income individuals and to avoid duplication of such services, and State and the eligible entities will coordinate the provision of employment and training activities in the State and in communities with entities providing activities through statewide and local workforce investment systems under the Workforce Investment Act of 1998; [676(b)(5)]
 - (6) To ensure coordination between antipoverty programs in each community in the State, and ensure, where appropriate, that emergency energy crisis intervention programs under Title XXVI (relating to low-income home energy assistance) are conducted in such communities. [676(b)(6)]
 - (7) To permit and cooperate with Federal investigations undertaken in accordance with Section 678D of the Act. [676(b)(7)]
 - (8) That any eligible entity in the State that received funding in the previous fiscal year through a Community Services Block Grant under the Community Services Block Grant program will not have its funding terminated under this subtitle, or reduced below the proportional share of funding the entity received in the previous fiscal year unless, after providing notice and an opportunity for a hearing on the record, the State determines that cause exists for such termination or such reduction, subject to review by the Secretary as provided in Section 678C(b) of the Act. [676(b)(8)]
 - (9) That the State and eligible entities in the State will, to the maximum extent possible, coordinate programs with and form partnerships with other organizations serving low-income residents of the communities and members of the groups served by the State, including religious organizations, charitable groups, and community organizations. [676(b)(9)]
 - (10) To require each eligible entity in the State to establish procedures under which a low-income individual, community organization, or religious organization, or representative of low-income individuals that considers its organization, or low-income individuals, to be inadequately represented on the board (or other mechanism) of the eligible entity to petition for adequate representation. [676(b)(10)]
 - (11) To secure from each eligible entity in the State, as a condition to receipt of funding, a community action plan (which shall be submitted to the Secretary, at the request of the Secretary, with the State plan) that includes a community needs assessment for the community served, which may be coordinated with community needs assessments conducted for other programs; [676(b)(11)]

- (12) That the State and all eligible entities in the State will, not later than fiscal year 2001, participate in the Results Oriented Management and Accountability System, another performance measure system for which the Secretary facilitated development pursuant to Section 678E(b) of the Act. [676(b)(12)]
- (13) To provide information describing how the State will carry out these assurances. [676(b)(13)] (Please see the Narrative CSBG State Plan)

B. Administrative Assurances

The State further agrees to the following, as required under the Act:

- (1) To submit an application to the Secretary containing information and provisions that describe the programs for which assistance is sought under the Community Services Block Grant program prepared in accordance with and containing the information described in Section 676 of the Act. [675A(b)]
- (2) To use not less than 90 percent of the funds made available to the State by the Secretary under Section 675A or 675B of the Act to make grants to eligible entities for the stated purposes of the Community Services Block Grant program and to make such funds available to eligible entities for obligation during the fiscal year and the succeeding fiscal year, subject to the provisions regarding recapture and redistribution of unobligated funds outlined below. [675C(a)(1) and (2)]
- (3) In the event that the State elects to recapture and redistribute funds to an eligible entity through a grant made under Section 675C(a)(1) when unobligated funds exceed 20 percent of the amount so distributed to such eligible entity for such fiscal year, the State agrees to redistribute recaptured funds to an eligible entity, or require the original recipient of the funds to redistribute the funds to a private, nonprofit organization, located within the community served by the original recipient of the funds, for activities consistent with the purposes of the Community Services Block Grant program. [675C (a)(3)]
- (4) To spend no more than the greater of \$55,000 or 5 percent of its grant received under Section 675A or the State allotment received under section 675B for administrative expenses, including monitoring activities. [675C(b)(2)]
- (5) In states with a charity tax credit in effect under state law, the State agrees to comply with the requirements and limitations specified in Section 675(c) regarding use of funds for statewide activities to provide charity tax credits to qualified charities whose predominant activity is the provision of direct services within the United States to individuals and families whose annual incomes generally do not exceed 185 percent of the poverty line in order to prevent or alleviate poverty among such individuals and families. [675(c)]
- (6) That the lead agency will hold at least one hearing in the State with sufficient time and statewide distribution of notice of such hearing, to provide to the public an opportunity to comment on the proposed use and distribution of funds to be provided through the grant or allotment under Section 675A or 675B for the period covered by the State plan. [676(a)(2)(B)]
- (7) That the chief executive officer of the State will designate, an appropriate State agency for purposes of carrying out State Community Services Block Grant program activities. [676(a)(1)]
- (8) To hold at least one legislative hearing every three years in conjunction with the development of the State plan. [676(a)(3)]
- (9) To make available for the public inspection each plan or revised State plan in such a manner as will facilitate review of and comment on the plan. [676(e)(2)]
- (10) To conduct the following reviews of eligible entities:
 - (a) full onsite review of each such entity at least once during each three-year period;
 - (b) an onsite review of each newly designated entity immediately after the completion of

- the first year in which such entity receives funds through the Community Services Block Grant program;]
- (c) follow-up reviews including prompt return visits to eligible entities, and their programs, that fail to meet the goals, standards, and requirements established by the State;
 - (d) other reviews as appropriate, including reviews of entities with programs that have had other Federal, State or local grants (other than assistance provided under the Community Services Block Grant program) terminated for cause. [678B(a)]
- (11) In the event that the State determines that an eligible entity fails to comply with the terms of an agreement or the State plan, to provide services under the Community Services Block Grant program or to meet appropriate standards, goals, and other requirements established by the State (including performance objectives), the State will comply with the requirements outlined in Section 678C of the Act, to:
- (a) inform the entity of the deficiency to be corrected;
 - (b) require the entity to correct the deficiency;
 - (c) offer training and technical assistance as appropriate to help correct the deficiency, and submit to the Secretary a report describing the training and technical assistance offered or stating the reasons for determining that training and technical assistance are not appropriate;
 - (d) at the discretion of the State, offer the eligible entity an opportunity to develop and implement, within 60 days after being informed of the deficiency, a quality improvement plan and to either approve the proposed plan or specify reasons why the proposed plan cannot be approved;
 - (e) after providing adequate notice and an opportunity for a hearing, initiate proceedings to terminate the designation of or reduce the funding to the eligible entity unless the entity corrects the deficiency. [678(C)(a)]
- (12) To establish fiscal controls, procedures, audits and inspections, as required under Sections 678D(a)(1) and 678D(a)(2) of the Act.
- (13) To repay to the United States amounts found not to have been expended in accordance with the Act, or the Secretary may offset such amounts against any other amount to which the State is or may become entitled under the Community Services Block Grant program. [678D(a)(3)]
- (14) To participate, by October 1, 2001, and ensure that all-eligible entities in the State participate in the Results-Oriented Management and Accountability (ROMA) System [678E(a)(1)].
- (15) To prepare and submit to the Secretary an annual report on the measured performance of the State and its eligible entities, as described under 678E(a)(2) of the Act.
- (16) To comply with the prohibition against use of Community Services Block Grant funds for the purchase or improvement of land, or the purchase, construction, or permanent improvement (other than low-cost residential weatherization or other energy-related home repairs) of any building or other facility, as described in Section 678F(a) of the Act.
- (17) To ensure that programs assisted by Community Services Block Grant funds shall not be carried out in a manner involving the use of program funds, the provision of services, or the employment or assignment of personnel in a manner supporting or resulting in the identification of such programs with any partisan or nonpartisan political activity or any political activity associated with a candidate, or contending faction or group, in an election for public or party office; any activity to provide voters or prospective voters with transportation to the polls or similar assistance with any such election, or any voter registration activity. [678F(b)]
- (18) To ensure that no person shall, on the basis of race, color, national origin or sex be excluded from participation in, be denied the benefits of, or be subjected to discrimination under, any program or activity funded in whole or in part with Community Services Block

Grant program funds. Any prohibition against discrimination on the basis of age under the Age Discrimination Act of 1975 (42 U.S.C. 6101 et seq.) or with respect to an otherwise qualified individual with a disability as provided in Section 504 of the Rehabilitation Act of 1973 (29 U.S.C. 12131 et seq.) shall also apply to any such program or activity. [678F(c)]

- (19) To consider religious organizations on the same basis as other non-governmental organizations to provide assistance under the program so long as the program is implemented in a manner consistent with the Establishment Clause of the first amendment to the Constitution; not to discriminate against an organization that provides assistance under, or applies to provide assistance under the Community Services Block Grant program on the basis that the organization has a religious character; and not to require a religious organization to alter its form of internal government except as provided under Section 678B or to remove religious art, icons, scripture or other symbols in order to provide assistance under the Community Services Block Grant program. [679]

C. Other Administrative Certifications

The State also certifies the following:

- (1) To provide assurances that cost and accounting standards of the Office of Management and Budget (OMB Circular A-110 and A-122) shall apply to a recipient of Community Services Block Grant program funds.
- (2) To comply with the requirements of Public Law 103-227, Part C Environmental Tobacco Smoke, also known as the Pro-Children Act of 1994, which requires that smoking not be permitted in any portion of any indoor facility owned or leased or contracted for by an entity and used routinely or regularly for the provision of health, day care, education, or library services to children under the age of 18 if the services are funded by a Federal grant, contract, loan or loan guarantee. The State further agrees that it will require the language of this certification be included in any sub-awards, which contain provisions for children's services and that all sub-grantees shall certify accordingly.

Reginald L. Bicha, Secretary, Department of Children and Families

Date

Wisconsin Department of Children and Families

Designated Lead Agency

V. The Narrative Plan for the State of Wisconsin

A. Administrative Structure

(1) The State Administrative Agency

The **Wisconsin Department of Children and Families** combines more than 30 programs formerly housed in the Department of Health and Family Services and the Department of Workforce Development. The Department of Children and Families including child welfare, Milwaukee Child Welfare, domestic violence, child care regulation and licensing, Independent Living, and Kinship Care all part of the new Department. Also included in the new Department are State of Wisconsin W-2/TANF, child support and the Wisconsin Shares child care subsidy program.

Deleted: From
Deleted: are also included in the new Department.
Deleted: the Department of Workforce Development,

The Community Service Block Grant program is administered by the Office of Prevention Initiatives within the Department of Children and Families. This Office focuses on ensuring effective management of funds for the betterment of family's living conditions and the prevention of child abuse and neglect. The Office includes Brighter Futures Initiative, Home Visiting Program, Safe and Drug Free Schools and the program of prevention of Runaway and Homeless Youth. Grant Specialist Advanced, Gregory Markle provides oversight to the CSBG program based on his over ten years of federal grant management experience as a State employee and previously as a chief executive officer of a community service agency.

The mission of the Department of Children and Families is to promote the economic and social well-being of Wisconsin's children and families. The Department is committed to protecting children, strengthening families, and building communities.

Our five overarching goals are:

1. Children are nurtured, safe and engaged
2. Enhance prevention and early intervention efforts throughout Wisconsin
3. Families will have access to quality early care and education
4. Parents will secure and maintain meaningful jobs
5. Fathers will be more engaged in the lives of their children

Within the Department of Children and Families, CSBG funding is administered by the Office of Prevention Initiatives. The Office administers federal grants and other resource toward prevention initiatives. The Offices provides synergy between agencies receiving CSBG funding and the mission of the Department of Children and Families. These efforts will focus on the prevention of conditions that impact the safety, security and care for children and the wellbeing of families.

Deleted: will be
Deleted: will
Deleted: will

Goals and Objectives: In keeping with this mission, Department of Children and Families (DCF) administers the Community Services Block Grant (CSBG) funding from the U.S. Department of Health and Human Services according to the provisions of the Community Opportunities Accountability, and Training and Education Services Act of 1998, or the "Coats Human Services Reauthorization Act of 1998."

Programs carried out through the state's network (of Community Action Agencies, Limited Purpose Agencies and tribal nations and bands), and delivered under the authority of the CSBG, are for the purpose of reducing poverty, empowering and revitalizing low-income communities, and empowering low-income families and individuals in urban and rural areas to overcome the effects of poverty and attain self-sufficiency. In Wisconsin, all CSBG activities will support one or more of these goals:

Comment [GJM4]: Goals designated by Federal 1998 COATS ACT. Wording lifted in tact from Act. Changes recommended provide no significant adjustment in the meaning of text. I recommend maintaining uniformity with COATS Act.

1. Strengthen community capabilities for planning and coordinating the use of a broad range of federal, state, local and other resources related to the elimination of poverty, so that programs are responsive to local needs and conditions;
2. Organize a range of services related to the needs of low-income families and individuals, so that these services may have a measurable impact on the causes of poverty in the community and help families and individuals achieve self-sufficiency;
3. Make greater use of innovative and effective, community-based approaches to addressing the causes and effects of poverty;
4. Maximize participation of residents of low-income communities and members of groups served to empower them to respond to the unique problems and needs within their communities;
5. Broaden the resource base of programs directed to the elimination of poverty to secure a more active role in the provision of services for private, faith-based, charitable and neighborhood-based organizations, and individual citizens, and business, labor, and professional groups, who are able to influence the quantity and quality of opportunities and services for the poor.
6. Expand access to effective local and statewide self-sufficiency programs operated by CAAs and Limited Purpose Agencies (LPAs) in order to more fully address the needs of low-income persons in the state.
7. Require applicants to clearly demonstrate how low-income, female-headed families, minority populations, children, pregnant adolescents, homeless families, migrants and the elderly poor will be served.
8. Support strengthened case management as an integral part of service delivery. Applicants must also identify how individual and/or family case management will be implemented as an overall agency strategy.
9. Increase statewide participation in an outcome-based performance measurement system through on-going technical assistance and training as necessary to the CAAs, LPAs, the WISCAP and state staff to meet the requirements of Results Oriented Management Accountability (ROMA).
10. Provide funds to limited purpose agencies (LPAs) to address the needs of Wisconsin children and families.
11. Provide nutrition, housing, health and other crisis relief for low-income persons in need.
12. Maintain the historical local initiative process requiring CAAs to identify and prioritize local problems and inform that process by conducting a needs assessment of low-income persons in their service areas.
13. Support and enhance CAAs' development of and participation in programs that effectively and efficiently serve low-income individuals.
14. Assure compliance with all federal and state regulations and directives through statewide monitoring activities. DCF will continue to monitor CSBG grantees to assure compliance with all contractual objectives and to assess the effectiveness of local agencies in meeting their stated objectives for ameliorating the causes and affects of poverty in their service area.
15. Expand CSBG funded services statewide to address the population of low-income persons in need who are unserved by CAAs in the state. Expansion of existing CAAs shall be given priority over creation of new CAAs. In any county of the state, not presently served by a CAA, the state may decide to serve an unserved county by:

Comment [GJM5]: UMOS is considered a Statewide CAP agency and not an LPA.

Comment [GJM6]: There is no expansion of agencies with this funding.

- requesting an existing CAA which is located and provides services in a county contiguous to the unserved county to serve the unserved county; or
- if no existing CAA is located and/or provides services in a county contiguous to the unserved county, a request will be made to the CAA located closest to the unserved county or an existing CAA within reasonable proximity of the unserved county to provide CAA services; or
- where an existing CAA declines to serve the unserved county, creation of a new CAA shall be possible under State Statute 46.30(2).

To undertake such action, the CSBG Grant Specialist may convene a meeting between the counties, the Wisconsin Community Action Program Association (WISCAP), and any WISCAP members interested in expansion to explain the process if needed. Expansion counties and community action agencies must submit a joint letter of intent by October 31st, 2009, in order to be eligible for expansion funding during the next year. Any community action agency wishing to provide CSBG funded services in a new county must include a plan and budget for the new county in its annual application. Specific activities to occur in the new county must be identified. A county board resolution designating that agency as the CAA provider in that community must also be included.

Formatted: Superscript

V. Narrative Plan for Wisconsin's Community Service Block Grant FFY 2010 (continued)

A. (2) Administrative Structure: Eligible Entities, Areas Served and Distribution of Funds

AGENCY	FFY 2009 FUNDING	Counties Served*
Advocap, Inc.	\$296,908	Fond du Lac, Winnebago & Green Lake
CAP Services, Inc.	\$346,648	Portage, Waupaca, Outagamie, Waushara & Marquette
Central Wisconsin Community Action Council, Inc.	\$259,307	Adams, Columbia, Dodge, Juneau & Sauk
Community Action Coalition for South Central Wisconsin, Inc.	\$835,008	Dane, Jefferson & Waukesha
Community Action of Rock and Walworth Counties	\$306,528	Rock, Walworth
Indianhead Community Action Agency	\$219,990	Burnett, Washburn, Sawyer, Rusk, Taylor & Clark
Lakeshore Community Action Program (Lakeshore CAP)	\$241,432	Door, Kewaunee, Manitowoc & Sheboygan
Northeast Wisconsin Community Action Program (NEWCAP)	\$584,271	Brown, Shawano, Oconto, Menominee, Langlade, Oneida, Vilas, Forest, Florence & Marinette
North Central Community Action Program (NCCAP)	\$262,921	Marathon, Wood & Lincoln
Northwest Community Services Agency (Northwest CSA)	\$177,830	Douglas, Bayfield, Ashland, Iron & Price
Racine/Kenosha Community Action Agency	\$435,751	Racine & Kenosha
Community Relations - Social Development Commission	\$2,131,980	Milwaukee
Southwest CAP	\$205,369	Richland, Iowa, Grant, Lafayette & Green
Western Central Community Action Agency (WestCAP)	\$378,036	Chippewa, Dunn, Pepin, Pierce, St. Croix, Polk & Barron
Western Dairyland Economic Opportunity Council	\$246,214	Trempealeau, Buffalo, Eau Claire & Jackson
Couleecap, Inc.	\$352,642	La Crosse, Monroe, Vernon & Crawford
Foundation for Rural Housing	\$102,988	Statewide
Coalition of Wisconsin Aging Groups	\$46,189	Statewide
United Migrant Opportunity Services	\$338,621	Statewide
Tribes	\$338,621	Statewide
TOTAL	\$ 8,107,254	Direct services to individuals and families

*Of the 72 counties in Wisconsin, 69 have been designated by county governments as "capped" by Community Action agencies, but all counties are currently being served in at least one program area by at least one CAA.

B. Description of Criteria and Distribution Formula

Community Action Agencies (CAAs) will receive at least 86 percent of the total funding based on a formula derived from the number of persons at or below 125 percent of poverty (per county in the CAA area of service) according to the 2000 census. The formula used for CAAs is based on the number of persons at 125 percent of poverty per county times the per capita dollar allocation. The per capita allocation is determined by multiplying 86 percent times the total state allocation and dividing by the total number of persons with low-incomes who are living at or below 200 percent of the federal poverty level in the CSBG funded counties. Below is the formula breakdown for the distribution of the CSBG in Wisconsin.

- CAAs - 86%
- Tribal governments - 4%
- United Migrant Opportunity Services - 4%
- Limited Purpose Agencies – up to 2%
- State Administration and WISCAP – up to (but not more than) 5%

Among the requirements for receiving continued funding, each local agency must:

- (1) Submit an annual application outlining its plans for use of these funds. Applications must meet all federal requirements of the Coats Human Services Reauthorization Act of 1998 as well as State of Wisconsin requirements for grantees. Applications are based upon agencies' needs assessments and the 2010 applications will be informed by the required three-year comprehensive assessments for the period of 2009-2010. Applications and needs assessments for the upcoming year are due on October 31 of the preceding year. Applications are reviewed and approved prior to authorizing grant awards.
- (2) Sign a contract agreeing to abide by state and federal regulations governing grants and human service programs, including federal restrictions on use of CSBG funds. (The State of Wisconsin imposes no additional restrictions on grantees related to the use of CSBG funds.)
- (3) Provide semi-annual program progress reports and an annual report that contain both narratives and quantified updates of progress on ROMA program outcomes.
- (4) File monthly expense reports, and provide monthly board meeting minutes electronically.
- (5) Participate in quarterly roundtable meetings for exchange of information, discussion of new initiatives, program, policy, and administrative issues.
- (6) Host monitoring visits by the Department of Children and Families monitoring personnel.
- (7) Request authority to carry over unexpended CSBG funds to the following year. Agencies make such requests in writing; the CSBG Grant Specialist reviews the requests and discusses them with the agencies as necessary. If approved, the State amends the current year contract and adds the carry over amount to the subsequent grant year. Funds must be expended within the required federal funding period.

No funds will be transferred from the CSBG to other state or federal programs. Wisconsin plans to obligate all funds. Funds allocated for state administration that remain unexpended at the end of a program year will be carried over and used for administration in the subsequent fiscal year. Funds obligated to eligible entities but unexpended at the end of the obligation period will be used by eligible entities in the subsequent fiscal year, consistent with assurances in Sec.675C (2).

- (8) Abide by the federal restrictions on the use of CSBG funds. (Wisconsin imposes no additional restrictions on the use of CSBG funds.)

Comment [GJM7]: The formula for distribution is still based on the 125% of poverty at the 2000 census. The allowable clients served is increased to 200%.

Comment [GJM8]: This includes LPA so inserting Community Action is not accurate.

Comment [GJM9]: Currently monitoring visits are completed only by the CSBG Grant Specialist. If we cross out (as needed) than that would mean that a DCF Auditor will attend all monitoring visits. Is that what we want?

Deleted: , including the CSBG Grant Specialist and a DCF auditor (as needed)

Deleted:)

Comment [GJM10]: We would have to return funding to the Feds only if funds are not spent in the subsequent year. Funds not spent in 2009 may be carried over to 2010 but must be completely spent in 2010.

Comment [GJM11]: I believe this is boiler plate federal language to assure that we follow any new edict being forwarded by our partners in the Federal Government.

C. Description of Distribution and Use of Restricted Funds

Wisconsin's 16 regional CAAs and United Migrant Opportunity Services (the statewide organization serving seasonal or migrant farm workers) will receive 90% of the Wisconsin's CSBG allocation described as Restricted Funds under 675C(a); these eligible entities and the specific amounts of their allocations are described in the table above (p.11). Each agency has a unique mix of programs and services that are tailored to meet the needs of low-income individuals, families and households within their service areas. There are nearly 300 programs and services throughout Wisconsin that are in some way supported by the CSBG grant, and that serve the needs of low-income individuals and families who are striving to increase their economic well-being. These include approximately:

- 70 programs in the area of housing offering transitional housing and homeless shelters, home ownership programs, design and development of affordable housing, housing rehabilitation, rent payment assistance, Section 8 housing and lead abatement;
- 26 programs and services in energy assistance and weatherization;
- 35 programs promoting food security and related supports (such as community gardens and nutrition education);
- 28 programs advancing economic and business development, including revolving loan programs, financial literacy education, and tax assistance;
- 28 programs providing employment training, literacy skills, and programs that help participants maximize their earnings through improving their job skills;
- 22 programs promoting family planning, early nutrition, dental care, home health care, and substance abuse and mental health treatment;
- 32 programs offering parental and family support, including child care assistance and referral, parenting classes, domestic abuse intervention and victim support, legal services and clothing assistance;
- 30 programs for youth development, including education skills enhancement, mentoring, violence prevention, independent living skills, and Operation Fresh Start and related programs
- 9 programs offering transportation services and/or vehicle purchase and
- 11 programs serving the special needs of seniors.

Though many of these programs are funded in large part by other sources (public, private and earned income), CSBG funds are consistently used by all Wisconsin's eligible entities for research and development of new programs and services, to supplement the cost of services when funding is insufficient to serve the population in need, and to provide leadership for local and regional collaboratives that are vital to support struggling families and strengthen communities. Consequently, CSBG funds are vital to the effective and efficient operation of all grantees and to the success of nearly all of the programs described in this matrix.

In addition to these specific programs, Community Action Agencies and Limited Purpose Agencies play a significant role in organizing, leading and contributing to community collaboratives that strengthen positive outcomes for the individuals and families they serve. These collaborations include those that focus on special populations (such as people who are homeless, low-income elderly, women of reproductive age seeking family planning services, and victims of domestic abuse). Collaborations are also regularly formed and maintained for special projects, such as housing developments, which may include municipalities as well as financial institutions and civic leaders. A list of specific CSBG-supported programs, presented agency-by-agency, is included in the "Community Action Programs and Services" matrix on the following page.

Comment [GJM12]: CSBG funds are at times used for general agency administration and infrastructure that allow for CAAs to administer the weatherization and energy assistance programs. Also, CSBG funds have at times been used when LIHEAP or Weatherization funds were not sufficient. This is probably not the case with the addition ARRA weatherization funding available. This bullet could be removed if you would like.

Deleted: in some way,

CAA Programs & Services

Program	ADVOCAP	CAP Services	CWCAC	CACSCW, Inc.	CA, Inc.	Coalecap	Indianhead	Lakeshore	NEWCAP	North Central	Northwest	R/K CAA	SDC	Southwest	West CAP	WDEOC	CWAG	FRH	UMOS	
Housing																				
Transitional Housing/Homeless Shelters	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*
Rental Property Management/Development	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*
Rent Payment Assistance/Sec 8	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*
Homeownership Programs	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*
Lead Abatement	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*
Housing Revolving Loan Fund	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*
Home Repair Programs	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*
Energy																				
Emergency Fuel Assistance Programs	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*
Weatherization Programs	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*
Food Security/Environmental																				
Emergency Food Assistance	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*
Community Garden Programs	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*
Community Meal Prgrms/Holiday Baskets	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*
Nutrition Education	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*
Economic/Business Development																				
Business Development	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*
Business Revolving Loan Programs	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*
Business Incubators	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*
Financial Literacy Education	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*
Tax Assistance	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*
Education/Skills Enhancement																				
Literacy Skills	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*
Skills Enhancement Program	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*
Employment Training	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*
Physical/Mental Health																				
Women's Health	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*
MAA/MC Programs	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*
AODA/Mental Health	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*
Dental Programs	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*
Home Healthcare	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*
Parenting/Family Support																				
Childcare Care/ Assistance/Referrals	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*
Parenting Classes	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*
Clothing Assistance	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*
Violence Prevention/Victim Services	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*
Legal Services	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*
Youth Services																				
Education Skills	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*
Fresh Start	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*
Mentoring Program	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*
Juvenile Justice/Violence Prevention	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*
Homeless/Runaway Programs	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*
Employment/Livings Skills	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*
Headstart/Child Development																				
Transportation																				
Car Purchase Programs	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*
Senior Services																				

D. Description of Distribution and Use of Discretionary Funds

(1) Support for Tribal nations and bands

Wisconsin provides 4% of its CSBG allocation to its 11 federally-recognized sovereign tribal bands and nations to serve their members and others in need in their communities. These funds are administered through DCF Tribal Affairs staff, in concert with the state's CSBG Grant Specialist, and coordinators of other statewide programs within DCF serving tribal members. The CSBG funds are used in conjunction with resources from other governmental sources to maximize the impact of these investments, and to achieve efficiencies in administration. Each tribe is required to develop a family service plan that addresses the critical elements and requirements of CSBG. The Department approves each plan and works with each tribe to provide any needed technical support. The CSBG Grant Specialist consults regularly with the staff in the DCF Tribal Affairs Office, and meets several times annually with tribal human services staff responsible for administering programs and delivering services. DCF Administration provides consultation to tribal leaders in the preparation of tribal service plans and work collaboratively in the allocation of resources.

(2) Support for Limited Purpose Agencies

Wisconsin provides up to 2% of its CSBG allocation to Limited Purpose agencies (also known as Special Purpose Agencies). In 2009, this funding is dedicated to:

The Foundation for Rural Housing assists low-income families in five significant ways. It helps them obtain adequate, safe and sanitary housing and acquire appropriate water and wastewater services. It enables small communities and local organizations to more effectively address the needs of those with substandard shelter.

The Coalition of Wisconsin Aging Groups assists low-income seniors to determine their best option for health and prescription drug benefits.

Funding to Limited Purpose Agencies provides an opportunity for DCF to target resources toward groups and/or programs that have a specific focus.

E. Description of Use of Administrative Funds

Wisconsin utilizes up to 5% of the state's CSBG allocation to fund administrative support services that strengthen the work of Community Action Agencies and Limited Purpose Agencies. While the Department uses the majority of these for this purpose, it also supports the work of the Wisconsin Community Action Program Association (WISCAP). This association uses its subgrant to coordinate quarterly meetings of agency leadership; to host roundtable discussion sessions in which program and planning staff can discuss issues critical to the success of the state's CSBG-funded programs; and to effectively meeting the needs of low-income individuals, families and households. These forums are vital exchanges of information, best practices, and ideas for program development and service delivery. With the support of administrative funds, the CSBG Grant Specialist works in consultation and collaboration with WISCAP to:

- (a) Provide training and technical assistance to those entities in need of it;
- (b) Coordinate state-operated programs and services targeted to low-income children and families, with services provided by eligible entities and other organizations funded under this subtitle. This includes (but is not limited to) effective communication with appropriate state and local agencies funded under this subtitle to ensure increased access to services;
- (c) Support statewide coordination and communication among eligible entities;
- (d) Analyze the distribution of funds to ensure they have been targeted to greatest need;
- (e) Support innovative programs and activities conducted by Community Action Agencies, Limited Purpose Agencies or other neighborhood-based or community-based organizations to eliminate poverty, promote self-sufficiency, and promote community revitalization; and

Comment [GJM13]: UMOs is a Statewide CAA and the Tribes are funded as a Statewide CAA but are not supported through administration funds as the CSBG funds are mixed into the tribal work plans.





CAP Services

Transforming People and Communities

5499 Highway 10 East, Suite A
Stevens Point, WI 54481-9116
715-343-7500 FAX: 715-343-7520
E-mail: cap@capmail.org
Website: www.capservices.org

**Wisconsin Department of Children and Families
Community Services Block Grant State Plan – Public Hearing
August 19, 2009**

Thank you for the opportunity to provide input on the Community Services Block Grant program in Wisconsin. I am Mary Patoka, President and CEO of CAP Services, Inc. based in Stevens Point. CAP provides a variety of services to residents of Portage, Outagamie, Marquette, Waupaca and Waushara Counties.

These economic times are creating stressors for many in Wisconsin. The loss of jobs has been significant resulting in some from the middle class seeking support and low-income households faced with stretching limited resources even more thinly. Community action agencies have a strong and effective history of addressing the needs of the poor in Wisconsin. Strategies have included both safety net and human and community development approaches to “create a permanent increase in the economic and emotional self-sufficiency” for families. Community action addresses human development but it also addresses community development through affordable and decent housing, job creation and business development, access to health and dental care and more. Both are designed to help families move toward self-sufficiency.

Community action agencies in Wisconsin reflect this full continuum of approaches – possible because of CSBG funding and its framework, including 90% pass through of funds to organizations, local identification of solutions, and active participation of low-income representatives on the Board and planning process. The flexibility of these funds allows the most effective ways address local community needs. CAP Services has used these funds to build its capacity to address poverty problems. Examples of that capacity include:


- The development of a welding and machining training center offering courses in basic skills in Wautoma, in partnership with the local school district, Fox Valley Technical College, Human Services, the Workforce Development Program and local businesses.
- Attracting private funding for CAP’s Skills Enhancement Program, recognized by the Annie E Casey Foundation as a model rural, family strengthening program. In the last 5 years alone, 239 participants have completed their training programs, increasing their average household income by \$12,275, resulting in an economic impact of nearly \$3 million! 70% or more have gained access to employer-sponsored health insurance benefits. While having its origins at CAP Services, other community action agencies in Wisconsin offered this program in 2005-2006 and again beginning in the fall of 2008.
- An \$11 million revolving loan fund (for both housing and business development), offering access to capital for businesses seen as too risky for conventional lenders.
- 462 unit inventory of family, senior and special needs housing, ensuring safe and decent housing for all families and allowing seniors and some with special needs to remain in their community.
- A dental center to serve only BadgerCare clients in Central Wisconsin, some of which haven’t seen a dentist in 10-15 years. No children currently sit on a waiting list.

CAP Services has averaged leveraged funds of more than \$15 in new or competitive funding for each \$1 of CSBG spent for the past 20 years. Leveraged resources also include those that are non-financial. CAP Services, as a matter of course, engages community partners with similar mission and core values. For example, local technical colleges and employers are key partners in CAP's workforce training programs. CAP's housing development initiatives reflect partnerships with county government and human service organizations, municipalities, bankers, and housing authority representatives, to name a few. Faith-based organizations have invested in CAP's revolving loan program to ensure access to affordable capital and housing for those they feel need it the most. All of these partnerships result in maximizing the resources available.

Boards of community action agencies reflect the above-stated concepts as well. They are boards whose members come from the communities served, including low-income representatives, the public and private sectors. This composition reinforces the objectives of working collaboratively with groups with shared core values to maximize the impact of resources applied to the work we do.

Some are tempted to claim CSBG funding for their own purposes. It is critical that not happen. CSBG is designed to meet address local poverty needs, which can shift and change. Requiring the use of funds for any particular group other than community action agencies (and the associated single purpose agencies) runs counter to the pledge to serve those that are often marginalized. Few others can claim the track record of leveraging resources as CAP Services and its sister agencies. CAP Services supports the State CSBG Plan and the continued dedication of resources to lift people in Wisconsin out of poverty.

Thank you.



Mary Patoka,
President and CEO

Attachments:

CAP Services' 2008 Annual Report
CAP Services' Overview



Northwest Wisconsin Community Services Agency, Inc.

SERVING THE COUNTIES OF ASHLAND, BAYFIELD, DOUGLAS, IRON AND PRICE

ADMINISTRATIVE OFFICE
1118 TOWER AVENUE
SUPERIOR, WISCONSIN 54880
Phone: 715-392-5127
Fax: 715-392-5511



Millie Rounsville, *Chief Executive Officer*

August 19, 2009

Senator Robert Jauch, Chair
Senate Committee on Children and Families and Workforce Development
Room 118 South
State Capital
P.O. Box 7882
Madison, WI 53707-7782

Dear Mr. Jauch,

I am writing this letter in support of the Wisconsin State Application and Plan for the Administration of the Community Services Block Grant. As you are aware, Northwest Wisconsin Community Services Agency Inc. (NWCSA) is a sub recipient of these funds providing vital services to low income and disadvantaged households in the Northern Counties of Ashland, Bayfield, Douglas, Iron and Price.

The Community Services Block Grant is a core part of our Community Action Agency. This funding source allows us to address local needs within our communities. Unlike other funding sources that are administered on the federal level this source takes into consideration the local needs assessments that are conducted every three years when determining financial support of our programs. This source also allows Community Action Agencies like ours to design and implement new program and services that are identified as needs specific to our communities.

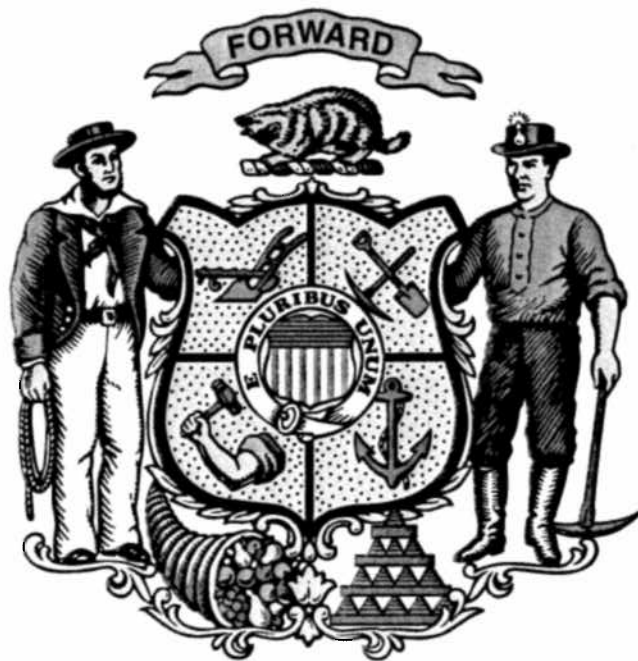
I am sure it is not surprise that the economy has drastically affected many households within our service area. In addition to the 10,000+ households we traditionally serve on an annual basis we continue to see an increase in the number of clients that are seeking services for the first time. I do not anticipate the situation to improve in 2010 and we are looking at ways that we can be the more effective in assisting these families which would not be possible without CSBG funding.

Our organization does support the management and accountability requirements in the CSBG plan. These requirements assist us in ensuring that we are meeting the needs of communities, as well as, assuring that we are an effective organization. We are also in support of the 90% pass through to Community Action Agencies this allows all of our organizations to continue to be innovative and resourceful within our individual communities.

Respectfully submitted,

A handwritten signature in cursive script that reads 'Millie Rounsville'.

Millie Rounsville
CEO





August 19, 2009

Our Mission:
To create opportunities for people and communities to reduce poverty and increase self-sufficiency.

To: The Senate Committee on Children and Families & Workforce Development
Senator Robert Jauch, Chair
Senator Julie Lassa
Senator Kathleen Vinehout
Senator Neal Kedzie
Senator Randy Hopper

From: Michael Bonertz, Executive Director, ADVOCAP, Inc.
& President, Wisconsin Community Action Program Association (WISCAP)

Re: Testimony in Support of the DCF Wisconsin State Plan For the Administration of the Community Services Block Grant Federal Fiscal Year 2010

Website:
www.advocap.org

I am Michael Bonertz, Executive Director of ADVOCAP, Inc. serving Fond du Lac, Winnebago & Green Lake Counties. I am also the President of WISCAP.

MAIN OFFICE
19 West First St.
P.O. Box 1108
Fond du Lac, WI
54936-1108
(920) 922-7760
FAX: (920) 922-7214

The Wisconsin Community Action Program Association (WISCAP), on behalf of its 19 member organizations including the 16 Community Action Agencies and 3 Special Purpose Agencies (the United Migrant Opportunity Services, the Coalition of Wisconsin Aging Groups, and the Foundation for Rural Housing) is pleased to provide its support of the Wisconsin State Plan for the Administration of the Community Services Block Grant for Federal Fiscal Year 2010.

OSHKOSH
2929 Harrison St.
Oshkosh, WI 54901
(920) 426-0150
FAX: (920) 426-3071

The proposed Plan provides all of the assurances required by federal law—including the requirement that 90% of the block grant funds be distributed to “eligible entities” as defined in the federal law which, in Wisconsin, includes the 16 CAAs and the United Migrant Opportunity Services. The CSBG funds are the “core” funding for these agencies and their efforts to empower communities and individuals to overcome the effects of poverty; achieve self-sufficiency, and reduce poverty in Wisconsin.

NEENAH
181 E. North Water St.
Suite 210
Neenah, WI 54956
(920) 725-2791
FAX: (920) 725-6337

The proposed plan also honors the state administrative policies developed by the DCF and its predecessor agency, DHFS, in concert with WISCAP and its 19 member organizations over the past 28 years since the creation of the CSBG in the early 1980’s including:

BERLIN
237 Broadway, Suite C
Berlin, WI 54923
(920) 361-9880
FAX: (920) 361-2463

- Support of the historical “local initiative” concept of community action: Local CAAs with a tri-partite board structure conducting regular “community needs assessments” and identifying local poverty problems—and barriers to self-sufficiency—in order to develop innovative local solutions to address those problems and barriers as prioritized by the local Board of Directors.
- Adherence to a planned process for expansion of a CAA to the remaining “uncapped” areas of the State.

PRAIRIE VIEW
W911 State Highway 44
Markesan, WI 53946
(920) 398-3907
FAX: (920) 398-2103

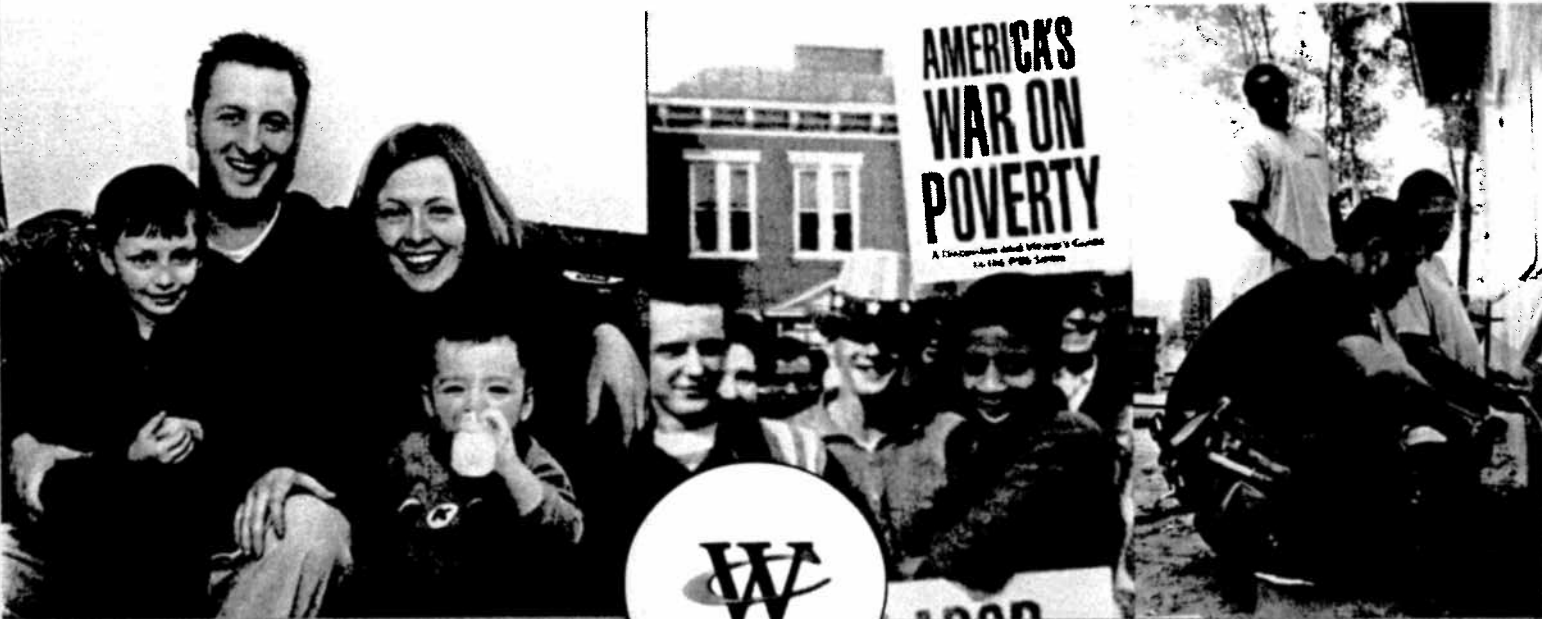
- The use of the remaining 10% of the State CSBG allocation to support, in addition to State Administration, the 11 tribes, 2 special purpose agencies (the Coalition of Wisconsin Aging Groups and the Foundation for Rural Housing), and WISCAP itself for provision of training and technical assistance to the network.

The accompanying Wisconsin Community Action 2008 Annual Report summarizes the broad far-reaching impact of the work of Wisconsin's CSBG recipient agencies during 2008. Highlights from these materials are as follows:

- Over a quarter million very low-income and working poor residents sought and received help from their community action agency for opportunities to become self-sufficient last year! It is astonishing to me to note that 80% of these residents are at or below the federal poverty level.
- CAAs act locally to respond to poverty problems—and in such areas as affordable housing including homeownership, job & business development, energy, rural community assistance, food security, Head Start and Child Development.
- Wisconsin's CAAs, UMOS, and the Special Purpose Agencies, with core funding from the Community Services Block Grant, mobilized or leveraged nearly \$200 million in additional federal, state, local and private resources to address the problems of poverty and to create economic opportunity for Wisconsin communities and families! This is a nearly a 24:1 ratio of total funds mobilized or leveraged by Wisconsin agencies with the use of CSBG.

WISCAP pledges our support to DCF to assist in the successful implementation and completion of this State Plan and our cooperative effort to reduce poverty in Wisconsin. I appreciate this opportunity to provide our comments on the proposed State Plan; we look forward to this partnership with DCF and our work with the Governor Jim Doyle's administration on the successful provision of important services to families and communities in Wisconsin.

-end-



Community Action in Wisconsin

Creating Local Opportunities for Economic Self-Sufficiency



The Wisconsin Community Action Network



1. **ADVOCAP, Inc**
Michael Bonertz, Executive Director
19 W. First St., P.O. Box 1108
Fond du Lac, WI 54936
920-922-7760, Ext. 515
mikeb@advocap.org
www.advocap.org
2. **CAP Services, Inc.**
Mary Patoka, CEO
5499 Hwy 10 East, Ste A
Stevens Point, WI 54481
715-343-7500
mpatoka@capmail.org
www.capserv.org
3. **Central WI Community Action Council**
Fred Hebert, Executive Director
1000 Hwy 13, P.O. Box 430
Wisconsin Dells, WI 53965
608-254-8353
cwcac.donna@verizon.net
4. **Community Action Coalition for South Central WI, Inc.**
Greta C. Hansen, Executive Director
1717 N. Stoughton Rd.
Madison, WI 53704
608-246-4730
ghansen@cacscw.org
www.cacscw.org
5. **Community Action, Inc.**
Lisa Furseth, Executive Director
200 W. Milwaukee
Janesville, WI 53548
608-755-2470
lfurseth@community-action.org
www.community-action.org
6. **Couleecap, Inc.**
Grace Jones, Executive Director
201 Melby St.
Westby, WI 54667
608-634-3104
Grace.Jones@couleecap.org
www.couleecap.org
7. **Indianhead Community Action Agency**
Brett Gerber, Executive Director
209 E. 3rd St. S., P.O. Box 40
Ladysmith, WI 54848
715-532-5594
brettg@indianheadcaa.org
www.indianheadcaa.org
8. **Lakeshore Community Action Program**
Noel Ryder, CEO
540 N. 8th St.
Manitowoc, WI 54220
920-682-3737
nryder@lakeshorecap.org
www.lakeshorecap.org
9. **NEWCAP, Inc.**
Robert Koller, Executive Director
1201 Main St.
Oconto, WI 54153-1541
920-834-4621
robertkoller@newcap.org
www.newcap.org
10. **North Central Community Action Program**
Ron Schnyder, Executive Director
1430 2nd St. N., P.O. Box 1141
Wisconsin Rapids, WI 54495
715-424-2581
ronnccap@charter.net
11. **Northwest Community Services Agency**
Millie Rounsville, Executive Director
1118 Tower Ave.
Superior, WI 54880
715-392-5127
mrounsville@northwest.csa.org
www.northwestcsa.org
12. **Racine/Kenosha Community Action Agency**
Robert Beezat, Interim Executive Director
2113 N. Wisconsin St.
Racine, WI 53402
262-637-8377
rkcaa.racine@rkcaa.org
www.rkcaa.org
13. **Social Development Commission**
Deborah Blanks, CEO
4041 N. Richards St.
Milwaukee, WI 53212
414-906-2700
dblanks@cr-sdc.org
www.cr-sdc.org
14. **Southwest Community Action Program**
Walter Orzechowski, Executive Director
149 N. Iowa St.
Dodgeville, WI 53533
608-935-2326
w.orzechowski@swcap.org
www.swcap.org
15. **West Central Community Action Agency**
Peter Kilde, Executive Director
525 Second St., P.O. Box 308
Glenwood City, WI 54013
715-265-4271
pkilde@wcap.org
www.westcap.org
16. **Western Dairyland Economic Opportunity Council**
James Schwartz, Executive Director
23122 Whitehall Rd., P.O. Box 125
Independence, WI 54747
715-985-2391
wolfgang@westerndairyland.org
www.westerndairyland.org

Special Purpose Agencies (Statewide)

Coalition of Wisconsin Aging Groups
Thomas Frazier, Executive Director
2850 Dairy Dr. Ste 100
Madison, WI 53718-6751
608-224-0606
tfrazier@cwag.org
www.cwag.org

Foundation for Rural Housing, Inc.
Char Thompson, Executive Director
4506 Regent St.
Madison, WI 53705
608-238-3448
ruralhousing@tds.net
www.wisconsinruralhousing.org

United Migrant Opportunity Services
Rod Ritcherson, Special Assistant to the President and Director of Corp. Relations
2701 S. Chase Ave.
Milwaukee, WI 53207
414-389-6000
rod.ritcherson@umos.org
www.umos.org

Poverty in Wisconsin

About 600,000 residents in Wisconsin live in poverty. Wisconsin Community Action Program Association (WISCAP) believes that all of us are vulnerable to poverty and that the costs and consequences of poverty have significant effects on everyone, whether poor or not. Poverty is the cause of unnecessary and preventable suffering among millions of Americans and thousands of Wisconsinites of all ages. The economic and social costs are enormous. We believe there are cost-effective solutions to poverty. Through efforts that create jobs that pay living wages with benefits, affordable housing, affordable health care, and education we can eliminate poverty. Please refer to our website, www.wiscap.org, for our report "Poverty Matters: Facing Poverty in Wisconsin" and for more information on community action.

How Do Community Action Agencies Help?

Every year, the Community Action Network analyzes community needs to identify strategies that will work best in attacking poverty; with a full-scale 'community needs assessment' every three years to identify major poverty problems and needs of local residents and their communities. Each agency is unique because its programs reflect the needs of their local communities which are prioritized by their local Board of Directors.



In 2008....

253,547

very low-income and working poor residents of Wisconsin turned to Community Action for help!

Of those residents...

- 80% are at or below the federal poverty level.
- 10% are homeless
- 46% are children
- 13% are over 55
- 58% are female
- 56% are white
- 44% are people of color

To address the problems of poverty and create economic opportunity, Wisconsin's Community Action Agencies & Special Purpose Agencies mobilized \$193 Million in federal, state, local and private resources.

\$125 million - Federal
\$ 22 million - Private

\$34 million - State
\$11 million - Local

2008 Program Highlights.....

Helping Low Wage workers get better Jobs and Benefits



The Community Action Skills Enhancement Program helps low-wage workers obtain better paying jobs and improved access to benefits. Participants receive help with tuition, child care and transportation as well as supportive services like personalized training plans, case management, referral and job search assistance. In the fall of 2008 Skills Enhancement was expanded statewide through a Department of Labor grant to include all 16 CAAs and UMOS with a capacity to enroll 170 low-wage workers. During a recent 20-month pilot at 11 agencies from 2005 to 2007 one hundred people graduated from Skills and experienced dramatic results. Graduates increased their annual income by an average of \$10,000 a year – a 100% increase! They collectively earned \$1 million dollars more per year in wages, while 70% obtained access to employer-sponsored health care – a 400% increase. Community Action Agencies are excited to implement the statewide expansion because Skills has proven to be a cost-effective investment that increases the earnings of Wisconsin's working poor.

Helping Rural Communities

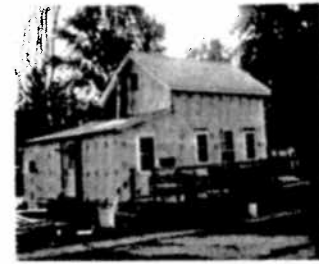
Since 1992, WISCAP's Rural Community Assistance Program has provided training and on-site technical assistance to small, low or moderate income, rural communities on matters and issues related to water and wastewater systems development. Towns, villages, and sanitary districts and tribal communities have received help with over 300 projects completed to date. During 2008, RCAP staff provided assistance to 21 communities implementing 24 water/wastewater system projects. WISCAP's *Tribal Initiative*, through the Tribal Circuit Rider Program, provides assistance to all 11 Wisconsin tribes. The WISCAP *Watershed Initiative* works with the Bad River and Red Cliff tribes, the Northwest Wisconsin Community Services Agency and the Bad River Watershed Association to improve water quality in the 1,092 square mile Bad River Watershed area. A special project 'SMART About Water' provides training and technical assistance to participating communities on sourcewater protection planning.



Providing Safe and Affordable Housing

WISCAP member agencies were on the front-lines in 2008 helping low-income households deal successfully with the nation's and state's housing crisis. Members were actively engaged in helping address the many problems associated with finding safe and affordable housing: the growing amount of foreclosures and sub-prime mortgages coming due with burdensome payment requirements, the growing gap between income and rental housing costs, and the increasing numbers of families and children going homeless.

In 2008, agencies touched the lives of over 4,500 persons seeking safe and affordable housing. 2,548 individuals were helped through financial assistance, homeownership counseling, foreclosure mitigation and other direct service. As a result of direct assistance from the agencies, 173 people purchased homes in their community. Through their role as owners/managers of properties and through their expertise in providing housing rehabilitation and weatherization services, CAAs helped to preserve and improve 5,926 units in their communities. WISCAP member agencies, through their active participation in local 'Continuum of Care' programs designed to serve homeless individuals and families, provided temporary shelter to 1,831 households.



Green Jobs...Weatherizing Homes...Conserving Energy Reducing...Fuel Consumption

Trained workers at Community Action Agencies weatherized over 5,200 Wisconsin homes in 2008. On average, weatherization provides yearly energy savings of \$416 on utility bills for households and reduces energy usage by 23%. The savings are repeated and magnified every year as more households are weatherized making Wisconsin's low-income weatherization program one of the state's single largest initiatives to save energy. Wisconsin CAAs have been in the forefront creating "green jobs" and providing energy efficiency services to low-income households through the Weatherization Assistance Program since 1976. Because weatherization is such an effective program--saving energy and helping low-income households move toward economic self-sufficiency--it will nearly double in size as a result of federal stimulus funding through the American Recovery and Reinvestment Act. Wisconsin CAAs and weatherization partner organizations will create an additional 500 green jobs and will increase production to a level of 16,000 homes on an annual basis.

In addition, six CAAs contract with local county government to directly provide energy bill payment assistance to eligible low-income households. In 2008, these agencies—serving ten counties—provided nearly 58,000 households with one-time bill payment assistance and provided crisis payments to an additional 17,000 households. These payments totaled nearly \$30 million in direct financial assistance to low-income households struggling to keep the lights on and stay warm and healthy in their homes.

Advancing Food Security



Thirteen CAAs distributed over 4.3 million pounds of commodities worth \$2.36 million dollars to a network of 240 participating food pantries, meal sites and shelters in 69 counties during 2008. Food pantries served an average of 115,000 people each month, an increase of 13% over 2007. Food pantries distributed an additional 19 million pounds of privately donated or purchased food to low-income households for a total food pantry distribution of 23 million pounds. Meal sites & shelters in the network served an average of nearly 83,000 meals each month. The Social Development Commission's Youth Food Program served over 2 million meals and snacks to Milwaukee children through the Summer Food Program and Family Day Care Providers. The Community Action Coalition for South Central Wisconsin, Inc.'s CAC Gleaners food recovery program distributed nearly 1 million pounds of prepared and perishable food in Dane County. WISCAP successfully advocated with state agencies to provide energy assistance payments (LIHEAP) to an estimated 80,000 FoodShare households who were not receiving the maximum heating deduction. The change will provide an estimated \$20 million in FoodShare benefits annually to help ease low-income households' rising food & energy costs.

Creating Jobs and Businesses

Wisconsin's CAAs have provided business development services to low-income entrepreneurs since 1989 through the Jobs & Business Development Program (JBD). JBD provides access to a range of services including technical assistance to develop business, financial and marketing plans, and access to grant and loan funds. In 2008 nine CAAs & GLITC created 67 new businesses and 106 new jobs in 26 counties. The JBD leveraged a minimum of \$9 for every \$1 in state monies invested in the program, for a total of \$2.4 million in federal, state & private funds, including \$1.7 million in bank loans and grants. Since the program's inception in 1989 JBD has started 1,787 new businesses and created 5,354 new jobs. In addition to JBD, four CAAs utilized other funds in 2008 to assist non-low income businesses to expand – using methods like business incubators and lease/purchase programs - that created 118 new jobs for formerly low-wage workers. In total in 2008 CAAs created 247 jobs from all sources combined. Two CAAs maintain nationally recognized internet initiatives: a Virtual Business Incubator, www.vbi.biz, and an online e-commerce marketplace at www.wisconsincommonmarket.com.



Access to Education ○ Homeownership ○ Food Security ○ Family Support ○ Energy Efficiency

CAA Programs & Services

Program	ADVOCAP	CAP Services	CWCAC	CA, Inc.	CACSCW, Inc.	Coulecap	Indianhead	Lakeshore	NEWCAP	North Central	Northwest	R/K CAA	SDC	Southwest	West CAP	WDEOC	CWAG	FRH	UMOS
Housing																			
Transitional Housing/Homeless Shelters	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*
Rental Property Management/Development	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*
Rent Payment Assistance/Section 8			*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*
Homeownership Programs	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*
Lead Abatement			*			*							*	*	*	*	*	*	*
Housing Revolving Loan Fund		*																	
Home Repair Programs	*	*	*		*	*	*	*	*	*	*	*	*	*	*	*	*	*	*
Energy																			
Emergency Fuel Assistance Programs	*		*		*	*		*	*		*	*	*			*		*	*
Weatherization Programs	*	*	*		*	*	*	*	*	*	*	*	*	*	*	*	*	*	*
Food Security/Environmental																			
Emergency Food Assistance	*		*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*
Community Garden Programs	*			*		*		*			*	*		*	*	*	*	*	*
Community Meal Prgms/Holiday Baskets	*			*		*		*	*	*	*	*	*	*	*	*	*	*	*
Nutrition Education			*			*					*	*			*	*			
Economic/Business Development																			
Business Development	*	*	*			*	*		*					*	*	*	*	*	*
Business Revolving Loan Programs	*	*	*			*	*							*	*	*	*	*	*
Business Incubators		*																	
Financial Literacy Education	*		*	*		*	*						*	*	*	*	*	*	*
Tax Assistance		*				*			*				*	*	*	*	*	*	*
Education/Skills Enhancement																			
Literacy Skills	*					*	*		*		*	*	*	*	*	*	*	*	*
Skills Enhancement Program	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*
Employment Training	*	*	*			*	*	*	*	*	*	*	*	*	*	*	*	*	*
Physical/Mental Health																			
Women's Health				*					*		*	*	*	*	*	*	*	*	*
MA/WIC Programs									*		*	*	*	*	*	*	*	*	*
AODA/Mental Health		*			*		*					*	*	*	*	*	*	*	*
Dental Programs		*											*	*	*	*	*	*	*
Home Healthcare						*													
Parenting/Family Support																			
Childcare Assistance/Referrals	*	*		*	*			*				*	*	*	*	*	*	*	*
Parenting Classes	*	*			*		*	*	*	*	*	*	*	*	*	*	*	*	*
Clothing Assistance				*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*
Violence Prevention/Victim Services	*	*					*	*	*	*	*	*	*	*	*	*	*	*	*
Legal Services						*	*	*	*	*	*	*	*	*	*	*	*	*	*
Youth Services																			
Education Skills	*	*		*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*
Fresh Start	*	*		*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*
Mentoring Program					*	*	*	*	*	*	*	*	*	*	*	*	*	*	*
Juvenile Justice/Violence Prevention		*				*	*	*	*	*	*	*	*	*	*	*	*	*	*
Homeless/Runaway Programs		*				*	*	*	*	*	*	*	*	*	*	*	*	*	*
Employment/Living Skills					*	*	*	*	*	*	*	*	*	*	*	*	*	*	*
Headstart/Child Development																			
Transportation																			
Car Purchase Programs		*		*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*
Senior Services																			
Refugee/Migrant Services																			

What is WISCAP?



The Wisconsin Community Action Program Association is the statewide voluntary association of Wisconsin's 16 Community Action Agencies and 3 special purpose agencies with statewide anti-poverty missions: the Coalition of Wisconsin Aging Groups, the Foundation for Rural Housing, and the United Migrant Opportunity Services.

The Association was incorporated on March 1, 1974 with the intent of its members that the cause of economic self-sufficiency for Wisconsin's low-income households would be strengthened by working together on issues of policy, resource mobilization, training & development and advocacy.

For 35 years, WISCAP has served the needs of its member agencies in various ways: helping to identify and sponsor professional training in anti-poverty areas, facilitating quarterly meetings of Community Action Agency leadership staff and board members, serving as a conduit for resource development needs of its members, advocating for public policy conducive to the locally-developed goals of its member agencies and advocating for laws, rules and regulations which support economic opportunity efforts, community development, and locally-designed strategies and procedures.

WISCAP is organized as a private non-profit corporation governed by a Board of Directors comprised of the Executive Director from each of its member agencies. Core funding for WISCAP is provided through member agency dues. Program and training funds are provided in part by the State of Wisconsin Department of Children and Families, Wisconsin Department of Workforce Development, Wisconsin Department of Health Services, Federal Office of Community Services, Wisconsin Energy Conservation Corporation, U.S. Department of Health & Human Services; the U.S. Environmental Protection Agency, and the generous contributions from sponsors of our conferences and training events.

WISCAP Staff

Executive Director

Richard Schlimm (608) 244-4422 rschlimm@wiscap.org

Training & Special Projects Coordinator

Bradley Thomas (608) 245-3292 bthomas@wiscap.org

Public Policy Director

Bob Jones (608) 244-0466 bjones@wiscap.org

Community Action Programs Manager

Jonathan Bader (608) 244-0745 jbader@wiscap.org

Rural Development Director

Bill Brown (608) 244-0407 bbrown@wiscap.org

Rural Development Specialist

Kathy Cartwright (608) 244-4397 kcartwright@wiscap.org

Administrative Services Manager

Diana Austin (608) 244-4422 wiscap@wiscap.org

Rural Development Specialist

Carolee Ilminen (715) 561-4000 cilminen@wiscap.org
501 Granite Street, Ste. 3, Hurley, WI 54534

Rural Development Specialist/Tribal Circuit Rider

Richard Lawe (715) 799-3907 rlawe@frontiernet.net
P.O. Box 75, Keshena, WI 54135

Vision 20/20

We believe that poverty matters and that we can do something about it!

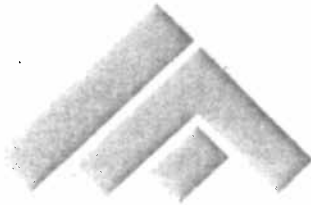
WISCAP and the Wisconsin Head Start Association together with the Wisconsin Council on Children & Families have joined forces to call for an end to child poverty by the year 2020.



Join us to end poverty! Find out more about the Vision 20/20 campaign and how to become involved in local and statewide efforts by visiting www.2020wi.org.

Wisconsin Community Action Program Association

1310 Mendota St., Ste 107, Madison, WI 53714
Phone (608) 244-4422 Fax (608) 244-4064
www.wiscap.org



ADVOCAP

"Helping People Help Themselves"

The 2008 Annual Report to Our Communities

Celebrating 43 years of providing solutions to poverty.

Our Mission:

To create opportunities for people and communities to reduce poverty and increase self-sufficiency.

Website:

www.advocap.org

MAIN OFFICE

19 West First St.
P.O. Box 1108
Fond du Lac, WI
54936-1108
(920) 922-7760
FAX: (920) 922-7214

OSHKOSH

2929 Harrison St.
Oshkosh, WI 54901
(920) 426-0150
FAX: (920) 426-3071

NEENAH

181 E. North Water St.
Neenah, WI 54956
(920) 725-2701
FAX: (920) 725-6337

BERLIN

237 Broadway, Suite C
Berlin, WI 53523
(920) 361-0800
FAX: (920) 361-2463

PRAIRIE VIEW

19011 State Highway 44
Markesan, WI 53948
(920) 348-3987
FAX: (920) 348-2103

Dear Friends:

ADVOCAP is pleased to present its 2008 Annual Report to the Community. Inside you will find a brief summary of some of the direct services used by low-income people in our three county area during the year. We also provide a brief financial summary.

In the spring of 2008, we started to see fuel prices soar, followed by the beginning of a recession during late summer and early fall. Unemployment rose dramatically. These things, along with other factors, made 2008 a very difficult year for low income people in our service area and around the country.

ADVOCAP is committed to making sure that the services that people need to overcome poverty are available in our communities. During 2008, ADVOCAP embarked on the first of it's transportation programs to compliment the already large number of programs it offers to help people reach self sufficiency.

ADVOCAP is a locally controlled, private not for profit corporation that seeks solutions to poverty at the local level in Fond du Lac, Winnebago and Green Lake Counties. We work here with you and partner organizations to achieve results. Our corporate decisions are made by a local board of directors with representatives from local government, local community leaders, and low income people who live here. We are your Community Action Agency.

We are grateful to our public funders, private donors, volunteers, and collaborative partners for supporting our efforts in providing solutions to poverty. With their help, we will continue to work toward reducing poverty and increasing self-sufficiency in the future.

Sincerely, on behalf of the ADVOCAP Board of Directors and Staff,



Michael Bonertz
Executive Director



Donna Blend
President, Board of Directors



19 West First Street • P.O. Box 1108 • FOND DU LAC, WI 54936-1108

Michael Bonertz
Executive Director

(920) 922-7760, ext. 3515
1-800-631-7760
FAX: (920) 922-7214
EMAIL: mikeb@advocap.org



Head Start children enjoy a snack.

HOMELESS SERVICES

Fond du Lac, Green Lake and Winnebago Counties
 Director, Patty Loosen
 920-922-7760

Empowers the homeless and low-income households to overcome barriers to self-sufficiency through education and training, and access to housing, jobs and community resources.

- 2,161 Households were provided with food using commodity food distributed by ADVOCAP.
- 35 Adults acquired GED/HSEs.
- 61 Individuals received a certificate for completing RENT SMART training. 40 Individuals earned Financial Literacy certificates.
- 193 Homeless households were provided with intensive services.
- 11 Homeless households secured permanent housing.
- 37 Homeless households provided with transitional housing.
- 86 Households received rental assistance.
- 92 Homeless individuals secured unsubsidized employment.

SPECIAL INITIATIVES

Fond du Lac, Green Lake and Winnebago Counties
 Director, Brian Jacobson
 920-426-0150

Assists special needs populations to overcome barriers to self-sufficiency through innovative and collaborative program initiatives. Victims and survivors of domestic abuse, and refugees are primary target groups.

- 69 Refugee households were provided with employment or translation services.
- 32 Refugees secured employment.
- 28 Refugees participated in English as a Second Language Class.
- Provided 23 adults in Project POWER, and Project Independence with educational assistance.
- Project Independence provided Transitional Housing opportunities for 17 rural Domestic Violence Households.
- 44 Victims of Domestic Violence gained employment or improved their employment status to assist them in becoming self-sufficient.
- Raised \$79,200 of Project POWER donations through community fund raising efforts.
- Served 15 high school students with disabilities in Work Experience, Job Placement and Job Skills Training activities.
- 100 Households participated Community Garden.

EMPLOYMENT & TRAINING

Fond du Lac, Green Lake and Winnebago Counties
 Director, Cary Wright
 920-922-7760

Develops options for integrated employment and the supports necessary for persons with disabilities or other employment obstacles to acquire training and meaningful employment.

- Placed 143 adults with mental, physical and developmental disabilities in community jobs.
- Provided education, counseling and placement services for 174 adults seeking to upgrade their work skills in Project Opportunity.
- Serve on the Leadership Team for our Job and Career Center service area and participate on various Boards - AODA, Mental Health, Advisory and Disability Committees in the Fox Cities.
- Increased wages of the Skills Enhancement Participants by \$5.24 per hour through educational training.
- Provided 83 older workers with job counseling, assessments and on-the-job training and placement services.

HEAD START

Fond du Lac and Green Lake Counties
 Director, Betty Clausen
 920-922-7760

Delivers comprehensive services and arranges development opportunities for low-income families and their preschool children to secure a better future and break the cycle of poverty.

- 487 Low-income children and their families received comprehensive development services, 416 from Fond du Lac County and 71 from Green Lake County.
- 61,005 Nutritional meals were served to children.
- 450 Children received health, mental health, and dental services.
- 668 Individuals provided volunteer time to the program.
- 73 Children participated in Head Start Summer Camp.
- 120 Adult Education attendees including, ESL & GED.
- 61 Dads attended activities with their children in conjunction with the Fatherhood Initiative.
- 218 Children in Fond du Lac, North Fond du Lac and Ripon received full day services as a result of collaboration with area school districts in the implementation of 4 year old kindergarten programming.

EXECUTIVE MANAGEMENT

Executive Director, Michael Bonertz

Deputy Director, Tony Bereszgazi • Finance Director, Tanya Marcoe

- Corporate, agency and community wide planning and evaluation.
- Management of 146 private, local, state and federal contracts.
- Staff support to Board of Directors and committees.
- Management and oversight of all programs.
- Public relations, public policy advocacy and community education.
- Management of agency facilities and assets.
- Fiscal management and reporting.
- Human resources management and staff development.
- Implementation of demonstration and pilot programs.
- Fund and resource development.



RSVP volunteers make a difference.

SENIOR NUTRITION

Winnebago County
Director, Theresa Stern
920-725-2791

- Preserves the dignity, health and independence of the elderly by arranging a daily meal. Also offers seniors opportunities to form new friendships and to create informal support networks.
- 1,335 Elderly and disabled adults were served meals.
- 54,246 Meals were served at 11 congregate meal sites.
- 60,260 Home delivered meals were served.
- 10,025 Weekend meals were provided to homebound individuals.
- 1,702 One-way trips were provided so seniors could come and dine at our meal sites.
- Nutrition information was provided to at least 490 participants every quarter throughout 2008.
- 176 Volunteers assisted with meal service and deliveries.
- 20,324 Volunteer hours were provided to the program.

FOSTER GRANDPARENTS

Fond du Lac County
Director, Joan Dreifuert • 920-922-7760

- Enriches the social and remedial skills of children by recruiting and placing elderly volunteers on fixed incomes with schools, Head Start and childcare centers in the community.
- 61 senior volunteers participated in the program.
- 37,307 Volunteer hours provided.
- 23 Volunteer sites had Foster Grandparents serving children in schools and child care programs.
- In-service training provided for volunteers.
- Supportive supervision and socialization.
- Health screening.
- Supplemental insurance.
- Help with meal and transportation costs.
- Stipend (if eligible)
- Joy in making a difference to a child
- Volunteer recognition and appreciation.

VOLUNTEER SERVICES

Winnebago & Green Lake Counties, and the Ripon Area
Director, Deb Tauer • 920-426-0150

- Recruits and matches adults, especially those over 55 years of age, with people and organizations that need their support and talents. Opportunities are customized to both volunteers and organizations to maximize community impact.
- 329 Retired & Senior Volunteer Program (RSVP) volunteers assisted 50 area organizations and special community projects.
- 39,644 Total hours of RSVP volunteer service were provided to the community.
- 35 RSVP Volunteers provided 5,247 hours of service to Habitat for Humanity of the Greater Fox Cities in which they helped build 14 homes for low-income families
- 21 RSVP Volunteers worked as tutors with elementary school students and adult literacy students.
- 35 RSVP volunteers donated 3,061 hours of their time to distribute food to 782 families within Green Lake and Winnebago Counties increasing their nutritional and financial stability.
- 5,505 Hours of RSVP service were donated to public health, public safety, and disaster preparedness and response.
- RSVP volunteer service investment has an estimated value of \$630,210.

ADVOCAP services are provided to qualified persons on the basis of expressed and assessed needs and within the limits of grant guidelines. No qualified persons shall be excluded from participation in ADVOCAP programs or be denied the benefits of, or otherwise be subject to discrimination in any manner on the basis of age, race, creed, religion, color, sex, physical condition, handicap or disability, developmental disability, association with a person with a disability, sexual orientation, national origin, ancestry, political affiliation, marital, parental or familial status, pregnancy, arrest record, citizenship status, veteran status or any other characteristic protected by law. ADVOCAP has a grievance procedure. If you have concerns or a complaint; please contact the Executive Director or Deputy Director at ADVOCAP.

S H M E N T S

AFFORDABLE HOUSING

Fond du Lac, Green Lake
and Winnebago Counties
Director, Lu Scheer
920-922-7760

Provides safe, decent, and affordable rental housing, home buyer opportunities and homeowner rehabilitation for low and moderate income persons.

7 Households purchased homes.

33 Persons attended home buyer training.

32 Home owners were assisted with housing rehab.

88 Units of housing owned by agency were managed.

16 Units of low income housing not owned by ADVOCAP were managed.

180 Persons were provided housing in property owned and managed by ADVOCAP.

Maintained ADVOCAP office and classroom facilities in the three county area.

The **Fresh Start** Program provides on-site housing construction training and work experience, employment readiness training, academic instruction and supportive services for at-risk young people.

6 completed a High School Equivalency diploma (HSED) or General Educational Development (GED) diploma.

1 home completed by Fresh Start participants which included the frame, roof, install windows and doors, insulate, hang drywall, flooring and landscaping.

28 Fresh Start Youth built a new home for a low income family.

7 Fresh Start Youth found full time employment or continued on to school.

BUSINESS DEVELOPMENT

Fond du Lac, Green Lake
and Winnebago Counties
Director, Kathy Doyle
920-922-7760

Assists low income entrepreneurs to start or expand a business by providing technical assistance with writing business plans and learning business management skills. Loans are made or arranged for some businesses. Creation of new jobs is a vital part of this endeavor.

- 33 New businesses were started.
- 77 New jobs were created.
- 4 Existing businesses expanded.
- 158 People received business development services.
- 3 Small Business Administration/ADVOCAP micro-loans were made for a total of \$14,500.
- 1 Rural development loan was made for a total of \$17,000.
- 4 Refugees received business development technical assistance.
- 16 Jobs created by refugee owned businesses.

WEATHERIZATION & EMERGENCY FURNACE REPLACEMENT OR REPAIR

Fond du Lac, Green Lake
and Winnebago Counties
Director, Steve Williams
920-426-0150

Reduces energy costs for low-income households by increasing the energy efficiency of their homes, while ensuring their health and safety. Assist low-income people/households repair or replace heating systems that are not working properly.

- 329 Low-income, elderly and disabled households had homes weatherized.
- 28 low income homeowners were without heat - repaired or replaced the heating system.
- Increased energy efficiency of homes therefore reducing energy costs
- Coordinated energy services and Public Benefits Program with area utilities.
- Provided energy conservation education to low-income consumers.
- Provided education on building ventilation requirements to low-income clients with the potential for moisture and mold problems.



Weatherization Services save energy.

..... IN OPPORTUNITY



2008 FINANCIAL REPORT*

Assets

Cash & Equivalents	\$354,060
Accounts Receivable	3,409
Receivable from Funding Agencies	1,020,751
Material and work-in-progress Inventories	679,140
Prepaid Expenses	29,942
Investment in Subsidiaries	10,897
Land, Buildings and Equipment (net)	2,855,171
Micro-Loans to Small Businesses	129,084
Homeowner Loans (net)	4,712,477
Other Business Loans	105,168
Total Loans Receivable	4,946,729
Total Assets	<u>\$9,900,099</u>

Liabilities and Net Assets

Liabilities	
Program Advances	\$683,513
Accounts Payable	551,008
Accrued Payroll and Other Expenses	242,034
Line of Credit	400,000
Mortgages Payable	853,076
Deferred Loans Payable	221,387
Total Liabilities	<u>\$2,951,018</u>

Net Assets

Temporarily Restricted	\$6,410,891
Unrestricted	538,190
Total Net Assets	<u>6,949,081</u>
Total Liabilities & Net Assets	<u>\$9,900,099</u>

Revenues & Resources

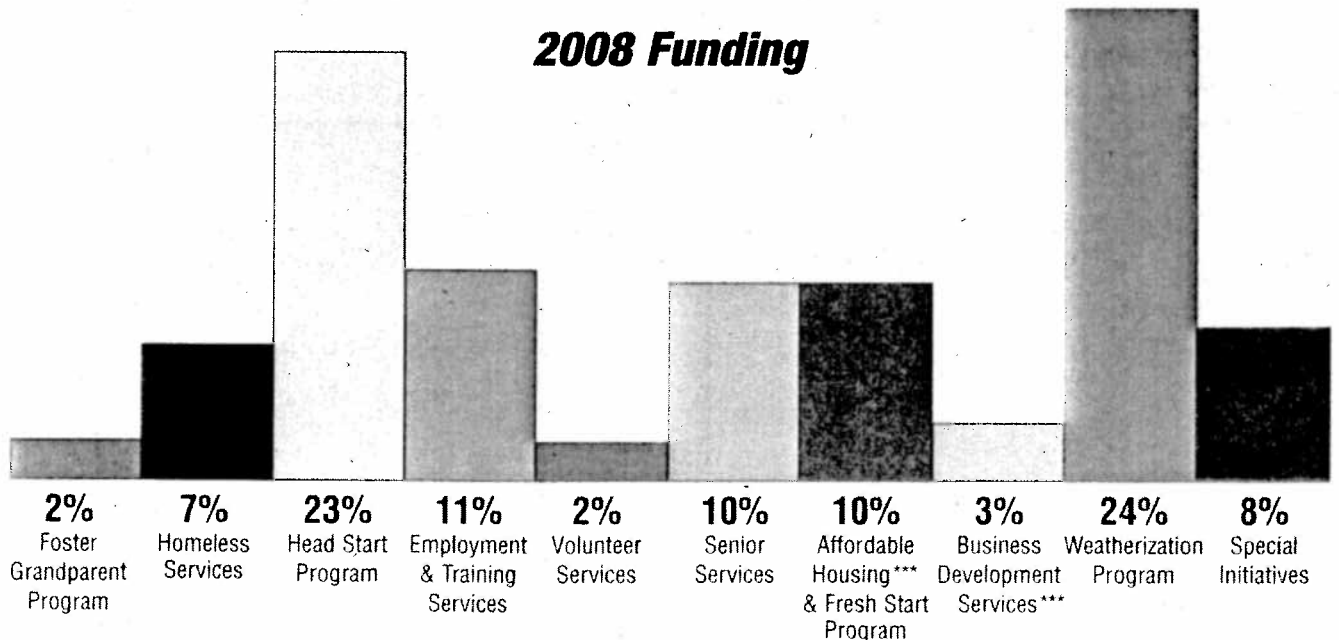
Government Awards	\$10,166,601
Program	356,405
Other Services	658,907
Corporate Funds	58,443
Investment Return	27,249
Contributions	179,009
Commodities	87,821
In-Kind Donations	53,752
Total Revenues	<u>\$11,588,187</u>

* This Report has been assembled by ADVOCAP, Inc.
 One agency-wide audit report of all funds is conducted annually by the independent CPA firm of Hawkins, Ash, Bappte & Co, LLP, of De Pere, WI, and is available for inspection at the ADVOCAP, Inc. offices. There were no questioned costs on any of the 146 different contracts or grants for services administered by the Agency in 2008.

*** Does not include loan activity.

Visit us on the web at
www.advocap.org

2008 Funding

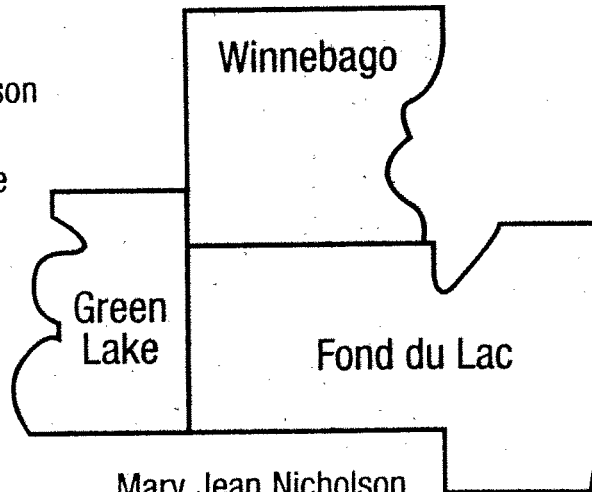


Non Profit Org
U.S. POSTAGE
PAID
OSHKOSH, WI
Permit No. 82

2008 Board of Directors

Green Lake

Connie Anderson
Gus Mueller
William Wuske

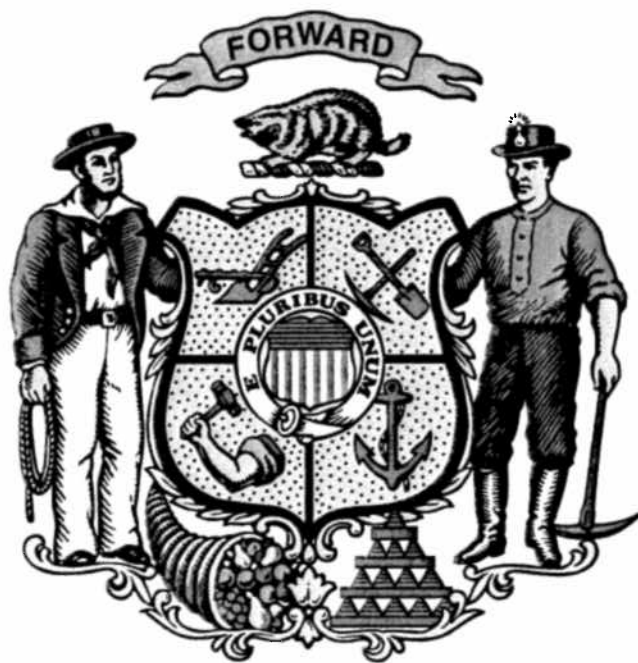


Winnebago

Darlene Bilstad
Jef Hall
Stephen Hintz
Al Jacobson
Jim Koziczkowski
June Kriegel
Donna Lohry
Ann Marshall
Carol Miller
Mike Norton
Tena Riste
Tom Widener
Dennis Winkler
Christopher Wright

Fond du Lac

Bill Abitz
Jim Chatterton
Tom Dornbrook
Sr. Caryl Hartjes
Mel Heller
Natalie Lambert
Marian Lindahl
Mary Ann Maeder
Rich Mantz
Mary Jean Nicholson
Shirley Ries
Betty Scannell
John Schneider
Joe Koch
Don Skog
Richard Stokely
Donna Blend
William Albrecht



From PH 8/14/2004 folder



Annual Report 2008

Hope
for the poor
Achievement
for yourself
Greatness
for your nation

Lyndon B. Johnson



CAP Services
Transforming People and Communities

Board of Directors

Marquette County
Frank Breitenbach
Mike Ingram
Neil Johnson
Arlene Stahmer

Outagamie County
Pam Her
Lauren Mai
(Chairperson)
Tanya Rabec
Jeff Verhagen

Portage County
Jim Clark
Brett Jarman
(Vice-Chairperson)
Lyne Kawleski
Carol Steltenpohl

Waupaca County
Ann Freeman
David Johnson
Martha McMillen
Kris Mork

Waushara County
William Downie
Linda Freudenthal
(Treasurer)
Fred Gellerup
Dennis Wedde
(Secretary)



CAP Services

Transforming People and Communities

The mission of **CAP Services** is to bring about a permanent increase in the ability of low-income individuals to become economically and emotionally self-sufficient.

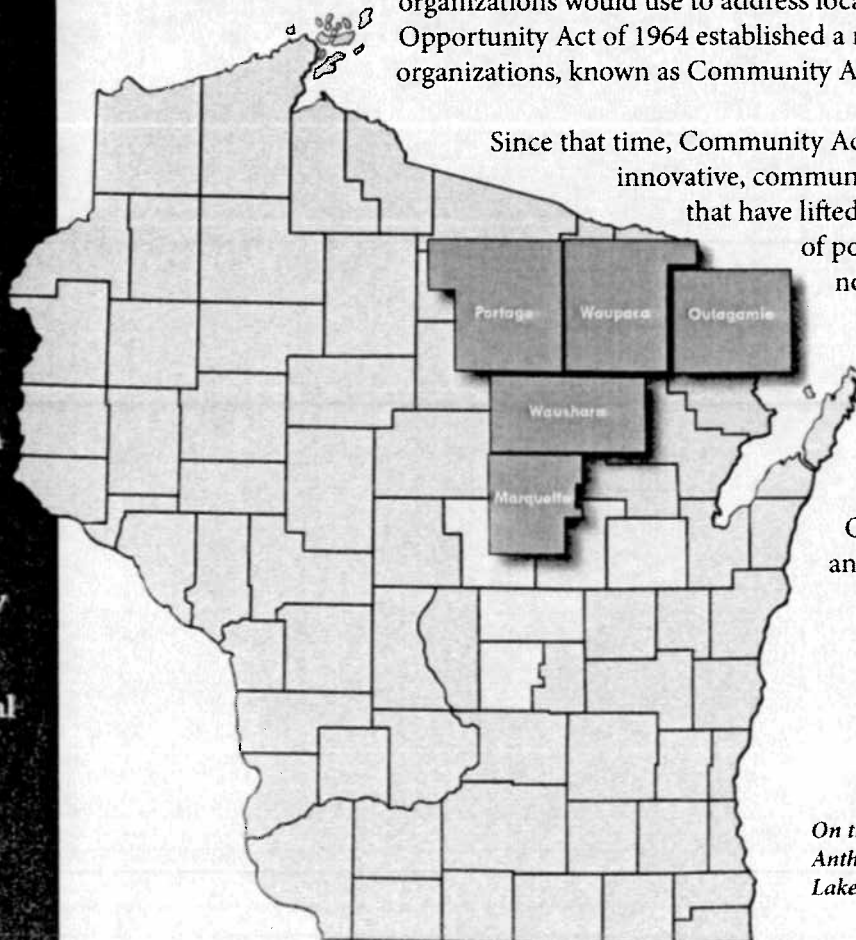
Community Action

When President Lyndon Johnson proposed the War on Poverty in 1964, his goal was to provide the opportunity for every American to enjoy the benefits of the nation's Great Society. Part of his strategy was to designate resources that community

organizations would use to address local issues. The Economic Opportunity Act of 1964 established a network of these local organizations, known as Community Action Agencies.

Since that time, Community Action Agencies have pioneered innovative, community-based, effective programs that have lifted millions from the shadow of poverty by "giving a hand, not a handout."

☐ CAP Services was formed in 1966 to serve central Wisconsin and is the designated Community Action Agency for Marquette, Outagamie, Portage, Waupaca, and Waushara counties.



On the cover: Project TEAM participants, Anthony and Candace Benavidez at Pine Lake Crossways Camp in Waupaca, WI.

Welcome to CAP Services' 42nd Annual Report

2008, like many before, resulted in an *"evening of the playing field"* for many in the communities we serve. Whether it was increased access to affordable housing, assistance with training to compete for better paying jobs, the creation of new businesses, or quality early childhood education, we believe CAP's 2008 impact was significant. You will find more about this in the pages that follow.

2008 also brought the sunset of an era at CAP Services. Karl Pnazek, President and CEO for 32 years, resigned as CEO. We honor all the accomplishments he made during his tenure, and appreciate the strong foundation on which he built CAP, allowing the organization to move forward, even in these uncertain times. The Board of Directors is proud to recognize his contributions by creating a new organizational award in his name. *The Pnazek's Box Award* is designed to recognize those individuals who display extraordinary innovation, insight, initiative and integrity - many of the characteristics that made Karl so effective.

The success of the organization was not only due to Karl's leadership, but to the commitment by the Board and staff to *"bring about a permanent increase in the ability of low-income individuals to achieve economic and emotional self-sufficiency."* And we couldn't achieve what we have without our partners and investors, from both the public and private sector, who share in our core values.

On behalf of the Board and staff, we thank all of our friends and supporters. We look forward to more success in *"transforming people and communities"* in 2009.



Transform - to change in composition or structure; to change the outward form or appearance of; to change in character or condition.

We witnessed 2008 end with increasing levels of insecurity felt both by low- and middle-income families. Unemployment rates climbed, housing values fell, nest eggs crashed and credit markets tightened significantly.

At the same time, CAP Services prepared for a change in its leadership, a change that occurred only three times in its 42-year history. Despite these internal and external shifts, CAP has stayed true to its mission and continued its transformational work.

This work is done at both the individual and the community level. To train a workforce without creating living wage jobs and supporting business development is shortsighted.

Examples of CAP's transformational work include the expansion of Central Waters Brewery in Amherst, committed to hiring low-income workers for jobs it created. The brewery incorporated solar energy to reduce its carbon footprint, and is a member of a cooperative that contracts with Wisconsin farmers for hops and barley. It includes the addition of family mentoring services designed to create connections across class. CAP's Head Start/4-year old kindergarten partnerships create seamless services for parents and children. The weatherization of hundreds of homes annually results in increased energy independence and lower energy bills for families.

Thank you for your contributions to the successes described within this report.

Message from the Chair



Lauren Mai

Message from the President & CEO



Mary Patoka

Housing

Former CEO, Karl S. Pnazek, members of CAP's Board of Directors and staff are present for the ribbon cutting ceremony at the Iola Senior Village "Club House" in July 2008.



Housing Development Department

In 2008, the Housing Department developed 40 new units of affordable rental housing and helped 25 households purchase their first house. The 40 new rental units are designed to meet the needs of seniors who want to remain in their communities but have chosen to no longer live in single-family homes.

These include the 18-unit Iola Senior Village and the 22-unit Morris Park Senior Village in Adams.

CAP-sponsored student-built housing projects completed three single-family houses. The Stevens Point Area Senior High School house was the tenth house completed by SPASH technology education students, and CAP's Waushara County Fresh Start participants completed their 11th and 12th houses.

First Time HomeBuyer's Assistance Project

This CAP program, which provides downpayment, closing cost and rehabilitation assistance to eligible households, helped 25 low- and moderate-income families purchase a home. Since 1991, CAP has helped 1,001 households purchase their first home through deferred loans of more than \$10 million.

CAP is well on the way to meeting its 2015 goal of owning 1,000 units of affordable rental housing, with a total of 457 units now available to low-income households in Adams, Marquette, Outagamie, Portage, Waupaca, Waushara and Wood counties. Of these, 282

are for seniors, 64 for people with special needs, 106 for families, and 40 units for victims of domestic abuse in transition to self-sufficiency. Scheduled for completion in 2009 are Kewaunee Senior Village and two more student-built homes. If the credit market improves and investors again start buying tax credits, CAP will be able to move ahead with construction of the Weyauwega Senior Village and has applications pending for projects in Colby and Pulaski. Despite the downturn in the housing market, CAP is projected to assist at least 24 households purchase a home.



SPASH technology education students work on house #11.

Housing

One of CAP's Energy Auditors performs a blower door test.



Weatherization

Weatherization services continue to be provided free to homeowners whose annual income is at or below 150% of the federal poverty guideline.

Energy savings are achieved by installing attic, wall, crawl space, water heater and hot water pipe insulation; by sealing air leaks into the home; and, by installing low-flow shower heads and energy-efficient light bulbs in every home. Based upon projected energy savings and condition of the appliance, furnaces, refrigerators and freezers may also be replaced.

Since 1976, CAP has weatherized more than 9,000 homes. The State of Wisconsin Division of Energy estimates CAP's weatherization measures reduce the annual energy usage of homes by an average of 30% and as much as 50%. CAP expects to significantly expand the program in 2009 with funding from the American Recovery and Reinvestment Act.

UNITS WEATHERIZED BY YEAR

	Waushara	Waupaca	Marquette	Portage	Green Lake	Total
1975-93	1069	1651	785	2134	540	6179
1994	44	68	30	64		206
1995	51	48	25	66		190
1996	35	49	15	53		152
1997	3	42	26	68		139
1998	6	41	9	63		119
1999	4	50	17	58		129
2000	17	77	35	93		222
2001	20	47	20	33		120
2002	57	75	32	64		228
2003	53	75	18	90		236
2004	54	89	28	94		265
2005	75	43	20	162		300
2006	72	82	16	111		281
2007	49	85	122	55		311
2008	58	99	31	101		289
Total	1667	2621	1229	3309	540	9366

New, locally-based holistic approaches are necessary to address the multi-faceted barriers facing low-income households in their efforts to become self-sufficient.

Business Development

CAP Services' Jobs & Business Development hosts a tour of the TRADITION Cleaners facility in July 2008.



Business Development

Despite (or perhaps because of) the havoc in the banking industry, CAP's business lending had another busy year. CAP made \$726,461 in loans to new and expanding central Wisconsin businesses. All CAP loans are made through its subsidiary Community Assets for People (CAMP), a U.S. Treasury-certified Community Development Financial Institution. CAMP is capitalized through a combination of grants and investments from the public and private sectors, and currently has a portfolio of more than \$14 million in loans. As of 12/31, CAP had loaned almost 96% of the total available.

CAMP's business loan fund is designed to provide access to flexible financing to businesses owned by low-income individuals, businesses committing to creating living wage jobs and hiring low-income people, or value-added businesses that bring new wealth into the community. CAMP can loan up to 90% of real property value, 75% of equipment and finished inventory, and 50% of unfinished inventory,

depending on the credit worthiness of the borrower. Loans can be for up to ten years with 15 year amortization. All loans are subject to underwriting guidelines.

While providing access to capital is important, the primary purpose of the Business Development Department is to stimulate the creation of value-added businesses and living-wage jobs. CAP's assistance catalyzed the creation of 17 new jobs in 2008, and has a three-year business success rate for new businesses of 65%, 20% better than the national average.

Other Business Development services include one-on-one technical assistance, below-market cost space in CAP's business incubators and a Lease/Purchase program under which CAP purchases or builds a facility designed to a business' specifications and leases it to the business with an option to purchase.

This mix of business services has resulted in the creation or expansion of 283 businesses and creation of 560 living wage jobs since 1988.

Business Incubators CAP owns and operates 90,900 square feet of business incubator space in Marquette, Portage and Waushara counties. Since 1988, 32 businesses have used these facilities to create more than 102 jobs for low-income individuals in central Wisconsin.

The Virtual Business Incubator (www.vbi.biz) developed to improve rural residents' access to technical assistance for pre- and post-business starts, continues to be a highly used resource. The site provides no-cost information critical for starting and operating a successful business as well as links to additional resources. VBI sees average monthly traffic of 7,500 unique visitors, 26,000 hits and 15,500 page views. The majority of the visitors access more than 10 pages per visit at the site.

Business Development



Mary Beth Richardson & Paul Dorn with their gluten-free bread dough.

Value-added Businesses

Our Daily Foods

In 2006, CAP expanded its loan program to include new or expanding

“value-added” businesses that might not create a lot of new jobs, but would bring new wealth into the community. One new value-added business was established in 2008, Our Daily Foods (ODF). ODF was developed to address the dietary needs of people who are allergic to the gluten in wheat (celiacs). The medical community estimates 5-10% of our population is allergic to wheat, limiting their access to bread and cookie dough and pie crusts.

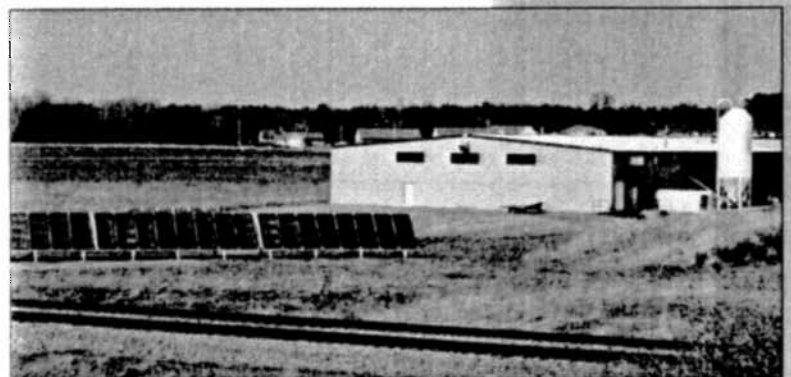
According to Paul Dorn and Mary Beth Richardson, (the founders of ODF), there are some gluten-free products available, but they don’t taste good or are difficult to use. Working in his basement, Paul developed new gluten-free flour and used the network of celiac support groups across the state as taste testers, refining the product to further improve the taste and consistency. But ODF had a problem; they could not find a lender willing to provide them with the \$40,000 they needed to begin production. CAP and the City of Wisconsin Rapids agreed to fund their start-up and provide some working capital.

ODF recently signed a contract with the Wausau school system to provide bread dough and they have negotiated shelf space at a number of north central Wisconsin grocery stores. And true to their roots, they are also marketing through celiac support groups all over Wisconsin.

Central Waters Brewery

In 2006, CAP assisted Central Waters Brewery in moving into a larger facility in the Amherst Industrial Park. That project worked so well that when it was time to expand, the owners returned to CAP for financing. In addition to increasing the size and production of their facility, they wanted financing for a solar water heating system to save energy and reduce their carbon footprint. Since the expansion included job creation and production of a value-added product, CAP was happy to oblige.

Using funds provided through an earmark by Congressman Obey, CAP financed the addition and the solar collector. Although the solar water heating system cost just over \$100,000, a rebate from the Focus on Energy reduces the “energy payback” to five or six years. The hot water will be used to keep the fermenting tanks at the required 85-90 degrees; provides space heating for the plant and hot water for the bathrooms by using a holding tank. The system can provide all the hot water needed for three days even with a full cloud cover.



Solar panels at the Central Waters Brewery.

Community and economic development

efforts targeted to low income individuals can

increase their self-sufficiency,

their rate of asset accumulation

and benefit the communities

in which they live.

Early Childhood Development

Head Start participants Justice Dodd and Angie Lee with "Grandma Emily" Fiedler, a volunteer at the center.



Head Start

Head Start is an early childhood and family development program for income-eligible families with children aged three to five. The program also serves families with special needs children, regardless of income.

The complement of services includes educational, health, nutrition and emotional services for children, and support and development services to families. Parent involvement is critical as parents are a child's first teacher and will have the longest lasting influence on their lives.

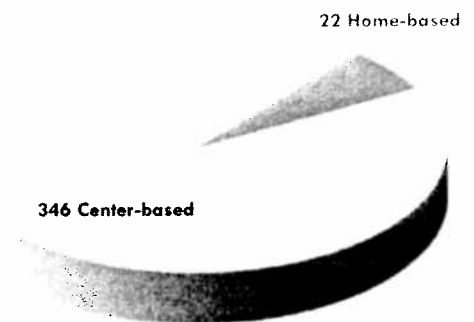
In 2007-2008, family gatherings were held monthly at each Head Start center, designed for family fun. Events included sledding parties, a Dr. Seuss party, board game night, craft night, family fiesta fun night, a swimming party, sports night at UW-SP, a winter dance, and a bowling party. Involving fathers and father figures continues to be a priority of the program. During the 2007-2008 program year, 187 fathers/father figures participated in these events.

Head Start utilizes a family systems approach, realizing the well-being of the entire family is essential to the development of the child. Also strength-based, it assists

family members in identifying and moving toward economic and emotional self-sufficiency goals. In the 2007-2008 program period, 96% of families surveyed felt the goal setting process was helpful to them and their family. Seventy percent of families served made progress toward their priority development goal.

CAP has Head Start centers in Montello, Wautoma, Clintonville, New London, Waupaca and Stevens Point. The income guidelines for the program are consistent with the federal poverty level - currently at \$22,050 for a family of four.

Number of Children in Head Start in 2008



Child Care

CAP offers year-round, full day child care services for pre-school children that are enhanced with Head Start services in Stevens Point and Waupaca. Infant and toddler care is now in its fourth year of operation, with high levels of satisfaction reported by parents. A new, larger child care facility is now under construction in the Plover Business Park to better meet the needs of families. "The Children's Discovery Center" is expected to be operational in October, 2009.

"I Am Moving, I Am Learning"

Obesity among children is a growing concern nationwide. CAP's Head Start takes this concern seriously and recognizes its ability to help children develop healthy lifetime habits. In 2008, it supported a "train the trainer" model for the "I Am Moving, I Am Learning Program." Activities included weekly nutrition and healthy food activities, daily movement and music activities-both indoors and outdoors. Families are included so they can support their children's new practices at home. Family programming has included nutrition trainings, sledding day, sports night, family sock hop and other things to reinforce the need to be active.

Head Start, in partnership with the *Portage County Master Gardeners* and with a grant from the *Portage County Hunger and Poverty Prevention Partnership*, provided hands on experiences for children to learn about healthy food choices, including growing a garden.

Head Start's nutrition consultant has developed a BMI (Body Mass Index) plan to identify children who may be at risk for obesity. A *Nutrition Care Action Plan* includes ways to increase physical activity, make healthy food choices and provide appropriate portion sizes. Increasing physical activity and making healthy food choices will be a program goal for all staff, children, and families in 2009.



Kaden Baehman and Sarah Trindal play outside at the Waupaca Child Development Center.

Early Childhood Development

All residents

of our service area

should have access to

adequate and

affordable

health care

in their

communities.

Community Partnerships

CAP Services' understands collaboration is key to its success. It's the merging of resources and skills that can bring about opportunities unachievable by one organization alone. In 2008, Early Childhood Development programs entered into cooperative agreements with *45 community agencies!* The most comprehensive were collaborative agreements with four school districts to provide Head Start and kindergarten services to four-year-old children (Wautoma, Montello, Clintonville and Stevens Point). One classroom in Stevens Point also includes English Language Learner services in conjunction with the school district.

CAP's Early Childhood Development Program also entered into Memorandums of Understanding (MOUs) with the other school districts in the service area.

In addition, it continues to partner with the Portage County Healthy Smiles coalition to provide fluoride varnishes for all children enrolled. Ninety-three percent of enrolled children received this service in 2008.



Melissa Reidinger and Evan Schelke-Kosobuck playing at the Family Development Center.



"Uncle" Bob Zellmer, a life-long Head Start advocate.

Human Development



Project TEAM family participants: Jose, Mabel & Jomardis Santos

Project TEAM

In 2006, community leaders in the Fox Valley area participated in a one day "poverty plunge" organized by Theda-Care's Community Health Action Team (CHAT). One outcome of the event was family mentoring being identified as a strategy to address poverty. CHAT partnered with the United Way of the Fox Cities to support the development of these services. CAP successfully applied to administer this new initiative.

In 2008, Project TEAM served 21 families (79 family members) through 36 trained mentors. Over 80% of families have shown progress in at least one area of self-sufficiency after 6 months of enrollment. Some goals achieved include: obtaining a job, taking classes and getting a driver's license.

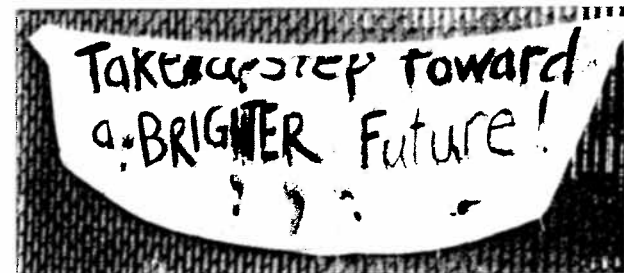
Volunteer mentors report benefits as well. Nine of twelve mentors responded that they strongly agree or agree that Project TEAM is effective in helping participants reach their goals and 92% say volunteering has affected their lives in a positive way. Joy McClair, a mentor since Project TEAM started, commented: "One of the most rewarding things has been to see (the family members) reach their goals of going back to school and paying their debt down...It's always exciting to listen to (the mother) when she catches me up on all that she has accomplished and plans to do."

Skills Enhancement Program

In 2008, CAP's Skills Enhancement Program helped 50 low-income individuals increase their annual income by an average of \$12,321 (average hourly increase of \$5.49). Over half of them (28 of 50) now have access to employer-sponsored health insurance benefits.

The Program helps working individuals with incomes at or below 150% of the federal poverty guidelines obtain training needed to compete for living wage jobs. Participants must be working at least 20 hours per week, and must pursue training in occupations that typically pay a living wage with health insurance benefits.

Participants receive financial assistance with tuition, books and training-related child care and transportation costs. The average level of direct assistance for those completing the program in 2008 was \$2,226 and the average length of time in the program was 27 months.



Domestic Abuse Services

CAP's Family Crisis Center recognized its 30th year of service in 2008, serving 1,800 domestic violence victims, and their 2,413 children since 1978!

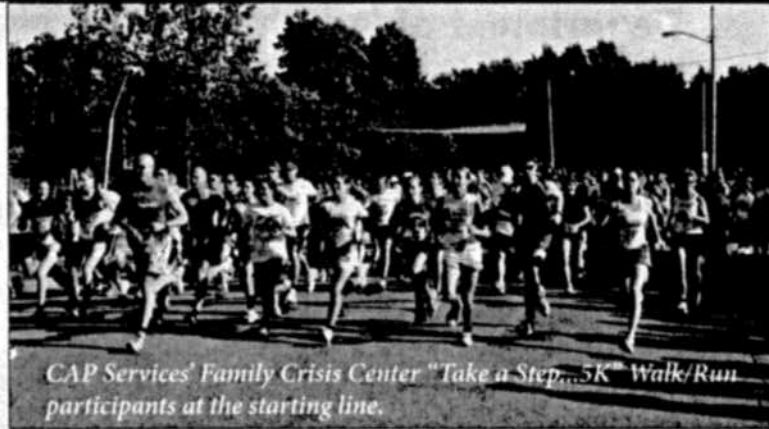
Domestic abuse services are provided through the Crisis Center in Stevens Point and its offices in Waupaca and Wautoma. Those affected by sexual assault can access services in Portage and Waupaca Counties. Culturally-sensitive domestic violence services are available for Hmong families in Portage County.

Services include 24-hour telephone and walk-in crisis counseling, emergency shelter, support groups, advocacy and court accompaniment and community coordination. Those leaving the shelter may also be eligible for CAP's Transitional Living Program, offering affordable housing for those choosing not to return to live with their abuser.

In 2008, 122 individuals (64 women and 58 children) stayed at the Family Crisis Center, about a 10% increase over 2007. The length of stays at the Center averaged 20 days. The Crisis Center has also provided safe shelter to youth runaways and push outs since 1982. Over 1,600 youth received shelter services, 34 in 2008.

CAP's Sexual Assault Victim Services program served 203 individuals in 2008. Services include supportive counseling and advocacy, assistance with obtaining restraining orders, court accompaniment, and support group services. Staff provided 125 educational presentations on safe dating, sexual assault laws, victimization and related topics, reaching over 3,000 people!

CAP Services' will be expanding sexual assault victim services to residents of Waushara County in 2009.



CAP Services' Family Crisis Center "Take a Step...5K" Walk/Run participants at the starting line.

Fresh Start

Fresh Start, now in its 10th year, is designed to help young people aged 16-24 obtain their high school equivalency diploma and develop workplace and life skills. Participants engage in academic or skills training and work with a construction professional to build a house that's later sold to a low-income household.

In 2008, 40 participants were served: 17 completed their goals; 7 of which included obtaining their diploma or equivalent; and, 21 continued services into 2009. The crews completed two homes in the Sandcrest Subdivision in 2008, now available for sale.

Welding training was added in the fall of 2007. Participants earn three technical college credits in welding and some non-credit blueprint reading. Since then, 25 Fresh Start participants accessed the training and 24 completed it. Eight have obtained welding jobs, averaging just under \$10 per hour, and 3 now have health insurance benefits. 40 other students also completed the training program. CAP, in partnership with a number of local employers, will expand training to include basic machining to begin in January of 2009.

Other Programming

The Human Development Department also offers services through the Family Resource Center in Wautoma, Hmong and Hispanic Services, Mental Health Navigation and Work-n-Wheels Projects in Portage County. A full description is available at CAP's website: www.capservices.org.

Human Development

All residents of our service area have

the right to live

violence-free

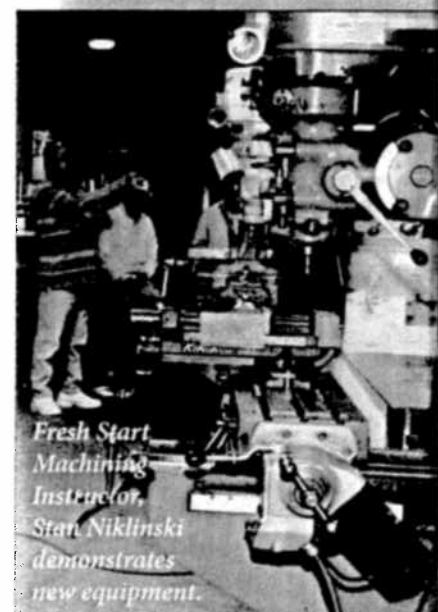
and be

supported

in that right by the

communities in

which they live.



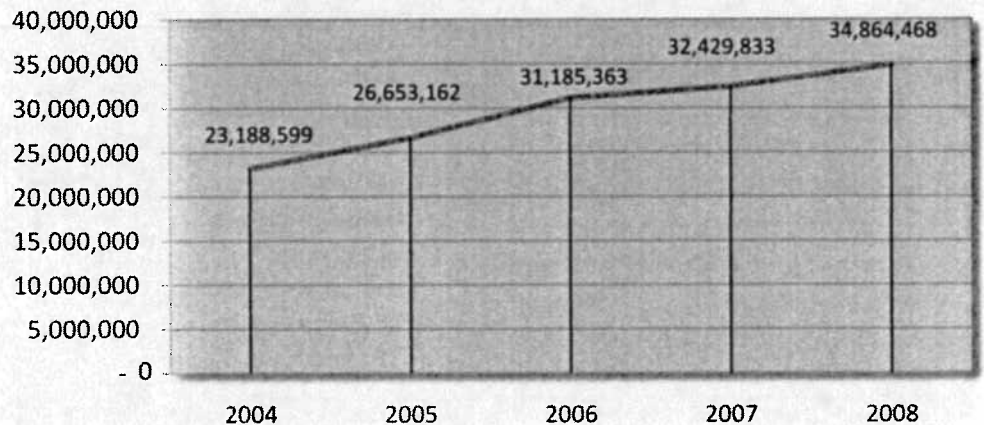
Fresh Start Machining Instructor, Stan Niklinski demonstrates new equipment.

Administration

Department of Administration

CAP Services' has seen significant growth in the past decade and the complexities of administering new and changing programs is critical to the organization's success. We hold firm to our commitment for accountability and transparency, and while applied in every department in the organization, the Department of Administration oversees the documentation, internal controls and compliance measures relevant to federal and state laws and contract regulations. The staff in the Department is credited with clean audits (not a questioned cost since 1981) and efficient human resources and internal communications functions.

CAP Total Assets 2004-2008



*Sue Beveridge
Vice President & Director
of Administration*

In 2008, the Department managed nearly \$16 million in operating funds and a balance sheet of \$34 million. CAP's workforce of 224 earned a payroll of \$5,702,703. Assuming a multiplier of 1.5, the economic impact of payroll alone totaled \$8,554,405 for central and east central Wisconsin.

As a result of organizational growth, CAP will create a separate Finance Department effective January of 2009. Susan Henry will assume the position of CFO and lead the department. Sue Beveridge will continue to lead the Department of Administration (Human Resources, Internal Telecommunications, Treasury Management, Property Records Management) in the way she has for the past 33 years. We appreciate the diligent "behind the scenes" work of these staff.



*Susan Henry
Chief Financial Officer*



CAP's Department of Administration Staff

I. Resource Development

- A. Obtain at least 50% of CAP's annual operating budget from non-governmental sources.
- B. Acquire assets of at least \$50 million (market value) generating an annual positive cash flow of at least \$1 million.
- C. Capitalize a \$500,000 endowment fund.
- D. Recruit at least 1,500 annual investors who donate either \$25 in cash or volunteer eight hours of time.

II. Human Development

- A. Offer a 300-slot Skills Enhancement Program for working-poor families in all five counties.
- B. Offer a Fresh Start-comparable program for at-risk youth in at least two counties that generates at least 40% of its budget from local sources.
- C. Develop a transitional living program in at least one county for at-risk youth or youth aging out of foster care.
- D. Develop a self-sustaining interpreter project in central Wisconsin.
- E. Offer affordable and accessible mental health services to low-income families in at least two counties in the service area.

III. Early Childhood Development

- A. Offer a menu of services to eligible families that includes full-year, part-year and home-based options.
- B. Have inclusive and four-year-old kindergarten agreements with local school districts for all Head Start centers in its four county service area.
- C. Offer six weeks to three-year-old child care in all four counties served by Head Start.
- D. Develop an Early Head Start program in all four counties.
- E. Develop strong health care connections in each county to allow Head Start families access to permanent medical and dental homes.

IV. Housing

- A. Capitalize a \$12 million affordable housing loan fund.
- B. Develop at least 1,000 units of rental housing.
- C. Develop at least 150 units of affordable housing annually (owner & renter occupied).

V. Business Development

- A. Capitalize an \$18 million business loan fund.
- B. Develop at least 50 new living wage jobs for low-and moderate-income individuals each year.

VI. Corporate

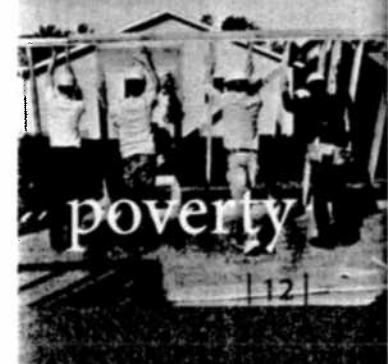
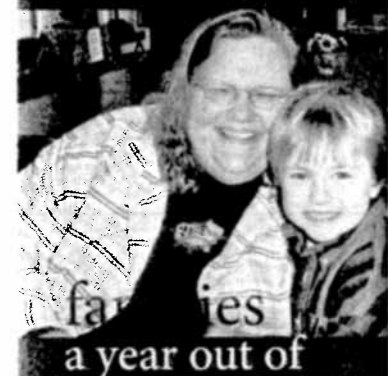
- A. Be the employer of choice for mission-driven persons seeking employment in central Wisconsin.
- B. Have the internal capacity to manage and maintain all corporate-owned real estate in compliance with federal and state requirements.

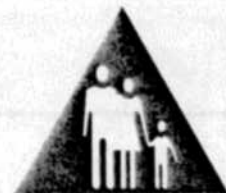
CAP Services 2015 Strategic Goals

Overall:



least 100





Community

Action

Agencies

are the best

local catalyst

for bringing together

the public, private

and low-income

sectors in

communities to

design and

implement local

anti-poverty

strategies.

Consolidated Statement of Financial Position
(Unaudited)
December 31, 2008

Current Assets:	
Cash	\$839,723
Grants receivable	660,359
Accounts receivable	589,798
Fees receivable-related parties	295,148
Materials Inventory	246,142
Current portion of loans receivable	219,773
Current discount - loans pledged	25,666
Property held for sale-current portion	330,506
Housing rehabilitation in progress	138,354
Investments held by others	173,364
Prepaid expenses	86,335
Total Current Assets	3,605,168

Other Assets:	
Restricted cash	631,567
Discount on loans pledged to bank	40,789
Property held for sale	48,705
Investment/Notes rec. from LLC's	3,902,821
Loans receivable, net	11,625,741
Total Other Assets	16,249,623

Property and equipment, net	14,757,882
Goodwill, net	251,795

TOTAL ASSETS \$34,864,468

Current Liabilities:	
Mortgages payable - current portion	\$1,609,199
Notes payable - current portion	139,586
Current obligation - for loans pledged	144,291
Accounts payable	1,171,432
Accrued payroll/benefits	1,084,255
Grants received in advance	207,032
Total Current Liabilities	4,355,795

Long-Term Liabilities:	
Mortgages payable	4,537,428
Notes payable	1,703,013
Obligation for loans pledged to bank	311,359
Deferred mortgages payable	1,446,549
Deferred revenue	255,032
Security deposits and other liabilities	129,254
Total Long-Term Liabilities	8,382,635

TOTAL LIABILITIES \$12,738,430

Net Assets:

Unrestricted	3,488,876
<hr/>	
Temporarily restricted	
Housing	9,186,789
Grant-funded equipment	4,462,576
Housing projects	3,805,167
Job and business development	997,169
Other	185,461
Total Temporarily Restricted	18,637,162
Total Net Assets	22,126,038
<hr/>	
TOTAL LIABILITIES AND NET ASSETS	\$34,864,468

Consolidated Statement of Activities
(Unaudited)
Year Ended December 31, 2008

Revenue:	
Grant revenue	\$9,614,799
Program contributions	1,757,343
Donations	1,725,984
Rental income	819,216
Interest income	556,169
Sales	880,608
Gain on sale of property	148,813
Other income	29,651
Increase in Invest held by others	(44,331)
In kind contributions	439,396
TOTAL REVENUE	15,927,648

Expenses:	
Personnel	6,868,269
Contractual/consultant costs	1,052,119
Travel/training	421,180
Supplies	577,183
Operating/Occupancy expenses	440,711
Client/participant costs	1,219,812
Other expenses	561,393
Depreciation and amortization	721,002
Bad debt	292,201
Property expenses	1,316,546
In kind expenses	439,396
TOTAL EXPENSES	13,909,812

Change in net assets	2,017,836
Net assets - December 31, 2007	20,108,202
Net Assets - December 31, 2008	\$22,126,038



the following donor/investor list is true and complete to the best of our knowledge at the time of printing and CAP Services apologizes for any errors or omissions.

\$75,000+

Doug & Carla Salmon Foundation*
Thrivent Financial for Lutherans Foundation

\$50,000+

J.J. Keller Foundation, Inc.
Ministry Health Care Fund

\$25,000+

Oscar J. Boldt Construction
ThedaCare Community Health Action Team (CHAT)
Theda Clark Smith Family Foundation, Inc.
U.S. Oil Open Fund for Basic Needs*

\$20,000+

Clark R. & Trina H. Smith Family Fund

\$10,000+

Cummins Foundation
Menasha Corporation Foundation
Mielke Family Foundation, Inc.*
JPMorgan Chase Foundation
Edward J. Okray Foundation
Sara Lee Foundation
Schmidt Family G4 Fund*

\$5,000+

Appleton
AZCO Integrated Construction
Bemis Company Foundation
Community First Credit Union
Interstate Graphics
M&I Foundation
NorthCentral Construction
Pat's Carstar
SSM International Finance, Inc.

\$2,500+

Walter Alexander Foundation, Inc
Katapult, LLC
John & Jill Koch
Medicap Pharmacy of New London

\$1,000+

A. Sturm & Sons Foundation, Inc.
AIG Travel Guard/Marathon Travel
Appleton Alliance Church Barnabas Ministry
The Bank of Kaukauna
Bassett Mechanical
Best Buy of Appleton
O.C. & Pat Boldt Family Fund*
Sally S. Carpenter Foundation
Stanley J. Cottrill Charitable Endowment Fund*
Courtesy Motors
Delta Dental
Federal Home Loan Bank of Chicago
First State Bank of Waupaca
Green Bay Diocesan Council of Catholic Women, Inc.
Brett & Darcy Jarman

Kimberly-Clark Corporation

Kraft Foods
Krause Foundation
Manawa Telephone Company
Martz Insurance
Miles Kimball Foundation
Ministry Health Care
S.E. Outagamie Chapter of Thrivent Financial
SECURA Insurance Companies Charitable Fund*
Seno Companies
Silton Seifert Carlson SC
Jon & Becky Stellmacher
Jerome & Francine Sullivan
Target Store - Stevens Point
ThedaCare
The Women's Fund for the Fox Valley Region, Inc.*
U.S. Oil/Schmidt Family Foundation*
UW-SP Homecoming Council
UW-SP Women's Resource

Community Foundations

Community Foundation for the Fox Valley Region, Inc.
Community Foundation of Central Wisconsin
Community Foundation of South Wood County
Waupaca Area Community Foundation

United Way Agencies

Heart of Wisconsin United Way
Marshfield Area United Way
United Way Fox Cities, Inc.
United Way of Inner Wisconsin
United Way of New London
United Way of Portage County
United Way of the Waupaca Area

Loan Fund Investors/Grantors

CHASE Bank
Chicago Federal Home Loan Bank
Community Foundation of Central Wisconsin
Delta Dental
Housing Ministries of American Baptists
M&I Bank & Community Development Corporation
Outagamie Housing Authority Foundation
School Sisters of Notre Dame
Sisters of Saint Agnes
Sisters of Saint Dominic
Sisters of Saint Francis
Sisters of Saint Joseph
Sisters of the Sorrowful Mother
US Bancorp
USDA Rural Development
US Department of Housing and Urban Development
US Office of Community Services
US Small Business Administration
Wisconsin Council of Churches
Wisconsin Department of Commerce

Donors & Investors

Local

partnerships

between

CAP Services and

other entities will

promote

more

effective and

efficient

anti-poverty

program

design and

implementation.



* Indicates a fund or supporting organization within the Community Foundation for the Fox Valley Region, Inc.

Paper courtesy of Neenah Paper, Inc., Whiting Mill



CAP Services

Transforming People and Communities

5499 Hwy 10 E / Stevens Point, WI 54481
715-343-7500 / info@capmail.org / www.capservices.org



CAP Services

Transforming People and Communities

OVERVIEW

The mission of CAP Services is to bring about a permanent increase in the ability of low-income individuals to become economically and emotionally self-sufficient.

CAP Services is a private, non-profit Community Action Agency. CAP's primary service area includes Marquette, Outagamie, Portage, Waupaca and Waushara Counties.

To reflect its mission, CAP Services' Board has adopted the following core values:

1. All residents of our service area who work full-time should earn a living wage, i.e., a wage sufficient to move a household of three above the poverty guidelines.
2. All residents of our service area should have access to adequate and affordable health care in their communities.
3. All residents of our service area should have access to adequate and affordable housing in their communities.
4. All residents of our service area should have access to life-long learning opportunities to increase their skills and earnings and to provide Wisconsin employers with a skilled workforce capable of competing in a worldwide economy.
5. All residents of our service area have the right to live violence-free and be supported in that right by the communities in which they live.
6. Access to affordable capital and credit is essential to building healthy communities and healthy families.
7. Community and economic development efforts targeted to low-income individuals can increase their self-sufficiency, their rate of asset accumulation and benefit the communities in which they live.
8. New, locally-based holistic approaches are necessary to address the multi-faceted barriers facing low-income households in their efforts to become self-sufficient.
9. Local partnerships between CAP Services and other entities will promote more effective and efficient anti-poverty program design and implementation.
10. Community Action Agencies are the best local catalyst for bringing together the public, private and low-income sectors in communities to design and implement local anti-poverty strategies.

CAP SERVICES' OVERVIEW

Human Development

Fresh Start Project: Provides academic, life skill and vocational training, including housing construction and basic welding, to young people aged 16-24, to increase their ability to compete for living wage jobs. (WS)



Parent Support: Project TEAM provides family mentoring services designed to support families in reaching a variety of family development goals (OU). A variety of parenting services are also available at CAP's Family Resource Center. (WS)

Hmong and Hispanic Services: Advocacy, family development and citizenship services are provided to Hmong households. Interpretation, and translation services are also available for Hmong and Spanish language needs. (PO)

The Skills Enhancement Project: Financial assistance and support is available to people working in low-wage jobs so they can obtain the skills needed to compete for living wage jobs. (OU, PO, WP, WS)

Mental Health Services: Navigation services designed to assist individuals in accessing and using appropriate mental health assistance are available. (PO only) Mental health services are available to CAP Services' program participants on a limited basis. (Fresh Start, WP, PO, WS)

Family Crisis Center: A safe place to stay and supportive and legal advocacy services are provided to domestic abuse victims and their children, with special bi-lingual and bi-cultural services for Hmong individuals (PO, WP, WS). Short-term housing and intervention services are also available to runaway youth or youth who have been "pushed out" of their homes. (AD, PO, WO, WP, WS)

Satellite Offices: Information and referral, linkages with community resources and supportive intervention services are available to those in controlling relationships, victims of domestic abuse and their children. Assistance in accessing temporary housing at the Family Crisis Center is also available. (WP, WS)



Transitional Housing: Access to affordable housing and support services are provided to domestic violence victims who have chosen to live apart from their abuser until affordable and adequate permanent housing can be secured. (MQ, PO, WP, WS)

Crisis Intervention Services: Telephone (1-800-472-3377) and walk-in services are available 24 hours a day for individuals who are experiencing depression, suicidal thoughts or need someone to talk to about their problems. (PO)

Sexual Assault Victim Services (SAVS): Assistance is provided to victims of sexual assault and sexual abuse, as well as to their family, friends and care providers. (PO, WP)

Support Groups: Support groups for domestic abuse victims and those in controlling relationships as well as for children who have witnessed violence are available. (PO, WP, WS) Support groups are also available for survivors of sexual assault or abuse. (PO, WP)

DAAP: A 20-week educational program, designed to help abusers examine their attitudes and values and identify strategies for changing is available. (PO, WP, WS)

Work-n-Wheels: Car purchase assistance program for individuals in need of reliable transportation to retain their employment. No interest loans of up to \$4,000 available to qualified households. (PO)

For additional information about any of these programs go to
www.capservices.org or email info@capmail.org

*MQ=Marquette OU=Outagamie PO=Portage WO=Wood WP=Waupaca WS=Waushara
AD=Adams MA=Marathon GL=Green Lake

CAP SERVICES' OVERVIEW

Early Childhood Development

Head Start: Income eligible and special needs pre-school children and their families are provided comprehensive services in the areas of early childhood education, health, and family and community partnerships. Both center-based and home-based options are available. (MQ, PO, WP, WS)

Childcare: Extended day, full-year child care is available in the Family Development Center and the Waupaca Child Development Center. (PO, WP)

Business Development

Business Incubators: Commercial and industrial space customized for individual tenants is available at below-market rates in CAP's four incubator complexes. (MQ, PO, WS)

Community Development: Technical assistance and financial packaging is available to communities undertaking infra-structure projects benefiting low-income residents. (MQ, OU, PO, WP, WS)



Lease/Purchase: CAP purchases or builds a facility designed to an entrepreneur's specifications and leases it to them with an option to buy. (MQ, OU, PO, WP, WS)



Loan Packaging: Low-income entrepreneurs, entrepreneurs committed to hiring low-income individuals, and value-added businesses are assisted in obtaining loans from conventional lenders, public loan funds, and CAP's Revolving Loan Fund. (MQ, OU, PO, WP, WS)

Technical Assistance and Training: Comprehensive one-on-one technical assistance is offered on developing a business plan on a sliding fee schedule. In-person and electronic-based technical assistance is available after start-up. (MQ, OU, PO, WP, WS)

The Virtual Business Incubator (www.vbi.biz) Small business expertise, information and resources are provided on-line. Webmaster: heidiobrien@vbi.biz



Housing

Housing Rehabilitation: State of the art weatherization to reduce energy usage and housing rehabilitation to ensure homes meet basic housing quality standards are available to low-income homeowners and renters. (MQ, PO, WP, WS)

Home Buyers Assistance: Deferred loans for down payment and closing costs are available to eligible low-and moderate-income homebuyers. Funds are offered through a 4% simple-interest, deferred loan. Deferred loans for home rehabilitation are also available to home buyers. (MQ, PO, WO, WP, WS)

Rental Housing: Adequate and affordable family, senior and special needs housing is available for low- and moderate-income households. (MQ, OU, PO, WO, WP, WS)

Dental Program

Dental Program: Dental care provided by the Ministry Dental Center for Medical Assistance and BadgerCare recipients residing in Portage, southern Wood, western Waupaca, and southern Marathon counties. The Center is a joint project of CAP, Delta Dental of Wisconsin and Saint Michael's Hospital in Stevens Point.

CAP Services, Inc. is an equal opportunity employer. Auxiliary aids and services are available upon request to employees as well as program participants with disabilities.

STRATEGIC GOALS FOR 2015

Overall: Move at least 100 families a year out of poverty.

I. Resource Development

- A. Obtain at least 50% of CAP's annual operating budget from non-governmental sources.
- B. Acquire assets of at least \$50 million (market value) generating an annual positive cash flow of at least \$1 million.
- C. Capitalize a \$500,000 endowment fund.
- D. Recruit at least 1,500 annual investors who donate either \$25 in cash or volunteer eight hours of time.

II. Human Development

- A. Offer a 300-slot Skills Enhancement Program for working-poor families in all five counties.
- B. Offer a Fresh Start-comparable program for at-risk youth in at least two counties that generates at least 40% of its budget from local sources.
- C. Develop a transitional living program in at least one county for at-risk young or youth aging out of foster care.
- D. Develop a self-sustaining interpreter project in central Wisconsin.
- E. Offer affordable and accessible mental health services to low-income families in at least two counties in the service area.

III. Early Childhood Development

- A. Offer a menu of services to eligible families that includes full-year, part-year and home-based options.
- B. Have inclusive and four-year-old kindergarten agreements with local school districts for all Head Start centers in its four county service area.
- C. Offer six weeks to three-year-old child care in all four counties served by Head Start.
- D. Develop an Early Head Start program in all four counties.
- E. Develop strong health care connections in each county to allow Head Start families access to permanent medical and dental homes.

IV. Housing

- A. Capitalize a \$12 million affordable housing loan fund.
- B. Develop at least 1,000 units of rental housing.
- C. Develop at least 150 units of affordable housing annually (owner & renter occupied).

V. Business Development

- A. Capitalize an \$18 million business loan fund.
- B. Develop at least 50 new living wage jobs for low-and moderate-income individuals each year.

VI. Corporate

- A. Be the employer of choice for mission-driven persons seeking employment in central Wisconsin.
- B. Have the internal capacity to manage and maintain all corporate-owned real estate in compliance with federal and state requirements.

CAP Services, Inc., 5499 Highway 10 East, Suite A, Stevens Point, WI 54481
715-343-7500

For information go to www.capservices.org or email info@capmail.org
TTY: 715-344-6640 (Stevens Point), 920-787-4416 (Wautoma), 715-256-1123 (Waupaca)

