

## Senate

### Record of Committee Proceedings

#### **Committee on Financial Institutions and Rural Issues**

##### **Assembly Bill 228**

Relating to: exemptions from securities registration requirements.

By Representatives A. Ott, Nerison, Brooks, Bernier, Bewley, Danou, Doyle, Hebl, Hulsey, Jacque, Jorgensen, Kestell, Krug, T. Larson, Marklein, Petrowski, Ringhand, Ripp, Rivard, Steinbrink, Tauchen, Tranel, Vruwink, Williams and Wynn; cosponsored by Senators Schultz, Moulton, Erpenbach, Galloway, Taylor and Vinehout.

October 19, 2011 Referred to Committee on Financial Institutions and Rural Issues.

November 16, 2011 **PUBLIC HEARING HELD**

Present: (4) Senators Grothman, Schultz, Lassa and Vinehout.  
Absent: (0) None.  
Excused: (1) Senator Lasee.

##### Appearances For

- Al Ott — Rep., 3rd Assembly District
- Dale Schultz — Sen., 17th Senate District
- Scott Herrick

##### Appearances Against

- None.

##### Appearances for Information Only

- None.

##### Registrations For

- None.

##### Registrations Against

- None.

##### Registrations for Information Only

- None.

November 30, 2011 **EXECUTIVE SESSION HELD**

Present: (5) Senators Grothman, Lasee, Schultz, Lassa and Vinehout.

Absent: (0) None.  
Excused: (0) None.

Moved by Senator Schultz, seconded by Senator Vinehout that  
**Assembly Bill 228** be recommended for concurrence.

Ayes: (5) Senators Grothman, Lasee, Schultz, Lassa  
and Vinehout.  
Noes: (0) None.

CONCURRENCE RECOMMENDED, Ayes 5, Noes 0

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Lance Burri  
Committee Clerk