

State of Misconsin LEGISLATIVE REFERENCE BUREAU

RESEARCH APPENDIX PLEASE DO NOT REMOVE FROM DRAFTING FILE

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Appendix A ➡ The <u>2015</u> drafting file for LRB–0797

Appendix B [™] The <u>2015</u> drafting file for LRB–0799

Appendix C [™] The <u>2015</u> drafting file for LRB–0800

Appendix D [™] The <u>2015</u> drafting file for LRB–0852

Appendix E [™] The <u>2015</u> drafting file for LRB–0872

Appendix F [□] The <u>2015</u> drafting file for LRB–0906

Appendix G [™] The 2015 drafting file for LRB–0941

has been copied/added to the drafting file for

2015 LRB-0807

2015 DRAFTING REQUEST

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2015 DRAFTING REQUEST

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State of Misconsin 2015 - 2016 LEGISLATURE



PRELIMINARY DRAFT - NOT READY FOR INTRODUCTION

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1. Not ger

AN ACT ...; relating to: the budget.

Analysis by the Legislative Reference Bureau SAFETY AND PROFESSIONAL SERVICE,

BUILDINGS AND SAFETY

Under current law, DSPS administers the laws regulating private on—site wastewater treatment systems. Generally, a private on—site wastewater treatment system is a sewage treatment and disposal system serving a single structure with a septic tank and soil absorption field located on the same parcel as the structure, Current law also requires certain local governmental units to enact ordinances regulating private on—site wastewater treatment systems.

This bill transfers DSPS's responsibilities with respect to administration of the laws regulating private on–site wastewater treatment systems to DNR.

For further information see the *state and local* fiscal estimate, which will be printed as an appendix to this bill.

The people of the state of Wisconsin, represented in senate and assembly, do enact as follows:

Section 1. 145.01 (12) of the statutes is amended to read:

145.01 (12) Private on-site wastewater treatment system. "Private on-site

wastewater treatment system" means a sewage treatment and disposal system



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serving a single structure with a septic tank and soil absorption field located on the
same parcel as the structure. This term also means an alternative sewage system
approved by the department of natural resources including a substitute for the septic
tank or soil absorption field, a holding tank, a system serving more than one
structure or a system located on a different parcel than the structure. A private
on-site wastewater treatment system may be owned by the property owner or by a
special purpose district.

History: 1971 c. 255; 1977 c. 314; 1979 c. 34, 221; 1981 c. 20; 1983 a. 189, 410, 538; 1991 a. 39; 1993 a. 213, 322; 1995 a. 27 ss. 4355 and 9116 (5); 1995 a. 227; 2009 a. 200, 291; 2011 a. 32, 146; 2013 a. 124; 2013 a. 173 s. 33.

SECTION 2. 145.02 (title) of the statutes is amended to read:

145.02 (title) Powers of the department of financial institutions, insurance, and professional standards and the department of natural resources.

History: 1971 c. 194; 1973 c. 90; 1975 c. 39; 1977 c. 275, 314; 1979 c. 34, 221; 1981 c. 341; 1983 a. 410; 1993 a. 27, 213, 322; 1995 a. 27; 2011 a. 32. **SECTION 3.** 145.02 (2) of the statutes is amended to read:

145.02 (2) The Except as provided in sub. (2m), the department shall have general supervision of all such plumbing and shall after public hearing prescribe and publish and enforce reasonable standards therefor which shall be uniform and of statewide concern so far as practicable. Any employee designated by the department may act for the department in holding such public hearing. To the extent that the historic building code applies to the subject matter of these standards, the standards do not apply to a qualified historic building if the owner elects to be subject to s. 101.121.

History: 1971 c. 194; 1973 c. 90; 1975 c. 39; 1977 c. 275, 314; 1979 c. 34, 221; 1981 c. 341; 1983 a. 410; 1993 a. 27, 213, 322; 1995 a. 27; 2011 a. 32. SECTION 4. 145.02 (2m) of the statutes is created to read:

1	145.02 (2m) The department of natural resources shall have general
2	supervision of private on-site wastewater treatment systems and shall promulgate
3	rules establishing standards for those systems.
	*****Note: Do you want to give DNR any other powers with regard to these systems? See DSPS powers in current law under s. 145.02, stats. RNK
4	SECTION 5. 145.045 (1) of the statutes is amended to read:
5	145.045 (1) Powers and duties. The department shall by rule establish an
6	examining program for the certification of soil testers, setting such standards as the
7	department finds necessary to accomplish the purposes of this chapter. Such
8	standards shall include formal written examinations for all applicants. The
9	department shall charge applicants for the cost of examination and certification.
10	After July 1, 1974, no person may construct soil bore holes or conduct soil percolation
11	tests or other similar tests specified by the department of natural resources that
12	relate to private on-site wastewater treatment systems unless the person holds a
13	valid certificate issued under this section.
	History: 1973 c. 287; 1975 c. 41; 1979 c. 34, 221; 1993 a. 482; 2007 a. 147; 2011 a. 146. ****NOTE: Are these changes consistent with the intent of the request? That is, will the mentioned tests be "specified" by DNR? Also, I have assumed that DFIIPS will retain authority to certify all soil testers. Is this correct? RNK
14	SECTION 6. 145.045 (2) of the statutes is amended to read:
15	145.045 (2) REVOCATION OF CERTIFICATE. The department may revoke or
16	suspend the certification of any soil tester but only after a formal hearing for the
17	practice of any fraud or deceit in obtaining the certificate or any gross negligence,
18	incompetence or misconduct in the practice of soil testing.
	History: 1973 c. 287; 1975 c. 41; 1979 c. 34, 221; 1993 a. 482; 2007 a. 147; 2011 a. 146. *****NOTE: If you give DNR the authority to certify soil testers, this provision must be amended. See the previous note. RNK

Section 7. 145.045(3) of the statutes is amended to read:

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	Section 7
1	145.045 (3) Plumbers and septic tank installers. A plumber or septic tank
2	installer may also be a soil tester and install any system after approval of the site or
3	project by the department of financial institutions, insurance, and professional
4	standards, the department of natural resources, or the governmental unit
5	responsible for the regulation of private on-site wastewater treatment systems.
	History: 1973 c. 287; 1975 c. 41; 1979 c. 34, 221; 1993 a. 482; 2007 a. 147; 2011 a. 146. *****NOTE: Are these changes consistent with the intent of the request? RNK
6	SECTION 8. 145.14(2)(a) of the statutes is amended to read:
7	145.14(2) (a) Systems or services. Persons classified under this paragraph may
8	install septic tanks for private on-site wastewater treatment systems, may install
9	drain fields designed to serve such septic tanks, and may install sewer service from
10	the septic tank or sewer extensions from mains to the immediate inside or proposed
11	inside foundation wall of the building. Such persons may also install water services,
12	stormwater use systems, and reclaimed water systems if the services or systems are
13	to be located outside the foundation wall of the building.
	History: 2007 a, 147; 2009 a, 200; 2011 a, 146. ****NOTE: Do you want to give DNR any authority to classify plumbers for the purpose of this paragraph? If so, this paragraph will need amending RNK

purpose of this paragraph? If so, this paragraph will need amending. RNK

SECTION 9. 145.19 (1b) of the statutes is amended to read:

145.19 (1b) Definition. In this section, "sanitary permit" means a permit authorizing the installation of a private on-site wastewater treatment system that is issued by the department of natural resources or any governmental unit responsible for the regulation of private on-site wastewater treatment systems.

History: 1979 c. 34, 221; 1983 a. 27; 1983 a. 189 s. 329 (20); 1983 a. 410; 1987 a. 27; 1989 a. 31; 1997 a. 27; 2011 a. 146 ss. 63 to 65, 68 to 74. **SECTION 10.** 145.19 (1m) of the statutes is amended to read:

145.19 (1m) APPLICATION PROCESS. The department of natural resources shall prescribe the information to be included in an application for a sanitary permit. The applicant shall submit the completed application for a sanitary permit to the

governmental unit. The governmental unit shall approve or disapprove the sanitary permit according to the rules promulgated by the department of natural resources under this chapter.

History: 1979 c. 34, 221; 1983 a. 27; 1983 a. 189 s. 329 (20); 1983 a. 410; 1987 a. 27; 1989 a. 31; 1997 a. 27; 2011 a. 146 ss. 63 to 65, 68 to 74.

SECTION 11. 145.19 (2) of the statutes is amended to read:

145.19 (2) FEE. No fee for a sanitary permit may be less than the amount determined under by the department of natural resources by rule. The governing body for the governmental unit responsible for the regulation of private on-site wastewater treatment systems may establish a fee for a sanitary permit which is more than the amount determined under by the department of natural resources by rule. A governmental unit may not charge more than one fee for a sanitary permit or the renewal of a sanitary permit in any 12-month period.

History: 1979 c. 34, 221; 1983 a. 27; 1983 a. 189 s. 329 (20); 1983 a. 410; 1987 a. 27; 1989 a. 31; 1997 a. 27; 2011 a. 146 ss. 63 to 65, 68 to 74. SECTION 12. 145.19 (3) of the statutes is amended to read:

145.19 (3) FEES AND RECORDS OF PERMITS FORWARDED TO THE DEPARTMENT. The governmental unit responsible for the regulation of private on-site wastewater treatment systems shall forward to the department of natural resources within 90 days after each valid permit is issued a portion of the fee, as determined under by the department of natural resources by rule. The governmental unit shall also compile a periodic summary of the permits that it has issued. The summary shall contain the information required by the department of natural resources by rule, and shall be submitted by the governmental unit to the department of natural resources at intervals to be determined by the department of natural resources by rule.

History: 1979 c. 34, 221; 1983 a. 27; 1983 a. 189 s. 329 (20); 1983 a. 410; 1987 a. 27; 1989 a. 31; 1997 a. 27; 2011 a. 146 ss. 63 to 65, 68 to 74. SECTION 13. 145.19 (6) of the statutes is amended to read:

145.19 (6) Groundwater fee. In addition to the fee under sub. (2), the governmental unit responsible for the regulation of private on-site wastewater

1	treatment systems shall collect a groundwater fee of \$25 for each sanitary permit.
2	The governmental unit shall forward this fee to the department of natural resources
3	together with the fee under sub. (3). The moneys collected under this subsection
4	shall be credited to the environmental fund for environmental management.
5	History: 1979 c. 34, 221; 1983 a. 27; 1983 a. 189 s. 329 (20); 1983 a. 410; 1987 a. 27; 1989 a. 31; 1997 a. 27; 2011 a. 146 ss. 63 to 65, 68 to 74. SECTION 14. 145.19 (7) of the statutes is amended to read:
6	145.19 (7) Period of Validity. A sanitary permit is valid for 2 years from the
7	date of issue and renewable for similar periods thereafter. A sanitary permit shall
8	remain valid to the end of the established period, notwithstanding any change in the
9	state plumbing code or in any private on-site wastewater treatment system
10	ordinance during that period.
	History: 1979 c. 34, 221; 1983 a. 27; 1983 a. 189 s. 329 (20); 1983 a. 410; 1987 a. 27; 1989 a. 31; 1997 a. 27; 2011 a. 146 ss. 63 to 65, 68 to 74. ****NOTE: Given that DNR will be issuing sanitary permits, I'm not sure whether the reference to the plumbing code in this provision should be deleted. Please advise. RNK
11	SECTION 15. 145.20 (2) (e) of the statutes is amended to read:
12	145.20 (2) (e) File reports and conduct surveys and inspections as required by
13	the governmental unit responsible for the regulation of private on-site wastewater
14	treatment systems or the department of natural resources.
15	History: 1979 c. 34, 221; 1981 c. 120; 1983 a. 192 s. 303 (7); 1983 a. 410; 1987 a. 27, 160; 1989 a. 31; 1993 a. 16, 27; 1995 a. 201, 227; 1999 a. 150 s. 672; 2005 a. 347 ss. 12, 14; 2007 a. 197; 2009 a. 392; 2011 a. 32, 134, 146; 2013 a. 165. SECTION 16. 145.20 (2) (g) of the statutes is amended to read:
16	145.20 (2) (g) Perform other duties regarding private on-site wastewater
17	treatment systems as considered appropriate by the governmental unit responsible
18	for the regulation of private on-site wastewater treatment systems or as required by

History: 1979 c. 34, 221; 1981 c. 120; 1983 a. 192 s. 303 (7); 1983 a. 410; 1987 a. 27, 160; 1989 a. 31; 1993 a. 16, 27; 1995 a. 201, 227; 1999 a. 150 s. 672; 2005 a. 347 ss. 12, 14; 2007 a. 197; 2009 a. 392; 2011 a. 32, 134, 146; 2013 a. 165.

SECTION 17. 145.20 (3) (title) of the statutes is amended to read:

the rules of the department of natural resources.

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1 145.20 (3) (title) Department of natural resources responsibilities.

History: 1979 c. 34, 221; 1981 c. 120; 1983 a. 192 s. 303 (7); 1983 a. 410; 1987 a. 27, 160; 1989 a. 31; 1993 a. 16, 27; 1995 a. 201, 227; 1999 a. 150 s. 672; 2005 a. 347 ss. 12, 14; 2007 a. 197; 2009 a. 392; 2011 a. 32, 134, 146; 2013 a. 165.

SECTION 18. 145.20 (3) (a) 1. of the statutes is amended to read:

145.20 (3) (a) 1. The department of natural resources may specify categories
of private on-site wastewater treatment systems for which approval by the
department of natural resources is required prior to issuance of sanitary permits by
the governmental unit responsible for the regulation of private on-site wastewater
treatment systems.

History: 1979 c. 34, 221; 1981 c. 120; 1983 a. 192 s. 303 (7); 1983 a. 410; 1987 a. 27, 160; 1989 a. 31; 1993 a. 16, 27; 1995 a. 201, 227; 1999 a. 150 s. 672; 2005 a. 347 ss. 12, 14; 2007 a. 197; 2009 a. 392; 2011 a. 32, 134, 146; 2013 a. 165.

Section 19. 145.20 (3) (a) 2. of the statutes is amended to read:

governmental unit from any category of private on—site wastewater treatment systems for which departmental approval by the department of natural resources is required prior to sanitary permit issuance under subd. 1., upon a determination, in accordance with rules promulgated by the department of natural resources, that past performance of the governmental unit on reviews and audits under par. (b) has been satisfactory and that the governmental unit has the capacity to give the same level of application and plan review as that provided by the department of natural resources. The department of natural resources may revoke an exemption upon a finding that performance of the governmental unit on a review or audit conducted subsequent to the granting of the exemption is unsatisfactory or that the governmental unit is not giving the same level of application and plan review as that provided by the department of natural resources. Findings in a revocation action may be made only after a public hearing upon 30 days' advance notice to the clerk of the governmental unit. The department of natural resources shall submit a report

under s.13.172 (2) to the chief clerk of each house of the legislature, at the beginning of each legislative session, describing the exemptions under this subdivision.

History: 1979 c. 34, 221; 1981 c. 120; 1983 a. 192 s. 303 (7); 1983 a. 410; 1987 a. 27, 160; 1989 a. 31; 1993 a. 16, 27; 1995 a. 201, 227; 1999 a. 150 s. 672; 2005 a. 347 ss. 12, 14; 2007 a. 197; 2009 a. 392; 2011 a. 32, 134, 146; 2013 a. 165.

SECTION 20. 145.20 (3) (b) of the statutes is amended to read:

145.20 (3) (b) The department of natural resources shall review the private on-site wastewater treatment system program in each governmental unit responsible for the regulation of private on-site wastewater treatment systems to ascertain compliance with sub. (2) and with regulations issued by the department of natural resources. This review shall include a random audit of sanitary permits, including verification by on-site inspection.

History: 1979 c. 34, 221; 1981 c. 120; 1983 a. 192 s. 303 (7); 1983 a. 410; 1987 a. 27, 160; 1989 a. 31; 1993 a. 16, 27; 1995 a. 201, 227; 1999 a. 150 s. 672; 2005 a. 347 ss. 12, 14; 2007 a. 197; 2009 a. 392; 2011 a. 32, 134, 146; 2013 a. 165.

SECTION 21. 145.20 (3) (c) of the statutes is amended to read:

145.20 (3) (c) If the governing body for a governmental unit responsible for the regulation of private on-site wastewater treatment systems does not adopt a private on-site wastewater treatment system ordinance meeting the requirements of s. 59.70 (5) or if the governmental unit does not appoint personnel meeting the requirements of sub. (1) or if the governmental unit does not comply with the requirements of sub. (2) or s. 145.19 (3), the department of natural resources may conduct hearings in the county seat upon 30 days' notice to the county clerk. As soon as practicable after the public hearing, the department of natural resources shall issue a written decision regarding compliance with s. 59.70 (5) or 145.19 (3) or sub. (1) or (2). If the department of natural resources determines that there is a violation of these provisions, the governmental unit may not issue a sanitary permit for the

- installation of a private on-site wastewater treatment system until the violation is corrected.
- History: 1979 c. 34, 221; 1981 c. 120; 1983 a. 192 s. 303 (7); 1983 a. 410; 1987 a. 27, 160; 1989 a. 31; 1993 a. 16, 27; 1995 a. 201, 227; 1999 a. 150 s. 672; 2005 a. 347 ss. 12, 14; 2007 a. 197; 2009 a. 392; 2011 a. 32, 134, 146; 2013 a. 165.

 SECTION 22. 145.20 (3) (d) of the statutes is amended to read:
 - 145.20 (3) (d) The department of natural resources shall conduct training and informational programs for officials of the governmental unit responsible for the regulation of private on–site wastewater treatment systems and employees and persons licensed under this chapter and s. 281.48 and certified as operators of septage servicing vehicles under s. 281.17 (3) to improve the delivery of service under the private on–site wastewater treatment system program. The department of natural resources shall obtain the assistance of the Wisconsin counties association in planning and conducting the training and informational programs.

History: 1979 c. 34, 221; 1981 c. 120; 1983 a. 192 s. 303 (7); 1983 a. 410; 1987 a. 27, 160; 1989 a. 31; 1993 a. 16, 27; 1995 a. 201, 227; 1999 a. 150 s. 672; 2005 a. 347 ss. 12, 14; 2007 a. 197; 2009 a. 392; 2011 a. 32, 134, 146; 2013 a. 165.

*****NOTE: Is this provision consistent with the intent of the request? That is, do you want DNR to do all of the training described in this paragraph? RNK

SECTION 23. 145.20 (5) (a) of the statutes is amended to read:

145.20 (5) (a) The department of natural resources shall establish a maintenance program to be administered by governmental units responsible for the regulation of private on—site wastewater treatment systems. The department of natural resources shall determine the private on—site wastewater treatment systems to which the maintenance program applies. At a minimum the maintenance program is applicable to all new or replacement private on—site wastewater treatment systems constructed in a governmental unit after the date on which the governmental unit adopts this program. The department of natural resources may apply the maintenance program by rule to private on—site wastewater treatment systems constructed in a governmental unit responsible for the regulation of private

on-site wastewater treatment systems on or before the date on which the governmental unit adopts the program. The department of natural resources shall determine the private on-site wastewater treatment systems to which the maintenance program applies in governmental units that do not meet the conditions for eligibility under s. 145.245 (9).

History: 1979 c. 34, 221; 1981 c. 120; 1983 a. 192 s. 303 (7); 1983 a. 410; 1987 a. 27, 160; 1989 a. 31; 1993 a. 16, 27; 1995 a. 201, 227; 1999 a. 150 s. 672; 2005 a. 347 ss. 12, 14; 2007 a. 197; 2009 a. 392; 2011 a. 32, 134, 146; 2013 a. 165.

SECTION 24. 145.20 (5) (b) of the statutes is amended to read:

145.20 (5) (b) The maintenance program shall include a requirement of inspection or pumping of the private on-site wastewater treatment system at least once every 3 years if the private on-site wastewater treatment system does not have a maintenance plan as prescribed by rule by the department of natural resources. Inspections may be conducted by a master plumber, journeyman plumber or restricted plumber licensed under this chapter, a person licensed under s. 281.48 or by an employee of the state or governmental unit designated by the department of natural resources, and the department of natural resources may determine by rule other persons who are qualified to undertake required inspection, maintenance, or repairs. The department of natural resources shall specify the methods to establish the required frequency of inspection, maintenance, and pumping for each type of private on-site wastewater treatment system that does not have a maintenance plan and shall periodically update the methods.

History: 1979 c. 34, 221; 1981 c. 120; 1983 a. 192 s. 303 (7); 1983 a. 410; 1987 a. 27, 160; 1989 a. 31; 1993 a. 16, 27; 1995 a. 201, 227; 1999 a. 150 s. 672; 2005 a. 347 ss. 12, 14; 2007 a. 197; 2009 a. 392; 2011 a. 32, 134, 146; 2013 a. 165.

SECTION 25. 145.23 of the statutes is amended to read:

145.23 Rules. The department of natural resources may make and enforce rules relating to lot size and lot elevation necessary for proper sanitary conditions

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in the development and maintenance of subdivisions not served by a public sewer, where provision for such service has not been made.

History: 1979 c. 221 ss. 607, 649.

****NOTE: Is this change consistent with the intent of this draft? Should DFIIPS
retain any rule—making authority on this issue? RNK

- 3 Section 26. 145.24 (1) of the statutes is amended to read:
- 145.24 (1) If an existing private on-site wastewater treatment system either is not located in soil meeting the siting standards or is not constructed in accordance with design standards promulgated under s. 145.02 or 145.13, the owner of the private on-site wastewater treatment system may petition the department of natural resources for a variance to the siting or design standards.

History: 1983 a. 410; 2011 a. 146. **SECTION 27.** 145.24 (2) of the statutes is amended to read:

145.24 (2) The department of natural resources shall establish procedures for the review and evaluation of existing private on–site wastewater treatment systems which do not comply with siting or design standards.

History: 1983 a. 410; 2011 a. 146.

SECTION 28. 145.24 (3) of the statutes is amended to read:

145.24 (3) Upon receipt of a petition for a variance, the department of natural resources shall require the owner of the private on-site wastewater treatment system to submit information necessary to evaluate the request for a variance. If the department of natural resources determines that the existing private on-site wastewater treatment system is not a failing private on-site wastewater treatment system, and continued use of the existing private on-site wastewater treatment system will not pose a threat of contamination of waters of the state, then the department of natural resources may issue a variance to allow continued use of the existing private on-site wastewater treatment system. The department of natural resources shall rescind the variance if the existing private on-site wastewater

treatment system becomes a failing private on—site wastewater treatment system or contaminates waters of the state.

History: 1983 a. 410; 2011 a. 146.

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Section 29. 236.13 (2m) of the statutes is amended to read:

236.13 (2m) As a further condition of approval when lands included in the plat lie within 500 feet of the ordinary high—water mark of any lake, any navigable stream, or any other body of navigable water or if land in the proposed plat involves lake or navigable stream shorelands referred to in s. 236.16, the department of natural resources, to prevent pollution of navigable waters, or the department of safety and professional services, and to protect the public health and safety, may require assurance of adequate drainage areas for private on—site wastewater treatment systems and building setback restrictions, or provisions by the owner for public sewage disposal facilities for waters of the state, as defined in s. 281.01 (18), industrial wastes, as defined in s. 281.01 (5), and other wastes, as defined in s. 281.01 (7). The public sewage disposal facilities may consist of one or more systems as the department of natural resources or the department of safety and professional services determines on the basis of need for prevention of pollution of the waters of the state or protection of public health and safety.

History: 1977 c. 29 ss. 1384, 1654 (8) (c); 1977 c. 162; 1979 c. 221, 248; 1981 c. 289 s. 19; 1981 c. 354; 1993 a. 414; 1995 a. 27 ss. 6310, 6311, 9116 (5); 1995 a. 227; 1997 a. 27; 1999 a. 9; 1999 a. 150 s. 672; 2001 a. 30; 2007 a. 44; 2009 a. 372, 376; 2011 a. 32, 146; 2013 a. 280, 358; s. 13.92 (2) (i).

****NOTE: Are these changes consistent with the intent of this drafting request?

RNK

18 Section 9138. Nonstatutory provisions; Safety and Professional
19 Services.

(1) Elimination of the department of safety and professional services.

(a) Assets and liabilities. Except as provided in subsection (2), on the effective date of this paragraph, the assets and liabilities of the department of safety and

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1	professional services become the assets and liabilities of the department of financial
2	institutions, insurance, and professional standards.
3	(b) Positions and employees. Except as provided in subsection (2), on the
4	effective date of this paragraph, all positions and all incumbent employees in the
5	classified service of the state civil service holding those positions in the department
6	of safety and professional services are transferred to the department of financial
7	institutions, insurance, and professional standards.
8	(c) Employee status. Employees transferred under paragraph (b) have all the
9	rights and the same status under subchapter V of chapter 111 and chapter 230 of the
10	statutes in the department of financial institutions, insurance, and professional
11	standards that they enjoyed in the department of safety and professional services
12	immediately before the transfer. Notwithstanding section 230.28 (4) of the statutes,
13	no employee so transferred who has attained permanent status in class is required
14	to serve a probationary period.
15	(d) Tangible personal property. Except as provided in subsection (2), on the
16	effective date of this paragraph, all tangible personal property, including records, of
17	the department of safety and professional services is transferred to the department
18	of financial institutions, insurance, and professional standards.
19	(e) Pending matters. Except as provided in subsection (2), any matter pending
20	with the department of safety and professional services on the effective date of this
21	paragraph is transferred to the department of financial institutions, insurance, and
22	professional standards. Except as provided in subsection (2), all materials submitted
23	to or actions taken by the department of safety and professional services are

considered as having been submitted to or taken by the department of financial

institutions, insurance, and professional standards.

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(f) Contracts. Except as provided in subsection (2), all contracts entered into
by the department of safety and professional services in effect on the effective date
of this paragraph remain in effect and are transferred to the department of financial
institutions, insurance, and professional standards. The department of financial
institutions, insurance, and professional standards shall carry out any obligations
under those contracts unless modified or rescinded by that department to the extent
allowed under the contract.
(g) Rules and orders. Except as provided in subsection (2), all rules
promulgated by the department of safety and professional services in effect on the

effective date of this paragraph remain in effect until their specified expiration dates or until amended or repealed by the department of financial institutions, insurance, and professional standards. Except as provided in subsection (2), all orders issued by the department of safety and professional services in effect on the effective date of this paragraph remain in effect until their specified expiration dates or until modified or rescinded by the department of financial institutions, insurance, and

(2) REGULATION OF PRIVATE ON-SITE WASTEWATER TREATMENT SYSTEMS.

18 Cleate (a) Assets and liabilities. On the effective date of this paragraph, the assets and liabilities of the department of safety and professional services that are primarily related to the regulation of private on-site wastewater treatment systems, as determined by the secretary of administration, become the assets and liabilities of the department of natural resources.

Positions and employees. On the effective date of this paragraph, all positions and all incumbent employees in the classified service of the state civil service holding those positions in the department of safety and professional services



professional standards.

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with duties that are primarily related to the regulation of private on-site wastewater
treatment systems, as determined by the secretary of administration, are
transferred to the department of natural resources. (c) Employee status. Employees transferred under paragraph (b) have all the
(c) Employee status. Employees transferred under paragraph (b) have all the
rights and the same status under subchapter V of chapter 111 and chapter 230 of the

- rights and the same status under subchapter V of chapter 111 and chapter 230 of the statutes in the department of natural resources that they enjoyed in the department of safety and professional services immediately before the transfer. Notwithstanding section 230.28 (4) of the statutes, no employee so transferred who has attained permanent status in class is required to serve a probationary period.
- (d) Tangible personal property. On the effective date of this paragraph, all tangible personal property, including records, of the department of safety and professional services that are primarily related to the regulation of private on—site wastewater treatment systems, as determined by the secretary of administration, is transferred to the department of natural resources.
- (e) Pending matters. Any matter pending with the department of safety and professional services on the effective date of this paragraph that is primarily related to the regulation of private on–site wastewater treatment systems, as determined by the secretary of administration, is transferred to the department of natural resources. All materials submitted to or actions taken by the department of safety and professional services that are primarily related to the regulation of private on–site wastewater treatment systems, as determined by the secretary of administration, are considered as having been submitted to or taken by the department of natural resources.
- (f) Contracts. All contracts entered into by the department of safety and professional services in effect on the effective date of this paragraph that are

primarily related to the regulation of private on-site wastewater treatment systems
as determined by the secretary of administration, remain in effect and are
transferred to the department of natural resources. The department of natural
resources shall carry out any obligations under those contracts unless modified or
rescinded by that department to the extent allowed under the contract.

(g) Rules and orders. All rules promulgated by the department of safety and professional services in effect on the effective date of this paragraph that relate to the regulation of private on–site wastewater treatment systems, as determined by the secretary of administration, remain in effect until their specified expiration dates or until amended or repealed by the department of natural resources. All orders issued by the department of safety and professional services in effect on the effective date of this paragraph that relate to the regulation of private on–site wastewater treatment systems, as determined by the secretary of administration, remain in effect until their specified expiration dates or until modified or rescinded by the department of natural resources.

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Kite, Robin

From:

Kite, Robin

Sent:

Thursday, December 18, 2014 1:53 PM

To:

'Potts, Andrew R - DOA'

Subject:

RE: POWTS regulation appropriation

I already drafted a nonstatutory provision transferring employees whose positions are primarily related to the regulation of POWTS to DNR. I don't think that any drafting is necessary to transfer funding because presumably this function is currently funded from DSPS's general program operations appropriation which is an annual appropriation. So I think all you need to do is adjust the schedule accordingly. I will simply amend the appropriation language under s. 20.370 (4) (mq) to provide that this appropriation will fund the POWTS regulation.

Robin

From: Potts, Andrew R - DOA [mailto:Andrew.Potts@wisconsin.gov]

Sent: Thursday, December 18, 2014 1:32 PM

To: Kite, Robin

Subject: FW: POWTS regulation appropriation

From: Byrnes, Tyler - DOA

Sent: Thursday, December 18, 2014 1:31 PM

To: Potts, Andrew R - DOA

Subject: POWTS regulation appropriation

Andy – the FTE and funding for POWTS regulation should be transferred to s.20.370(4)(mq) - General program operations - environmental fund.

Tyler



State of Misconsin 2015 - 2016 LEGISLATURE



PRELIMINARY DRAFT - NOT READY FOR INTRODUCTION

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AN ACT ...; relating to: the budget.

Analysis by the Legislative Reference Bureau SAFETY AND PROFESSIONAL SERVICES

BUILDINGS AND SAFETY

Under current law, DSPS administers the laws regulating private on–site wastewater treatment systems. Generally, a private on–site wastewater treatment system is a sewage treatment and disposal system serving a single structure with a septic tank and soil absorption field located on the same parcel as the structure. Current law also requires certain local governmental units to enact ordinances regulating private on–site wastewater treatment systems.

This bill transfers DSPS's responsibilities with respect to administration of the laws regulating private on–site wastewater treatment systems to DNR.

For further information see the **state and local** fiscal estimate, which will be printed as an appendix to this bill.

The people of the state of Wisconsin, represented in senate and assembly, do enact as follows:

SECTION 1. 145.01 (12) of the statutes is amended to read:

145.01 (12) PRIVATE ON-SITE WASTEWATER TREATMENT SYSTEM. "Private on-site

wastewater treatment system" means a sewage treatment and disposal system

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serving a single structure with a septic tank and soil absorption field located on the
same parcel as the structure. This term also means an alternative sewage system
approved by the department of natural resources including a substitute for the septic
tank or soil absorption field, a holding tank, a system serving more than one
structure or a system located on a different parcel than the structure. A private
on-site wastewater treatment system may be owned by the property owner or by a
special purpose district.

SECTION 2. 145.02 (title) of the statutes is amended to read:

145.02 (title) Powers of the department of financial institutions, insurance, and professional standards and the department of natural resources.

SECTION 3. 145.02 (2) of the statutes is amended to read:

145.02 (2) The Except as provided in sub. (2m), the department shall have general supervision of all such plumbing and shall after public hearing prescribe and publish and enforce reasonable standards therefor which shall be uniform and of statewide concern so far as practicable. Any employee designated by the department may act for the department in holding such public hearing. To the extent that the historic building code applies to the subject matter of these standards, the standards do not apply to a qualified historic building if the owner elects to be subject to s. 101.121.

SECTION 4. 145.02 (2m) of the statutes is created to read:

145.02 (2m) The department of natural resources shall have general supervision of private on-site wastewater treatment systems and shall promulgate rules establishing standards for those systems.

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****NOTE: Do you want to give DNR any other powers with regard to these systems? See DSPS powers in current law under s. 145.02, stats. RNK

Section 5. 145.045 (1) of the statutes is amended to read:

145.045 (1) Powers and duties. The department shall by rule establish an examining program for the certification of soil testers, setting such standards as the department finds necessary to accomplish the purposes of this chapter. Such standards shall include formal written examinations for all applicants. The department shall charge applicants for the cost of examination and certification. After July 1, 1974, no person may construct soil bore holes or conduct soil percolation tests or other similar tests specified by the department of natural resources that relate to private on—site wastewater treatment systems unless the person holds a valid certificate issued under this section.

****NOTE: Are these changes consistent with the intent of the request? That is, will the mentioned tests be "specified" by DNR? Also, I have assumed that DFIIPS will retain authority to certify all soil testers. Is this correct? RNK

SECTION 6. 145.045 (2) of the statutes is amended to read:

145.045 (2) REVOCATION OF CERTIFICATE. The department may revoke or suspend the certification of any soil tester but only after a formal hearing for the practice of any fraud or deceit in obtaining the certificate or any gross negligence, incompetence or misconduct in the practice of soil testing.

****Note: If you give DNR the authority to certify soil testers, this provision must be amended. See the previous note. RNK

SECTION 7. 145.045 (3) of the statutes is amended to read:

145.045 (3) Plumbers and septic tank installer may also be a soil tester and install any system after approval of the site or project by the department of financial institutions, insurance, and professional standards, the department of natural resources, or the governmental unit responsible for the regulation of private on-site wastewater treatment systems.

	****NOTE: Are these changes consistent with the intent of the request? RNK
1	SECTION 8. 145.14 (2) (a) of the statutes is amended to read:
2	145.14 (2) (a) Systems or services. Persons classified under this paragraph may
3	install septic tanks for private on-site wastewater treatment systems, may install
4	drain fields designed to serve such septic tanks, and may install sewer service from
5	the septic tank or sewer extensions from mains to the immediate inside or proposed
6	inside foundation wall of the building. Such persons may also install water services,
7	stormwater use systems, and reclaimed water systems if the services or systems are
8	to be located outside the foundation wall of the building.
	****Note: Do you want to give DNR any authority to classify plumbers for the purpose of this paragraph? If so, this paragraph will need amending. RNK
9	SECTION 9. 145.19 (1b) of the statutes is amended to read:
- 10	145.19 (1b) DEFINITION. In this section, "sanitary permit" means a permit
11	authorizing the installation of a private on-site wastewater treatment system that
12	is issued by the department of natural resources or any governmental unit
13	responsible for the regulation of private on-site wastewater treatment systems.
14	SECTION 10. 145.19 (1m) of the statutes is amended to read:
15	145.19 (1m) APPLICATION PROCESS. The department of natural resources shall
16	prescribe the information to be included in an application for a sanitary permit. The
17	applicant shall submit the completed application for a sanitary permit to the
18	governmental unit. The governmental unit shall approve or disapprove the sanitary
19	permit according to the rules promulgated by the department of natural resources
20	under this chapter.
21	SECTION 11. 145.19 (2) of the statutes is amended to read:
22	145.19 (2) FEE. No fee for a sanitary permit may be less than the amount

determined under by the department of natural resources by rule. The governing

body for the governmental unit responsible for the regulation of private on-site wastewater treatment systems may establish a fee for a sanitary permit which is more than the amount determined under by the department of natural resources by rule. A governmental unit may not charge more than one fee for a sanitary permit or the renewal of a sanitary permit in any 12-month period.

SECTION 12. 145.19 (3) of the statutes is amended to read:

NATURAL RESOURCES. The governmental unit responsible for the regulation of private on—site wastewater treatment systems shall forward to the department of natural resources within 90 days after each valid permit is issued a portion of the fee, as determined under by the department of natural resources by rule. The governmental unit shall also compile a periodic summary of the permits that it has issued. The summary shall contain the information required by the department of natural resources by rule, and shall be submitted by the governmental unit to the department of natural resources at intervals to be determined by the department of natural resources by rule.

SECTION 13. 145.19 (6) of the statutes is amended to read:

145.19 (6) Groundwater fee. In addition to the fee under sub. (2), the governmental unit responsible for the regulation of private on-site wastewater treatment systems shall collect a groundwater fee of \$25 for each sanitary permit. The governmental unit shall forward this fee to the department of natural resources together with the fee under sub. (3). The moneys collected under this subsection shall be credited to the environmental fund for environmental management.

SECTION 14. 145.19 (7) of the statutes is amended to read:

1	145.19 (7) PERIOD OF VALIDITY. A sanitary permit is valid for 2 years from the
2	date of issue and renewable for similar periods thereafter. A sanitary permit shall
3	remain valid to the end of the established period, notwithstanding any change in the
4	state plumbing code or in any private on-site wastewater treatment system
5	ordinance during that period.
	****NOTE: Given that DNR will be issuing sanitary permits, I'm not sure whether the reference to the plumbing code in this provision should be deleted. Please advise. RNK
6	SECTION 15. 145.20 (2) (e) of the statutes is amended to read:
7	145.20 (2) (e) File reports and conduct surveys and inspections as required by
8	the governmental unit responsible for the regulation of private on-site wastewater
9	treatment systems or the department of natural resources.
10	Section 16. 145.20 (2) (g) of the statutes is amended to read:
11	145.20 (2) (g) Perform other duties regarding private on-site wastewater
12	treatment systems as considered appropriate by the governmental unit responsible
13	for the regulation of private on-site wastewater treatment systems or as required by
14	the rules of the department of natural resources.
15	SECTION 17. 145.20 (3) (title) of the statutes is amended to read:
16	145.20 (3) (title) DEPARTMENT OF NATURAL RESOURCES RESPONSIBILITIES.
17	SECTION 18. 145.20 (3) (a) 1. of the statutes is amended to read:
18	145.20 (3) (a) 1. The department of natural resources may specify categories
19	of private on-site wastewater treatment systems for which approval by the
20	department of natural resources is required prior to issuance of sanitary permits by
21	the governmental unit responsible for the regulation of private on-site wastewater
22	treatment systems.
23	SECTION 19. 145.20 (3) (a) 2. of the statutes is amended to read:

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145.20 (3) (a) 2. The department of natural resources may exempt a governmental unit from any category of private on-site wastewater treatment. systems for which departmental approval by the department of natural resources is required prior to sanitary permit issuance under subd. 1., upon a determination, in accordance with rules promulgated by the department of natural resources, that past performance of the governmental unit on reviews and audits under par. (b) has been satisfactory and that the governmental unit has the capacity to give the same level of application and plan review as that provided by the department of natural resources. The department of natural resources may revoke an exemption upon a finding that performance of the governmental unit on a review or audit conducted subsequent to the granting of the exemption is unsatisfactory or that the governmental unit is not giving the same level of application and plan review as that provided by the department of natural resources. Findings in a revocation action may be made only after a public hearing upon 30 days' advance notice to the clerk of the governmental unit. The department of natural resources shall submit a report under s.13.172 (2) to the chief clerk of each house of the legislature, at the beginning of each legislative session, describing the exemptions under this subdivision.

SECTION 20. 145.20 (3) (b) of the statutes is amended to read:

145.20 (3) (b) The department of natural resources shall review the private on-site wastewater treatment system program in each governmental unit responsible for the regulation of private on-site wastewater treatment systems to ascertain compliance with sub. (2) and with regulations issued by the department of natural resources. This review shall include a random audit of sanitary permits, including verification by on-site inspection.

SECTION 21. 145.20 (3) (c) of the statutes is amended to read:

145.20 (3) (c) If the governing body for a governmental unit responsible for the regulation of private on-site wastewater treatment systems does not adopt a private on-site wastewater treatment system ordinance meeting the requirements of s. 59.70 (5) or if the governmental unit does not appoint personnel meeting the requirements of sub. (1) or if the governmental unit does not comply with the requirements of sub. (2) or s. 145.19 (3), the department of natural resources may conduct hearings in the county seat upon 30 days' notice to the county clerk. As soon as practicable after the public hearing, the department of natural resources shall issue a written decision regarding compliance with s. 59.70 (5) or 145.19 (3) or sub. (1) or (2). If the department of natural resources determines that there is a violation of these provisions, the governmental unit may not issue a sanitary permit for the installation of a private on-site wastewater treatment system until the violation is corrected.

SECTION 22. 145.20 (3) (d) of the statutes is amended to read:

145.20 (3) (d) The department of natural resources shall conduct training and informational programs for officials of the governmental unit responsible for the regulation of private on-site wastewater treatment systems and employees and persons licensed under this chapter and s. 281.48 and certified as operators of septage servicing vehicles under s. 281.17 (3) to improve the delivery of service under the private on-site wastewater treatment system program. The department of natural resources shall obtain the assistance of the Wisconsin counties association in planning and conducting the training and informational programs.

*****NOTE: Is this provision consistent with the intent of the request? That is, do you want DNR to do all of the training described in this paragraph? RNK

SECTION 23. 145.20 (5) (a) of the statutes is amended to read:

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145.20 **(5)** (a) The department of natural resources shall establish a maintenance program to be administered by governmental units responsible for the regulation of private on-site wastewater treatment systems. The department of natural resources shall determine the private on-site wastewater treatment systems to which the maintenance program applies. At a minimum the maintenance program is applicable to all new or replacement private on-site wastewater treatment systems constructed in a governmental unit after the date on which the governmental unit adopts this program. The department of natural resources may apply the maintenance program by rule to private on-site wastewater treatment systems constructed in a governmental unit responsible for the regulation of private on-site wastewater treatment systems on or before the date on which the governmental unit adopts the program. The department of natural resources shall determine the private on-site wastewater treatment systems to which the maintenance program applies in governmental units that do not meet the conditions for eligibility under s. 145.245 (9).

SECTION 24. 145.20 (5) (b) of the statutes is amended to read:

145.20 (5) (b) The maintenance program shall include a requirement of inspection or pumping of the private on-site wastewater treatment system at least once every 3 years if the private on-site wastewater treatment system does not have a maintenance plan as prescribed by rule by the department of natural resources. Inspections may be conducted by a master plumber, journeyman plumber or restricted plumber licensed under this chapter, a person licensed under s. 281.48 or by an employee of the state or governmental unit designated by the department of natural resources, and the department of natural resources may determine by rule other persons who are qualified to undertake required inspection, maintenance, or

1	repairs. The department of natural resources shall specify the methods to establish
2	the required frequency of inspection, maintenance, and pumping for each type of
3	private on-site wastewater treatment system that does not have a maintenance plan
4	and shall periodically update the methods.
5	Section 25. 145.23 of the statutes is amended to read:
6	145.23 Rules. The department of natural resources may make and enforce
7	rules relating to lot size and lot elevation necessary for proper sanitary conditions
8	in the development and maintenance of subdivisions not served by a public sewer,
9	where provision for such service has not been made.
	****Note: Is this change consistent with the intent of this draft? Should DFIIPS retain any rule–making authority on this issue? RNK
10	Section 26. 145.24 (1) of the statutes is amended to read:
11	145.24 (1) If an existing private on-site wastewater treatment system either
12	is not located in soil meeting the siting standards or is not constructed in accordance
13	with design standards promulgated under s. 145.02 or 145.13, the owner of the
14	private on-site wastewater treatment system may petition the department of
15	natural resources for a variance to the siting or design standards.
16	Section 27. 145.24 (2) of the statutes is amended to read:
17	145.24 (2) The department of natural resources shall establish procedures for
18	the review and evaluation of existing private on-site wastewater treatment systems
19	which do not comply with siting or design standards.
20	Section 28. 145.24 (3) of the statutes is amended to read:
21	145.24 (3) Upon receipt of a petition for a variance, the department of natural
22	resources shall require the owner of the private on-site wastewater treatment

system to submit information necessary to evaluate the request for a variance. If the

department of natural resources determines that the existing private on—site wastewater treatment system is not a failing private on—site wastewater treatment system, and continued use of the existing private on—site wastewater treatment system will not pose a threat of contamination of waters of the state, then the department of natural resources may issue a variance to allow continued use of the existing private on—site wastewater treatment system. The department of natural resources shall rescind the variance if the existing private on—site wastewater treatment system becomes a failing private on—site wastewater treatment system or contaminates waters of the state.

SECTION 29. 236.13 (2m) of the statutes is amended to read:

236.13 (2m) As a further condition of approval when lands included in the plat lie within 500 feet of the ordinary high—water mark of any lake, any navigable stream, or any other body of navigable water or if land in the proposed plat involves lake or navigable stream shorelands referred to in s. 236.16, the department of natural resources, to prevent pollution of navigable waters, or the department of safety and professional services, and to protect the public health and safety, may require assurance of adequate drainage areas for private on—site wastewater treatment systems and building setback restrictions, or provisions by the owner for public sewage disposal facilities for waters of the state, as defined in s. 281.01 (18), industrial wastes, as defined in s. 281.01 (5), and other wastes, as defined in s. 281.01 (7). The public sewage disposal facilities may consist of one or more systems as the department of natural resources or the department of safety and professional services determines on the basis of need for prevention of pollution of the waters of the state or protection of public health and safety.

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****Note: Are these changes consistent with the intent of this drafting request? $\ensuremath{\mathtt{RNK}}$

1 Section 9138. Nonstatutory provisions; Safety and Professional 2 Services. 3 (1) Elimination of the department of safety and professional services. 4 (a) Assets and liabilities. Except as provided in subsection (2), on the effective 5 date of this paragraph, the assets and liabilities of the department of safety and 6 professional services become the assets and liabilities of the department of financial 7 institutions, insurance, and professional standards. 8 (b) Positions and employees. Except as provided in subsection (2), on the 9 effective date of this paragraph, all positions and all incumbent employees in the

- classified service of the state civil service holding those positions in the department of safety and professional services are transferred to the department of financial institutions, insurance, and professional standards.
 - (c) Employee status. Employees transferred under paragraph (b) have all the rights and the same status under subchapter V of chapter 111 and chapter 230 of the statutes in the department of financial institutions, insurance, and professional standards that they enjoyed in the department of safety and professional services immediately before the transfer. Notwithstanding section 230.28 (4) of the statutes, no employee so transferred who has attained permanent status in class is required to serve a probationary period.
 - (d) Tangible personal property. Except as provided in subsection (2), on the effective date of this paragraph, all tangible personal property, including records, of the department of safety and professional services is transferred to the department of financial institutions, insurance, and professional standards.

- (e) Pending matters. Except as provided in subsection (2), any matter pending with the department of safety and professional services on the effective date of this paragraph is transferred to the department of financial institutions, insurance, and professional standards. Except as provided in subsection (2), all materials submitted to or actions taken by the department of safety and professional services are considered as having been submitted to or taken by the department of financial institutions, insurance, and professional standards.
- (f) Contracts. Except as provided in subsection (2), all contracts entered into by the department of safety and professional services in effect on the effective date of this paragraph remain in effect and are transferred to the department of financial institutions, insurance, and professional standards. The department of financial institutions, insurance, and professional standards shall carry out any obligations under those contracts unless modified or rescinded by that department to the extent allowed under the contract.
- g) Rules and orders. Except as provided in subsection (2), all rules promulgated by the department of safety and professional services in effect on the effective date of this paragraph remain in effect until their specified expiration dates or until amended or repealed by the department of financial institutions, insurance, and professional standards. Except as provided in subsection (2), all orders issued by the department of safety and professional services in effect on the effective date of this paragraph remain in effect until their specified expiration dates or until modified or rescinded by the department of financial institutions, insurance, and professional standards.
 - (2) REGULATION OF PRIVATE ON-SITE WASTEWATER TREATMENT SYSTEMS.

- (a) Assets and liabilities. On the effective date of this paragraph, the assets and liabilities of the department of safety and professional services that are primarily related to the regulation of private on-site wastewater treatment systems, as determined by the secretary of administration, become the assets and liabilities of the department of natural resources.
- (b) Positions and employees. On the effective date of this paragraph, all positions and all incumbent employees in the classified service of the state civil service holding those positions in the department of safety and professional services with duties that are primarily related to the regulation of private on—site wastewater treatment systems, as determined by the secretary of administration, are transferred to the department of natural resources.
- (c) Employee status. Employees transferred under paragraph (b) have all the rights and the same status under subchapter V of chapter 111 and chapter 230 of the statutes in the department of natural resources that they enjoyed in the department of safety and professional services immediately before the transfer. Notwithstanding section 230.28 (4) of the statutes, no employee so transferred who has attained permanent status in class is required to serve a probationary period.
- (d) Tangible personal property. On the effective date of this paragraph, all tangible personal property, including records, of the department of safety and professional services that are primarily related to the regulation of private on—site wastewater treatment systems, as determined by the secretary of administration, is transferred to the department of natural resources.
- (e) *Pending matters*. Any matter pending with the department of safety and professional services on the effective date of this paragraph that is primarily related to the regulation of private on–site wastewater treatment systems, as determined by

- the secretary of administration, is transferred to the department of natural resources. All materials submitted to or actions taken by the department of safety and professional services that are primarily related to the regulation of private on–site wastewater treatment systems, as determined by the secretary of administration, are considered as having been submitted to or taken by the department of natural resources.
- (f) Contracts. All contracts entered into by the department of safety and professional services in effect on the effective date of this paragraph that are primarily related to the regulation of private on-site wastewater treatment systems, as determined by the secretary of administration, remain in effect and are transferred to the department of natural resources. The department of natural resources shall carry out any obligations under those contracts unless modified or rescinded by that department to the extent allowed under the contract.
- (g) Rules and orders. All rules promulgated by the department of safety and professional services in effect on the effective date of this paragraph that relate to the regulation of private on–site wastewater treatment systems, as determined by the secretary of administration, remain in effect until their specified expiration dates or until amended or repealed by the department of natural resources. All orders issued by the department of safety and professional services in effect on the effective date of this paragraph that relate to the regulation of private on–site wastewater treatment systems, as determined by the secretary of administration, remain in effect until their specified expiration dates or until modified or rescinded by the department of natural resources.

2015–2016 DRAFTING INSERT FROM THE LEGISLATIVE REFERENCE BUREAU

 $\begin{array}{c} LRB-0941/P2ins.\\ RNK:...:...\end{array}$

INSERT /-/

Ļ	SECTION 1. 20.370 (4) (mq) of the statutes is amended to read:
2	20.370 (4) (mq) General program operations — environmental fund. From the
3	environmental fund, the amounts in the schedule for administration of
1	environmental activities under chs. 160, 281, and 283 and for administration of
5	activities related to the regulation of private on-site wastewater treatment systems
3	under ch. 145.

History: 1971 c. 40, 95; 1971 c. 125 ss. 101 to 121, 522 (1); 1971 c. 211, 215, 277, 330, 336; 1973 c. 12 s. 37; 1973 c. 90, 100; 1973 c. 243 s. 82; 1973 c. 296, 298, 301, 318, 333, 336; 1975 c. 8, 39, 51, 91, 198; 1975 c. 224 ss. 7d, 7f, 7m, 17 to 19p; 1977 c. 29 ss. 181 to 234, 1657 (34); 1977 c. 274, 370, 374, 376, 377; 1977 c. 418 ss. 95 to 110, 929 (37); 1977 c. 421, 432; 1977 c. 447 ss. 42 to 44, 210; 1979 c. 34 ss. 199 to 322, 2102 (39) (a); 1979 c. 221; 1979 c. 361 s. 113; 1981 c. 1, 20, 86, 95, 131, 294, 330; 1981 c. 374 ss. 6, 7, 184, 150; 1983 a. 27 ss. 216m to 269, 2202 (23); 1983 a. 75, 181, 243, 397; 1983 a. 410 ss. 5m to 11, 2202 (38); 1983 a. 413; 1983 a. 416 ss. 1, 19; 1983 a. 426; 1985 a. 29 ss. 282d to 356, 3202 (26) (a), (39) (a), (c), (dm), (i); 1985 a. 46, 60, 65, 120, 202, 296; 1987 a. 27, 98, 110, 290, 295, 298, 305; 1987 a. 312 s. 17; 1987 a. 384, 397, 399, 403, 418; 1989 a. 31, 128, 284, 288, 326; 1989 a. 335 ss. 22m to 30g, 89; 1989 a. 336, 350, 359, 366; 1991 a. 32; 1991 a. 39 ss. 326b to 394, 594c; 1991 a. 254, 269, 300, 309, 315; 1993 a. 16, 75, 166, 213, 343, 349, 415, 421, 453, 464; 1993 a. 490 ss. 18, 271; 1995 a. 27, 201, 225, 227, 296, 378, 459; 1997 a. 27, 35; 1997 a. 28, 299 a. 9, 32, 74, 92; 1999 a. 150 s. 672; 1999 a. 185; 2001 a. 16, 56, 92, 108, 109; 2003 a. 33, 228, 251, 310, 314, 321, 327; 2005 a. 25, 286, 288, 347, 394; 2007 a. 20, 50, 97; 2009 a. 28, 42, 50, 175, 276, 365, 373; 2011 a. 32, 103, 118, 148, 151, 169, 208; 2011 a. 257 s. 56; 2013 a. 1; 2013 a. 20 ss. 214, 217, 218, 219, 220, 221, 276 to 289j; 2013 a. 72, 80; 2013 a. 165 s. 114; s. 35.17 correction in (2) (gi).



State of Misconsin 2015 - 2016 LEGISLATURE



PRELIMINARY DRAFT - NOT READY FOR INTRODUCTION

1 AN ACT ...; relating to: the budget.

Analysis by the Legislative Reference Bureau SAFETY AND PROFESSIONAL SERVICES

BUILDINGS AND SAFETY

Under current law, DSPS administers the laws regulating private on-site wastewater treatment systems. Generally, a private on-site wastewater treatment system is a sewage treatment and disposal system serving a single structure with a septic tank and soil absorption field located on the same parcel as the structure. Current law also requires certain local governmental units to enact ordinances regulating private on-site wastewater treatment systems.

This bill transfers DSPS's responsibilities with respect to administration of the laws regulating private on–site wastewater treatment systems to DNR.

For further information see the *state and local* fiscal estimate, which will be printed as an appendix to this bill.

The people of the state of Wisconsin, represented in senate and assembly, do enact as follows:

- 2 Section 1. 20.370 (4) (mg) of the statutes is amended to read:
- 3 20.370 (4) (mq) General program operations environmental fund. From the
- 4 environmental fund, the amounts in the schedule for administration of

environmental activities under chs. 160, 281, and 283 and for administration of
activities related to the regulation of private on-site wastewater treatment systems
under ch. 145.

Section 2. 145.01 (12) of the statutes is amended to read:

145.01 (12) Private on-site wastewater treatment system" means a sewage treatment and disposal system serving a single structure with a septic tank and soil absorption field located on the same parcel as the structure. This term also means an alternative sewage system approved by the department of natural resources including a substitute for the septic tank or soil absorption field, a holding tank, a system serving more than one structure or a system located on a different parcel than the structure. A private on-site wastewater treatment system may be owned by the property owner or by a special purpose district.

Section 3. 145.02 (title) of the statutes is amended to read:

145.02 (title) Powers of the department of financial institutions, insurance, and professional standards and the department of natural resources.

Section 4. 145.02 (2) of the statutes is amended to read:

145.02 (2) The Except as provided in sub. (2m), the department shall have general supervision of all such plumbing and shall after public hearing prescribe and publish and enforce reasonable standards therefor which shall be uniform and of statewide concern so far as practicable. Any employee designated by the department may act for the department in holding such public hearing. To the extent that the historic building code applies to the subject matter of these standards, the standards

1	do not apply to a qualified historic building if the owner elects to be subject to s.
2	101.121.
3	SECTION 5. 145.02 (2m) of the statutes is created to read:
4	145.02 (2m) The department of natural resources shall have general
5	supervision of private on-site wastewater treatment systems and shall promulgate
6	rules establishing standards for those systems.
	****Note: Do you want to give DNR any other powers with regard to these systems? See DSPS powers in current law under s. 145.02, stats. RNK
7	SECTION 6. 145.045 (1) of the statutes is amended to read:
8	145.045 (1) Powers and duties. The department shall by rule establish an
9	examining program for the certification of soil testers, setting such standards as the
10	department finds necessary to accomplish the purposes of this chapter. Such
11	standards shall include formal written examinations for all applicants. The
12	department shall charge applicants for the cost of examination and certification.
13	After July 1, 1974, no person may construct soil bore holes or conduct soil percolation
14	tests or other similar tests specified by the department of natural resources that
15	relate to private on-site wastewater treatment systems unless the person holds a
16	valid certificate issued under this section.
	****Note: Are these changes consistent with the intent of the request? That is, will the mentioned tests be "specified" by DNR? Also, I have assumed that DFIIPS will retain authority to certify all soil testers. Is this correct? RNK
17	SECTION 7. 145.045 (2) of the statutes is amended to read:
18	145.045 (2) REVOCATION OF CERTIFICATE. The department may revoke or
19	suspend the certification of any soil tester but only after a formal hearing for the
20	practice of any fraud or deceit in obtaining the certificate or any gross negligence,

incompetence or misconduct in the practice of soil testing.

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****NOTE: If you give DNR the authority to certify soil testers, this provision must be amended. See the previous note. RNK

Section 8. 145.045 (3) of the statutes is amended to read:

145.045 (3) Plumbers and septic tank installer may also be a soil tester and install any system after approval of the site or project by the department of financial institutions, insurance, and professional standards, the department of natural resources, or the governmental unit responsible for the regulation of private on–site wastewater treatment systems.

****NOTE: Are these changes consistent with the intent of the request? RNK

Section 9. 145.14 (2) (a) of the statutes is amended to read:

145.14 (2) (a) Systems or services. Persons classified under this paragraph may install septic tanks for private on–site wastewater treatment systems, may install drain fields designed to serve such septic tanks, and may install sewer service from the septic tank or sewer extensions from mains to the immediate inside or proposed inside foundation wall of the building. Such persons may also install water services, stormwater use systems, and reclaimed water systems if the services or systems are to be located outside the foundation wall of the building.

****NOTE: Do you want to give DNR any authority to classify plumbers for the purpose of this paragraph? If so, this paragraph will need amending. RNK

Section 10. 145.19 (1b) of the statutes is amended to read:

145.19 (1b) Definition. In this section, "sanitary permit" means a permit authorizing the installation of a private on-site wastewater treatment system that is issued by the department of natural resources or any governmental unit responsible for the regulation of private on-site wastewater treatment systems.

SECTION 11. 145.19 (1m) of the statutes is amended to read:

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145.19 (1m) APPLICATION PROCESS. The department of natural resources shall prescribe the information to be included in an application for a sanitary permit. The applicant shall submit the completed application for a sanitary permit to the governmental unit. The governmental unit shall approve or disapprove the sanitary permit according to the rules promulgated by the department of natural resources under this chapter.

Section 12. 145.19 (2) of the statutes is amended to read:

145.19 (2) FEE. No fee for a sanitary permit may be less than the amount determined under by the department of natural resources by rule. The governing body for the governmental unit responsible for the regulation of private on-site wastewater treatment systems may establish a fee for a sanitary permit which is more than the amount determined under by the department of natural resources by rule. A governmental unit may not charge more than one fee for a sanitary permit or the renewal of a sanitary permit in any 12-month period.

Section 13. 145.19 (3) of the statutes is amended to read:

NATURAL RESOURCES. The governmental unit responsible for the regulation of private on—site wastewater treatment systems shall forward to the department of natural resources within 90 days after each valid permit is issued a portion of the fee, as determined under by the department of natural resources by rule. The governmental unit shall also compile a periodic summary of the permits that it has issued. The summary shall contain the information required by the department of natural resources by rule, and shall be submitted by the governmental unit to the department of natural resources at intervals to be determined by the department of natural resources by rule.

Section 14. 145.19 (6) of the statutes is amended to read:

2	145.19 (6) Groundwater fee. In addition to the fee under sub. (2), the
3	governmental unit responsible for the regulation of private on-site wastewater
4	treatment systems shall collect a groundwater fee of \$25 for each sanitary permit.
5	The governmental unit shall forward this fee to the department of natural resources
6	together with the fee under sub. (3). The moneys collected under this subsection
7	shall be credited to the environmental fund for environmental management.
8	Section 15. 145.19 (7) of the statutes is amended to read:
9	145.19 (7) Period of Validity. A sanitary permit is valid for 2 years from the
10	date of issue and renewable for similar periods thereafter. A sanitary permit shall
11	remain valid to the end of the established period, notwithstanding any change in the
12	state plumbing code or in any private on-site wastewater treatment system
13	ordinance during that period.
	****Note: Given that DNR will be issuing sanitary permits, I'm not sure whether the reference to the plumbing code in this provision should be deleted. Please advise. RNK
14	Section 16. 145.20 (2) (e) of the statutes is amended to read:
15	145.20 (2) (e) File reports and conduct surveys and inspections as required by
16	the governmental unit responsible for the regulation of private on-site wastewater
17	treatment systems or the department of natural resources.
18	SECTION 17. 145.20 (2) (g) of the statutes is amended to read:
19	145.20 (2) (g) Perform other duties regarding private on-site wastewater
20	treatment systems as considered appropriate by the governmental unit responsible
21	for the regulation of private on-site wastewater treatment systems or as required by
22	the rules of the department of natural resources.
23	SECTION 18. 145.20 (3) (title) of the statutes is amended to read:

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145.20 (3) (title) DEPARTMENT OF NATURAL RESOURCES RESPONSIBILITIES.

2 **Section 19.** 145.20 (3) (a) 1. of the statutes is amended to read:

145.20 (3) (a) 1. The department of natural resources may specify categories of private on-site wastewater treatment systems for which approval by the department of natural resources is required prior to issuance of sanitary permits by the governmental unit responsible for the regulation of private on-site wastewater treatment systems.

Section 20. 145.20 (3) (a) 2. of the statutes is amended to read:

145.20 (3) (a) 2. The department of natural resources may exempt a governmental unit from any category of private on-site wastewater treatment systems for which departmental approval by the department of natural resources is required prior to sanitary permit issuance under subd. 1., upon a determination, in accordance with rules promulgated by the department of natural resources, that past performance of the governmental unit on reviews and audits under par. (b) has been satisfactory and that the governmental unit has the capacity to give the same level of application and plan review as that provided by the department of natural resources. The department of natural resources may revoke an exemption upon a finding that performance of the governmental unit on a review or audit conducted subsequent to the granting of the exemption is unsatisfactory or that the governmental unit is not giving the same level of application and plan review as that provided by the department of natural resources. Findings in a revocation action may be made only after a public hearing upon 30 days' advance notice to the clerk of the governmental unit. The department of natural resources shall submit a report under s.13.172 (2) to the chief clerk of each house of the legislature, at the beginning of each legislative session, describing the exemptions under this subdivision.

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Section 21. 145.20 (3) (b) of the statutes is amended to read:

145.20 (3) (b) The department of natural resources shall review the private on-site wastewater treatment system program in each governmental unit responsible for the regulation of private on-site wastewater treatment systems to ascertain compliance with sub. (2) and with regulations issued by the department of natural resources. This review shall include a random audit of sanitary permits, including verification by on-site inspection.

Section 22. 145.20 (3) (c) of the statutes is amended to read:

145.20 (3) (c) If the governing body for a governmental unit responsible for the regulation of private on—site wastewater treatment systems does not adopt a private on—site wastewater treatment system ordinance meeting the requirements of s. 59.70 (5) or if the governmental unit does not appoint personnel meeting the requirements of sub. (1) or if the governmental unit does not comply with the requirements of sub. (2) or s. 145.19 (3), the department of natural resources may conduct hearings in the county seat upon 30 days' notice to the county clerk. As soon as practicable after the public hearing, the department of natural resources shall issue a written decision regarding compliance with s. 59.70 (5) or 145.19 (3) or sub. (1) or (2). If the department of natural resources determines that there is a violation of these provisions, the governmental unit may not issue a sanitary permit for the installation of a private on—site wastewater treatment system until the violation is corrected.

Section 23. 145.20 (3) (d) of the statutes is amended to read:

145.20 (3) (d) The department of natural resources shall conduct training and informational programs for officials of the governmental unit responsible for the regulation of private on-site wastewater treatment systems and employees and

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persons licensed under this chapter and s. 281.48 and certified as operators of septage servicing vehicles under s. 281.17 (3) to improve the delivery of service under the private on–site wastewater treatment system program. The department of natural resources shall obtain the assistance of the Wisconsin counties association in planning and conducting the training and informational programs.

****NOTE: Is this provision consistent with the intent of the request? That is, do you want DNR to do all of the training described in this paragraph? RNK

Section 24. 145.20 (5) (a) of the statutes is amended to read:

145.20 (5) (a) The department of natural resources shall establish a maintenance program to be administered by governmental units responsible for the regulation of private on—site wastewater treatment systems. The department of natural resources shall determine the private on—site wastewater treatment systems to which the maintenance program applies. At a minimum the maintenance program is applicable to all new or replacement private on—site wastewater treatment systems constructed in a governmental unit after the date on which the governmental unit adopts this program. The department of natural resources may apply the maintenance program by rule to private on—site wastewater treatment systems constructed in a governmental unit responsible for the regulation of private on—site wastewater treatment systems on or before the date on which the governmental unit adopts the program. The department of natural resources shall determine the private on—site wastewater treatment systems to which the maintenance program applies in governmental units that do not meet the conditions for eligibility under s. 145.245 (9).

Section 25. 145.20 (5) (b) of the statutes is amended to read:

145.20 (5) (b) The maintenance program shall include a requirement of
inspection or pumping of the private on-site wastewater treatment system at least
once every 3 years if the private on-site wastewater treatment system does not have
a maintenance plan as prescribed by rule by the department of natural resources.
Inspections may be conducted by a master plumber, journeyman plumber or
restricted plumber licensed under this chapter, a person licensed under s. 281.48 or
by an employee of the state or governmental unit designated by the department of
natural resources, and the department of natural resources may determine by rule
other persons who are qualified to undertake required inspection, maintenance, or
repairs. The department of natural resources shall specify the methods to establish
the required frequency of inspection, maintenance, and pumping for each type of
private on-site wastewater treatment system that does not have a maintenance plan
and shall periodically update the methods.

Section 26. 145.23 of the statutes is amended to read:

145.23 Rules. The department of natural resources may make and enforce rules relating to lot size and lot elevation necessary for proper sanitary conditions in the development and maintenance of subdivisions not served by a public sewer, where provision for such service has not been made.

*****NOTE: Is this change consistent with the intent of this draft? Should DFIIPS retain any rule–making authority on this issue? RNK

Section 27. 145.24 (1) of the statutes is amended to read:

145.24 (1) If an existing private on-site wastewater treatment system either is not located in soil meeting the siting standards or is not constructed in accordance with design standards promulgated under s. 145.02 or 145.13, the owner of the

private on-site wastewater treatment system may petition the department of

natural resources for a variance to the siting or design standards.

Section 28. 145.24 (2) of the statutes is amended to read:

145.24 (2) The department of natural resources shall establish procedures for the review and evaluation of existing private on–site wastewater treatment systems which do not comply with siting or design standards.

Section 29. 145.24 (3) of the statutes is amended to read:

145.24 (3) Upon receipt of a petition for a variance, the department of natural resources shall require the owner of the private on-site wastewater treatment system to submit information necessary to evaluate the request for a variance. If the department of natural resources determines that the existing private on-site wastewater treatment system is not a failing private on-site wastewater treatment system, and continued use of the existing private on-site wastewater treatment system will not pose a threat of contamination of waters of the state, then the department of natural resources may issue a variance to allow continued use of the existing private on-site wastewater treatment system. The department of natural resources shall rescind the variance if the existing private on-site wastewater treatment system becomes a failing private on-site wastewater treatment system or contaminates waters of the state.

SECTION 30. 236.13 (2m) of the statutes is amended to read:

236.13 (2m) As a further condition of approval when lands included in the plat lie within 500 feet of the ordinary high—water mark of any lake, any navigable stream, or any other body of navigable water or if land in the proposed plat involves lake or navigable stream shorelands referred to in s. 236.16, the department of natural resources, to prevent pollution of navigable waters, or the department of

safety and professional services, and to protect the public health and safety, may require assurance of adequate drainage areas for private on–site wastewater treatment systems and building setback restrictions, or provisions by the owner for public sewage disposal facilities for waters of the state, as defined in s. 281.01 (18), industrial wastes, as defined in s. 281.01 (5), and other wastes, as defined in s. 281.01 (7). The public sewage disposal facilities may consist of one or more systems as the department of natural resources or the department of safety and professional services determines on the basis of need for prevention of pollution of the waters of the state or protection of public health and safety.

****Note: Are these changes consistent with the intent of this drafting request? $\ensuremath{\mathsf{RNK}}$

Section 9138. Nonstatutory provisions; Safety and Professional Services.

- (1) Elimination of the department of safety and professional services.
- (a) Assets and liabilities. Except as provided in subsection (2), on the effective date of this paragraph, the assets and liabilities of the department of safety and professional services become the assets and liabilities of the department of financial institutions, insurance, and professional standards.
- (b) Positions and employees. Except as provided in subsection (2), on the effective date of this paragraph, all positions and all incumbent employees in the classified service of the state civil service holding those positions in the department of safety and professional services are transferred to the department of financial institutions, insurance, and professional standards.
- (c) Employee status. Employees transferred under paragraph (b) have all the rights and the same status under subchapter V of chapter 111 and chapter 230 of the

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- statutes in the department of financial institutions, insurance, and professional standards that they enjoyed in the department of safety and professional services immediately before the transfer. Notwithstanding section 230.28 (4) of the statutes, no employee so transferred who has attained permanent status in class is required to serve a probationary period.
- (d) Tangible personal property. Except as provided in subsection (2), on the effective date of this paragraph, all tangible personal property, including records, of the department of safety and professional services is transferred to the department of financial institutions, insurance, and professional standards.
- (e) Pending matters. Except as provided in subsection (2), any matter pending with the department of safety and professional services on the effective date of this paragraph is transferred to the department of financial institutions, insurance, and professional standards. Except as provided in subsection (2), all materials submitted to or actions taken by the department of safety and professional services are considered as having been submitted to or taken by the department of financial institutions, insurance, and professional standards.
- (f) Contracts. Except as provided in subsection (2), all contracts entered into by the department of safety and professional services in effect on the effective date of this paragraph remain in effect and are transferred to the department of financial institutions, insurance, and professional standards. The department of financial institutions, insurance, and professional standards shall carry out any obligations under those contracts unless modified or rescinded by that department to the extent allowed under the contract.
- (g) Rules and orders. Except as provided in subsection (2), all rules promulgated by the department of safety and professional services in effect on the

- effective date of this paragraph remain in effect until their specified expiration dates or until amended or repealed by the department of financial institutions, insurance, and professional standards. Except as provided in subsection (2), all orders issued by the department of safety and professional services in effect on the effective date of this paragraph remain in effect until their specified expiration dates or until modified or rescinded by the department of financial institutions, insurance, and professional standards.
 - (2) REGULATION OF PRIVATE ON-SITE WASTEWATER TREATMENT SYSTEMS.
- (a) Assets and liabilities. On the effective date of this paragraph, the assets and liabilities of the department of safety and professional services that are primarily related to the regulation of private on–site wastewater treatment systems, as determined by the secretary of administration, become the assets and liabilities of the department of natural resources.
- (b) Positions and employees. On the effective date of this paragraph, all positions and all incumbent employees in the classified service of the state civil service holding those positions in the department of safety and professional services with duties that are primarily related to the regulation of private on–site wastewater treatment systems, as determined by the secretary of administration, are transferred to the department of natural resources.
- (c) Employee status. Employees transferred under paragraph (b) have all the rights and the same status under subchapter V of chapter 111 and chapter 230 of the statutes in the department of natural resources that they enjoyed in the department of safety and professional services immediately before the transfer. Notwithstanding section 230.28 (4) of the statutes, no employee so transferred who has attained permanent status in class is required to serve a probationary period.

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- (d) Tangible personal property. On the effective date of this paragraph, all tangible personal property, including records, of the department of safety and professional services that are primarily related to the regulation of private on-site wastewater treatment systems, as determined by the secretary of administration, is transferred to the department of natural resources.
- (e) Pending matters. Any matter pending with the department of safety and professional services on the effective date of this paragraph that is primarily related to the regulation of private on–site wastewater treatment systems, as determined by the secretary of administration, is transferred to the department of natural resources. All materials submitted to or actions taken by the department of safety and professional services that are primarily related to the regulation of private on–site wastewater treatment systems, as determined by the secretary of administration, are considered as having been submitted to or taken by the department of natural resources.
- (f) Contracts. All contracts entered into by the department of safety and professional services in effect on the effective date of this paragraph that are primarily related to the regulation of private on-site wastewater treatment systems, as determined by the secretary of administration, remain in effect and are transferred to the department of natural resources. The department of natural resources shall carry out any obligations under those contracts unless modified or rescinded by that department to the extent allowed under the contract.
- (g) Rules and orders. All rules promulgated by the department of safety and professional services in effect on the effective date of this paragraph that relate to the regulation of private on–site wastewater treatment systems, as determined by the secretary of administration, remain in effect until their specified expiration dates

or until amended or repealed by the department of natural resources. All orders issued by the department of safety and professional services in effect on the effective date of this paragraph that relate to the regulation of private on—site wastewater treatment systems, as determined by the secretary of administration, remain in effect until their specified expiration dates or until modified or rescinded by the department of natural resources.

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