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CLEARINGHOUSE RULE 00–117

Comments

[<u>NOTE</u>: All citations to "Manual" in the comments below are to the <u>Administrative Rules Procedures Manual</u>, prepared by the Revisor of Statutes Bureau and the Legislative Council Staff, dated September 1998.]

2. Form, Style and Placement in Administrative Code

a. In s. DFI-Sec 5.03 (5), the comma after the notation "(k)" should be replaced by the word "and" and the comma after the notation "(L)" should be deleted.

b. In the last sentence of the analysis to s. DFI-Sec 7.02 (1) (b), the phrase "subsection in paragraph (8) (c)" should be replaced by the phrase "paragraph in sub. (8)."

5. Clarity, Grammar, Punctuation and Use of Plain Language

a. In s. DFI-Sec 2.02 (4) (a) 5., third line, the comma after "(8)" should be deleted.

b. In s. DFI-Sec 4.03. (1) (j), second line, the comma after "customers" should be deleted unless the phrase "to the broker-dealer or its agents relating to securities transactions," in the third line, does not apply to the clause ending in "customers" (in lines 1 and 2).