



# State of Wisconsin

## Department of Financial Institutions

Jim Doyle, **Governor**

Lorrie Keating Heinemann, **Secretary**

July 28, 2009

Director, Legislative Council  
One East Main Street, Suite 401  
P.O. Box 2536  
Madison, WI 53701-2536

---

*Via hand-delivery w/ encls & e-mail (clearinghouse@legis.state.wi.us) w/attachments*

**Re: Proposed Rules**

Dear Director:

Pursuant to s. 227.15(1), Stats., proposed rule making minor revisions by amending, repealing and creating sections of chs. DFI—Sec 1 – 5, 7 and 9; analysis; and fiscal estimate are hereby submitted for your review.

For substantive questions on the rule, contact Randall Schumann, Attorney, Department of Financial Institutions, Division of Securities, P.O. Box 1768, Madison, WI 53701-1768, tel. (608) 266-3414, e-mail [randall.schumann@wisconsin.gov](mailto:randall.schumann@wisconsin.gov).

For the agency's internal processing of the rule, contact Mark Schlei, Deputy General Counsel, Department of Financial Institutions, Office of the Secretary, P.O. Box 8861, Madison, WI 53708-8861, tel. (608) 267-1705.

Sincerely,

Mark Schlei  
Deputy General Counsel

c: *Wisconsin Administrative Rules via e-mail (adminrules@wisconsin.gov)  
w/attachments*

---

*Office of the Secretary*

Mail: PO Box 8861 Madison, WI 53708-8861  
Voice: (608) 264-7800

Fax: (608) 261-4DFI

Courier: 345 W. Washington Ave. 5<sup>th</sup> Floor Madison, WI 53703  
TTY: (608) 266-8818  
Internet: [www.wdfi.org](http://www.wdfi.org)