

Chapter SEC 27

GENERAL PROVISIONS

SEC 27.01 Examination expenses

History: Emergency rules covering general subject matter were adopted effective July 1, 1972.

SEC 27.01 Examination expenses. The following amounts are prescribed for the expenses of examination of various matters arising under ch. 552, Stats., are chargeable to the person filing or the registrant, and are payable unless otherwise provided at the time the required form or solicitation material is filed:

(1) Examination of ownership information matters filed pursuant to s. 552.03, Stats.:

- (a) Form TO-1 Statement of Ownership Information..... \$ 50
- (b) Statement prescribed in section 13 (d) of the securities exchange act of 1934..... \$ 50
- (c) Form TO-2 Statement of Ownership Information..... \$ 20
- (d) Amendment to Statement of Ownership Information \$ 20
- (2) Examination of certified statement required to be filed under s. SEC 26.01 (1), Wis. Adm. Code \$ 20
- (3) Examination of solicitation materials filed by an offeror, target company or other person—Per Item..... \$ 10
- (4) Examination of matter relating to issuance of an interpretive opinion \$300
- (5) Photocopying fee..... \$.25
per page for the first 10 pages and \$.10 per page for any additional pages.
- (6) Examination of application for exemption order under s. 552.05 or 552.12 (3), Stats. \$200

History: Cr. Register, October, 1972, No. 202, eff. 11-1-72; cr. (5), Register, December, 1979, No. 288, eff. 1-1-80; am. (4) and (5), cr. (6), Register, December, 1986, No. 372, eff. 1-1-87.