## Chapter RACE 9

## PARI-MUTUEL WAGERING POOLS

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RACE 9.01 Purpose. The purpose of this chapter is to establish the types of wagering pools and manner of calculating payouts. No form of wagering other than those authorized by the board shall be implemented.

History: Cr. Register, July, 1990, No. 415, eff. 8-1-90.

RACE 9.02 Approval of pools. No wagering pool shall be conducted except in accordance with the rules of the board. Promulgation of rules governing operation of a pool shall not constitute prior approval of the board. Requests for new wagering pools shall be submitted by the association and accompanied by a proven totalizator program currently in use in the United States and rules governing each proposed wagering pool. An association may supplement any pari-mutuel pool to guarantee a minimum distribution with prior written approval from the board.

History: Cr. Register, July, 1990, No. 415, eff. 8-1-90.

RACE 9.03 Win pool. The association shall deduct the amount wagered on the wagering interest which finishes first from the net pool, the balance remaining being the profit. The profit shall be divided by the amount wagered on the wagering interest finishing first, such quotient being the profit per dollar wagered to win; payout shall be return of amount wagered and profit.

- (1) In the event of a dead heat for first involving animals of 2 different wagering interests, the win pool shall be distributed as if a place pool; if involving animals of 3 different wagering interests, the win pool shall be distributed as if a show pool.
- (2) In the event no win ticket is sold on the wagering interest which finishes first, the net win pool shall be distributed to holders of win tickets on the animal finishing second, if any; otherwise, among holders of win tickets on the animal finishing third.

History: Cr. Register, July, 1990, No. 415, eff. 8-1-90.

RACE 9.04 Place pool. The association shall deduct the amounts wagered to place on the first 2 animals to finish from the net pool to determine the profit. The profit shall be divided into 2 equal amounts; one-half of the profit shall be divided by the amount wagered to place on the first finisher, such quotient being the profit per dollar wagered to place on the first finisher; and one-half of the profit shall be divided by the amount wagered to place on the second finisher, such quotient being the profit per dollar wagered to place on the second finisher. Payouts shall be return of the amount wagered and profit thereon as to each of the first 2 finishers.

- (1) In the event of a dead heat for first between animals representing 2 different wagering interests, the place pool shall be distributed as if one wagering interest finished first and the other finished second; if between animals representing 3 different wagering interests, the place pool shall be distributed as if a show pool.
- (2) In the event of a dead heat for second between animals representing 2 or more different interests, the profit shall be divided in half with one-half allocated for wagers to place on the animal which finished first, and the other half divided equally so as to allocate one-fourth of the profit on the net place pool for wagers to place on each of 2 animals finishing in a dead heat for second, or one-sixth of the profits for wagers to place on each of the 3 animals finishing in a dead heat for second.
- (3) In the event no place ticket is sold on an animal which finishes either first or second, then the place pool shall be distributed as a win pool and distributed to the holders of place tickets on the animal finishing first or second.

History: Cr. Register, July, 1990, No. 415, eff. 8-1-90.

RACE 9.05 Show pool. The association shall deduct the amounts wagered to show on the first 3 animals to finish from the net pool to determine the profit. The profit shall be divided into 3 equal amounts. One-third of the profit shall be divided by the amount wagered to show on the first finisher, such quotient being the profit per dollar wagered to show on the first finisher; one-third of the profit shall be divided by the amount wagered to show on the second finisher, such quotient being the profit per dollar wagered to show on such second finisher; and one-third of the profit shall be divided by the amount wagered to show on the third finisher, such quotient being the profit per dollar wagered to show on such third finisher. Payouts shall be return of amount wagered and profit thereon as to each of the first 3 finishers.

- (1) In the event of a dead heat for first between 2 animals involving different wagering interests or 3 animals involving 3 different wagering interests, the show pool shall be distributed as if no dead heat occurred.
- (2) In the event of a dead heat for second between 2 animals involving 2 different wagering interests, the show pool shall be distributed as if no dead heat occurred; if between 3 animals involving 2 or 3 wagering interests, one-third of the profit shall be allocated to wagers to show on the animal finishing first and the remaining two-thirds of the profit shall be divided equally by the number of wagering interests finishing in a dead heat for second for proportionate distribution on wagers to show for each wagering interest finishing in a dead heat for second.
- (3) In the event of a dead heat for third between animals involving 2 or more wagering interests, two-thirds of the profit shall be allocated to wagers to show on the first 2 finishers, and the remaining one-third shall be divided equally by the number of the wagering interests finishing in a dead heat for third for proportionate distribution on wagers to show for each wagering interest finishing in a dead heat for third.
- (4) In the event only 2 animals finish in a race, the show pool, if any, shall be distributed as if a place pool. If only one animal finishes, the place and show pools, if any, shall be distributed as if a win pool. If no animal finishes, all money wagered on the race shall be refunded upon presentation or surrender of pari-mutuel tickets sold.

(5) In the event no show ticket is sold on a animal which finishes first or second or third, then, the show pool shall be distributed as a place pool and distributed to the holders of show tickets on the animals finishing first, second or third.

History: Cr. Register, July, 1990, No. 415, eff. 8-1-90.

- RACE 9.06 Daily double wagering. The association shall deduct the amount wagered on the winning combination, such being the animal or wagering interest which finishes first in the first daily double race combined with the animal or wagering interest which finishes first in the second daily double race from the net pool to determine the profit; the profit is divided by the amount wagered on the winning combination, the quotient being the profit per dollar wagered on the winning daily double combination. Payout shall be the amount wagered and profit thereon.
- (1) In the event of a dead heat for first involving 2 different wagering interests, in one of the 2 daily double races, the daily double pool shall be distributed as if a place pool, with half of the profit allocated to wagers combining the single winner of one daily double race and one of the wagering interests involved in the dead heat in the other daily double race with the other half of the profit allocated to wagers combining the single winner of one daily double race and the other wagering interest involved in the dead heat in the other daily double race.
- (2) In the event of dead heats for first involving different wagering interests in each of the daily double races, resulting in 4, 6, or 9 winning combinations; profits shall be divided into a corresponding number of parts and calculated and distributed in the same manner as the show pool using the appropriate number of winning combinations in lieu thereof.
- (3) If no ticket is sold combining both winners of the daily double, the net pool shall be apportioned between those having tickets including the winner of the first race of the daily double and those having tickets including the winner of the last race of the daily double, and shall be calculated and distributed as a place pool.
- (4) If no ticket is sold including the winner of the first race of the daily double, the entire net pool shall be paid to the holders of tickets which include the winner of the last race of the daily double.
- (5) If no ticket is sold including the winner of the last race of the daily double, the entire net pool shall be paid to the holders of tickets which include the winner of the first race of the daily double.
- (6) If, after daily double wagering has commenced and an animal not coupled with another as a wagering interest in the daily double is excused by the stewards or is prevented from racing because of failure of the starting box to open properly, then daily double wagers combining such animal shall be deducted from the daily double pool and refunded upon presentation and surrender of daily double tickets.
- (7) If, after the first race of the daily double has been run, and an animal not coupled with another as a wagering interest in the second race of the daily double is excused by the stewards or prevented from racing because of failure of the starting box to open properly, then daily double wagers combining the winner of the first daily double race with the ani-

mals prevented from racing in the second daily double race shall be allocated consolation payouts.

- (a) Consolation daily double payouts shall be determined by dividing the net daily double pool by the amount wagered combining the winner of the first daily double race with every animal or wagering interest scheduled to start in the second daily double race, such quotient being the consolation payout per dollar wagered combining the winner of the first daily double race with the animal prevented from racing in the second daily double race;
- (b) Consolation payouts shall be deducted from the net daily double pool before calculation and allocation of wagers on the winning daily double combination.
- (8) If for any reason either daily double race is cancelled or declared "no race" by the stewards, then the net daily double pool shall be distributed to wagering combinations which include the animal or wagering interest which finished first in the daily double race that is run.
- (9) If no daily double ticket is sold requiring distribution, then the entire daily double pool shall be refunded upon presentation and surrender of daily double tickets.

History: Cr. Register, July, 1990, No. 415, eff. 8-1-90.

- RACE 9.07 Quiniela wagering. The association shall deduct the amount wagered on the winning combinations, being the first 2 finishers irrespective of which animal finishes first and which animal finishes second, from the net pool to determine the profit; the profit shall be divided by the amount wagered on the winning combination, such quotient being the profit per dollar wagered on the winning quiniela combination; payout shall be the amount wagered and profit.
- (1) In the event of a dead heat for first: between animals involving 2 different wagering interests, the net quiniela pool shall be distributed as if no dead heat occurred; if between animals involving 3 different wagering interests, the net quiniela pool is distributed as if a show pool and shall be allocated to wagers combining any of the 3 animals finishing in a dead heat for first.
- (2) In the event of a dead heat for second: between animals involving 2 different wagering interests, the net quiniela pool shall be distributed as if a place pool and allocated to wagers combining the first finisher with either animal finishing in a dead heat for second; if between animals involving 3 different wagering interests, the net quiniela pool shall be distributed as if a show pool and allocated to wagers combining the first animal with each of the three animals finishing in a dead heat for second.
- (3) In the event no quiniela ticket is sold combining the first finisher with one of the animals finishing in a dead heat for second, then the net quiniela pool shall be allocated to wagers combining the first finisher with the other animal finishing in a dead heat for second.
- (4) In the event no quiniela ticket is sold combining the first finisher with either of the animals finishing in a dead heat for second, then the net quiniela pool shall be allocated to wagers combining the 2 animals finishing in the dead heat for second.

- (5) In the event no quiniela ticket is sold combining the first finisher with either of the animals finishing in a dead heat for second, or combining the 2 animals which finished in a dead heat for second, then the net quiniela pool shall be distributed as if a show pool shall be allocated to wagers combining any of the first 3 finishers with any other animals.
- (6) In the event no quiniela ticket is sold combining the first 2 finishers, then the net quiniela pool shall be distributed as if a place pool and allocated to wagers combining the first finisher with any other animals and wagers combining the second finisher with any other animal.
- (7) In the event no quiniela ticket is sold combining animals or wagering interests as would require distribution then the entire quiniela pool shall be refunded upon presentation and surrender of quiniela tickets thereon.

History: Cr. Register, July, 1990, No. 415, eff. 8-1-90.

- RACE 9.08 Exacta or perfecta wagering. The association may offer exacta or perfecta wagering and these rules shall apply to both. The association shall deduct the amount wagered on the winning combination, being the first 2 finishers in exact order as officially posted, from the net pool to determine the profit; the profit shall be divided by the amount wagered on the winning combination, such quotient being the profit per dollar wagered on the winning exacta combination; payout shall be the amount wagered and profit thereon.
- (1) If no ticket is sold on the winning combination of an exacta pool, the net pool shall be distributed equally between holders of tickets selecting the winning animal to finish first and holders of tickets selecting the second place animal to finish second.
- (2) In case of a dead heat between 2 animals for first place, the net exacta pool shall be calculated and distributed as a place pool to holders of tickets of the winning combinations. In case of a dead heat between 2 animals for second place, the exacta pool shall be figured as a place pool, the holders of tickets combining the winning animal and the 2 animals finishing second participating in the payout.
- (3) In the event of a dead heat for second place, if no ticket is sold on one of the 2 winning combinations, the entire net pool shall be calculated as a win pool and distributed to those holding tickets on the other winning combination.
- (4) If no tickets combine the winning animal with either of the place animals in the dead heat, the exacta pool shall be calculated and distributed as a place pool to holders of tickets representing any interest in the net pool.
- (5) If no ticket is sold that would require distribution on an exacta pool as above defined, the association shall refund all tickets on the exacta pool.

History: Cr. Register, July, 1990, No. 415, eff. 8-1-90.

RACE 9.09 Quiniela double wagering. The association shall deduct the amount wagered on the winning combination, being the quiniela winner in the first quiniela double race combined with the quiniela winner of the second quiniela double race from the net pool to determine the profit; the profit shall be divided by the amount wagered on the winning combina-

tions, such quotient being the profit per dollar wagered on the winning quiniela double combinations; payout shall be the amount wagered and profit thereon.

- (1) Each wagerer purchasing quiniela double tickets shall designate, at the time the tickets are purchased, a quiniela selection in both of the 2 quiniela double races for which tickets are sold. Quiniela double tickets shall be purchased for both of the 2 quiniela double races prior to the first of the 2 races, without any exchange between the first and second races.
- (2) If the purchaser of a quiniela double ticket fails to select the quiniela of the first half of the quiniela double, no refund or return shall be made, except as provided elsewhere in this section and the circumstances or outcome of the second half of the quiniela double cannot change this result.
- (3) If an animal is scratched in the first quiniela double race, all quiniela double tickets issued that include the scratched animal shall be
- (4) If an animal is scratched in the second quiniela double race, the total amount of the winning combination in the first quiniela double race shall be divided into the net pool and this amount per dollar shall be multiplied times the amount wagered that included the scratched animal. This total shall be deducted from the net pool and shall be used to pay those tickets combining the winner of the second quiniela double race with the scratched animal. The balance of the net pool shall be paid to the holders of the tickets on the animals that finish first and second.
- (5) If no quiniela double ticket is sold which represents the winning combination in the first quiniela double race, the pool shall be divided among those tickets including one of the animals finishing first or second and distribution shall be calculated and made as a place pool.
- (6) If no quiniela double ticket is sold which represents the winning combination in the second quiniela double race the pool shall be divided between those tickets that have the winning combination in the first quiniela double race and include one of the animals finishing first or second in the second quiniela double race and distribution shall be calculated and made as a place pool.
- (7) If a quiniela double ticket is sold with the winning combination in the first quiniela double race and combines only one of the 2 winners in the second quiniela double race and no quiniela double ticket with the correct combination in the first quiniela double race includes the other winner, the entire pool shall be distributed as a win pool to the holders of those tickets.
- (8) If a quiniela double ticket is sold with the winning combination in the first quiniela double race and none of those tickets include either the first or second animal in the second quiniela double race, the entire net pool shall be distributed as a win pool to holders of those quiniela double tickets that were sold with the winning combination in the first quiniela double race.
- (9) In the event of a dead heat for place in the first quiniela double race, all tickets combining the first animal and any of the animals placing shall be considered holders of the winning combination in the first quiniela double race of equal status for distribution of the pool.

- (10) In the event of a dead heat for place in the second quiniela double race, the net pool shall be divided, calculated and distributed as a place pool to the holders of tickets with the winning combination in the first quiniela double race and a combination in the second quiniela double race combining the first animal and any of the place animals.
- (11) In the event of a dead heat by 2 animals for the place position in the second quiniela double race where no ticket combines the first animal with either of the place entries, one half of the profits of the pool shall be awarded to those tickets combining the winning combination in the first quiniela double race and the animal finishing first in the second quiniela double race; and one-fourth of the profits of the pool shall be awarded to those tickets combining the winning combination in the first quiniela double race and either of the animals finishing in the dead heat for second in the second quiniela double race.
- (12) If for any reason either quiniela double race is cancelled or declared "no race" by the stewards, then net quiniela double pool shall be distributed to wagering combinations which include the animals or wagering interests which finished first and second in the quiniela double race that is run.

History: Cr. Register, July, 1990, No. 415, eff. 8-1-90.

- RACE 9.10 Trifecta wagering. The association shall deduct the amount wagered on the winning combination, being the first 3 finishers in exact order as officially posted, from the net pool to determine the profit; the profit shall be divided by the amount wagered on the winning combination, such quotient being the profit per dollar wagered on the winning trifecta combination. The payout shall be both the amount wagered and the profit.
- (1) If no ticket is sold on the winning combination, the profit shall be apportioned equally among the holders of tickets selecting the first and second place animals in exact order.
- (2) If no ticket selecting the first and second animals is sold, the profit shall be apportioned equally among the holders of tickets selecting the first and third animals in exact order.
- (3) If no ticket selecting the winning combination, the first and second animal, or the first and third animal is sold, the profit shall be apportioned equally among the holders of tickets selecting the second and third animals with any other animal in exact order.
- (4) If no ticket requiring distribution of the pool pursuant to this rule is sold, the profit shall be apportioned equally among the holders of tickets selecting the animal finishing first.
- (5) If no ticket requiring distribution of the pool pursuant to subs. (1), (2), (3) and (4) is sold, the profit shall be apportioned equally among the holders of tickets selecting the animal finishing second.
- (6) If no ticket requiring distribution of the pool pursuant to subs. (1), (2), (3), (4) and (5) is sold, the profit shall be apportioned equally among the holders of tickets selecting the animal finishing third.
- (7) If a race on which there is trifecta wagering results in a dead heat for first place, the winning combinations shall include the first 2 animals as finishing in either first or second and the animal finishing third.

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- (8) If a race on which there is trifecta wagering results in a dead heat for second place, the winning combinations shall include the animal finishing first and the 2 animals finishing in a dead heat as finishing in either second or third.
- (9) If a race on which there is trifecta wagering results in a dead heat for third place, the winning combinations shall include the animal finishing first, the animal finishing second and either of the animals finishing in a dead heat for third as finishing third.
- (10) In all combinations described in subs. (7), (8) and (9), the profit shall be divided in separate pools, calculated as a place pool and paid off accordingly.
- (11) If a race on which there is trifect a wagering results in a triple dead heat or double dead heat, the net pool shall be divided by the number of all such win, place and show combinations thus formed, calculated as separate pools and paid off accordingly.
- (12) If an animal is scratched or declared a nonstarter, no trifecta tickets designating such an animal shall be issued. All tickets previously issued designating such animal shall be refunded and the money representing those tickets shall be deducted from the total pool.

History: Cr. Register, July, 1990, No. 415, eff. 8-1-90.

- RACE 9.11 Twin trifecta wagering. The races in which twin trifecta pari-mutuel wagering is conducted by the association shall only be those designated by the board and a separate pool shall be established.
- (1) Twin trifecta tickets shall be sold in not less than \$2 denominations; however, the association may elect to offer \$1 twin trifecta wagers in multiple wagers such as box, wheel, key or rundown provided each transaction has a minimum value of \$2.
- (2) Each wagerer purchasing twin trifecta tickets shall designate the 3 selections as the first 3 animals to finish in that order in the first race of the 2 designated twin trifecta races.
- (3) After wagering closes for the first half of the twin trifecta, the mutuel department shall divide the net distributable pool on the twin trifecta into 2 separate pools of equal amount.
- (4) The monies in the first part of the divided pool shall be distributed to the holders of twin trifecta tickets selecting the first 3 animals, in order, on the first designated twin trifecta race.
- (5) The term "first part of divided pool" shall mean one half of the net distributable pool of the total monies wagered in the twin trifecta on the current program only and, specifically excluding any carry over of any special cumulative second race twin trifecta pool from any previous program.
- (6) The second part of the divided pool shall be placed in a separate pool to be distributed to holders of "second half" twin trifecta tickets selecting the first 3 animals, in order, on the second designated twin trifecta race.

- (7) In the first half of the twin trifecta only, if there is a failure to select, in exact order, the first three animals, payouts shall be made on twin trifecta tickets in the following order of priority:
- (a) Tickets selecting the win and place finishers in that order, 1-2-all. If no ticket is sold combining the win and place finish, then;
- (b) Tickets selecting the win and show finishers in that order, 1-all-3. If no ticket is sold combining the win and show finishers;
- (c) Tickets selecting the place and show finishers in that order, all-2-3. If no ticket is sold combining the place and show finishers, then;
- (d) Tickets selecting the winner, 1-all-all. If no ticket is sold selecting the winner, then;
- (e) Tickets selecting the place finisher, all-2-all. If no ticket is sold selecting the place finisher, then;
  - (f) Tickets selecting the show finisher, all-all-3;
- (g) If par. (a), (b), (c), (d), (e) or (f) occurs, pools close and no exchanges may be accepted;
- (h) If no ticket requiring distribution pursuant to twin trifecta is sold, a complete refund will be made of the entire twin trifecta pool on that program upon presentation and surrender of all twin trifecta tickets.
- (8) In the first half of the twin trifecta race, if less than 3 animals finish or the stewards declare the event "no race" then the entire twin trifecta pool on that program shall be refunded.
- (9) After the official declaration of the first three animals to finish in the first race of the twin trifecta, each wagerer holding a winning ticket shall, prior to the running of the second twin trifecta race, exchange the winning ticket for both the monetary value established by the mutuel department and a twin trifecta exchange ticket, and at that time shall select the 3 animals to finish in the second race of the twin trifecta in order as officially posted. No further money shall be required of holders of the winning tickets in order to make the exchange. No person involved in the wagering operation shall disclose the number of the winning trifecta tickets eligible for exchange in the second twin trifecta race, nor disclose any information regarding those tickets during the exchange process until the race teminating the twin trifecta has been declared official.
- (10) No twin trifecta exchange ticket upon the second race shall be issued except upon surrender of the twin trifecta tickets from the first race as described in this section. Mutuel windows shall be open for the purpose of cashing and exchanging winning twin trifecta tickets only after the first half of the twin trifecta has been declared official.
- (11) If a winning twin trifecta ticket from the first race is not presented for cashing and exchange within the time provided, the wagerer may still collect the monetary value attached to the ticket but forfeits all rights to any distribution of the second race twin trifecta pool.
- (12) If an animal is scratched in the first race of the twin trifecta races, all twin trifecta tickets on the scratched animal shall be refunded. If an animal is scratched in the second race of the twin trifecta races, public

address announcements shall be made and reasonable time shall be given for exchange of tickets on the scratched animal;

- (a) In the event of a dead heat or dead heats in the first race of the twin trifecta, all twin trifecta tickets selecting the correct order of finish counting an animal in a dead heat as finishing in any position dead heated, shall be winning tickets. The payout shall be calculated as a place pool or as a show pool if there are multiple dead heats.
- (b) In the event of a dead heat or dead heats in the second race of the twin trifecta, all twin trifecta tickets selecting the correct order of finish counting an animal in a dead heat as finishing in any position dead heated, shall be winning tickets. The association shall calculate the payout as described in sub. (11). The method of calculation used by the association shall be prominently displayed in a conspicuous area of the grandstand or published in the program.
- (13) In the event there is no twin trifecta ticket issued accurately selecting the officially declared first 3 finishers of the second twin trifecta race, in the exact order, the second race pool, as divided earlier, shall be held for the next consecutive racing program and combined with that program's second race twin trifecta pool. Distribution of this special cumulative second race twin trifecta pool shall be made only upon selection, in the exact order, of the first 3 officially declared finishers of the second twin trifecta race.
- (14) On the final program of any official race meeting, the entire accumulated second race twin trifecta pool shall be distributed except as provided in this section. In the event, on that final racing program, that no second half twin trifecta ticket accurately selects the officially declared first 3 finishers in exact order, the payouts on the twin trifecta shall be made in the same order of priority as provided in this section. If in the first race of the twin trifecta on the final day of race meeting, less than 3 animals finish, or if the stewards declare the event "no race," or if racing is cancelled prior to the first race of the twin trifecta, any cumulative twin trifecta pool shall be carried over to the first day of the next race meeting.
- (15) In the second race of the twin trifecta, if less than 3 animals finish or if the stewards declare the event "no race" then the winning ticket holders who have cashed their tickets on the first half and have received an exchange ticket shall be entitled to share in the second half of that program's pool. Any cumulative second half twin trifecta pool from previous programs shall remain undistributed and shall be carried over to the next program unless the above circumstances occur on the final program of the race meeting. In that instance, those winning ticket holders who have cashed their tickets on the first half and have received an exchange ticket shall be entitled to share in the cumulative second half twin trifecta pool.
- (16) In the event that racing is cancelled for any program after the first half, but prior to the running of the second half of twin trifecta, the second part of the program's divided pool shall be evenly distributed to all holders of second half twin trifecta exchange tickets and winning first half twin trifecta tickets if not exchanged. Any cumulative twin trifecta pool shall remain undistributed and shall be carried over to the twin trifecta in the next program unless the above circumstances occur on the final program of the race meeting. In that instance, all holders of second Register, July, 1990, No. 415

half twin trifecta exchange tickets and winning first half twin trifecta tickets if not exchanged shall be entitled to share in the cumulative second half twin trifecta pool.

- (17) After the first half of the twin trifecta and prior to the running of the second half of the twin trifecta, if the total number of scheduled starters become 6 or less, the second half race shall be cancelled. In the event the second half race is cancelled, the second part of that program's divided pool shall be distributed pursuant to sub. (16).
- (18) Sale of twin trifecta tickets other than from pari-mutuel machines by licensed pari-mutuel clerks is prohibited.
  - (19) Twin trifecta wagering races shall be run consecutively.

History: Cr. Register, July, 1990, No. 415, eff. 8-1-90.

- RACE 9.12 Superfects wagering. (1) The superfects shall combine four animals in a single race by selecting the four animals that will subsequently finish first, second, third and fourth in that race. Payment of the ticket shall be made only to the purchaser who has selected the same order of finish as officially posted.
  - (2) The superfecta shall be calculated as an entirely separate pool.
- (3) Superfecta tickets shall be sold in not less than \$2.00 denominations; however, the association may elect to offer \$1.00 superfecta wagers in multiple wagers such as box, wheel, key or rundown provided each transaction has a minimum value of \$2.00.
- (4) If no ticket is sold on the winning combination of a superfecta pool, the net pool shall be distributed to the holders of tickets selecting the win, place and show finishers, in that order. If no ticket is sold combining the win, place and show finishers, the net pool shall be distributed to the holders of tickets selecting the win and place finishers. If no ticket is sold combining the win and place finishers, the net pool shall be distributed to the holders of tickets selecting the winner. If less than four finish and the race is declared official by the stewards, the payout shall be made to holders of tickets selecting the finishing animals in order, ignoring the balance of the selection.
- (5) If no ticket is sold that would require distribution of the net superfecta pool to a winner as above defined, the association shall make a full refund of the net superfecta pool.
- (6) In the event of a dead heat or heats, all superfects tickets selecting the correct order of finish, counting an animal in a dead heat as finishing in either position dead heated, shall be winning tickets. The payout shall be calculated as a place pool.
- (7) In the event of a scratch in the superfecta race no exchanges shall be made. All tickets which include the scratched animals shall be eliminated from further participation in the superfecta pool and shall be refunded.
- (8) Superfecta tickets shall be sold only by the association through pari-mutuel machines programmed to print all selections on one ticket.
- (9) Each association using this form of wagering shall post a reprint of the rule in bold print at conspicuous locations throughout the associa-Register, July, 1990, No. 415

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tion's public areas. The locations of the posted rules shall appear in the program.

History: Cr. Register, July, 1990, No. 415, eff. 8-1-90.

RACE 9.13 Pick (N) wagering. (1) Each person participating in a Pick (N) pool shall select the winning animal in (N) consecutive races designated by the association. The number of contests so designated may be 4, 5, 6, 7, 8, 9 or 10. Once an association has decided the number of contests comprising the Pick (N), it may not change that number in the middle of a meet without prior approval of the board. The contests so designated comprise the Pick (N) for that performance. The association shall issue the Pick (N) participant a ticket which shall reflect the participant's selections in the designated contests.

(2) A validly issued Pick (N) ticket timely surrendered to the association by the legal holder thereof shall be the only evidence of a person's participation in a Pick (N) pari-mutuel pool. The acceptance of a Pick (N) ticket by taking an issued ticket away from the window or terminal from which it is purchased shall constitute an acknowledgement by the purchaser of the correctness of the ticket, and each purchaser of a Pick (N) ticket agrees to be bound by the terms and provisions of this requirement, other board rules and regulations notwithstanding. Neither the association, totalizator company nor the state of Wisconsin shall be liable to any person for any ticket which is not a winning ticket in accordance with the provisions of this rule nor shall they, or any of them, be liable to any person for any Pick (N) ticket not delivered for any reason, including but not limited to, mechanical malfunction, electrical failure, machine locking, or other cause.

## (3) The Pick (N) pool shall operate as follows:

- (a) Pick (N) shall be composed of 2 separate and distinct pari-mutuel pools. At the option of the association, 50 or 75% of the gross amount of all sums wagered on Pick (N) tickets on each performance shall be paid into the pari-mutuel pool to be known as "the jackpot." The remaining 50 or 25% of the gross amounts of all sums wagered on Pick (N) tickets for that performance shall be paid into a pari-mutuel pool to be known as "the super four," "the super five," "the super six," "the super seven," "the super eight," "the super nine," or "the super ten," depending on the association's decision as to how many races or games comprise the Pick (N) wager. The association shall notify the board in writing before the beginning of each meet of its decision as to which one of the Pick (N) wagering and gross amounts split has been selected. Once the meet begins, no changes shall be allowed without the written approval of the board.
- (b) Subject to the provisions of this section pertaining to refunds and after the deduction of all legal refunds, the net amount in the jackpot pool subject to distribution among winning ticket holders shall be distributed only among the holder of Pick (N) tickets which correctly designate all official winners of the contests comprising the Pick (N) for the performance.
- (c) Subject to the provisions of this rule pertaining to refunds and after deduction of all legal refunds, the net amount in the Super (N) pool subject to distribution among winning ticket holders shall be distributed among the holders of Pick (N) tickets which correctly designate the most Register, July, 1990, No. 415

official winners of the contests comprising the Pick (N) for that performance.

- (d) In the event there is no Pick (N) ticket issued which would entitle the ticket holder to the jackpot, the entire jackpot shall be carried over and included in the jackpot pool for the next performance. The jackpot shall be supplemented each performance by the amount added thereto from all previous performances' jackpot pools that have not been won by a holder of a Pick (N) ticket which correctly selects all official winners of the contests comprising the Pick (N) for any performance.
- (e) In the event the accumulated jackpot has not been distributed prior to the closing day of the meeting in which the jackpot was generated, the accumulated jackpot and the net amount in the Super (N) pool subject to distribution among winning ticket holders shall be distributed among closing day holders of Pick (N) tickets which correctly designate the most official winners of the contests comrprising the closing day Pick (N). Where a split meet is held, all jackpot and Super (N) pools shall be distributed as stated in this section on the final day of each portion of the split meet.
- (f) In the event the association is unable to distribute the jackpot from any prior performance by the end of its race meeting in accordance with the provisions of the immediately preceding paragraph due to cancellation of the final day of racing or any other reason beyond the control of the association, the jackpot shall be carried forward to the next race meeting conducted at the same location having a Pick (N). The carried over jackpot shall be included in the Super (N) pool during one day of the first 5 days of racing at the subsequent race meeting, as approved by the director. In the event a jackpot is carried forward under the provisions of this paragraph and the carry-over period exceeds 5 days then the jackpot shall be segregated in an interest bearing account and all interest earned in the jackpot shall accrue to the jackpot.
- (g) In the event a Pick (N) ticket designates as a selection to win in any one or more of the contests comprision the Pick (N), a single wagering interest which is scratched, excused or determined by the stewards to be a non-starter in the race, the actual favorite, as evidenced by the amounts wagered in the win pool at the time of the start, will be substituted for the non-starting selection for all purposes, including pool calculations and payouts, provided further, that in the event the win pool for 2 or more favorites is identical, the selection in the lowest program position shall be substituted for the non-starting selection.
- (h) In the event one or more of the contests comprising the Pick (N) is cancelled for any reason, the distribution of the net amount subject to distribution in the Super (N) pool shall be among the holders of parimutuel tickets which correctly designate the most official winners in all of the remaining contests comprising the Pick (N) in such performances, except, that in the event there is officially cancelled or declared as no contest 3 or more of the contests comprising the Pick (5) through Pick (8), 4 or more of the contests comprising the Pick (9) or 5 or more of the contests comprising the Pick (N) all pari-mutuel tickets on the Pick (N) for that performance shall be refunded, and the Pick (N) shall be cancelled for that performance. No person may win the jackpot unless that person holds a Pick (N) ticket which correctly designates the official winners of all the scheduled contests comprising the Pick (N) for that performance. The cancellation of one or more contests comprising the Pick

- (N) in any performance shall result in the contribution to the Super (N) pool of the amount contributed that day to the jackpot pool. The contribution to that performance's jackpot pool will then be distributed along with the remainder of the Super (N) pool to the winners of the Super (N) pool. Any contributions to the jackpot pool from prior performances will remain in the jackpot pool to be carried over and included in the jackpot pool for the next performance as prescribed in par. (d).
- (4) In the event of a dead heat for win between 2 or more animals in any Pick (N) race, all such animals in the dead heat for win shall be considered as the winner in the race for the purpose of distributing the jackpot and the Super (N) pools.
- (5) Once the first contest of the contests comprising the Pick (N) in any performance has begun, and until the last of the contests is concluded, the association shall not report to the public, to any of the association's employes or to any other person, the number of tickets sold, total dollars wagered, or the number of tickets with potential to win the Pick (N) or any information whatsoever about such tickets. Furthermore, the totalizator system shall be constructed or programmed to suppress the publication or printing of any such information from the beginning of the first contest until the conclusion of the last of the contest comprising the Pick (N) in any one performance except for the total dollars wagered in the Pick (N) pool.
- (6) No pari-mutuel ticket for Pick (N) shall be sold, exchanged or cancelled after the time of the close of mutuel windows for wagering in the first of the contests comprising the Pick (N), except for such refunds on Pick (N) tickets as are required under this rule.
- (7) Any payment in excess of \$100,000 from any Pick (N) pool must be paid by a company check or certified check.
- (8) Each jackpot pool shall have a cap which the jackpot pool may not exceed. The cap for a pick four jackpot pool shall be \$4,000 or 2,000 times the minimum wager, whichever is less. The cap for a Pick (5) jackpot pool shall be \$30,000 or 15,000 times the minimum wager, whichever is less. The cap for a Pick (6) jackpot pool shall be \$1,000,000 or 500,000 times the minimum wager, whichever is less. The cap for a Pick (7) jackpot pool shall be \$1,000,000. The cap for a Pick (8) jackpot pool shall be \$2,000,000. The cap for a Pick (9) jackpot pool shall be \$5,000,000. The cap for a Pick (10) jackpot pool shall also be \$5,000,000. Prior to the opening of a meet the association may declare a cap for the jackpot pool less than the cap imposed above, provided the cap is in increments of \$1,000. Once the association has elected a cap the association shall not alter the cap without providing the board with prior written notice. If, at the close of any performance the amount accumulated in the jackpot pool equals or exceeds the cap, then at such time, the jackpot pool shall be frozen until it is won under the other provisions of this section. Thereafter, the jackpot pool is frozen under these provisions and 100% of all subsequent contributions shall go to the Super (N) pool and be distributed accordingly. Nothing herein shall affect the total distribution of both pools on the closing day of any meet or portion of a split meet.
- (9) Prior to the opening of a meet at which a Pick (N) wager will be offered, the association may elect to force an early payout of the jackpot pool, as allowed by this rule and in no other manner. The election shall be made by informing the board in writing prior to the opening of the meet Register, July, 1990, No. 415

of the association's intent to force an early payout if the conditions of this rule for an early payout are met. If the election is made, then an early payout of the jackpot pool shall be made as follows. Within 24 hours after the jackpot pool reaches its cap, the association shall designate the performance at which the early payout shall be made by informing the board in writing of the designated performance. The designated performance shall be no sooner than 6 calendar days after the cap is reached and no later than 13 calendar days after the cap is reached. If at the conclusion of the last contest comprising the Pick (N) of the designated performance, no wager has won the jackpot pool, then the funds in the jackpot pool shall be transferred to the Super (N) pool for the designated performance and distributed in the manner in which the Super (N) pool is distributed.

History: Cr. Register, July, 1990, No. 415, eff. 8-1-90.

RACE 9.14 Tri-superfecta wagering. Tri-superfecta wagering by the association shall be permitted only upon application to and approval by the board:

- (1) Tri-superfecta tickets shall be sold in not less than \$2 denominations; however, the association may elect to offer \$1 tri-superfecta wagers in multiple wagers such as box, wheel, key or rundown provided each transaction has a minimum value of \$2.
- (2) Each wagerer purchasing tri-superfecta tickets shall designate 3 selections as the first 3 animals to finish in that order in the first race of the 2 designated tri-superfecta races.
- (3) After wagering closes for the first half of the tri-superfecta, the mutuel department shall divide the net distributable pool on the tri-superfecta into 2 separate pools of equal amount.
- (4) The monies in the first part of the divided pool shall be distributed to the holders of tri-superfecta tickets selecting the first 3 animals, in order, on the first designated tri-superfecta race, in accordance with s. RACE 9.10.
- (5) The term "first part of the divided pool" shall mean one half of the net distributable pool of the total monies wagered in the tri-superfecta on the current program only and, specifically excluding any carry over of any special cumulative second race tri-superfecta pool, from any previous program.
- (6) The second part of the divided pool shall be placed in a separate pool to be distributed to the holders of "second half" tri-superfecta tickets selecting the first four animals, in order, on the second designated trisuperfecta race, in accordance with s. RACE 9.12.
- (7) In the first half of the tri-superfecta only, if there is a failure to select, in the exact order, the first 3 animals, payouts shall be made on tri-superfecta tickets selecting in the following order of priority:
- (a) Tickets selecting the win and place finishers in that order, 1-2-all. If no ticket is sold combining the win and place finish, then;
- (b) Tickets selecting the win and show finishers in that order, 1-all-3. If no ticket is sold combining the win and show finishers, then;

- (c) Tickets selecting the place and show finishers in that order, all-2-3. If no ticket is sold combining the place and show finishers, then;
- (d) Tickets selecting the winner, 1-all-all. If no ticket is sold selecting the winner, then;
- (e) Tickets selecting the place finisher, all-2-all. If no ticket is sold selecting the place finisher, then;
  - (f) Tickets selecting the show finisher, all-all-3.
- (g) If par. (a), (b), (c), (d), (e) or (f) occurs, pools close and no exchanges shall be accepted.
- (h) If no ticket requiring distribution pursuant to tri-superfecta is sold, a complete refund shall be made of the entire tri-superfecta pool on that program upon presentation and surrender of all tri-superfecta tickets.
- (8) In the first half of the tri-superfecta race, if less than 3 animals finish or if the board of stewards declares the event "no race", then the entire tri-superfecta pool on that program shall be refunded upon presentation or surrender of tri-superfecta tickets.
- (9) After the official declaration of the first 3 animals to finish in the first race of the tri-superfecta, each wagerer holding a winning ticket shall, prior to the running of the second tri-superfecta race, exchange the winning ticket for both the monetary value established by the mutuel department and a tri-superfecta exchange ticket, and at that time shall select 4 animals to finish in the second race of the tri-superfecta in exact order as officially posted. No further money shall be required of holders of the winning tickets in order to make the exchange. No person shall disclose the number of winning first half tri-superfecta tickets eligible for exchange in the second tri-superfecta race, nor shall any person disclose any information regarding those tickets during the exchange process until the race terminating the tri-superfecta has been declared official.
- (10) No tri-superfecta exchange ticket upon the second race shall be issued except upon surrender of the tri-superfecta tickets from the first race as described in sub. (9). Mutuel windows shall be open for the purpose of cashing and exchanging winning tri-superfecta tickets only after the first half of the tri-superfecta has been declared official.
- (11) If a winning tri-superfecta ticket from the first race is not presented for cashing and exchange within the time provided, the wagerer may still collect the monetary value attached to the ticket, but shall forfeit all rights to any distribution of the second tri-superfecta pool.
- (12) If an animal is scratched in the first race of the tri-superfecta races, all tri-superfecta tickets on the scratched animal shall be refunded. If an animal is scratched in the second race of the tri-superfecta races, public address announcements shall be made and reasonable time shall be given for exchange of tickets on the scratched animal. In the event of a dead heat or dead heats in either the first or second race of the tri-superfecta, all tri-superfecta tickets selecting an animal finishing in any position dead heated, shall be winning tickets. The payout shall be calculated as a place pool (or as a show pool if multiple dead heats).
- (13) In the event there is no tri-superfecta ticket issued accurately selecting the officially declared first 4 finishers of the second tri-superfecta Register, July, 1996, No. 415

race, in the exact order, the second race pool as divided earlier, shall be held for the next consecutive racing program and combined with that program's second race tri-superfecta pool. Distribution of this special cumulative second race tri-superfecta pool shall be made only upon accurate selection, in the exact order, of the first 4 declared finishers of the second tri-superfecta race.

- (14) On the final program of any racing meeting, the entire accumulated second race tri-superfecta pool shall be distributed except as provided in this section. In the event, on that final racing program, that no second half tri-superfecta ticket accurately selects the officially declared first four finishers, in exact order, the payouts on the tri-superfecta shall be made in the following order of priority:
- (a) If there is a failure to select in order the first four animals, the pool shall be divided among holders of tri-superfecta exchange tickets selecting the first 3 animals in order; if there is a failure to select the first 3 animals, the pool shall be divided among holders of tri-superfecta exchange tickets selecting the first 2 animals in order; in case of a failure to select the first 2 animals, the pool shall be divided among holders of trisuperfecta exchange tickets selecting the winner to win; in case of a failure to select the winner to win, the pool shall be divided among holders of tri-superfecta exchange tickets selecting the animal finishing second to place; failure to select the animal finishing second to place, the pool shall be divided among holders of tri-superfecta exchange tickets selecting the animal finishing third to show; in case of a failure to select the animal finishing third to show, the pool shall be divided among holders of trisuperfecta exchange tickets correctly selecting the animal finishing fourth; in case of a failure to select the animal finishing fourth, the pool shall be divided among holders of all second half tri-superfecta exchange
- (b) If in the first race of the tri-superfecta on the final day of the race meeting, less than 3 animals finish, or if the board of stewards declared the event "no race", or if racing is cancelled prior to the first race of the tri-superfecta, any cumulative tri-superfecta pool shall be carried over to the first day of the next race meeting.
- (15) In the second race of the tri-superfecta, if only 3 animals finish the race, the pool shall be divided among the holders or tri-superfecta exchange tickets selecting the first 3 animals, in the order of priority stated in s. RACE 9.10, ignoring the animal selected to finish fourth. If less than 3 animals finish or if the board of stewards declared the event a "no race", then the winning ticket holders who have cashed their tickets on the first half and received an exchange ticket shall be entitled to share in the second half or that program's pool. Any cumulative second half tri-superfecta pool from previous programs shall remain undistributed and shall be carried over to the next program unless the above circumstances occur on the final program of the race meeting. In this instance, those winning ticket holders who have cashed their tickets on the first half and have received an exchange ticket shall be entitled to share in the cumulative second half tri-superfecta pool.
- (16) In the event that racing is cancelled for any program after the first half, but prior to the running of the second half of the tri-superfecta, the second part of that program's divided pool shall be evenly distributed to all holders of second half tri-superfecta exchange tickets and winning first half tri-superfecta tickets if not exchanged. Any cumulative tri-

superfecta pool shall remain undistributed and shall be carried over to the tri-superfecta in the next program unless the above circumstances occur on the final program of the race meeting. In that instance, all holders of second half tri-superfecta exchange tickets and winning first half tri-superfecta tickets if not exchanged shall be entitled to share in the cumulative second half tri-superfecta pool.

- (17) After the first half of the tri-superfecta and prior to the running of the second half of the tri-superfecta, if the total number of scheduled starters become 6 or less the second half race shall be cancelled. In the event the second half race is cancelled, the second part of that program's divided pool shall be distributed pursuant to sub. (16).
- (18) Sale of tri-superfecta tickets other than from pari-mutuel machines from licensed, on duty pari-mutuel clerks shall be prohibited.
  - (19) Tri-superfecta wagering races shall be run consecutively.

History: Cr. Register, July, 1990, No. 415, eff. 8-1-90.

- RACE 9.15 Daily triple wagering. The amount wagered on the winning combinations, being the animal or wagering interest finishing first in each of the three races comprising the daily triple, shall be deducted by the association from the net pool to determine the profit; the profit shall be divided by the amount wagered on the winning combination, the quotient being the profit per dollar wagered on the winning daily triple combination. The payout shall be the amount wagered and the profit:
- (1) A daily triple may be given a distinctive name to be selected by the association conducting the races subject to the prior approval of the board.
- (2) The daily triple pari-mutuel pool shall consist of amounts contributed for a selection for win only in each of three consecutive races designated by the association with the prior approval of the board. Each person purchasing a daily triple ticket shall designate the winning animal in each of the 3 races comprising the daily triple.
- (3) The net amount in the pari-mutuel pool subject to distribution among winning ticket holders shall be distributed among the holders of tickets which correctly designate the winners in all 3 races comprising the daily triple.
- (4) If no ticket is sold combining the 3 winners of the daily triple, the net amount in the pari-mutuel pool shall be distributed among the holders of tickets which include the winners of at least 2 of the 3 races comprising the daily triple.
- (5) If no ticket is sold combining at least 2 winners of the daily triple, the net amount in the pari-mutuel pool shall be distributed among holders of tickets which include the winner of any one race comprising the daily triple.
- (6) If no ticket is sold that would require distribution of the daily triple pool to a winner under this section, the association shall make a complete and full refund of the daily triple pool.
- (7) If for any reason one of the races comprising the daily triple is cancelled, the net amount of the pari-mutuel pool shall be distributed as provided above in subs. (6), (7) and (8).

- (8) If for any reason 2 or more of the races comprising the daily triple are cancelled, a full and complete refund shall be made on the daily triple
- (9) In the event a daily triple ticket designates a selection in any one or more of the races comprising the daily triple and that selection is scratched, excused or determined by the stewards to be a nonstarter in the race, the actual favorite(s), as evidenced by the amounts wagered in the win pool at the time of the start of the race, shall be substituted for the non-starting selection for all purposes, including pool calculations and payouts.
- (10) In the event of a dead heat for win between 2 or more animals in any daily triple race, all animals in the dead heat for win shall be considered as winning animals in the race for the purpose of calculating the
- (11) No pari-mutuel ticket for the daily triple pool shall be sold, exchanged or cancelled after the time of the closing of wagering in the first of the 3 races comprising the daily triple, except for refunds on daily triple tickets as required by this section, and no person shall disclose the number or amount of tickets selecting winners of daily triple races until the stewards have determined the last race comprising the daily triple to be official. At the conclusion of the second of the 3 races comprising the daily triple, an association may, with the prior approval of the board, display potential distributions to ticket holders depending upon the outcome of the third race of the daily triple.

History: Cr. Register, July, 1990, No. 415, eff. 8-1-90.

RACE 9.16 Simulcast. All rules of the board pertaining to wagering pools apply to all simulcast races on which wagering in Wisconsin is to be conducted, except as provided in this section. No wagering shall be conducted on a simulcast race unless prior written approval from the board has been obtained. Each licensee requesting approval shall submit. with the request, the procedures for accommodating wagering on entries and fields in the race(s) to be simulcast. These procedures shall also be approved by the board prior to the simulcast of the race.

History: Cr. Register, July, 1990, No. 415, eff. 8-1-90.