

Chapter DFI-Sec 27

GENERAL PROVISIONS

DFI-Sec 27.01 Fees.

History: Emergency rules covering general subject matter were adopted effective July 1, 1972. Chapter SEC 27 was renumbered chapter DFI-Sec 27 under s. 13.93 (2m) (b) 1., Stats., and corrections made under s. 13.93 (2m) (b) 6. and 7., Stats., Register, December, 1996, No. 492.

DFI-Sec 27.01 Fees. The following fees, prescribed for the examination of various matters arising under ch. 552, Stats., are chargeable to the person filing or the registrant, and are payable, unless otherwise provided by the division, at the time the required form or solicitation material is filed:

(1) Ownership information matters filed pursuant to s. 552.03, Stats.:

- (a) Form TO-1 Statement of Ownership Information . \$100
- (b) Statement prescribed in section 13 (d) of the securities exchange act of 1934 \$100

- (c) Form TO-2 Statement of Ownership Information . \$100
- (d) Amendment to Statement of Ownership Information \$100
- (2)** Examination of statement filed under s. DFI-Sec 26.01 (1) \$50
- (3)** Examination of solicitation materials filed by an offeror, target company or other person—Per Item \$10
- (4)** Application for issuance of an interpretive opinion \$500
- (6)** Application for issuance of exemption order under ch. 552, Stats. \$200

History: Cr. Register, October, 1972, No. 202, eff. 11-1-72; cr. (5), Register, December, 1979, No. 288, eff. 1-1-80; am. (4) and (5), cr. (6), Register, December, 1986, No. 372, eff. 1-1-87; r. and recr. Register, December, 1988, No. 396, eff. 1-1-89; r. (5), Register, April, 1998, No. 508, eff. 5-1-98.