# Office of the State Appellate Defender

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# HOW TO CLEAR YOUR ILLINOIS CRIMINAL RECORD



For our Springfield office, contact us at:
Expungement Unit
P.O. Box 5240
Springfield, IL 62705-5240
Or call us at:
Toll-Free: (866) 431-4907
Fax: (217) 782-5385
Or email:
expungement.springfield@osad.state.il.us

For our Chicago Office, contact us at:
Expungement Unit
P.O. Box 64895
Chicago, IL 60664-0895
Or call us at:
Toll-Free: (866) 787-1776
Fax: (312) 793-2278
Or email:
expungement.chicago@osad.state.il.us

Or visit our expungement website at: http://state.il.us/defender/exp.html

THE OFFICE OF THE STATE APPELLATE
DEFENDER CANNOT PROVIDE LEGAL SERVICES
FOR YOUR EXPUNGEMENT OR SEALING.

#### Getting an Attorney:

The law was designed to let people clear their records without needing to hire an attorney. However, should you want or need an attorney, you may hire one. If you are unable to hire an attorney due to financial hardship, please contact one of the attorneys on the "Attorney List" included with this packet. If a list did not come with this Instruction Guide, call the Office of the State Appellate Defender at 1(866) 431-4907 or go to our website at http://state.il.us/defen der/exp.html to obtain an updated list.

Most people, however, are going to file for their expungement or sealing without the assistance of an attorney. This Instruction Guide is designed to assist you through the process. Be patient, read everything and hang in there.

DISCLAIMER: The attorney list is made up of attorneys who have agreed to be listed to handle such cases at a low or reduced fee. The Office of the State Appellate Defender does not endorse or recommend any attorney on the "Attorney List."

## GENERAL OVERVIEW

#### What the law does:

Section 5.2 of the Criminal Identification Act (20 ILCS 2630/5.2) allows qualifying arrests, supervisions and convictions to be either expunged or sealed. Only those individuals who have never been convicted of a criminal offense or municipal ordinance violation are eligible to expunge records. If you have ever been convicted of a criminal offense or a municipal ordinance violation, your records may still be eligible for sealing, but you cannot expunge any records, regardless of the outcome of the individual case.

Only criminal records prosecuted and maintained by the State of Illinois are affected. Federal and out-of-state convictions do not fall under the Illinois law.

#### SPECIAL NOTE REGARDING FELONY CONVICTIONS

The only felony convictions which qualify for sealing are Class 4 Drug Possession under Section 4 of the Cannabis Control Act or Section 402 of the Controlled Substances Act, Class 4 convictions under the Methamphetamine Precursor Control Act and the Steroid Control Act, and Class 4 Prostitution convictions under 720 ILCS 5/11-14. Certain special felony probations, such as TASC probation or First Offender drug probations under Section 10 of the Cannabis Control Act, Section 410 of the Controlled Substances Act and Section 70 of the Methamphetamine Control and Community Protection Act are not considered convictions and may be eligible for expungement or sealing. For all other felony convictions, the only way to clear the record is pursuant to a Pardon from the Governor authorizing expungement of the record (see Page 11 of this Instruction Guide).

#### ATTENTION COOK COUNTY DEFENDANTS

Cook County defendants **must** use the Cook County forms and procedures. To get the proper forms and procedures, or if you have questions about your Cook County expungement or sealing, please contact our Chicago office at: 1(866) 787-1776 (toll-free) or email: <a href="mailto:expungement.chicago@osad.state.il.us">expungement.chicago@osad.state.il.us</a>

You can also get the Cook County forms from the Cook County Circuit Clerk at any of the six Cook County Municipal District Courthouses, or you can download the forms from their website at: www.cookcountyclerkofcourt.org

# **BEFORE YOU GET STARTED:**

Getting your record expunged or sealed can be a confusing and lengthy process. This is especially true if you, like most people, are going it alone in court without an attorney. Proceeding to court without an attorney to represent you is called "pro se" (sounds like "pro-say"). Doing the legwork before filing your paperwork in court will reduce your chances of errors and frustration. We suggest the following steps before you get started.

#### 1. Read this entire packet and take notes before you file.

The Criminal Identification Act (i.e., the expungement and sealing law) is complicated. This packet is designed to assist you through a legal process that you might find daunting. If you are patient and take your time, you will find that most, if not all, of your questions will be answered. Take notes. You should find the Definitions, the Frequently Asked Questions and the Myths and Misconceptions sections helpful.

#### 2. Get your *complete* criminal history.

Whether you can get your record expunged or sealed is dependent on your *entire* criminal record. You must have the following information for every case:

- a. The date of your arrest; and
- b. The law enforcement agency or agencies that arrested you; and
- c. The charges that were brought against you, if any; and
- d. The "disposition" of each case and charge. The "disposition" is the final outcome of the case. Types of dispositions include terms such as "nolle prosequi," "supervision," "probation," or "time served." These are all dispositions and each one means something different; and
- e. The date each case was completed. For example, if you were sentenced to probation, you must know the date your probation was terminated.

### 3. Get the titles and addresses of the legal entities involved in your case.

Before you fill out your paperwork, you must have the titles and addresses of all legal entities involved in your case, even if you were never prosecuted. Those entities are:

a. The "arresting authority."

This is simply the law enforcement agency that arrested you, if any. Usually it is a municipal police department or a county sheriff's department. You do not need the name of the individual officer who arrested you.

(continued on next page)

# Places to get your criminal history:

- •the arresting agency;
- •the circuit clerk of the court where your case was handled;
- •the Illinois State
  Police, Bureau of
  Identification
  260 North Chicago
  Street
  Loliet II 60432
- Joliet, IL 60432 (815) 740-5160;
- •Federal Bureau of Investigation FBI CJIS Division Record Request 1000 Custer Hollow Road
- Clarksburg, WV 26306 (304) 625-5590 www.fbi.gov

Requests to the FBI must be made in writing and must include your name, address, phone number and/or email address, date and place of birth, fingerprints and the required fee.

Contact as many agencies as is necessary to make certain that your record is complete and accurate. The more times you have been arrested, the more work you will need to do in order to get a complete record.

Using an internet
"Background
Check" company to
obtain your
criminal record is
not recommended
for the purpose of
expungement or
sealing. The
records produced
by such companies
are often
expensive,
incomplete and
inaccurate.

Instead, go directly to the source—the agencies listed on page 3 under the heading, "Places to Get Your Criminal History."



b. The "chief legal officer of the unit of local government that affected the arrest."

This is usually the attorney who represents the municipality or county that arrested you (it is NOT the Sheriff or the Chief of Police). This attorney is usually called the "Corporation Counsel," "Village Attorney" or "City Attorney." For example, if you were arrested by the Springfield Police Department, you will need the name of the Corporation Counsel for the City of Springfield. If you were arrested by a County Sheriff's Department, you will need the name and address of the State's Attorney for that county.

c. The State's Attorney or prosecutor that prosecuted your case.

You will need to know the address for the State's Attorney or prosecutor responsible for prosecuting the case. You only need the office and the address—you do not need the name of the particular individual who prosecuted your case. If you are unclear about which office prosecuted your case, check your paperwork from the circuit court. This will almost always be the State's Attorney's Office in the county where the case occurred.

d. The Illinois State Police.

The law requires that the Illinois State Police receive notice of your petition to expunge or seal, even if you were never charged. Here is their address:

Illinois State Police, Bureau of Identification 260 N. Chicago Ave Joliet, Illinois 60432

## 4. Get your ID#, if possible.

This is called the "SID" number and it is assigned to defendants when they get fingerprinted. If you did not get fingerprinted, you won't have a "SID" number. If you cannot locate your ID number, you can file your paperwork without it.

#### 5. Get the filing fee and the Illinois State Police fee together.

You will be charged a fee to file your petition. This fee will vary from county to county. If you are indigent (unable to pay), go to "What if I Can't Afford the Filing Fee?" on page 6 of this guide for more information. The Illinois State Police and the arresting authority may also charge you a fee to have your record sealed or expunged. Contact the circuit clerk's office in the appropriate county to determine the filing fee for your petition.

#### **DEFINITIONS:**

The following terms are important for you to understand as you go through this process.

Adjudication—formal court judgment.

Conviction—a final judgment of guilt by the court. A conviction includes terms such as: Probation (except "710," "1410," "Section 10," "Section 410," "40-10," or "TASC" probation), Conditional Discharge, Fine (without the term "supervision"), Time Served, Jail Time, Finding of Guilty by a judge or jury (without the term "supervision"), PG/FG (pleaded guilty/found guilty).

Criminal Identification Act—the expungement and sealing law for Illinois. It can be found at 20 ILCS 2630/0.01 et seq. Section 5.2 of the Act deals with expungement and sealing eligibility.

<u>Denial/Denied</u>—this means that the Court has denied something, such as your Petition to Expunge.

<u>Disposition</u>—the final order of the court on your criminal case.

Expunge—to physically destroy records or to return the records to the petitioner, and to obliterate (remove) the petitioner's name from any official index or public record.

<u>Felony</u>—a crime that may be punished by imprisonment for more than one year in a state prison. More serious than a misdemeanor.

<u>Illinois Compiled Statutes</u>—the written law of Illinois. It is abbreviated as "ILCS."

Indigent—poor; a poor person.

Misdemeanor—a crime that may be punished by confinement in a county jail for a term of up to one year.

Municipal Ordinance—a law or regulation of a city or local government.

Objection—this is a protest made by the State against your Petition. It means that the State doesn't want your record expunged or sealed. The State has 60 days to object to a Petition to Expunge or a Petition to Seal. An objection is not the same as a denial.

Petition—a written request to the court.

<u>Petitioner</u>—a person who makes a written request to the court.

<u>Seal</u>-to physically and electronically maintain records, but to make the records unavailable to the public without a court order and to obliterate (remove) the petitioner's name from any official index or public record. However, law enforcement agencies and the courts will still have access to the records, as will other entities and employers allowed by law.

Supervision—a court order holding the case open for a specific period of time, usually between six months and two years. During that time, no judgment of guilt is entered. If all the conditions of supervision are followed, the case is dismissed and no conviction is ever entered against the defendant.

# **Frequently Asked Questions:**

Where do I file my petition?

The Petition must be filed in the county where you were arrested or where the charge was brought.

Do I need a lawyer?

No, you can file your petition *pro se* (without an attorney). However, you may hire an attorney if you prefer. There is a list of attorneys included in the packet accompanying this Instruction Guide who may be able to represent you at a reduced fee. However, any lawyer licensed in Illinois may represent you.

How much does it cost?

Getting your record expunged or sealed will cost you a filing fee at the courthouse plus \$60 for the Illinois State Police. Some local law enforcement agencies may also charge a processing fee. Filing fees vary from county to county and may be obtained from the appropriate Circuit Clerk.

What if I can't afford the filing fee?

You can still file your petition, as long as you file it with a fee waiver application. Sometimes these are called "In Forma Pauperis" forms or "Application to Sue as an Indigent Person" forms. Not every jurisdiction will have such a form. If they do not, try the form provided with this packet. If the judge determines that you do not qualify to file as an indigent person, then you will have to pay the fee.



The law does require the circuit clerk to accept your petition, AS LONG AS you file it with an "In Forma Pauperis" form or "Application to Sue as an Indigent Person" form (one is included with the court forms accompanying this Instruction Guide). Keep in mind, however, that if the judge determines that you are able to pay the fee, you will have to pay it or your petition will be dismissed.

What if the circuit clerk refuses to accept my petition because I can't afford the filing fee?

# FAQs, continued:

How long does it take?

At least a few months. The State gets 60 days to object to a Petition to Expunge or a Petition to Seal. How long your case will take also depends on the size of the jurisdiction you are in, whether there is an objection to your Petition and how long it takes to get your case on the docket. If the judge orders your record expunged or sealed, it can take up to 60 days for the law enforcement agencies to process the order.

What is a pardon?

A pardon is a type of executive elemency granted by the governor. It is considered to be an extraordinary remedy and is rarely granted. It only applies to cases which have resulted in a conviction. For more information, contact the Prisoner Review Board (even if you never went to prison) at (217) 782-7273.

What is the difference between expunging and sealing?

Expunged records are destroyed or returned to the petitioner. The agencies will destroy their records and remove all mention of your name from the public record.

Sealed records are maintained by the agencies. Most of the general public will not have access to the contents of the record. However, law enforcement will still have access to your records.

Do I need to get a copy of my record?

Yes. Some jurisdictions require you to attach your record, or your "rap sheet," to your petition. Also, you should check your record for accuracy.

What is the difference between a "rap sheet" and a "disposition"?

A "rap sheet" comes from the police department and will include a list of your arrests. A "disposition" comes from the circuit clerk and contains information regarding the final outcome of the case.

I was found not guilty. Do I still need to have my record expunged?

Yes. Your criminal record contains all of your arrests, whether you were found guilty or not.

# FAQs, continued:

I was pressured into pleading guilty even though I was innocent. Isn't that a reason to expunge? No. Once you pleaded guilty, any presumption of innocence was waived.

What is a conviction?

Any finding of guilt which results in regular probation, conditional discharge, fine, time served or sentence of incarceration.

What is NOT a conviction?

Supervision, Nolle Prosequi (NP), Stricken Off with Leave to Reinstate (SOL), Finding of No Probable Cause (FNPC), Dismissed, Not Guilty or successful completion of special first offender drug probation or TASC probation.

Who is the Chief Legal Officer of the local unit of government affecting the arrest?

This is the attorney for the municipality, village, city or county that handled the arrest. For example, if you were arrested by the Springfield Police Department, then the unit of local government that affected the arrest would be the City of Springfield. The Chief Legal Officer for the City of Springfield is the corporation counsel (city attorney). If, on the other hand, you were arrested by the Sangamon County Sheriff's Department, then the Chief Legal Officer would be the State's Attorney for Sangamon County.

I live out of town. Can I do this by mail?

Possibly. Many jurisdictions have a process in place to accept filings by mail. Contact the circuit clerk of the court you are filing in and ask what their procedures are for taking expungement or sealing petitions by mail.

Do I have to appear in court?

Possibly. If a court hearing is required, then you should attend if at all possible. Sometimes, a judge will allow an attorney to appear on your behalf. However, you should expect that you need to appear in court or risk having your petition dismissed.

# FAQs, continued:

Once my record is expunged or sealed, do I have to tell employers about it?

No. In Illinois, it is against the law for employers or potential employers (except those specifically allowed by law) to ask you whether you ever had any records expunged or sealed.

BUT if you have filed for your record to be expunged or sealed and the court has not ruled on it yet, you still have to disclose your criminal history on a job application if you are asked.

How will I know when it is expunged or sealed?

In most cases, you will get a response in the mail from the Court, the arresting authority and/or the Illinois State Police.

Once my record is expunged or sealed, do I have to disclose it when I get an occupational license or certificate? No, unless the law requires it. A list of regulated licenses in the State of Illinois can be found at the Illinois Department of Financial and Professional Regulation's website: http://www.idfpr.com

(This is NOT a list of licenses which will require disclosure of an expunged or sealed record).

My case can be both expunged and sealed. Can I seal it now and expunge it later?

Yes.

What if my petition is denied?

You can do one of two things. Within the first 60 days after your Petition is denied, you can petition the judge to reconsider. Alternatively, you may file a Notice of Appeal and take your case to the Appellate Court. The circuit clerk should have those forms.

## COMMON MYTHS AND MISCONCEPTIONS ABOUT EXPUNGEMENT

The most common myth about expungement is that your record will automatically "go away" after time.

Unfortunately, this simply is not true. Once you are arrested, you have a record. This is so even if your case was dismissed, or if you received supervision or if you were found not guilty.

Fair or not, the burden is upon you. You have to take the steps necessary to have your record expunged or sealed. Myth: "After several years, my record is automatically expunged."

**Truth**: There is no such thing as an "automatic" expungement. Once you get arrested, you will have an arrest record, even if you were never charged, or if the case was dismissed and *even if* you were found not guilty.

Myth: "All non-violent felonies can be expunged or sealed."

Truth: The vast majority of felony convictions cannot be expunged or sealed. The only exceptions are certain Class 4 drug convictions and Class 4 prostitution, which may be sealed. For all other felony convictions, you will need to get a pardon authorizing expungement from the governor. That information is available from the Prisoner Review Board at (217) 782-7273 or at: http://www.state.il.us/prb/prbexclemex.htm

Myth: "My case was dismissed so there isn't anything to expunge."

**Truth**: Once you are arrested, you have a record. If you want the record of your arrest expunged, you must be proactive and take it upon yourself to expunge the record.

Myth: "I was wrongly arrested to begin with and I shouldn't have to pay the filing fee to get my arrest expunged."

Truth: The Criminal Identification Act makes no distinction between "good" arrests and "bad" arrests. It specifically states that "a person whose records have been expunged or sealed is not entitled to remission of any fines, costs, or other money paid as a consequence of the sealing or expungement." 20 ILCS 2630/12(b). If you feel that you were wrongly accused or charged, you should contact an attorney regarding the issue.

**Misconception**: "They told me that if I completed my supervision, I wouldn't have a record. So how come I still have a record?"

**Truth**: You were likely told that you wouldn't have a *conviction* on your record. Supervision is not considered by the courts to be a conviction, so if you completed it, you don't have a conviction on your record. However, you still have a record—namely, the arrest and the charges.

Myths and Misconceptions, continued:

**Misconception**: "The Circuit Clerk's office was supposed to help me. But when I got there, they wouldn't answer my questions about the forms or help me fill them out."

**Truth**: The Clerks of Courts Act absolutely forbids any clerk from giving legal advice. Legal advice includes telling you whether you qualify for an expungement or a sealing and how to fill out the forms. The clerks CAN tell you what is in your court file, including the disposition of your case, as well as local procedures for filing and the relevant fees.

# A quick word on Felonies:

Most felony arrests and convictions cannot be either expunged or sealed. There are a few exceptions, however.

Arrests and convictions for Class 4 felony drug possession under Section 4 of the Cannabis Control Act, Section 402 of the Controlled Substances Act, the Methamphetamine Precursor Control Act and the Steroid Control Act, as well as Class 4 felony prostitution cases, may qualify to be sealed. Also, if you do not have a conviction on your record for anything, felony arrests that do not result in a conviction may be expunged. Go to the expungement evaluation worksheet on Page 12 for more information.

If you have been convicted of one crime and are later arrested for a felony which does not result in a conviction, the second arrest is not eligible for either expungement or sealing (except for arrests for Class 4 prostitution and the Class 4 drug cases noted above). Likewise, felony convictions (except prostitution and Class 4 drug cases noted above) cannot be expunged or sealed unless you receive a pardon from the Governor which specifically authorizes expungement. For information on how to receive a pardon which authorizes expungement, you may call the Prisoner Review Board at (217) 782-7273. Or go to their website at:

http://www.state.il.us/prb/prbexclemex.htm

# Cases which do not fall under the Criminal Identification Act:

The Criminal Identification Act was designed to expunge and seal criminal records. It does not apply to minor traffic offenses, divorces, orders of protection or other civil matters. For example, if somebody had an order of protection entered against you, that cannot be expunged or sealed. Also, simple traffic tickets do not qualify to be either expunged or sealed. If you have other questions, contact an attorney.

# What if I was charged as a juvenile?

Juvenile expungement is very different from adult expungement. If you were ever charged as a juvenile in the State of Illinois, contact the Office of the State Appellate Defender at either our Springfield or our Chicago offices (contact information is on the front page of this Instruction Guide). A juvenile expungement packet will be sent to you at no cost.

Juvenile expungement information is also available on our website at:

http://state.il.us/defender/ exp.html

# Expungement evaluation worksheet: What do List your Dispositions here I qualify for? for a Quick Reference while

OK-you've got your complete criminal record in front of you. You've read the Definitions, the Frequently Asked Questions, and the Myths and Misconceptions sections. You are ready to begin.

# CHECK THE DISPOSITION ON EVERY CASE.

Does anything say:

Probation (except 710, 1410, Section 10, Section 410, 40-10 or TASC probation)?

Conditional Discharge?

Fine (without the term "supervision")?

Time Served?

Jail or DOC Time?

Finding of guilty by a judge or jury (without the term "supervision")?

If yes, then you have a conviction and none of your records can be expunged. However, some or all of your records might qualify to be sealed. Go to the section titled "FOR RECORDS WITH CONVICTIONS." Page 17

If **no**, do the dispositions consist *entirely* of the following terms?

Acquittal (Finding of Not Guilty)?

SOL (Stricken with Leave)?

FNPC (Finding of No Probable Cause)?

NP (Nolle Prosequi)?

No charges filed?

Dismissal?

Supervision (which must be successfully completed)?

Section 10, 410 (710 or 1410), 70 or TASC Probation

(which must be successfully completed)?

If so, then you do not have a conviction on your record and it might qualify to be either expunged or sealed. Go to the section titled "FOR RECORDS WITHOUT ANY CONVICTIONS." Page 13

List your Dispositions here for a Quick Reference while you use the evaluation worksheets:

Case No./ Charge	Disposition
-	
) k -	
	<u>-</u>

#### FOR RECORDS WITHOUT ANY CONVICTIONS:

Where the petitioner has never been convicted of any criminal offense in his or her lifetime, records may qualify to be either expunged or sealed. In most instances, you are better off getting your record expunged if you can. The expungement process is explained in Steps 1 through 8. The sealing process is explained in Steps 9 and 10. For each case, go through the following steps:

# Expungement

•Step 1-Look to see if you were placed on Court Supervision.

Yes, I was placed on Court Supervision. Go to Step 2.

No, I was not placed on Court Supervision. Go to Step 6.

•Step 2-Look to see whether you were placed on Supervision for one of the following misdemeanors:

625 ILCS 5/11-501 Driving Under the Influence, or

625 ILCS 5/11-503 Reckless Driving, or

Any sexual offense committed against a minor under 18 years of age. Sexual offense committed against a minor includes, but is not limited to, the offense of indecent solicitation of a child or criminal sexual abuse when the victim of such offense is under 18 years of age.

If yes, your record does not qualify to be expunged.

If no, continue to Step 3.

•Step 3-Look to see whether you were placed on Supervision for one of the following misdemeanors:

625 ILCS 3-707 Operating an Uninsured Motor Vehicle, or

625 ILCS 3-708 Suspended Registration for Noninsurance, or

625 ILCS 3-710 Displaying of False Insurance, or

625 ILCS 401.3 Failure of Scrap Dealer to Keep Records, or

720 ILCS 5/12-3.2 Domestic Battery, or

720 ILCS 5/12-15 Criminal Sexual Abuse (if the victim was 18 years of age or older), or

720 ILCS 5/16A-3 Retail Theft.

If yes, go to Step 4.

If no, and you were placed on Court Supervision for something else, go to Step 5.

•Step 4.—If you were placed on Court Supervision for one of the misdemeanors listed in Step 3, w	hat
date was your supervision successfully discharged?	

/	/
mm/	dd/yyyy

Has it been at least 5 years since that date?

If it has been at least **5 years** since the Court Supervision was discharged, there are no charges pending against you right now, and you have never been convicted of any criminal offense, you may qualify to have your record expunged. You will need to fill out Packet A.

If it has not been 5 years since the Court Supervision was discharged, you will need to wait until 5 years have passed before you will qualify to have your record expunged. You may want to consider having your record sealed instead. Go to **Step 9** to see if you qualify.

•Step 5—If you were placed on Court Supervision for something other than the offenses listed in Steps 2 and 3, what date was your Court Supervision successfully discharged?

Has it been at least 2 years since that date?

If it has been at least 2 years since the Court Supervision was discharged, there are no charges pending against you right now, and you have never been convicted of any criminal offense, you may qualify to have your record expunged. You will need to fill out Packet A.

If it has not been 2 years since the Court Supervision was discharged, you will need to wait until 2 years have passed before you will qualify to have your record expunged.

•Step 6-Were you placed on Section 10 ("710"), Section 410 ("1410"), or Section 70 Probation ("First Offender" drug probation) or 40-10 probation ("TASC" probation)?

If yes, go to Step 7.

If no, and you were acquitted (found not guilty), or released without being convicted (the case was dismissed), go to **Step 8**.

•Step 7—If you were placed on Section 10, Section 410, Section 70 or Section 40-10 probation (also called, "710," "1410" or "TASC" probation), what date was your probation successfully terminated?

\_\_\_/\_\_/ mm/dd/yyyy

Has it been at least 5 years since that date?

If it has been at least **5 years** since the probation was successfully terminated, there are no charges pending against you right now, and you have never been convicted of any criminal offense, you may qualify to have your record expunged. You will need to fill out Packet A.

If it has not been 5 years since the probation was successfully discharged, you will need to wait until 5 years have passed before you will qualify to have your record expunged.

•Step 8—If you were acquitted (found not guilty), or released without being convicted (the case was dismissed), there are no charges pending against you right now, and you have never been convicted of any criminal offense, then you may petition the court to have your case expunged. There is no waiting period. You will need to fill out Packet A.

# Sealing

•Step 9-Did you receive supervision for one of the following crimes?

•625 ILCS 5	5/11-501
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DUI, or

•625 ILCS 5/11-503

Reckless Driving, or

•720 ILCS 5/26-5

Dog Fighting, or

•a misdemeanor violation of Article 11 of the Criminal Code of 1961, except for prostitution. Non-sealable offenses include:

•720 ILCS 5/11-6.5	Indecent Solicitation of an Adult,
•720 ILCS 5/11-7	Adultery, or
•720 ILCS 5/11-8	Fornication, or
•720 ILCS 5/11-9	Public Indecency, or
•720 ILCS 5/11-13	Marrying a Bigamist, or
•720 ILCS 5/11-14.1	Solicitation of a Sexual Act, or
•720 ILCS 5/11-15	Soliciting for a Prostitute, or
•720 ILCS 5/11-17	Keeping a Place of Prostitution, or
•720 ILCS 5/11-18	Patronizing a Prostitute, or
•720 ILCS 5/11-19	Pimping, or
•720 ILCS 5/11-20	Obscenity, or
•720 ILCS 5/11-21	Distribution of Harmful Material, or

•a misdemeanor violation that is a crime of violence as defined in Section 2 of the Crime Victims Compensation Act (740 ILCS 45/2), which includes:

,	. ,,	
•720 ILCS 5/12-1		Assault, or
•720 ILCS 5/12-2		Aggravated Assault, or
•720 ILCS 5/12-3		Battery, or
•720 ILCS 5/12-3.2		Domestic Battery, or
•720 ILCS 5/12-15		Criminal Sexual Abuse, or
•720 ILCS 5/12-5		Reckless Conduct, or
•720 ILCS 5/12-30		Violation of an Order of Protection, or
•510 ILCS 70/1		a misdemeanor violation of the Humane
		Care for Animals Act, or
•730 ILCS 150/1		any offense or attempted offense that would subject
		a person to registration under the Sex Offender
		Registration Act.
		_

If yes, your record does not qualify to be sealed.

No, I received supervision for something else, including Prostitution. Go to Step 10.

No, I was acquitted (found not guilty), or released without being convicted (the case was dismissed), or convicted but the conviction was reversed. Go back to **Step 8**.

•Step 10-What date was your supervision successfully discharged?

Has it been 3 years since that date?

If it has been at least 3 years since that date, there are no charges pending against you right now, you have never been convicted of any criminal offense, and it has been at least 3 years since the end of your last sentence for any other criminal offense (for example, another sentence of court supervision), you may petition to have your case sealed. You will need to complete Packet B.

If it has not been 3 years since the end of your last sentence, you will need to wait until 3 years have passed before you will qualify to have your record sealed.

Remember: Because you have no convictions on your record, you might get to choose whether you want your record expunged or sealed. For example, if you have a supervision for misdemeanor retail theft, you can have it *sealed* three (3) years after you finish the supervision, *expunged* five (5) years after you finish the supervision, or both. The choice is up to you.

#### FOR RECORDS WITH CONVICTIONS:

This section applies to everybody who has a criminal conviction on their record. Some people may have both convictions and non-convictions on their record. Some, all or none of your record may qualify for sealing. You should go through all of the steps for each arrest.

The process for sealing a conviction is explained in Steps 1 through 8. The process for sealing a non-conviction is explained in Steps 9 through 11.

If the offense you are looking to seal resulted in a conviction:

•Step 1–Look to see if you were convicted of a felony:

Yes, I was convicted of a felony. Go to Step 2.

No, I was not convicted of a felony. Go to Step 7.

•Step 2—Were you convicted of Class 4 felony Prostitution or a Class 4 felony drug offense?

Yes, I was convicted of Class 4 felony Prostitution (720 ILCS 5/11-14). Go to **Step 3.** 

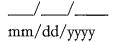
Yes, I was convicted of a Class 4 felony drug offense under:

- 720 ILCS 550/4 Possession of Cannabis; or
- 720 ILCS 570/402 Possession of a Controlled Substance; or
- The Steroid Control Act (Repealed); or
- The Methamphetamine Precursor Control Act.

Go to Step 4.

No, I was convicted of another kind of felony (including any drug offense not listed above): Your conviction does not qualify to be sealed through the court system unless you receive a pardon which specifically authorizes expungement. For information on how to receive a pardon, you may call the Prisoner Review Board at (217) 782-7273 or go to their website at: http://www.state.il.us/prb/prbexclemex.htm

•Step 3.—Prostitution. If you were convicted of Class 4 felony Prostitution, what date did you finish your probation, parole or other sentence?



If it has been at least 4 years since that date, there are no charges pending against you right now, and it has been at least 4 years since the end of your last sentence for any criminal offense, you may petition to have your case sealed. You will need to complete Packet C.

If it has not been 4 years since the end of your last sentence for any criminal offense, you will need to wait until 4 years have passed before you will qualify to have your record sealed.

•Step 4—Class 4 Felony Drug Offenses. If you were convicted of one of the Class 4 felony drug offenses listed in Step 2, what was your sentence?

Was it First Offender Probation under Section 10 (cannabis), Section 410 (controlled substance) (these are sometimes called "710" or "1410" probation) or Section 70 (methamphetamine) probation? If so, go to **Step 5**.

Was it jail time, regular probation, or conditional discharge? If so, go to Step 6.

•Step 5—If you received one of the types of probation listed in Step 4, what date did you successfully finish your probation?

\_\_/\_\_/\_\_ mm/dd/yyyy

Has it been 4 years since the successful termination of probation?

If it has been at least 4 years since that date, there are no charges pending against you right now, and it has been at least four years from the end of your last sentence for any criminal offense, you may petition to have your case sealed. You will need to fill out Packet D (see drug test requirement on Page 23).

If it has not been 4 years since the end of your last sentence for any criminal offense, then you will need to wait until that date before you will be eligible to seal the record.

•Step 6—If you received regular probation, conditional discharge or jail time, what date did you finish your probation, parole or other sentence?

\_\_/\_\_/ mm/dd/yyyy

If it has been at least 4 years since that date, there are no charges pending against you right now, and it has been at least 4 years since the end of your last sentence for any criminal offense, you may petition to have your record sealed. You will need to fill out Packet D (see drug test requirement on Page 23).

If it has not been 4 years since the end of your last sentence for any criminal offense, you will need to wait until 4 years have passed before you will qualify to have your record sealed.

•Step 7—If you were convicted of a misdemeanor (or a similar provision of a municipal ordinance), or a business or petty offense, was it one of the following crimes?

•625 ILCS 5/11-501	DUI, or
•625 ILCS 5/11-503	Reckless Driving, or
•720 ILCS 5/26-5	Dog Fighting, or

•a misdemeanor violation of Article 11 of the Criminal Code of 1961, except for prostitution. Non-sealable offenses include:

•720 ILCS 5/11-6.5	Indecent Solicitation of an Adult, or
•720 ILCS 5/11-7	Adultery, or
•720 ILCS 5/11-8	Fornication, or
•720 ILCS 5/11-9	Public Indecency, or
•720 ILCS 5/11-13	Marrying a Bigamist, or
•720 ILCS 5/11-14.1	Solicitation of a sexual act, or
•720 ILCS 5/11-15	Soliciting for a prostitute, or
•720 ILCS 5/11-17	Keeping a Place of Prostitution, or
•720 ILCS 5/11-18	Patronizing a Prostitute, or
•720 ILCS 5/11-19	Pimping, or
•720 ILCS 5/11-20	Obscenity, or
•720 ILCS 5/11-21	Distribution of Harmful Material, or

•a misdemeanor violation that is a crime of violence as defined in Section 2 of the Crime Victims Compensation Act (740 ILCS 45/2), which includes:

•720 ILCS 5/12-1	Assault, or
•720 ILCS 5/12-2	Aggravated Assault, or
•720 ILCS 5/12-3	Battery, or
•720 ILCS 5/12-3.2	Domestic Battery, or
•720 ILCS 5/12-15	Criminal Sexual Abuse, or
•720 ILCS 5/12-5	Reckless Conduct, or
•720 ILCS 5/12-30	Violation of an Order of Protection, or
•510 ILCS 70/1	a misdemeanor violation of the Humane
	Care for Animals Act, or
•730 ILCS 150/1	any offense or attempted offense that would
•	subject a person to registration under the Sex
	Offender Registration Act.

If yes, your conviction does not qualify to be sealed through the court system unless you receive a pardon which specifically authorizes expungement. For information on how to receive a pardon, you may call the Prisoner Review Board at (217) 782-7273 or go to their website at:

If no, your record might qualify for sealing. Go to Step 8.

•Step 8-What date did you finish your probation, parole or other sentence?

Has it been 4 years since that date?

If it has been at least 4 years since that date, there are no charges pending against you right now, and it has been at least 4 years since the end of your last sentence for any criminal offense, you may petition to have your case sealed. You will need to complete Packet C.

If it has not been 4 years from the end of your last sentence for any criminal offense, you will need to wait until 4 years have passed before you will qualify to have your record sealed.

# If the offense you wish to seal did not result in a conviction:

•Step 9–For the arrest in question, did you receive Court Supervision for:

•625 ILCS 5/11-501	DUI, or
•625 ILCS 5/11-503	Reckless Driving, or
•720 ILCS 5/26-5	Dog Fighting, or

•a misdemeanor violation of Article 11 of the Criminal Code of 1961, except for prostitution. Non-sealable offenses include:

•720 ILCS 5/11-6.5	Indecent Solicitation of an Adult, or
•720 ILCS 5/11-7	Adultery, or
•720 ILCS 5/11-8	Fornication, or
•720 ILCS 5/11-9	Public Indecency, or
•720 ILCS 5/11-13	Marrying a Bigamist, or
•720 ILCS 5/11-14.1	Solicitation of a sexual act, or
•720 ILCS 5/11-15	Soliciting for a prostitute, or
•720 ILCS 5/11-17	Keeping a Place of Prostitution, or
•720 ILCS 5/11-18	Patronizing a Prostitute, or
•720 ILCS 5/11-19	Pimping, or
•720 ILCS 5/11-20	Obscenity, or
•720 ILCS 5/11-21	Distribution of Harmful Material, or

<sup>•</sup>a misdemeanor violation that is a crime of violence as defined in Section 2 of the Crime Victims Compensation Act (740 ILCS 45/2), which includes:

•720 ILCS 5/12-1

Assault, or

•720 ILCS 5/12-2	Aggravated Assault, or
•720 ILCS 5/12-3	Battery, or
•720 ILCS 5/12-3.2	Domestic Battery, or
•720 ILCS 5/12-15	Criminal Sexual Abuse, or
•720 ILCS 5/12-5	Reckless Conduct, or
•720 ILCS 5/12-30	Violation of an Order of Protection, or
•510 ILCS 70/1	a misdemeanor violation of the Humane
	Care for Animals Act, or
•730 ILCS 150/1	any offense or attempted offense that would
	subject a person to registration under the Sex
	Offender Registration Act.

If yes, your record does not qualify to be sealed.

No, I received Court Supervision for something else. Go to Step 10.

No, I was acquitted (found not guilty), or released without being convicted (the case was dismissed, or no formal charges were ever filed by the State's Attorney), or convicted but the conviction was reversed. Go to **Step 11**.

•Step 10-If you were placed on Court Supervision for something else:

What date did you finish your Court Supervision?

\_\_\_/\_\_/\_\_ mm/dd/yyyy

Has it been 4 years since that date?

If it has been at least **4 years** since that date, there are no charges pending against you right now, **and** it has been more than 4 years from the end of your last sentence for any criminal offense, you may petition to have the records sealed. You will need to fill out Packet B.

If no, it has not been 4 years since the end of your last sentence for any criminal offense, you will need to wait until 4 years have passed before you will qualify to have your record sealed.

•Step 11-If you meet the following criteria, your arrest record may qualify for immediate sealing:

1) You were arrested for any offense, but no formal charges were ever filed by the State's Attorney in the case (NOTE: This is not the same thing as charges having been filed, but later dismissed); OR

- 2) You were acquitted (found not guilty), or released without conviction (the case was dismissed), or convicted but the conviction was reversed or vacated and the charges involved only a misdemeanor, a petty or business offense, or a municipal ordinance violation (no felony charges); OR
- 3) You were acquitted (found not guilty), or released without conviction (the case was dismissed), or convicted but the conviction was reversed or vacated and the charges involved ONLY the following Class 4 felony offenses:

Prostitution (720 ILCS 5/11-14), or Possession of Cannabis (720 ILCS 550/4), or Possession of a Controlled Substance (720 ILCS 570/402), or Offenses under the Steroid Control Act, or Offenses under the Methamphetamine Precursor Control Act; AND

4) You do not have any currently pending charges against you, and you are not currently serving a sentence (including probation or court supervision) on another offense.

If you meet all of these criteria, you may be eligible for immediate sealing of your record. Please use Packet B. Keep in mind that if you have no convictions on your record at all, you would likely be better served by filing a Petition to Expunge (Packet A). However, if you have a previous conviction, and are therefore ineligible for expungement, but you meet the above criteria, you may be immediately eligible for sealing. Remember that, if the arrest you are seeking to seal was for any felony offense other than the Class 4 felony drug or prostitution offenses listed above, your arrest cannot be sealed.

# Drug Test Requirement for Class 4 Felony Drug Possession Cases

The law requires that you attach proof that you have passed a drug test to your Petition to Seal a Class 4 felony drug possession (or felony first offender drug probation) case, or to your Petition to Expunge a felony first offender drug probation or TASC probation. The drug test must have been taken within 30 days prior to the filing of your petition. The Criminal Identification Act does not specify what type of drug test must be attached to the petition to seal. Therefore, it is recommended that you get a drug test from your physician or from a state agency that is willing to give such a test. The important thing about the drug test is that it be administered by a person or agency that would be willing to vouch for its authenticity in court. There will likely be a fee for the drug test.

### A Final Word

The law relating to expungement and sealing of criminal records in Illinois is complex and can be difficult to interpret. Not all courts view the provisions of the Criminal Identification Act in exactly the same manner. The information in this Instruction Guide is based on the interpretation of the Office of the State Appellate Defender, using known Circuit Court, Appellate Court and Illinois Supreme Court rulings, as well as the language of the statute itself. While the purpose of these materials is to assist an individual in pursuing an expungement or sealing without the assistance of an attorney, complex cases may require legal assistance.

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