State of Wisconsin

SENATE CHAIR
Alberta Darling

317 East, State Capitol
P.O. Box 7882
Madison, WI 53707-7882
Phone: (608) 266-5830

ASSEMBLY CHAIR
John Nygren

308 East, State Capitol
P.O. Box 8593
Madison, WI 53708-8953
Phone: (608) 266-2343

Joint Committee on Finance

MEMORANDUM

To: Members
Joint Committee on Finance

From: Senator Alberta Darling
Representative John Nygren

Date: December 23, 2019

Re: s. 16.515/16.505(2), Stats. Request

Attached is a copy of a request from the Department of Administration, received December 23, 2019, pursuant to s. 16.515/16.505(2), Stats., on behalf of the Department of Corrections.

Please review the material and notify **Senator Darling** or **Representative Nygren** no later than **Wednesday, January 15, 2020,** if you have any concerns about the request or if you would like the Committee to meet formally to consider it.

Also, please contact us if you need further information.

Attachments

AD:JN:jm

STATE OF WISCONSIN
DEPARTMENT OF ADMINISTRATION

Tony Evers, Governor
Joel Brennan, Secretary

Date: December 23, 2019

DEC 23 2019
Jt. Finance

To: The Honorable Alberta Darling, Co-Chair
Joint Committee on Finance

The Honorable John Nygren, Co-Chair
Joint Committee on Finance

From: Joel T. Brennan, Secretary
Department of Administration

Subject: s. 16.515/16.505(2) Request(s)

Enclosed are request(s) that have been approved by this department under the authority granted in s. 16.515 and s. 16.505(2). The explanation for each request is included in the attached materials. Listed below is a summary of each item:

		2019-20		2020-21	
AGENCY	DESCRIPTION	AMOUNT	FTE	AMOUNT	FTE
DOC 20.410(1)(kx)	Interagency and intra-agency programs		1.0		1.0

As provided in s. 16.515, the request(s) will be approved on January 17, 2020, unless we are notified prior to that time that the Joint Committee on Finance wishes to meet in formal session about any of the requests.

Please contact Kirsten Grinde at 266-1353, or the analyst who reviewed the request in the Division of Executive Budget and Finance, if you have any additional questions.

Attachments

***CORRESPONDENCE/** Memorandum*

State of Wisconsin
Department of Administration

Date: December 17, 2019

To: Brian Pahnke

From: Ryan Hutter

Subject: Section 16.505(2) Request

Attached is a s. 16.505(2) request analysis for your approval and processing. Listed below is a summary of each item:

DOA RECOMMENDATION:

		2019-20		2020-21	
AGENCY	DESCRIPTION	AMOUNT	FTE	AMOUNT	FTE
DOC 20.410(1)(kx)	Interagency and intra-agency programs		1.0		1.0

AGENCY REQUEST:

		2019-20		2020-21	
AGENCY	DESCRIPTION	AMOUNT	FTE	AMOUNT	FTE
DOC 20.410(1)(kx)	Interagency and intra-agency programs		1.0		1.0

BP APPROVAL BP (FORWARD TO GAIL TAPPEN)

STATE OF WISCONSIN
DEPARTMENT OF ADMINISTRATION
Tony Evers, Governor
Joel Brennan, Secretary
Brian Pahnke, Administrator

Date: December 17, 2019

To: Joel Brennan, Secretary
Department of Administration

From: Ryan Hutter
Executive Policy and Budget Analyst

Subject: Request Under s. 16.505(2) from the Department of Corrections for the Creation of a 1.0 FTE Permanent Position.

Request:

The Department of Corrections requests the creation of a 1.0 FTE permanent position to support substance use disorder programs at Taycheedah Correctional Institution.

Revenue Sources for Appropriation(s):

The revenue source for the position is in the interagency and intra-agency programs appropriation under s. 20.410(1)(kx). The appropriation is a program revenue, all moneys received appropriation. The source of the funding is the Substance Abuse Prevention and Treatment Block Grant from the Department of Health Services for the Substance Use Disorder (SUD) program.

Background:

Taycheedah Correctional Institution provides substance use disorder programs and one dual diagnosis program specifically developed for women in recovery. The goal of the programs is to empower women to make healthier life choices and remain abstinent from alcohol and drugs. The SUD program is broken down into four levels:

- SUD 1: A case plan intervention done with the general population social worker.
- SUD 2: A 15-week (96-hour) program for women assessed with a mild substance use disorder and who are at a moderate risk for recidivism. The group components include a gender-responsive substance use program and a shame resiliency program.
- SUD 3: A 15-week (168-hour) program for women assessed with a moderate to severe substance use disorder and who are at a moderate risk for recidivism. The group components include a gender-responsive substance abuse program, a trauma and substance abuse program, a shame resiliency program, and a gender-responsive cognitive behavioral program.
- SUD 4: A 17-week (256-hour) program for women assessed with a mild to severe substance use disorder who are at a high risk for recidivism. The core group components include a gender-responsive substance abuse program, a trauma and substance abuse program, and a gender-responsive cognitive behavioral program. Ancillary groups offered

in this program include a program for woman with histories of violence both as the victim and as the perpetrators and a shame resiliency program.

The dual diagnosis program is a 21-week program for women assessed with a mild to severe substance use disorder and who are at a moderate or high risk for recidivism. The group components include a gender-responsive substance abuse program, a trauma and substance abuse program, a gender-responsive cognitive behavioral program, a dialectical behavioral therapy-informed skills group, mental health education, a program for women with histories of violence both as the victim and as the perpetrator, a shame resiliency program, and a grief and loss program.

Analysis:

The Department of Corrections requests the creation of a 1.0 FTE PR-S permanent position, classified as a program and policy analyst, to provide additional staffing for the SUD program at Taycheedah Correctional Institution. Internally, the position would act as a quality assurance research analyst and focus on data collection and analysis, a requirement of the grant, to ensure a continuation of evidence-based practices.

Over the past decade, Taycheedah Correctional Institution has contracted with providers to provide substance use disorder programs. Recently, the department identified that contractor staffing vacancies have resulted in offenders only receiving limited programing and treatment on an ad-hoc basis. The staffing vacancies have forced Taycheedah to restructure and shift responsibilities onto existing department staff.

The department receives federal Substance Abuse Prevention and Treatment Block Grant from the Department of Health Services for substance use disorder programming. The block grant requires tracking of relapse rates and reasons for relapse. In addition, the grant requires the effectiveness of the program be monitored at three- and six-month intervals. Finally, staff are required to observe treatment facilitators on a quarterly basis to provide feedback to those facilitators as well as provide continuous quality assurance and research analysis.

The breakdown of expenses for the position are as follows:

Budget Category	Annual Amount
Salary	$46,613
Fringe Benefits	22,584
Supplies and Services	11,900
Total	**$81,097**

The department has received funding from the Substance Abuse Prevention and Treatment Block Grant for over 15 years and there is no indication it will not be provided in future years.

Recommendation:

Approve the request for the creation of a permanent position.

Wisconsin Department of Corrections
Governor Tony Evers | Secretary Kevin A. Carr

DATE: October 23, 2019

TO: Brian D. Pahnke, Administrator
Division of Executive Budget and Finance
Department of Administration

FROM: Kevin A. Carr, Secretary
Department of Corrections

KAC

SUBJECT: §16.505 – Creation of grant-funded 1.00 PR-S FTE permanent position for the Substance Use Disorder (SUD) programs at Taycheedah Correctional Institution (TCI).

The Department of Corrections (DOC) requests the creation of a 1.00 PR-S FTE permanent Program and Policy Analyst position to provide additional staffing for the SUD program at TCI. This Quality Assurance Research Analyst position would be funded by federal grant funding from the Substance Abuse Block Grant (SABGT), provided to DOC by the Department of Health Services (DHS). This position would focus on the grant's requirement for data collection and analysis to ensure a continuation of evidence-based practices.

Revenue Sources for Appropriation(s):

Revenue for this position in appropriation §20.410(1)(kx), interagency and intra-agency programs, is derived from funding received from DHS for the SUD program. Revenue for the SUD program comes from the SABGT, allocated through the Department of Justice's (DOJ) Office of Justice Assistance (OJA).

Background:

TCI offers a SUD program developed specifically for women in recovery. This program works toward empowering women to make healthier life choices and remain abstinent from alcohol and drug abuse. The SUD program is broken down into four levels:

- SUD 1: A case plan intervention done with general population social workers.
- SUD 2: A 15-week program (96 hours) for women assessed with a mild substance use disorder and who are at a moderate risk for recidivism. The group components of the program include Helping Women Recover, a gender responsive substance abuse program, and Connections, a shame resiliency program.
- SUD 3: A 15-week program (168 hours) for women assessed with a moderate to severe substance use disorder and who are at a moderate risk for recidivism. The group

components of the program include Helping Women Recover, a gender responsive substance abuse program; Seeking Safety, a trauma and substance abuse program; Connections, a shame resiliency program; and Moving On, a gender-responsive cognitive behavioral program.

- SUD 4: A 17-week (256 hours) program for women assessed with a mild to severe substance use disorder and who are at a high risk for recidivism. The core group components of the program include Helping Women Recover, a gender responsive substance abuse program; Seeking Safety, a trauma and substance abuse program; and Moving On, a gender-responsive cognitive behavioral program. Additional ancillary groups offered in this program include Beyond Violence, for women with histories of violence both as the victim and as the perpetrator, and Connections, a shame resiliency program.

DOC has received funding from SABGT for over 15 years. This grant is focused mainly on supporting salary expenses for treatment facilitators and contracting services to provide residential Alcohol and Other Drug Abuse (AODA) groups.

Analysis:

Female offenders eligible to participate in the SUD program continue to present unique challenges to DOC. Although these offenders represent a small portion of the total incarcerated population, they necessitate gender responsive treatment programs specifically targeted and designed for the diagnosed offender to be successful upon completion. Additionally, to ensure the effectiveness of the SUD program, it is imperative that programming be consistent and that information is collected well after the end of the program.

TCI had contracted and partnered with providers such as Attic, ARO, and Horizon Health Care over the past decade. However, because vendors have struggled with retaining staff to fill their treatment positions, offenders have at times only received limited programming and treatment on an ad-hoc basis, if at all. As a result of contractor staffing vacancies, TCI has in recent years restructured to provide services and programming to offenders by shifting responsibilities onto existing TCI staff.

Changes in how SUD programming at TCI is being delivered has resulted in a need for an additional staff member to provide continuous quality assurance and research analysis. The SABGT requires tracking both relapse rates and reasons for relapse and monitoring program effectiveness at three and six month intervals. In addition, staff need to observe treatment facilitators on a quarterly basis to provide feedback to those facilitators as well as to provide Continuous Quality Assurance and Research Analysis (CQARA). TCI has received permission from DOJ to fund a CQARA position – the requested 1.00 FTE Quality Assurance Research Analyst – through the SABGT.

TCI currently has a 1.00 FTE position (#314496) funded by the SABGT. Funding from the SABGT has been received consistently over 15 years and there is no indication it will not be provided in future years. As with any formula grant, this funding is dependent on annual allocations from the federal government.

Summary:

DOC requests the creation of a 1.00 PR-S FTE permanent Quality Assurance Research Analyst position to provide additional staffing for the SUD program at TCI. This position would be funded by federal grant funding from the SABGT, provided to DOC by the DHS.

cc: Secretary Joel Brennan, Department of Administration
Deputy Secretary Amy Pechacek, Department of Corrections
Assistant Deputy Secretary Shannon Carpenter, Department of Corrections

Prepared by: Michael Slana, Bureau of Budget and Facilities Management
240-5414